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New insights about the introduction of the Portuguese oyster, *Crassostrea angulata*, into the North East Atlantic from Asia based on a highly polymorphic mitochondrial region

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29 Abstract

It is commonly presumed that the Portuguese oyster Crassostrea angulata was introduced 30 31 into the North East (NE) Atlantic from Asia. The analysis of the nucleotide sequence of a highly polymorphic non-coding mitochondrial region (major noncoding region - MNR) of C. 32 angulata samples collected in Europe (Portugal), Africa (Morocco) and Asia (Shantou and 33 34 Taiwan) provided new insight into the introduction of this species into the NE Atlantic. Sixty haplotypes and a nucleotide diversity of 0.0077 were observed in 130 analyzed sequences. 35 Higher nucleotide diversity levels were observed in NE Atlantic sites than in Asian sites and 36 37 significant genetic differentiation was found between the two. Our results suggest that C. angulata might have been introduced to the NE Atlantic by multiple introductory events, 38 though the exact origins remain unknown since none of the analyzed Asian sites seemed to 39 have been a source of introduction. The nucleotide diversity of C. angulata was higher than 40 that previously reported for Pacific oyster C. gigas in Europe and Asia for the same 41 42 mitochondrial region. The results obtained in the present study suggest that NE Atlantic C. angulata stocks are a unique genetic resource, which highlights the importance of their 43 conservation. 44

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Keywords: *Crassostrea angulata* / phylogeography / genetic signature / biological invasions
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48 **1 Introduction**

The Portuguese explorer Vasco da Gama (ca. 1460-1524) was the first European to reach 49 India by sea in 1498 by sailing around the Cape of Good Hope and across the Indian Ocean. 50 In 1511, the contest of Malaca led by the Portuguese general Afonso de Albuquerque was of 51 crucial importance for the establishment of a commercial maritime route between Europe and 52 Asia (India and China) (Boxer 1969). As many marine organisms can survive attached to the 53 hull of vessels it is possible that Asian species may have been introduced via this route as 54 early as the XVI century, but this has remained poorly documented until recently (Seebens et 55 56 al. 2013).

Biological invasions can have dramatic ecological and economic impacts (Simberloff
et al. 2013). However, some species introduced involuntary or voluntary have a high social
and/or economic importance, which is notably the case in Europe for several marine bivalve
species such as the Pacific oyster *Crassostrea gigas* (Thunberg, 1793) and the Manila clam *Ruditapes philippinarum* (Adams and Reeve, 1850).

62 C. gigas was originally described in Asia whereas the Portuguese oyster C. angulata (Lamarck, 1819) was first described in the NE Atlantic. Several comparative studies based on 63 64 larval shell morphology (Ranson 1960) and allozyme markers (Mathers et al. 1974; Buroker et al. 1979) suggested that the two taxa were the same species. Studies using other types of 65 genetic markers also reached a similar conclusion (López-Flores et al. 2004; Cross et al. 66 2006). Several studies have reported the production of F1 hybrids between C. angulata and 67 C. gigas under experimental conditions (Imai and Sakai 1961; Menzel 1974; Huvet et al. 68 2002; Batista et al. 2007). Hybrids between C. angulata and C. gigas have also been reported 69 in the wild (Huvet et al. 2004) and first generation hybrids were shown to be fully viable and 70 fertile under experimental conditions (Huvet et al. 2002). However, several phenotypic and 71 genetic differences were also reported between C. angulata and C. gigas (for review see 72

73 Batista et al. 2009). Based on the sequence of the mitochondrial genome the two species were estimated to have diverged about 2.7 million years ago (Ren et al. 2010). The close 74 relationship between C. angulata and C. gigas has led several authors to suggest that they 75 76 have a recent common origin (e.g. Ranson 1960). Phylogenetic analysis by O'Foighil et al. (1998) suggested that C. angulata has been recently introduced to Europe from Asia. 77 Moreover, Boudry et al. (1998) based on PCR-RFLP data were the first to report the presence 78 of C. angulata in Taiwan. Since then, several studies have reported the presence of C. 79 angulata in Asia, namely in China (Làpegue et al. 2004; Xia et al. 2009; Wang et al. 2010) 80 81 and more recently in Okinawa Island, Japan (Sekino and Yamashita 2013).

The absence of *Crassostrea* sp. shells in shell middens in Europe, contrasts with the abundance of shells from the European flat oyster *Ostrea edulis* (e.g. Gutiérrez-Zugasti et al. 2011; Drago et al. unpublished data) and is an additional argument in support of the hypothesis that *C. angulata* was introduced from Asia into Europe, rather than being part of its natural range. Shell middens in Portugal (southern Portugal and Tagus River valley) from different periods (Mesolithic and late Islamic occupation of Iberia) contained *O. edulis* shells but none which were undoubtedly from *Crassostrea* sp. (Drago et al., unpublished data).

The presence of C. angulata in Europe dates back at least to its description by 89 Lamarck in 1819. C. angulata is of high aquaculture importance, now recognized as one of 90 the most produced species in China (Qin et al. 2012) and sometimes known as the "Fujian 91 92 oyster". It was the main bivalve species cultured in France until the 1970's when massive mortalities almost led to its disappearance (Comps 1988). Nowadays, the only known sites in 93 NE Atlantic where C. angulata is present and C. gigas has not been detected at least in large 94 95 numbers are the Mira and Sado estuaries in Portugal, the Cadiz region in Spain and Tahaddart in Morocco (Fabioux et al. 2002). However, these C. angulata beds are threatened by the 96 97 invasion of C. gigas (Huvet et al. 2000).

Many questions remain unanswered about the introduction of *C. angulata* into Europe and the potential consequences. The objective of the present study was to estimate the genetic diversity and differentiation of *C. angulata* sampled in NE Atlantic and Asian sites. A phylogeographic study was performed using samples collected in Europe, Africa and Asia, and sequence data from a highly polymorphic mitochondrial DNA region.

103

104 **2 Material and Methods**

105 2.1 Sampling sites

Oysters presumed to be *C. angulata* were sampled from the following sites: Mira estuary in
Portugal (37°42'N, 8° 44'W) in 2010; Sado estuary in Portugal (38° 25'N, 8°39'W) in 2010;
Tahaddart bay in Morocco (35°35'N, 5°59'W) in 2013; and Nanao island, Shantou,
Guangdong province in China (23°25'N, 117°04'E) in 2012. In addition, samples of *C. angulata* collected in Keelung (Taiwan) previously analysed by Boudry et al. (1998) were
also studied. A piece of gill tissue (~2-3 mm²) was dissected from each oyster and stored in
ethanol (70-95%). The number of oysters analyzed from each site is given in Table 1.

113

114 **2.2 DNA extraction, amplification and sequencing**

DNA was extracted from gill tissue samples using a DNeasy®blood & tissue kit (OIAGEN) 115 following the manufacturer's instructions. Extracted DNA was then quantified using a 116 spectrophotometer (Nano-Drop Technologies). A 739-bp fragment of the major noncoding 117 region (MNR) of mitochondrial DNA was amplified by PCR using the forward (5'-118 TCACAAGTACATTTGTCTTCCA-3') (5'-119 and reverse AACGTTGTAAGCGTCATGTAAT-3') primers designed by Aranishi and Okimoto (2005). 120 PCR reactions were performed in a final reaction volume of 25 µl and contained 2.5 µl of 121 10X reaction buffer, 16 µl of distilled water, 1.5 µl of dNTP (2.5mM), 1.5 µl of MgCl2 (25 122

123 mM), 1 µl of each primer (10 µM), 0.5 µl of Taq polymerase (Supreme NZYTaq DNA polymerase, NZYTECH) and 1.0 µl of template DNA (ca. 50 ng). The amplification cycle 124 consisted of an initial denaturation step at 95 °C for 5 min, followed by 40 cycles of 20 s at 125 95 °C, 20 s at 58 °C, and 1 min at 72 °C. At the end of these cycles a final extension of 7 min 126 at 72 °C was performed. PCR products were purified using NZYgel pure (NZYTECH) 127 following the manufacturer's instructions. Amplicons were separated by electrophoresis on 128 1.5% agarose gels, stained with GreenSafe (NZTECH) and visualized under UV light to 129 confirm the expected size and absence of non specific amplification. The purified amplicons 130 were sequenced using the kit ABI PRISM BigDye Terminator v3.1 and an automatic 131 sequencer (Genetic Analyzer 3130xl Applied Biosystems). All singletons were confirmed by 132 repeating the PCR and sequencing analyses. 133

134

135 **2.3 Data analysis**

Chromatograms of the nucleotide sequences were analyzed using Bioedit version 7.0.9.0 136 (Hall 1999). After editing, multiple sequence alignments were performed using ClustalW 137 (Thompson et al. 1994). Dnasp (version 5.00.03; Rozas et al. 2003) was used to calculate the 138 number of variable sites, number of haplotypes, mean number of nucleotide differences, 139 haplotype diversity and nucleotide diversity. In order to analyze the demographic history of 140 C. angulata, Tajima's D (Tajima 1989), Fu's F (Fu 1997) and R₂ (Ramos-Onsins and Rozas 141 142 2002) were also calculated using Dnasp to test constant population size versus population growth. Negative Tajima's D and Fu's F values are expected under a scenario of recent 143 population expansion but can also be a signature of selection (non-neutral evolution). Low R₂ 144 145 values are expected after a recent severe population growth event (Ramos-Onsins and Rozas 2002). The statistical significance of Tajima's D, Fu's F and R₂ values was calculated with 146 coalescence simulations implemented in Dnasp. ARLEQUIN (version 3.11) was used to 147

calculate pairwise genetic differentiation (Fst) using 1000 permutations (Excoffier et al.
2005). A median joining network (Bandelt et al. 1999) was constructed using PopArt (version
1.7; http://popart.otago.ac.nz).

151

152 **3 Results**

A total of 60 haplotypes were identified comparing 130 individual nucleotide sequences (length 656 – 658 bp) of the major noncoding region (MNR) fragment of the mitochondrial genome of *C. angulata* (Genbank accession numbers KY217737-KY217796). The number of total variable sites was 64 and the mean number of nucleotide differences was 5.07. Five indels were observed and the other mutations detected were 59 transitions and 5 transversions.

159 All haplotypes (with the exception of two sequences from Tahaddart) shared high nucleotide sequence similarity (>98%) for the corresponding region of C. angulata mitochondrial 160 161 genomes (Ren et al. 2010; 2016). Some haplotypes observed in the present study (H5, H6, H11, H32 and H33) were identical to the respective region of the C. angulata mitochondrial 162 genomes (Genbank accession numbers KJ855246, KJ855247, KJ855248, KJ855249 and 163 164 EU672832) (Ren et al. 2016). Only two haplotypes, detected in Tahaddart, showed a high nucleotide identity (99.8 and 100%) with haplotypes previously described for C. gigas 165 (KJ855243; Ren et al. 2006); they were excluded from the analysis. The nucleotide 166 167 divergence among C. angulata MNR haplotypes ranged from 0.2 to 1.9 %. The nucleotide divergence between C. angulata and C. gigas MNR haplotypes (haplotypes identified by 168 Moehler et al. 2011 with the accession numbers JF505202-JF505277 and position 10615 to 169 11253 bp of EU672831) ranged from 8.2 to 10.2 %. 170

Table 1 shows the number of *C. angulata* haplotypes detected at each site. The Sado andMira sites shared seven haplotypes, which was the highest number of shared haplotypes.

173 Haplotype H6 was common to all sites and had the highest overall frequency (16.9%). It was the most frequent haplotype observed in the Shantou site (33%, Figure 1). The analysis of a 174 Median-joining network constructed using all the haplotypes identified in the study showed 175 176 that haplotype H6 had a central position and hence is likely to be an ancestral haplotype (Figure 2). Ten out of 11 haplotypes observed in the Shantou site were only observed in this 177 site (i.e. private haplotypes). Among the 60 haplotypes detected, only four were shared 178 179 between the NE Altantic sites (Mira, Sado and Tahaddart) and Asian sites (Shantou and Keelung). 180

181 The frequency of unique haplotypes observed in each site ranged from 19.2 to 64.3%. Haplotype diversity ranged from 0.832 to 0.960 and nucleotide diversity from 0.00594 to 182 0.00791 (Table 1). Significant pairwise genetic differentiation (Fst estimates between 0.102 183 184 and 0.228) after Bonferroni correction was observed between all NE Atlantic sites and the two Asian sites (Table 2). However, no significant Fst estimates (0.003 - 0.072) were 185 observed among the NE Atlantic sites. A significant Fst value of 0.151 was estimated 186 between Shantou and Keelung (Table 2). Significant negative Tajima's D values were 187 observed in Shantou and Keelung sites (Table 3) and significant Fu's F negative values were 188 observed in Mira and Keelung sites. Moreover, significant R₂ values were observed in all 189 sites (Table 3). 190

191

192 **4 Discussion**

The analysis of the nucleotide sequence of a highly polymorphic non-coding mitochondrial region of *C. angulata* samples collected in Europe, Africa and Asia provided new insight into the introduction of this taxon from Asia into the NE Atlantic region. We observed high genetic diversity in *C. angulata* from the NE Atlantic region and genetic differentiation between three NE Atlantic and two Asian sites. Recently, Ren et al. (2016) analyzing 198 intraspecific variation in mitogenomes of C. gigas, C. angulata, C. sikamea, C. ariakensis and C. hongkongensis observed an overall between species nucleotide diversity ranging from 199 0.00106 to 0.00683. Using this data, we calculated a nucleotide diversity of 0.0085 from five 200 201 C. angulata mitogenomes (one of the individuals was collected in Portugal and the other four in China; KJ855246-KJ855249 and EU672832) published by Ren et al. (2016) for the 202 mitochondrial region that we studied (i.e. MNR). We obtained a similar nucleotide diversity 203 of 0.0077 for C. angulata from the present dataset. Repeating the analysis for the MNR 204 fragments from five C. gigas mitogenomes (KJ855241-KJ855245, Ren et al. 2016) resulted 205 206 in a nucleotide diversity of 0.0049, which was higher than the overall nucleotide diversity of 0.0019 obtained for C. gigas by Ren et al. (2016). This difference between the overall 207 208 mitochondrial genome and MNR region confirms that the mitochondrial region analyzed in 209 the present study is indeed a highly polymorphic region, as was previously suggested by 210 Aranishi and Okimoto (2005) for C. gigas.

The nucleotide diversity of C. angulata observed in the present study was higher than that 211 previously reported for C. gigas for the same mitochondrial region. Moehler et al. (2011) and 212 Lallias et al. (2015) reported nucleotide diversity values of 0.0044 and 0.0046 for C. gigas, 213 respectively, with samples mainly collected in Europe but also in Canada and Japan. Hsiao et 214 al. (2016) found haplotype and nucleotide diversities (using mitochondrial cytochrome 215 oxidase I sequences) in Asia being considerably higher in C. angulata than in C. gigas. This 216 217 suggests that the number of C. angulata individuals introduced into the NE Atlantic must have been sufficiently high to reflect the genetic diversity of *C. angulata* in its native range. 218 In addition to the number of individuals introduced, other factors may influence the genetic 219 220 diversity of introduced species such as the number of introduction events. It is possible that C. angulata was introduced via multiple introduction events and that this may explain the 221 high nucleotide diversity observed in the NE Atlantic relative to the Asian sites. Other 222

possible explanations are that the origin of C. angulata was not the two Asian sites analyzed 223 in the present study (i.e. Keelung and Shantou) or that haplotype diversity strongly changed 224 in these sites since the introduction of C. angulata into Europe. Indeed, only four out of 60 225 226 haplotypes were shared between the NE Atlantic and the two Asian sites. Moreover, the significant Fst values observed between the NE Atlantic sites and the Keelung and Shantou 227 sites support the hypothesis that these two sites were not the source of the introduction. Huvet 228 229 et al. (2000) using microsatellite loci also observed a significant Fst value of 0.0173 between Mira and Keelung sites. Our results therefore do not support the hypothesis that C. angulata 230 231 might have been introduced from Taiwan (for which C. angulata DNA-based identification was first reported) to Portugal. The hypothesis is based on previous studies using PCR-RFLP 232 haplotypes of a fragment of the mitochondrial gene cytochrome oxidase subunit I (COI) 233 234 (Boudry et al. 1998; Huvet et al. 2000). An explanation of this result comes from the much 235 higher polymorphism of MNR sequence data in comparison with RFLP-based COI. Since C. angulata is known to be widespread along the southern coast of China (Wang et al. 2010) it is 236 237 likely that there are other areas that could have been the origin of the NE Atlantic C. angulata populations. Consequently, further analyses of C. angulata populations in Asia are needed to 238 trace the putative source(s) of this introduction. However, it cannot be ruled out that the 239 source of C. angulata in Asia may have been lost due to anthropogenic activities (seed 240 241 exchange in relation with aquaculture production) or natural causes (e.g. disease outbreaks), 242 which would then make the NE Atlantic C. angulata resources unique.

The lack of genetic differentiation of *C. angulata* from the Sado, Mira and Tahaddart is in agreement with the hypothesis that *C. angulata* was mainly introduced to a single location in Europe (probably the Tagus River, which was one of the main ports for anchoring ships from Asia), from where it was introduced to other locations in the NE Atlantic. For example, it is documented that *C. angulata* was introduced into Morocco from Spain and Portugal in 1952

(Shafee 1985 cited in Fabioux et al. 2002). In contrast to C. gigas in Europe, which showed a 248 typical star-shaped haplotype phylodiversity characteristic of expanding populations 249 (Moehler et al. 2011; Lallias et al. 2015), the haplotype network of *C. angulata* did not show 250 251 such a pronounced star-shape. Significant negative Tajima's D values were only found for C. angulata samples from Asia, although Fu's F tests were significant for Mira and Keelung 252 samples. Significant R₂ values were observed for all sites. For small samples the R₂ test is 253 more powerful than Tajima's D and Fu's F tests for detecting population growth (Ramos-254 Onsins and Rozas 2002). Thus, our results suggested that a demographic expansion event 255 256 occurred recently in C. angulata. This is in agreement with the results of Hsiao et al. (2016) who suggested that C. angulata in Asia experienced a sudden population expansion after the 257 last glacial maxima. However, the results from Tajima's D, Fu's F and R₂ tests obtained in 258 259 the present study and in other studies have to be considered cautiously since the coalescent 260 model used may be inappropriate for highly fecund species such as C. angulata (Steinrucken et al. 2013). 261

262

263 **5 Conclusions**

264 We observed higher nucleotide diversity for C. angulata in NE Atlantic sites than in the studied Asian sites. Moreover, significant genetic differentiation was detected between C. 265 angulata from three NE Atlantic and two Asian sites. Our results suggested that C. angulata 266 267 might have been introduced into the NE Atlantic through multiple introductory events. However, further analyses in Asia are needed to identify the source(s) of the introduction(s) 268 since none of the Asian sites studied seemed to have been the origin. The NE Atlantic C. 269 angulata stocks appear to be unique genetic resources, which highlights the importance of 270 their conservation. 271

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Table 1. Genetic diversity of *C. angulata* samples estimated from comparisons of sequences
of the major noncoding region (position 10617-11217 bp of EU672832) of mitochondrial
DNA.

Site	n	S	h	k	Hd (SD)	π (SD)
Sado (Portugal)	26	22	14	5.09	0.929 (0.028)	0.00760 (0.00068)
Mira (Portugal)	28	22	17	5.20	0.960 (0.018)	0.00791 (0.00064)
Tahaddart (Morocco)	21	24	13	4.63	0.862 (0.073)	0.00705 (0.00103)
Shantou (China)	27	27	11	3.90	0.832 (0.052)	0.00594 (0.00091)
Keelung (Taiwan)	28	36	22	3.83	0.958 (0.030)	0.00583 (0.00058)
Total	130	64	60	5.07	0.968 (0.007)	0.00770 (0.00036)

400 n, number of individuals analyzed; S, number of variable sites; h, number of haplotypes; k,
401 mean number of nucleotide differences; Hd, haplotype diversity (standard deviation); π,
402 nucleotide diversity (standard deviation).

403

Table 2. Pairwise genetic differentiation (Fst) for *C. angulata* samples collected in North

405 East Atlantic and Asia.

	1	2	3	4	5
1. Sado (Portugal)	-				
2. Mira (Portugal)	0.0641	-			
3. Tahaddart (Morocco)	0.0029	0.0717	-		
4. Shantou (China)	0.1244	0.1600	0.1018	-	
5. Keelung (Taiwan)	0.2250	0.1418	0.2282	0.1508	-

406 Values in bold are significant after Bonferroni correction (p<0.05)

407

409 **Table 3.** Neutrality and demographic tests for *C. angulata* samples estimated from sequences

410 of the major noncoding region (position 10617-11217 bp of EU672832) of mitochondrial

411 DNA.

	Site	n	Tajima's D	Fu's F	\mathbf{R}_2
	Sado (Portugal)	26	-0.4204	-3.104	0.1055
	Mira (Portugal)	28	-0.2869	-5.767	0.1143
	Tahaddart (Morocco)	21	-1.1681	-3.833	0.0848
	Shantou (China)	27	-1.6214	-1.541	0.0703
	Keelung (Taiwan)	28	-2.1708	-18.053	0.0448
412	R ₂ , Ramos-Onsins an	d Rozas	statistic. Values in b	old are significantly o	lifferent (p<0.05)
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Fig. 1. Haplotype frequencies of *C. angulata* collected in Portugal (Mira and Sado estuaries),
Morocco (Tahaddart), Taiwan (Keelung) and China (Shantou). The pie charts show the
frequency of the main haplotypes (H1, H5, H6, H17 and H33), unique haplotypes (in gray)
and haplotypes shared with other locations (in black).





Fig. 2. Median joining network of *C. angulata* DNA haplotypes of a fragment (position 10617-11217 bp of EU672832) of the major noncoding region of the mitochondrial genome. The size of circles corresponds to haplotype frequency and the different colours represent the geographical sites where the haplotypes were observed. The number of perpendicular bars on branches represents the number of mutational steps (indels and/or substitutions) between haplotypes.