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1 Relationships between reservoir water quality and catchment 2 habitat type

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8

9 Abstract

10 Numerous catchment characteristics including topography, geology, soil and vegetation are reported
11 to exert a strong influence on mean surface water properties. The present study employs a
12 geographical information system (GIS) approach to examine, for the first time, the relationship
13 between reservoir water quality (dissolved organic carbon (DOC) concentration, colour, nitrate
14 concentration and pH) and catchment Phase 1 Habitat coverage. Analysis was conducted on 2
15 occasions and at 2 different spatial scales. Numerous statistically significant correlations were
16 identified, suggesting the use of Phase 1 Habitat data could help improve predictive models of
17 surface water quality. The occurrence and strength of correlations varied seasonally in response, we
18 argue, to temporal variations in hydrological regime and anthropogenic activity. The data also
19 suggest that the proximity of habitat types to the reservoir is significant in affecting reservoir water
20 quality. The findings are used to recommend suitable measures for drinking water companies to
21 mitigate against water quality issues.

22 **Key words:** dissolved organic carbon; drinking water; catchment; Phase 1 Habitat; soil; geographical
23 information system.

24 **1. Introduction**

25 The biogeochemical properties of surface waters are acquired, to a large extent, during the passage
26 of water through the catchment due to the interaction of water with vegetation, soils and mineral
27 layers. Various organic and inorganic compounds will be solubilised and transported downstream
28 during runoff, influencing solute concentrations, pH and ionic strength (Stutter et al., 2006).

29 Although surface water quality exhibits temporal variations in response to weather events and
30 seasonal drivers (Gergel et al., 1999; Scott et al., 1998; Soulsby et al., 2006), physical catchment
31 characteristics including topography, geology, soil and vegetation type will, to a large extent,
32 determine mean biogeochemical characteristics (Billett and Cresser, 1992; Clair et al., 1994; Holden
33 et al., 2007; Hope et al., 1994; Sobek et al., 2007). Amongst these variables, soil type is widely
34 considered to represent a dominant control on surface water composition and quality (Aitkenhead
35 et al., 1999; Billett and Cresser, 1992; Hope et al., 1997; Soulsby et al., 2006; Stutter et al., 2006).

36 Though its development is strongly influenced by other catchment features including soil
37 characteristics, habitat type may also be an important factor affecting surface water quality.
38 Vegetation type influences catchment hydrology, primary production and organic matter inputs
39 (Ordóñez et al., 2008; Zhang et al., 2011), which affect soil composition and chemistry and in turn,
40 drainage water quality. Forested catchments for example, have been associated with the production
41 of dissolved organic carbon (DOC)-rich drainage waters (Grayson et al., 2012; Hope et al., 1994) with
42 differences in DOC concentration and flux also reported between different tree species (Chow et al.,
43 2009; Fröberg et al., 2011; Gough et al., 2012). Wetland habitat coverage is also reported to be a
44 strong predictor of surface water DOC concentration (Gergel et al., 1999; Hope et al., 1994).

45 Surface water characteristics can also be strongly affected by anthropogenic activity. For example,
46 the application of agricultural fertilisers has been associated with significant leaching of nutrients
47 (nitrates and phosphates) into surface waters (Badruzzaman et al., 2012). Elevated nutrient
48 concentrations may in turn result in eutrophication and algal blooms (Correll, 1998; Freeman et al.,

49 2009; Hecky and Kilham, 1988; Vollenweider, 1968), which are particularly problematic in drinking
50 water supplies (Smith, 1998). Liming of agricultural land has been associated with increased surface
51 water pH (Hindar et al., 2003). Drainage of wetland habitats in an attempt to improve their
52 economic value has also been linked to elevated colour and DOC concentrations in surface waters
53 (Holden et al., 2004; Wallage et al., 2006).

54 The Phase 1 Habitat Survey of Wales, completed by the Countryside Council of Wales (CCW) in 1997,
55 provides a record of habitat coverage and land use (Howe et al., 2005). In its digitised form, using
56 geographical information system (GIS) software, the data offers a useful means of measuring the
57 spatial extent of different habitat types within catchments. Since the classification scheme includes
58 both natural habitats and anthropogenic features (e.g. arable land and improved grassland), the data
59 holds significant potential for researchers concerned with investigating catchment influences on
60 surface water quality. The characteristics of surface waters supplying drinking water treatment
61 works (WTWs) is important for water companies which have a responsibility to provide a safe and
62 reliable drinking water supply for their customers. The concentration of DOC in surface waters is
63 particularly important, with the removal of DOC from drinking water supplies representing the single
64 biggest treatment cost for the water treatment industry (Watts et al., 2001). Elevated DOC
65 concentrations in raw water can inflate treatment costs by increasing the coagulant and disinfectant
66 doses required (Chow et al., 2005; Edzwald, 1993) and the frequency of filter backwashes (Eikebrokk
67 et al., 2004). DOC in finished water is problematic since it can cause undesirable colour, odour and
68 taste (Davies et al., 2004; WHO, 2011), transports organic and inorganic micro-pollutants (Gao et al.,
69 1998; Rothwell et al., 2007) and leads to bacterial regrowth in distribution systems (Prévost et al.,
70 1998). Crucially, DOC also acts as a precursor to potentially harmful disinfection by-products (DBPs)
71 including trihalomethanes (THMs). These are formed during chlorination, a treatment necessary to
72 ensure that finished water meets microbiological safety standards (WHO, 2011).

73 Rising surface water DOC concentrations have been observed in many areas of central and northern
74 Europe and North America in the past couple of decades (Freeman et al., 2001; Hejzlar et al., 2003;
75 Monteith et al., 2007; Skjelkvåle et al., 2005; Stoddard et al., 2003; Worrall et al., 2003). In the UK,
76 measurements undertaken at 22 upland sites showed a mean increase in DOC concentration of 91%
77 between 1988 and 2003 (Evans et al., 2005). DOC concentrations tend to be highest, and rising most
78 rapidly in peat-dominated, upland catchments (Freeman et al., 2001), which in the UK, supply over
79 70% of drinking water (Watts et al., 2001). In this context of declining surface water quality,
80 developing a better understanding of catchment influences is crucial for the drinking water industry.
81 The importance of catchment characteristics in affecting the quality of drinking water supplies is
82 recognised by the UK drinking water regulator, the drinking water inspectorate (DWI) who
83 recommend that “*catchment and raw water source protection*” is included in the drinking water
84 safety plans of drinking water providers (DWI, 2005).

85 A GIS approach, which can offer an effective means of visualising and measuring landscape features
86 is increasingly being used in the study of catchment influences on hydrochemistry. GIS software has
87 become an important tool in the modelling of hydrological processes and its use in developing
88 predictive models for various water quality parameters within catchments based on land use and
89 other catchment characteristics is particularly relevant for water treatment companies. For example
90 Foster and McDonald (2000) used GIS and spatially referenced data on pastoral farming intensity to
91 model and display sources of cryptosporidium risk in drinking water catchments. Lake et al. (2003)
92 developed a nitrate leaching model using GIS and information on a number of physical catchment
93 characteristics. This was used to identify areas of groundwater vulnerable to nitrate pollution.
94 Recently, Grayson et al. (2012) used a GIS approach, and ITE land cover data (similar to Phase 1
95 Habitat data) to identify correlations between drinking water reservoir colour and the spatial extent
96 of different land cover classes. A multicriteria evaluation approach was then used to develop a
97 predictive model for water colour production potential in the catchments and create a colorimetric,

98 risk-based map from the data. However, as yet, the use of Phase 1 Habitat data for predicting
99 catchment water quality has not been explored.

100 This study investigates potential relationships between Phase 1 Habitat classes and reservoir water
101 quality (DOC concentration, colour, nitrate concentration and pH). GIS mapping was used to
102 measure the spatial extent of Phase 1 Habitat types in 16 drinking water reservoir catchments in
103 north Wales. Correlation analysis was then used to identify statistically significant relationships
104 between these land cover classes and reservoir water quality in spring and autumn. Analyses were
105 carried out both at a whole-catchment scale, and in a 250 m buffer zone surrounding the reservoirs
106 in order to assess the importance of proximity in the occurrence and strength of the correlations.
107 Such research is important for informing future catchment management practices. Identifying
108 problematic land cover will also help water treatment companies target monitoring programmes
109 and mitigation strategies, and improved understanding of seasonality in raw water quality will also
110 enable better optimization of treatment processes.

111 **2. Methods**

112 *2.1. Study sites and sampling regime*

113 Water samples were collected on 2 occasions (in September 2007 and March 2008) from the raw
114 water (i.e. pre-treatment) supply of 16 WTWs in north Wales. Where the raw water supply was
115 derived from more than 1 reservoir, composite samples were collected, and Phase 1 Habitat data
116 was also combined. The timing of sampling was chosen to correspond with the seasonal maximum
117 (autumn) and minimum (spring) in reservoir DOC concentration. 14 of the WTWs included in this
118 study are located in upland catchments, with the remaining 2 situated in lowland, agricultural areas.
119 Uplands are defined as areas more than 250 m above sea level (Mitchell, 1991). These areas are
120 typically characterised by high rainfall, low mean temperatures and acidic soils (Foster and
121 McDonald, 2000).

122 *2.2. Hydrochemical analysis*

123 pH was measured on un-filtered samples using a Mettler Toledo S20 pH meter (Mettler Toledo,
124 Leicester, UK), calibrated daily with pH 4 and pH 7 reference standards (Sigma-Aldrich, Dorset, UK).

125 Colour measurements (Hazen) were obtained from WTW data at the time of sample collection. One
126 degree Hazen ($1 \text{ mg L}^{-1} \text{ Pt/Co}$) is defined as the colour produced by $1 \text{ mg L}^{-1} \text{ Pt}$ (as K_2PtCl_6) in the
127 presence of 2 mg L^{-1} cobalt (II) chloride hexahydrate (Mitchell and McDonald, 1992).

128 Before DOC measurement, samples were passed through a $0.45 \mu\text{m}$ cellulose acetate filter to
129 remove particulate organic carbon, as per the operational definition of DOC (Thurman, 1985). DOC
130 concentrations were determined using a Shimadzu Total Organic Carbon 5000 analyser (Shimadzu,
131 Milton Keynes, UK), with a carrier gas of high purity air at a flow rate of 150 mL min^{-1} and a $33 \mu\text{L}$
132 injection volume. Calibration was performed with a one point calibration, using a $100 \text{ mg L}^{-1} \text{ KO}_4\text{H}_5\text{C}_8$
133 solution (total organic carbon – TOC) and a $100 \text{ mg L}^{-1} \text{ Na}_2\text{CO}_3/100 \text{ mg L}^{-1} \text{ NaHCO}_3$ solution (inorganic
134 carbon – IC). DOC concentrations were calculated by subtracting IC values from TOC values. Analysis
135 of TOC and IC standard solutions at 10 mg L^{-1} intervals demonstrated that the analyser performed
136 linearly from 0 to 200 mg L^{-1} , with r^2 values > 0.9 . All reagents were supplied by Sigma-Aldrich,
137 Dorset, UK.

138 Nitrate concentration was determined using a Dionex DX-120 ion chromatograph equipped with an
139 IonPac AS14A anion analytical column (both Thermo Scientific, Hertfordshire, UK). The eluent was a
140 $1.0 \text{ mM Na}_2\text{HCO}_3/8.0 \text{ mM NaCO}_3$ solution (reagents supplied by Sigma-Aldrich, Dorset, UK) made
141 with Milli Q water and the flow rate, 1 mL min^{-1} . Concentrations were determined using a five point
142 calibration with standard Dionex solutions.

143 *2.3. Geographical information systems (GIS) analysis*

144 Version 9.2 of the ArcGIS package (ESRI, Buckinghamshire, UK) was used to display and quantify the
145 spatial extent of habitat types within each reservoir catchment. First, the watersheds associated

146 with each reservoir were mapped. This was achieved using the *Hydrology* functions in the *Spatial*
147 *Analyst* extension and a digital elevation model downloaded from Digimap (EDINA, 2014) (10 m
148 resolution). Defined watersheds were then clipped to other GIS layers displaying habitat type.
149 Habitat information was displayed using digitised version of the Phase 1 Habitat Survey of Wales
150 (Howe et al., 2005). In addition to this whole-catchment analysis, habitat coverage was also
151 measured in a 250 m-wide zone around the perimeter of each reservoir.

152 *2.4. Statistical analysis*

153 For statistical analysis, Phase 1 Habitat categories were organized into more generalised groupings
154 (Table 1). Statistical analysis was performed using version 20 of the SPSS statistical package (IBM,
155 New York, USA). Depending on the conditions satisfied by the data, Pearson's correlation and
156 Spearman's correlation analyses was employed to test for significant correlations between Phase 1
157 habitat type coverage and reservoir water quality. This analysis was also performed using the subset
158 of Phase 1 Habitat data covering a zone of 250 m directly adjacent to the reservoir.

159 **3. Results and discussion**

160 *3.1. DOC and colour*

161 The absence of any statistically significant correlations between catchment woodland and scrub
162 coverage and reservoir DOC concentration and colour (Table 2 and 3) is surprising given that
163 previous research indicates a strong positive relationship between forest coverage and DOC
164 concentration (Grayson et al., 2012; Hope et al., 1994). High DOC flux from forested catchments is
165 partly due to high DOC loading as rainwater passes through above ground biomass (Kawasaki et al.,
166 2005; Stevens et al., 1989) as well as the large source of leachable carbon in the litter layer (Hongve,
167 1999). However, DOC concentrations are also reported to vary significantly between different tree
168 species (Gough et al., 2012). Our habitat categories did not account for this potential variation,
169 which may explain the absence of any statistically significant correlations in this study.

170 A moderate negative correlation was observed between unimproved grassland and spring DOC
171 concentration at the whole catchment scale ($p < 0.05$; Table 2) and no correlations between
172 unimproved grassland and DOC or colour in the 250 m buffer zone analysis (Table 3). The negative
173 correlation corroborates the findings of previous studies. For example, Grayson et al. (2012) report a
174 significant negative correlation between water colour and moorland grass coverage across 18
175 drinking water catchments in Yorkshire. In a UK-wide study, Armstrong et al. (2007) found that
176 heather dominated, drained catchments produced the highest water colour followed by mixed
177 vegetation and grass dominated catchments. Van den Berg et al. (2012) also reported lowest mean
178 pore water DOC concentration in grassland sites compared with other vegetation categories
179 (woodlands, heathlands and moorlands) in their survey of 41 UK sites. This association may relate to
180 solubility controls since colour release in temperate grasslands is reported to be suppressed by
181 acidic conditions (Hopkins et al., 1990; Miller, 2008). However, our unimproved grassland category
182 included neutral and calcareous grassland and no correlations were identified in the present study
183 between pH and unimproved grassland coverage.

184 Negative correlations were identified between tall herb and fern habitat coverage and autumn DOC
185 concentration and colour at the whole catchment scale (both $p < 0.05$; Table 2) and between tall
186 herb and fern coverage and autumn colour in the 250 m buffer zone analysis ($p < 0.05$; Table 3). At
187 first this result seems unexpected since bracken coverage, which was dominant in this habitat class,
188 has been associated with high primary productivity and the accumulation of large amounts of litter,
189 forming a large pool of organic matter (Marrs et al., 2000). However, Potthast et al. (2012) observed
190 that, compared with pasture land (*Setaria* grass) the litter present in bracken habitat showed a
191 significantly lower rate of decay. In addition, they found a significant decrease in microbial biomass
192 and activity when pasture land was invaded by bracken. Therefore, if bracken coverage tends to
193 replace improved grassland habitats in drinking water catchments, its presence may reduce DOC
194 production. The occurrence of these correlations in autumn may relate to this being the litter fall

195 period, when the leaching of DOC from decomposing litter would normally contribute significantly to
196 DOC export (Kalbitz et al., 2000).

197 Negative correlations between heathland coverage and DOC concentration and colour occurred at
198 both spatial scales. In the whole catchment analysis, heathland coverage displayed a moderate
199 negative correlation with autumn DOC concentration and colour (both $p < 0.05$) and a strong
200 negative correlation with spring colour ($p < 0.01$; Table 2). In the 250 m buffer analysis a moderate
201 negative correlation was identified with autumn DOC concentration and colour (both $p < 0.05$), a
202 moderate negative correlation with spring DOC concentration ($p < 0.05$) and a strong negative
203 correlation with spring colour ($p < 0.01$; Table 3). These negative relationships were surprising given
204 that *Calluna*, a common species in heath habitats, has been reported to produce highly-coloured
205 drainage water (Grayson et al., 2012). This has been attributed to their relatively dry soil conditions
206 which confer high rates of aerobic microbial decomposition (Clutterbuck and Yallop, 2010). Many
207 heath habitats were also formed as a result of peatland drainage. This former status is likely to
208 further enhance DOC and colour release due to the large carbon stocks associated with peat
209 substrate (Fenner et al., 2009). Conversely however, moisture constraints in heath habitats are
210 reported to inhibit phenol oxidase activity (Toberman et al., 2008). According to the enzymic latch
211 theory, this can suppress DOC production by causing an accumulation of phenolic compounds which
212 inhibit the activity of hydrolase enzymes (Freeman et al., 2001). This may explain the negative
213 correlations between heathland coverage and reservoir DOC concentration and colour observed in
214 the present study. Overall, the relationship appeared stronger at the 250 m buffer scale, suggesting
215 that proximity to the reservoir affected the degree to which this habitat influenced reservoir water
216 quality.

217 At the whole catchment scale a moderate positive correlation was identified between fen/ mire
218 habitat and autumn DOC concentration ($p < 0.05$; Table 2). This habitat also correlated positively
219 with autumn and spring DOC concentration in the 250 m buffer analysis (both $p < 0.05$; Table 3).

220 Positive correlations between swamp coverage and reservoir DOC concentration and colour were
221 also identified and were striking in terms of the strength of the correlations observed and their
222 occurrence at both spatial scales and both sampling times (Table 2 and 3); all were strong positive
223 correlations ($p < 0.01$) except for the swamp/ autumn DOC concentration correlation at the whole
224 catchment scale which was a moderate positive trend ($p < 0.05$). These positive relationships are
225 likely to be linked to the wetland status of these habitats. Percentage wetland coverage has been
226 identified as an important predictor of stream water DOC concentration (Eckhardt and Moore, 1990;
227 Gergel et al., 1999; Hope et al., 1994). A combination of high primary productivity and low
228 decomposition rates causes the accumulation of deep layers of peat in wetland environments
229 (Mitsch and Gosselink, 2000). The considerable depth of organic material in such environments
230 provides a large pool of available carbon (Thurman, 1985) and the inhibitory effect of anaerobic
231 conditions on microbial metabolism promotes the formation of DOC end products (Fenner et al.,
232 2009). In addition, in wetland systems, the depth of the organic horizon limits contact between
233 drainage waters and the adsorption sites within the mineral soil horizon, which also contributes to
234 high DOC loading (Tipping et al., 1999). However, our data also show an absence of statistically
235 significant correlations between DOC concentration/ colour and other habitat categories which are,
236 or include, wetlands (marsh/ marshy grassland, bog, flush and spring). This suggests that the type of
237 wetland present may be an important determinant of drainage water DOC concentration. It may be
238 significant that, of these wetland habitat types, swamp and fen/ mire habitats tend to be more
239 nutrient-rich than the other wetland habitats (Mitsch and Gosselink, 2000) which may support
240 higher rates of primary productivity and thus a larger pool of organic carbon.

241 Strong positive correlations between arable coverage and DOC concentration were observed at the
242 whole catchment scale in autumn and spring (both $p < 0.01$; Table 2). Moderate positive correlations
243 were also identified with colour at both sampling times (both $p < 0.05$). The 250 m buffer zone could
244 not be included in this analysis since there was only 1 catchment where arable land was present in
245 this zone (Figure 1). The interpretation of these positive correlations is not straightforward since in

246 previous studies arable land use has been associated with lower carbon content than other land use
247 types. For example, soil solution carbon concentrations for soils in northern Saskatchewan, Canada,
248 decreased in the following order: aspen forest > recently cleared forest > wheat/ fallow field (McFee
249 and Kelly, 1995). Similarly, in their review article, Chantigny (2003) reports that dissolved organic
250 matter concentrations vary as follows: forest soils > grassland soils > arable soils. This variation, it is
251 suggested, is partly due to differences in vegetation type (e.g. tree vs. herbaceous plant) (Chantigny,
252 2003) as well as the lower carbon content associated with arable soils (Zsolnay, 1996). In addition,
253 aerobic conditions, which tend to occur in arable soils encourage the complete mineralisation of
254 organic matter to CO₂, as opposed to DOC and CO₂ end products in anaerobic decomposition (Boddy
255 et al., 2008; Fenner et al., 2009). However, water soluble carbon content in arable soils is also
256 reported to vary depending on crop plants used (Zsolnay, 1996) and temporally, during crop cycles
257 (Campbell et al., 1999) and with successive cultivations (Delprat et al., 1997). In addition, application
258 of organic fertilisers on agricultural soils is reported to substantially increase the concentration of
259 soluble organic carbon (Gregorich et al., 1998). Although it is not possible to isolate the cause of the
260 positive correlations observed here between arable land use and DOC concentration/ colour, it is
261 notable that the correlations occurred despite this land use being virtually absent in the reservoir
262 250 m buffer zone.

263 At the whole catchment scale moderate positive correlations were identified between buildings
264 coverage and autumn DOC concentration ($p < 0.05$) and between the “other” category and autumn
265 DOC concentration ($p < 0.05$) and spring colour ($p < 0.05$; Table 2). A moderate positive correlation
266 was also found between buildings coverage and autumn DOC concentration in the 250 m buffer
267 zone analysis ($p < 0.05$; Table 3). Given the rural location of the catchments in the present study it is
268 likely that farm buildings will account for a significant proportion of the buildings category. Indeed, a
269 strong positive correlation between buildings and arable coverage was identified in the whole
270 catchment data ($r_s = 0.803$, $p < 0.01$). This correlation may therefore explain the relationship
271 between buildings and DOC concentration, though the reason for this being confined to the autumn

272 analysis is unclear. The correlations between the “other” category and DOC concentration and
273 colour at the whole catchment scale are also difficult to interpret since this category includes
274 unknown habitat classes (“not accessed” land and “illegible” data inputs). It may be significant
275 however, that bare ground (J.4; Table 1) is included in this category, which may provide a source of
276 readily-leachable organic matter.

277 3.2. Nitrate and pH

278 Strong positive correlations were observed between arable coverage and nitrate concentration in
279 the whole catchment analysis in autumn and spring (both $p < 0.01$; Table 2). This is likely to be
280 caused by the leaching of organic or inorganic fertiliser (Neill, 1989). The stronger correlation in the
281 spring analysis may be due to the timing of fertiliser application, which for arable crops tends to
282 occur in late winter/spring (MAFF, 2000; Trudgill et al., 1991). As mentioned earlier, the 250 m
283 buffer zone could not be included in the analysis due to there being only 1 catchment where arable
284 was present in this zone. It is interesting therefore that strong correlations exist at the whole
285 catchment scale despite arable coverage being virtually absent in the 250 m buffer zone. The
286 application of fertiliser may also explain the strong positive correlations between improved
287 grassland coverage and nitrate concentration in both the whole catchment analysis ($p < 0.01$ in
288 autumn and spring; Table 2), and the 250 m buffer zone analysis ($p < 0.05$ and $p < 0.01$ in autumn
289 and spring, respectively; Table 3). Again, stronger correlations in the spring analysis at both spatial
290 scales are likely to be due to the timing of fertiliser application. The strong positive correlations
291 between woodland and scrub coverage and spring nitrate concentrations at both spatial scales (both
292 $p < 0.01$; Table 2 and 3) may be explained by the application of fertiliser prior to tree planting in
293 commercial forestry plantations (Drinan et al., 2013).

294 Moderate positive correlations were identified between fen/ mire coverage and reservoir nitrate
295 concentration in spring sampling at the whole catchment scale ($p < 0.05$; Table 2) and in both
296 autumn and spring in the 250 m buffer analysis (both $p < 0.05$; Table 3). These correlations are likely

297 to relate to the nutrient status of this habitat; fen systems are typically associated with relatively
298 high nutrient concentrations due to their being supplied by drainage water from surrounding
299 mineral soil (Mitsch and Gosselink, 2000).

300 The positive correlation between buildings and nitrate concentration at the whole catchment scale
301 in spring ($p < 0.05$; Table 2) may be due to the positive correlation mentioned earlier between
302 buildings and arable coverage. In addition, the urine and droppings of mammals and birds has been
303 identified as an important non-agricultural source of ammonia (DEFRA, 2002). Nitrifying bacteria in
304 the soil may then convert ammonia to nitrate. Therefore, assuming that a significant proportion of
305 the buildings in this category are farms, then the leaching of ammonia from domestic animals may
306 also account for this correlation. The leaching of nitrates from septic tanks and fertiliser stores may
307 also explain this association.

308 A moderate positive correlation was observed between marsh/ marshy grassland coverage and
309 reservoir nitrate concentration but only in the 250 m buffer analysis in spring ($p < 0.05$; Table 3). The
310 reason for this is not clear but may be an artefact of the positive association between marsh/
311 marshy grassland and other habitat types displaying a positive correlation with nitrate. For example,
312 in the 250 m buffer zone analysis, marsh/ marshy grassland coverage correlates positively with
313 woodland and scrub ($r_s = 0.646$, $p < 0.01$), improved grassland ($r_s = 0.771$, $p < 0.01$) and fen/ mire
314 habitat ($r_s = 0.560$, $p < 0.05$), all of which show a positive correlation with spring nitrate
315 concentration at this spatial scale.

316 The supply of nitrate and phosphate is critical in determining the growth rates of phytoplankton in
317 freshwater systems with elevated concentrations resulting in eutrophication in some cases (Hecky
318 and Kilham, 1988). In drinking water sources algal blooms can lead to a number of treatment issues
319 including taste and odour problems, elevated TOC levels, increased coagulant and chlorine demand,
320 membrane fouling and an increase in DBPs (Bernhardt et al., 1991; Li et al., 2012; Nguyen et al.,
321 2005). Elevated reservoir nitrate concentrations may also increase the formation of nitrogenous

322 DBPs (NDBPs), produced during the disinfection stage of water treatment either directly, or
323 indirectly *via* increased algal biomass and consequently increased concentrations of dissolved
324 organic nitrogen in the raw water (Ritson et al., 2014).

325 The negative correlation between heathland coverage and reservoir pH in the 250 m buffer analysis
326 ($p < 0.05$; Table 3) is likely to be related to the preference of heath vegetation for acidic soils (Holden
327 et al., 2007) which has a corresponding effect on drainage water pH (Cresser and Edwards, 1987). A
328 positive relationship has been reported between DOC solubility and pH (Lumsdon et al., 2005). Thus
329 solubility controls may also help to explain the negative correlations between heathland coverage
330 and reservoir DOC concentration and colour.

331 The absence of correlations between pH and some of the other habitat types which tend to be
332 associated with peat substrates (bare peat, bog, fen/ mire, flush and spring and swamp) is surprising
333 given that peatlands tend to produce acidic drainage waters. This is reported to result from the
334 accumulation of organic acids, the enhanced activity of sulphur-metabolising bacteria under
335 waterlogged conditions and high cation exchange capacity (Clymo, 1964; Urban et al., 1995).
336 Coniferous forest stands, which represent a large proportion of forest coverage in north Wales, are
337 also associated with acidic drainage waters (Eisalou et al., 2013; Gough et al., 2012). A significant
338 decrease in pH has been reported as rainwater passes through coniferous canopies and litter
339 (Eisalou et al., 2013), due to the high exchangeable acidity of coniferous foliage and litter and the
340 fact that coniferous litter is readily leached of organic acids (Alfredsson et al., 1998; Nykvist, 1963).

341 *3.3. Temporal and spatial variations in correlations*

342 At the whole catchment scale, more associations between Phase 1 Habitat categories and DOC
343 concentration were identified in autumn than in spring. A difference in hydrological regime due to
344 higher rainfall in September than March may explain this contrast. Higher rainfall will result in a
345 larger contribution of surface runoff to discharge water (Horton, 1933) which is likely to enhance the

346 influence of surface characteristics such as vegetation/ litter characteristics. The influence of habitat
347 may also be enhanced by higher above ground biomass following the growing season.

348 Overall there were fewer statistically significant correlations identified between habitat types and
349 reservoir nitrate concentration in autumn compared with spring (Table 2 and 3). We have already
350 suggested that fertiliser application may be significant in explaining a number of correlations
351 between habitat type and reservoir nitrate concentration (Neill, 1989), and that its timing may
352 explain the greater number and strength of correlations in spring (MAFF, 2000; Trudgill et al., 1991).
353 However, the drivers of seasonal variations in surface water nitrate concentration are known to be
354 complex, comprising numerous biogeochemical and hydrological processes (Martin et al., 2004).
355 Stream nitrate concentrations tend to exhibit a summer minima and a winter maxima (Neill, 1989;
356 Reynolds et al., 1992). This is explained in part by variations in the supply of nitrogen. For example,
357 in the summer, the availability of leachable nitrate in the soil is limited by lower atmospheric inputs
358 and plant uptake and in streams by macrophyte uptake (Cooke and Cooper, 1988) and denitrification
359 (Hill, 1979). In winter on the other hand, plant uptake decreases, atmospheric inputs increase and in-
360 stream losses decrease due to lower primary productivity (Reynolds et al., 1992). Surface water
361 nitrate levels may also be transport-limited, with a strong association reported with precipitation
362 and discharge (Neill, 1989; Trudgill et al., 1991). However, given that lower rainfall totals were
363 recorded in March than in September, it is more likely that reservoir nitrate levels in spring (which
364 were higher than in autumn), were supply-limited.

365 It is difficult to interpret the overall effect of spatial scale on relationships between habitat classes
366 and reservoir water quality since at the 250 m buffer scale, a number of habitat classes are present
367 in only a few catchments, or are absent altogether. For example, there was only 1 catchment where
368 arable land was identified in the 250 m buffer zone. However, there was an obvious similarity in the
369 occurrence of significant correlations at the 2 spatial scales. Although there was no clear difference
370 in the strength of the correlations between the 2 spatial scales, this similarity would suggest that

371 Phase 1 Habitat coverage in the 250 m buffer zone was more important than in the wider catchment
372 in affecting reservoir water quality. Previous studies have reported improved regressions between
373 land use and surface water quality parameters when the riparian area was included, or weighted
374 more heavily than other areas (Levine and Jones, 1990; Osborne and Wiley, 1988).

375 The relationship between reservoir water quality and catchment characteristics at different spatial
376 scales may also vary temporally. For example, Gergel et al. (1999), in their study of wetland influence
377 on DOC concentrations in Wisconsin lakes and rivers, found that in autumn, wetland coverage in the
378 whole catchment was the best predictor of lake water DOC concentration whereas in summer,
379 wetland coverage within 50 m of lakes was the best predictor. This could relate to seasonal
380 hydrological changes since the timing of sampling in autumn and spring corresponded with base
381 flow and peak flow conditions, respectively (Hurley et al., 1995).

382 In this study we noted the absence of a number correlations between Phase 1 Habitat classes and
383 surface water parameters that would typically be expected. For example the lack of positive
384 correlations between woodland and scrub habitat and a number of wetland habitats and DOC
385 concentration/ colour was unexpected. This may be due to the influence of various other catchment
386 features not included in the present study, but which previous studies have reported to influence
387 surface water chemistry. For example, slope will mediate the relationship between catchment
388 characteristics and surface water quality due to its influence on surface runoff (Rochelle et al., 1989)
389 as well as being a predictor of soil organic horizon depth (Rasmussen et al., 1989) and wetland
390 abundance (Eckhardt and Moore, 1990). The development of a particular soil type reflects a number
391 of factors including climate, parent material, topography and vegetation and is reported to be a
392 crucial factor in determining surface water composition and quality (Aitkenhead et al., 1999; Billett
393 and Cresser, 1992; Hope et al., 1997). Indeed information on soil chemical characteristics has formed
394 the basis of a number of predictive models for stream water solute concentrations (Billett and
395 Cresser, 1992; Christophersen and Wright, 1981; Cosby et al., 1985). Soil type influences spatial

396 patterns of water flow and storage (Grayson and Western, 2001; Weiler and Naef, 2003). In addition,
397 adsorption processes in mineral soils regulate the transport of organic carbon and the soil organic
398 pool is reported to be the main factor controlling DOC flux in streams (Aitkenhead et al., 1999). It
399 should also be noted that the topographic watershed does not necessarily correspond with
400 groundwater influence, which may also strongly impact on reservoir water quality (Garrison et al.,
401 1987). In addition, the Phase 1 Habitat Survey of Wales was conducted between 1987 and 1997 and
402 it is likely that a number of land use changes have occurred in this time (Stevens et al., 2004).
403 Nonetheless, the present study has demonstrated that the data continues to be relevant to the
404 study of surface water quality and the extent of its coverage represents a significant benefit for
405 drinking water companies.

406 Various processes occurring in the water body will also affect surface water chemistry. For example,
407 as mentioned earlier, seasonal variations in the uptake of nitrate in surface waters influence nitrate
408 concentrations (Cooke and Cooper, 1988). DOC loss from reservoirs is reported to occur as a result
409 of sedimentation and mineralisation processes (Algesten et al., 2004), with precipitation also
410 affecting DOC concentrations *via* a dilution effect (Engstrom, 1987). Conversely, DOC may be
411 produced within the water body (autochthonous DOC), potentially suppressing the relationship
412 between DOC concentration and colour and terrestrial drivers. Nonetheless, a substantial number of
413 correlations were identified between Phase 1 Habitat data and reservoir water characteristics in the
414 present study. This, we suggest, relates both to the direct influence of vegetation/ land cover on
415 runoff and drainage water quality and also to habitat classes being predictors of other physical
416 characteristics such as peat soils or certain management practices.

417 *3.4. Implications for potable water treatment*

418 Though previous research has cited the relationship between catchment wetland coverage and
419 surface water DOC and colour loading (Eckhardt and Moore, 1990; Gergel et al., 1999; Hope et al.,
420 1994), our data suggest that wetland type may significantly affect the magnitude of this relationship.

421 The identification of positive associations between swamp and, to a lesser extent, fen/ mire habitats
422 and DOC concentration/ colour, possibly the result of their nutrient supply (Mitsch and Gosselink,
423 2000), may justify monitoring the quality of drainage waters in these areas. Given that these habitat
424 types also occupy very small proportions of the catchments included in this study (Figure 2), it may
425 be that diverting drainage water from these areas would be a cost-effective strategy for improving
426 reservoir water quality. Monitoring of drainage waters and diversion of water courses may also be
427 appropriate for areas of arable land use which arguably exerted the strongest influence on surface
428 water quality. This land use class correlated with DOC, colour and nitrate concentrations at both
429 sampling times despite being virtually absent from the 250 m buffer zone of the reservoirs. The
430 apparent impact of arable land on reservoir water quality highlights the importance of excluding this
431 activity from areas close to the reservoir. In cases where the diversion of problematic drainage
432 waters is not possible, it may be appropriate to blend reservoir water with water from another
433 catchment, as has been employed previously as a strategy to reduce water discolouration from peat
434 (Grayson et al., 2012).

435 Allowing the expansion of habitat types whose coverage correlates negatively with DOC/ colour may
436 be a suitable strategy in some cases. However, the potential benefits to surface water quality may
437 be outweighed by other detrimental impacts. For example, tall herb and fern coverage, which in the
438 present study was dominated by bracken, correlates negatively with DOC and colour but bracken
439 habitat has no economic value and is associated with the leaching of carcinogenic compounds such
440 as ptaquiloside (Rasmussen et al., 2003). Heathland coverage also correlated negatively with DOC
441 concentration and colour in the present study, but this we argue, may relate to site-specific factors
442 such as soil moisture constraints since *Calluna* vegetation is typically associated with highly-coloured
443 waters (Grayson et al., 2012).

444 Given the number of statistically significant correlations identified in the present study, and the
445 national scale of Phase 1 Habitat data, we suggest that future research should explore integrating

446 Phase 1 Habitat data into predictive models for reservoir water quality. The present study has also
447 highlights the fact that correlations between catchment characteristics and surface water quality
448 may vary on a seasonal basis; an important consideration as researchers seek to develop more
449 sophisticated predictive models.

450 **4. Conclusions**

451 This study has considered, for the first time, the use of catchment Phase 1 Habitat data for
452 predicting reservoir water quality. Our analysis was conducted at two different spatial and temporal
453 scales, to investigate the effect of season and the proximity of habitat types to the reservoir in
454 affecting potential associations between habitat type and water quality parameters.

455 Numerous statistically significant correlations were observed between Phase 1 Habitat classes and
456 reservoir water quality. These could be explained either by the direct impact of vegetation on
457 drainage water or its association with other physical catchment characteristics or land management
458 practices. Arable land cover appeared to have the most substantial impact on reservoir water
459 quality, correlating strongly with DOC concentration, colour and nitrate concentration at both
460 sampling times. This was despite arable land being virtually absent from the 250 m buffer zone.

461 The degree to which habitat classes affected reservoir water quality appeared to vary on a seasonal
462 basis, with more correlations between habitat classes and DOC concentration in autumn, and
463 between habitat classes and nitrate concentration in spring. However, a striking similarity was
464 observed between correlations at the whole catchment scale and within the 250 m buffer zone. We
465 therefore suggest that in general, the influence of habitat coverage on reservoir water quality
466 parameters increases with proximity to the reservoir.

467 Although previous research has identified a link between wetland abundance and surface water
468 DOC/ colour loading, our findings suggest that the type of wetland habitat present is also important.
469 We found that swamp and fen/ mire habitats were the only wetland types which correlated with

470 reservoir DOC or colour. This specificity, we suggest, may relate to the high nutrient levels in these
471 habitats which may support higher rates of primary production than other wetland types.

472 Based on the number and strength of correlations observed, we suggest that predictive models for
473 surface water characteristics based on catchment characteristics could be improved by incorporating
474 Phase 1 Habitat data. The findings of this study are important for drinking water companies
475 concerned with maintaining finished water quality and may be of use in targeting monitoring of
476 drainage water in catchments and selecting appropriate mitigation strategies such as diverting or
477 blending water.

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773 **7. Tables and Figures**

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Table 1. Categorisation of Phase 1 habitat types.

Category used in present study	Phase 1 Habitat classification	Category used in present study	Phase 1 Habitat classification
Woodland and scrub	A.1 A.2	Fen/ mire	E.3
Recently-felled woodland	A.4	Bare peat	E.4
Unimproved grassland	B.1.1 B.3.1	Swamp	F.1 F.2
Improved grassland	B.1.2 B.2.2 B.4	Water	G.1 G.2
Marsh/ marshy grassland	B.5	Rock/ scree/ quarry	I.1 I.2
Tall herb and fern	C.1 C.2 C.3	Arable	J.1.1
Heathland	D.1 D.2 D.3 D.5 D.6	Caravan site	J.3.4
Bog	E.1	Buildings	J.3.6
Flush and spring	E.2	Other	J.1.2 J.4 Not accessed Illegible

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Table 2. Correlation coefficients (*r*) for statistically significant correlations between percentage Phase 1 Habitat coverage and reservoir water quality (whole-catchment analysis).

	Autumn [DOC]	Spring [DOC]	Autumn Colour (Hazen)	Spring Colour (Hazen)	Autumn [NO ₃]	Spring [NO ₃]	Autumn pH	Spring pH
Woodland and scrub						0.743**		
Recently-felled woodland								
Improved grassland					0.636**	0.677**		
Unimproved grassland		-0.512*						
Marsh/ marshy grassland								
Tall herb and fern	-0.499*		-0.588*					
Heathland	-0.543*		-0.543*	-0.649**				
Bog								
Flush and spring								
Fen/ mire	0.564*					0.577*		
Bare peat								
Swamp	0.612*	0.690**	0.624**	0.636**				
Water								
Rock/ scree/ quarry								
Arable	0.734**	0.651**	0.508*	0.549*	0.632**	0.721**		
Caravan site								
Buildings	0.596*					0.580*		
Other	0.548*			0.539*				

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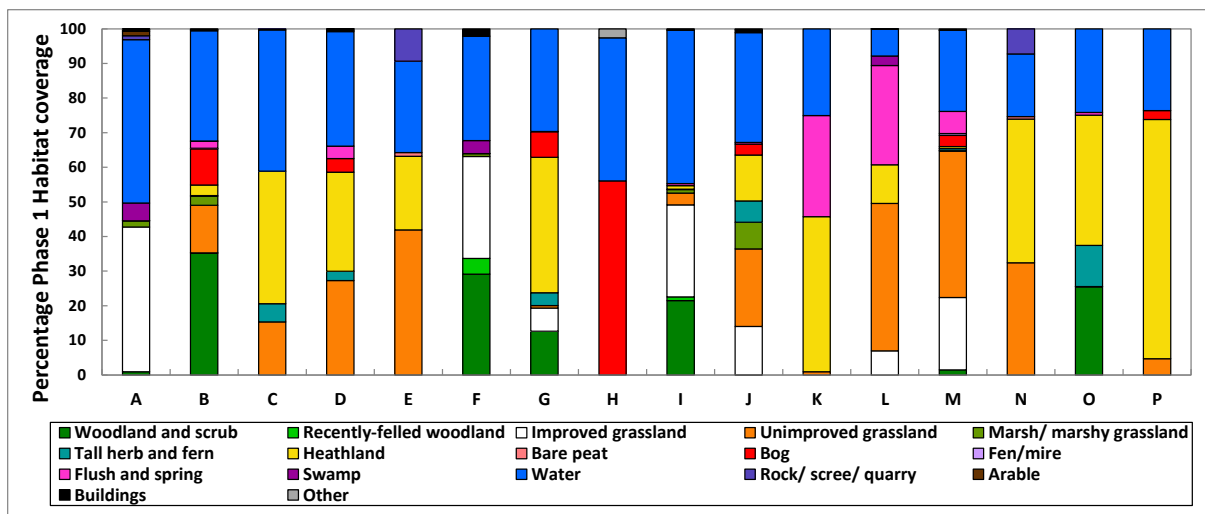
* indicates $p < 0.05$ and ** indicates $p < 0.01$. All results shown relate to Spearman's correlation analysis.

781 Table 3. Correlation coefficients (*r*) for statistically significant correlations between percentage Phase
 782 1 Habitat coverage and reservoir water quality (250 m buffer zone analysis).

	Autumn [DOC]	Spring [DOC]	Autumn Colour (Hazen)	Spring Colour (Hazen)	Autumn [NO ₃]	Spring [NO ₃]	Autumn pH	Spring pH
Woodland and scrub						0.774**		
Recently-felled woodland								
Improved grassland					0.617*	0.655**		
Unimproved grassland								
Marsh/ marshy grassland						0.502*		
Tall herb and fern			-0.559*					
Heathland	-0.560*	<u>-0.517*</u>	-0.499*	-0.652**			-0.558*	
Bog								
Flush and spring								
Fen/ mire	0.600*	0.513*			0.587*	0.578*		
Bare peat								
Swamp	0.647**	0.709**	0.624**	0.636**				
Water								
Rock/ scree/ quarry								
Arable								
Buildings	0.499*							
Other								

783 * indicates $p < 0.05$ and ** indicates $p < 0.01$. Underlined result relates to Pearson's correlation
 784 analysis and the remainder to Spearman's correlation analysis.

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Figure 1. Percentage Phase 1 Habitat coverage in 250 m reservoir buffer zone.

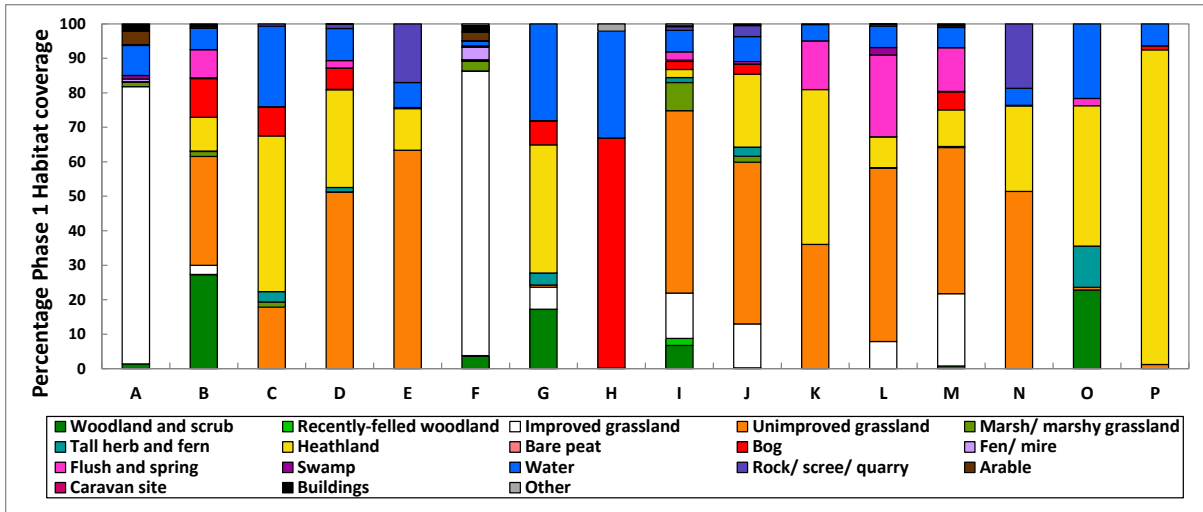


Figure 2. Percentage Phase 1 Habitat coverage in whole reservoir catchments.

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