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1 Filling the gap: Using fishers' knowledge to map the extent and intensity of fishing

2 activity.

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9 Abstract

10 Knowledge of the extent and intensity of fishing activities is critical to inform management in 11 relation to fishing impacts on marine conservation features. Such information can also 12 provide insight into the potential socio-economic impacts of closures (or other restrictions) of 13 fishing grounds that could occur through the future designation of Marine Conservation 14 Zones (MCZs). We assessed the accuracy and validity of fishing effort data (spatial extent and relative effort) obtained from Fishers' Local Knowledge (LK) data compared to that 15 16 derived from Vessel Monitoring System (VMS) data for a high-value shellfish fishery, the king scallop (Pecten maximus L.) dredge fishery in the English Channel. The spatial 17 18 distribution of fishing effort from LK significantly correlated with VMS data and the 19 correlation increased with increasing grid cell resolution. Using a larger grid cell size for data 20 aggregation increases the estimation of the total area of seabed impacted by the fishery. In the absence of historical VMS data for vessels ≤15 m LOA (Length Overall), LK data for the 21 22 inshore fleet provided important insights into the relative effort of the inshore (<6 NM from 23 land) king scallop fishing fleet in the English Channel. The LK data provided a good 24 representation of the spatial extent of inshore fishing activity, whereas representation of the 25 offshore fishery was more precautionary in terms of defining total impact. Significantly, the 26 data highlighted frequently fished areas of particular importance to the inshore fleet. In the 27 absence of independent sources of geospatial information, the use of LK can inform the 28 development of marine planning in relation to both sustainable fishing and conservation objectives, and has application in both developed and developing countries where VMS 29 30 technology is not utilised in fisheries management.

31 Introduction

32 Mapping temporal and spatial patterns of fishing activity is an integral part of marine spatial 33 planning. This includes determining the spatial extent of the environmental impacts of fishing 34 (Jennings & Lee, 2012) and the potential economic impacts of proposed management 35 measures used to control fishing activities (Pederson et al., 2009). Data for specific gears, at a 36 relevant spatial scale is required in order to understand conflicting pressures on marine 37 ecosystems (Campbell et al. 2014). In the absence of systems that gather fisheries 38 management data, information can be gathered directly from fishers (Bergmann et al., 2004; 39 Drew, 2005; Hall & Close, 2007; Shepperson et al., 2014). Previously, scientists have utilised 40 Local Knowledge (LK) from fishers to: ascertain where fishing occurs; understand the 41 seasonality of fishing; identify locations of potential gear conflict; place economic or 42 perceived value on fishing grounds; aid the design and planning of Marine Protected Areas 43 (MPAs); attain estimates of fishing intensity (Close & Hall, 2006; Lieberknecht et al., 2011; 44 Yates & Schoeman, 2013; Leite et al., 2013). Fishers can have a greater ability to detect 45 short-term trends in fisheries than the available scientific data and are able to provide 46 information on year-to-year variability in fish stocks (Rochet et al., 2008). Scientific surveys 47 are often limited in temporal and spatial scales. However, experienced fishers interact with 48 the fishery environment on a daily basis and can have years of knowledge and experience that 49 can supplement modern data collection.

50 Nevertheless, there are limitations associated with spatial data gathered from fishers. For 51 example, LK is not as precise as that obtained from vessel monitoring systems which can 52 reveal the exact location of fishing activities (Shepperson et al., 2014), and can be used to 53 determine fishing tracks. However, LK data can provide a reasonable estimation of the spatial 54 extent of fishing; verified by comparing maps of fishing effort derived from LK data to 100 55 % VMS coverage for a fleet (Shepperson et al., 2014). Aggregation of data at a finer scale 56 provides a more accurate representation of the spatial extent of the fishery. However, when 57 using LK to estimate fishing intensity the accuracy increases with the proportion of the fleet 58 sampled and aggregation of the data at a coarser scale (Shepperson et al., 2014). In some 59 cases fisher knowledge represents the best, or only, available data. In the UK, the value of LK 60 to inform the spatial management of inshore fisheries is recognised. Comparable projects to ascertain spatial patterns of fishing activity and the economic value of fishing grounds have 61 62 been undertaken in Scotland (Kafas et al., 2014), Ireland (Yates & Shoeman, 2013), England

(Turner *et al.*, 2015) and North Wales ('Fish Map Môn' project, des Clers *et al.*, 2008). In
particular, data from the ScotMap project has been useful in marine spatial planning in areas
where multiple uses such as renewable energy and conservation features co-occur (Kafas *et al.*, 2014).

67 *Mapping fishing activity*

VMS data are gathered primarily for fisheries management and enforcement purposes, and the data are frequently used to analyse spatial fishing patterns and estimate fishing effort (e.g. Mills *et al.*, 2007; Hintzen *et al.*, 2010; Lee & Jennings, 2010; Gerritsen *et al.*, 2013). In the European Union, VMS has been compulsory for all commercial fishing vessels >15 m LOA since 2005 and for vessels >12 m LOA since 2012. However, >90 % of registered fishing vessels in England and Wales are \leq 15 m LOA (MMO, 2012), which means that there is a lack of spatial effort data for this sector of the fleet.

75 Scallop vessel fleets are often defined into two categories; 'inshore', and 'offshore' (Palmer, 76 2006; Howarth & Stewart 2014). The UK offshore fleet, comprises vessels that are typically 77 >15m LOA (vessels of this size are not permitted to fish within 6 NM of the coast) and the 78 inshore fleet (vessels typically <15 m LOA) that operate closer to shore. There is no VMS 79 coverage for the majority of the inshore fleet, of which c. 50% are <12 m LOA. In the 80 absence of VMS data, other methods have been employed to describe the location and 81 intensity of inshore fishing activity, such as combining environmental data with expert information on the location of fishing to estimate the area of sea impacted (Dunn et al., 82 2010). Breen et al. (2014) used records of observed fishing activity from fisheries 83 84 enforcement data to calculate sightings-per-unit-effort (SPUE) as a measure of relative 85 fishing intensity. In the latter study, although correlation with VMS data (where this was available) was high, limitations included a low density of sightings data, compromised 86 87 positional accuracy in some areas, the sporadic nature of data collection and gaps in the data 88 set for areas not visited by fisheries enforcement vessels.

In the present study we use a UK king scallop fishery as a case study due to its high economic value and spatial footprint. The physical impact of scallop dredging varies with seabed habitat, ranging from severe (Kaiser *et al.*, 2006) to that indistinguishable to impacts from natural disturbance (Sciberras *et al.*, 2013). In the UK scallop landings support the third most valuable fishery. However, at present, the lack of VMS data for the inshore scallop

94 sector impedes our ability to understand the wider ecosystem effects of these fishing activities. Due to commitments under the EU Habitats Directive (92/43/EEC, Council of the 95 96 European Union, 1992) and the Marine Strategy Framework Directive (MSFD, 2008/56/EC, 97 Council of the European Union, 2008) to develop networks of Marine Protected Areas 98 (MPAs), coupled with the number of livelihoods reliant on inshore fisheries in the UK (Breen 99 et al., 2014), understanding the spatial distribution and intensity of inshore fishing activity is 100 essential for marine spatial planning and the assessment of the compatibility of fishing 101 activities with conservation features. There is currently no available resource that provides comprehensive coverage of inshore scallop fishing activity due to a lack of VMS data for this 102 sector. The aim of the present study was to understand whether it was possible to fill data 103 104 gaps (in a reliable manner) in relation to the spatial distribution and intensity of scallop dredging using the English Channel as a case study, by gathering LK from scallop fishermen 105 106 that have been active during the last decade. The following objectives were addressed:

- Map the spatial extent and relative intensity of inshore (≤15 m LOA vessels) and
 offshore (>15 m LOA vessels) king scallop (*Pecten maximus* L.) fishing activity in
 the English Channel.
- Assess the validity of using fishers' LK to estimate the extent and relative intensity of
 scallop dredging by comparing maps of LK with VMS data (for vessels >15 m LOA).

112

113 Methods

Data for all UK vessels that landed king scallops from the English Channel (ICES sub-areas VIId and VIIe) in the eight years prior to this study were obtained from the Marine Management Organisation (MMO). The mean number of vessels that exploited the king scallop fishery annually in ICES sub-areas VIId and VIIe, between 2006 and 2013, was 155 (Table 1).

119Table 1: Total number of vessels targeting king scallops \pm S.E. (includes data from trips by vessels120where king scallops were the main retained species, or king scallop dredges were used) caught in121ICES sub-areas VIId and VIIe, split by vessel length.

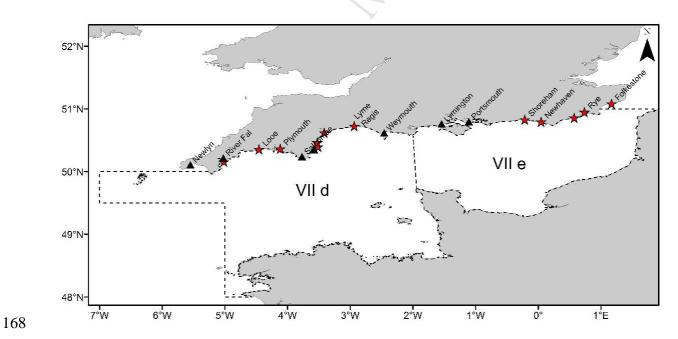
Year	≤15 m LOA vessels	>15 m LOA vessels	Total vessels
2006	96	37	133
2007	111	31	142
2008	127	23	150
2009	125	28	153

	ACCEPTED	MANUSCRIPT	
2010	102	35	137
2011	132	41	173
2012	131	36	167
2013	142	39	181
mean 2006-2013	121 (±5.7)	34 (±2.1)	155 (±6.2)

122 A semi-structured questionnaire (appendix 1) was administered to scallop fishermen who were contacted via the UK Scallop Association, the South-West Fish Producers Organisation 123 124 (SWFPO) and referrals provided by fishermen. All of the participants were full-time skippers 125 of vessels that targeted king scallops for all or part of the year. The first section of the 126 questionnaire involved a series of 39 quantitative and qualitative questions regarding vessel 127 and gear characteristics, fishing habits, economics and opinions regarding the management of 128 the fishery. Questions were either: closed; required an answer based on a Likert scale (Likert, 129 1932); or were structured in an open format to encourage greater sharing of information. The 130 fishermen were not provided the questionnaire prior to the interview, as it was hoped that 131 obtaining spontaneous answers to the questions would avoid bias. Much of the information 132 given during the interviews was anecdotal and therefore not reported in the present study, in 133 which we focus on the spatial distribution of fishing effort.

134 The mapping exercise involved fishermen identifying all locations in the English Channel 135 where they had actively fished for king scallops with their current vessel, over the 10 year 136 period prior to the date of the interview. This time period was used, as this was the maximum 137 time period the authors expected to obtain reliable data, due to the information being reliant 138 on the memory of the skipper on the day of the interview. All interviews were conducted in 139 person, by the lead author (CLS), between March 2012 and March 2013, therefore the 140 response periods range from March 2002-2012 to March 2003-2013. Fishing locations were 141 identified either by drawing polygons directly onto a geo-referenced admiralty chart of the 142 English Channel in ArcMap v.9.1, using software developed for the 'FisherMap' project (des 143 Clers et al., 2008), or by drawing directly onto an A3 sized printed admiralty chart. Some 144 skippers had worked on the same vessel for the full 10 year period, while others had recently 145 changed vessels, or were more recently qualified as skippers. Data for fishing locations was 146 only recorded for the time period the interviewee had been the skipper of the vessel. This was 147 to avoid any duplication of data if more than one fisher had skippered a particular vessel, 148 which occurred a number of times. For each polygon drawn, participants were asked to 149 indicate which months in the year they normally visited the location to fish, and on average 150 how many days per month fishing activity occurred. They were also asked to indicate how

151 many years in the last 10 (or as long as they had been skipper of the vessel, if <10 years) they had returned to fish within the specified polygon. Interviews were conducted with 19 skippers 152 153 of vessels >15 m LOA (length overall) and 29 skippers of vessels \leq 15 m LOA between 154 summer 2012 and autumn 2013. Based on data provided by the MMO for scallop vessel 155 activity in recent years (Table 1) this constituted approximately 54 % and 25 % respectively 156 of the mean number of full and part-time scallop vessels operating in ICES sub-areas VIId 157 and VIIe over the past decade. Full-time scallop vessels are defined as those that use only 158 scallop gear throughout the year. Part-time scallop vessels are those that target scallops during certain times of the year but target other species with different gear (e.g. beam-trawl) 159 the remainder of the year. There were more frequent opportunities to interview skippers of 160 161 vessels ≤ 15 m LOA, as vessels of this size tend to return to port each day and are less able to fish in high wind conditions. There were fewer opportunities to interview skippers of larger 162 163 vessels as they spend up to a week at sea per trip and after landing the catch often leave port immediately for the next fishing trip. There are 19 landing ports along the south coast of 164 England (Figure 1). Interviews were conducted with skippers of vessels either registered at, 165 or landing into 13 of these ports, to provide a representative spread of samples across the 166 study area. This included English, Scottish and, to a lesser extent, Welsh owned vessels. 167



169 Figure 1: The location of English ports along the English Channel where king scallops are landed. 170 Red stars indicate the home ports or landing ports of scallop fishermen that were interviewed. No 171 scallop fishermen were interviewed from ports indicated by black triangles. The boundaries of ICES 172 sub-areas VIId and VIIe are shown with dashed lines. Data provided by the Marine Management 173 Organisation.

174 Data Analysis

175 Vessel characteristics

176 For the purposes of analysis, where skippers were unable, or chose not to provide an answer 177 to a question, missing data was dealt with by entering the average response for vessels with 178 similar characteristics. First, a Draftsman's plot was performed in PRIMER-E (Clarke & 179 Gorley, 2006) to test for significant autocorrelation between the variables: total number of 180 dredges; maximum hours fishing per day; total days fishing activity in last 12 months; 181 minimum tow duration; maximum tow duration; minimum tow speed; maximum tow speed; 182 minimum mean catch weight (king scallops) per day; maximum mean catch weight (king 183 scallops) per day; minimum trip length (days); maximum trip length (days); maximum wind 184 force fished; % grounds visited in last 12 months that have been fished previously; maximum 185 distance travelled to fish; increase in distance travelled in last 10 years; vessel length; engine power; number of crew; minimum crew; maximum crew. To test the hypothesis that vessel 186 187 characteristics and fishing behaviour differ between fleet sectors (dictated by vessel size), a multivariate analysis of vessel characteristics was performed using PRIMER-E. The data 188 189 were normalised and a resemblance matrix of the similarity between vessels was created 190 using Euclidean distance as the measure of the similarity. An ANOSIM test was used to 191 ascertain whether characteristics were significantly different between vessels grouped by 192 LOA (≤ 15 m; >15m). The SIMPER function was used to ascertain the percentage similarity 193 of characteristics within group and percentage dissimilarity between groups.

194 *LK Fishing polygons*

Fishing activity recorded during fisher interviews was weighted according to the frequency of use indicated by the interviewee, then aggregated by polygon to give an estimation of the relative fishing effort exerted across all fishing grounds over the total time period. The number of fishing days per year (days yr⁻¹) was calculated for each polygon by summing the number of days the area was visited over each 12 month period. To provide a relative value of fishing effort over the full 10 year period covered by the interview, a weighting (0-1) was then applied. For example, if a skipper had fished in a polygon area once in the previous 10

202 years, a weighting of 0.1 was applied to the total days per year; whereas if the area had been 203 fished biennially (5/10 years), a weighting of 0.5 was applied. This enabled integration of 204 data from all interviews, which covered varying time periods, to provide a measure of relative 205 fishing intensity. Then all polygons were joined using the 'Union' tool, to produce a map of 206 relative fishing effort over the 10 year period. Polygons for >15 m LOA and \leq 15 m vessels 207 were treated separately.

208 For each of the two length groups of vessels, fishing polygons were converted to a continuous 209 raster layer using the mean of all values within a cell and the cell centre assignment method, 210 with an output cell size of 0.025 decimal degrees (approximately 1.8 x 2.8 km at 50°N), as 211 this was the scale at which the VMS data was aggregated (see below). If a skipper of an >15212 m LOA vessel had drawn a polygon on the map that fell inside the 6 NM zone (0-6 NM from 213 the shore) it was assumed to be a result of the coarse method of recording, rather than an 214 intentional indication of fishing effort. To eliminate this error, the raster layer for the >15 m 215 vessels was converted to a point grid layer of 0.025°. Points that fell inside of this zone were 216 removed and the resultant point data were then converted back to a raster of cell size of 217 0.025° using a mean cell assignment type.

218 Comparison of VMS and LK data

219 To validate the accuracy of LK for the >15m vessels, the data were compared with the VMS 220 data. The VMS data represent total fishing activity for the period (for vessels >15 m LOA), 221 whereas the LK data were gathered from a sample of the fleet and therefore represent relative 222 fishing effort. Our aim in this study is to highlight the distribution of effort in recent years, 223 and the total spatial extent of fishing effort, therefore the discrepancy in the total time periods 224 covered by the two datasets will not adversely impact the findings. Vessels >15 m LOA are 225 not permitted to fish within 6 NM of the coastline in the English Channel therefore a 6 NM 226 buffer was applied to the VMS data and only records outside of this zone were retained for 227 the comparison of VMS with LK. Data from ICES sub-areas VIId, e and h (outside of the 6 228 NM mile zone) were included, as fisher polygons included fishing effort in all of these areas. 229 Anonymised VMS point data (aggregated at a scale of 0.025°) for all UK and foreign scallop vessels, for the period 2005-2013 inclusive, were obtained. This time period was used as this 230 231 was the data available in aggregated, anonymised format from the MMO, thereby fulfilling 232 data confidentiality requirements. Scallop vessels are engaged in fishing activity at speeds of 233 >2 knots (nautical miles per hour) and <3.5 knots (Lee et al., 2010; Lambert et al., 2012),

therefore the dataset was filtered to include only records that fell within these margins. The sum of the time interval (total hours) between VMS transmissions was used as a measure of fishing effort over the time period and the point data were converted to a continuous raster in ArcMap v.10, using 0.025° grid cells. The VMS data were also aggregated using the 'Aggregate' tool, into grid cells of 0.1 and 0.3 decimal degrees (using the mean value) for comparison with the LK data.

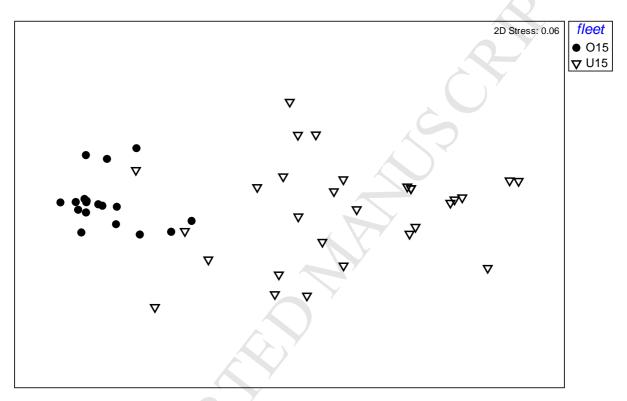
240 The size of grid cell used for the aggregation of VMS data can over- or under-estimate the 241 spatial extent and intensity of fishing activity (Piet and Quirjins, 2009; Gerritsen et al., 2013). 242 Therefore, vector analysis grids of differing cell sizes (0.1; 0.2; 0.25 and 0.3 decimal degrees) 243 were created using the 'Create Fishnet' tool in ArcMap in order to visually assess the 244 suitability of different scales. Due to the trade-off between resolution and accuracy and the 245 distortion that occurs at the boundaries of the polygons, 0.3° grid cells were the largest size of cell used for aggregation. The 'Zonal Statistics as Table' tool was used to obtain mean VMS 246 247 and LK fishing effort values for each fishnet polygon, at each spatial scale. The resultant tables for VMS and LK data were joined and the data points for each corresponding polygon 248 249 plotted against each other. Correlations were tested for significance using a generalised linear 250 modelling approach in R (R Development Core Team, 2008) and models were evaluated by 251 checking for homogeneity of residuals. Visual assessment of frequency histograms of 252 intensity values indicated that the data distribution was skewed towards low activity values. 253 Aggregated relative fishing intensity data at each resolution were displayed on maps in seven 254 breaks using the Jenks natural breaks classification (Jenks, 1967). This maximises the 255 variation between groups in order to optimise visualisation of the relative spatial distribution 256 of fishing activity. The maps representing aggregated raw LK data were sent to scallop 257 fishermen that had taken part in the industry questionnaires, for visual validation.

258 Results

259 Vessel characteristics

A draftsman plot was used to investigate significant auto-correlation between vessel characteristics. Engine power and vessel LOA were significantly correlated (ρ >0.95) with the total number of dredges, therefore only the latter parameter (no. of dredges) was retained in the multivariate analysis (Clarke & Warwick, 2001). An MDS plot (2D stress=0.06; Figure 2) and accompanying ANOSIM test of normalised vessel characteristics indicated that vessels

265 of different size (LOA of ≤ 15 and >15 m) displayed significantly different physical 266 characteristics and fishing behaviours (ANOSIM: R=0.692, p=0.001). A summary of mean 267 vessel characteristics, by group is given in appendix 2. SIMPER revealed high within group 268 similarity for ≤ 15 m and >15 m LOA vessels (82.9 and 92.1 % respectively), and average 269 dissimilarity between groups of 29 %. Hence, in further analysis and the discussion we 270 continue to refer to two groups of vessels; 'inshore' (≤15m LOA) and 'offshore' (>15m 271 LOA) vessels.



272 273

Figure 2: A multi-dimensional scaling plot of scores assigned to scallop vessel characteristics. Data 274 was normalised prior to creating the resemblance matrix. Vessel characteristics included in the 275 analysis are listed in the methods section. Symbols represent vessel LOA (solid circle >15m LOA; 276 open triangle $\leq 15m$ LOA).

277

278 Fishing effort maps

279 When plotting the fishing effort data, the estimate of the total area of extent impacted increased with the grid cell size used for data aggregation. This effect was most pronounced 280 281 for the VMS data, due to the high resolution of the original data set (Table 2). There was a marked increase in area of extent impacted for the offshore LK data when the grid cell was 282 283 increased from 0.1 to 0.3 decimal degrees. In contrast, there was a slight decrease in the 284 overall area of extent impacted for the inshore LK data when the grid cell was increased from 285 0.1 to 0.2 (Table 2).

As the grid cell size increased there was an increase in the correlation between relative fishing effort estimated from aggregated VMS and offshore LK data (Figure 3), however all correlations were significant (Table 3). As grid cell size increased so did the spatial boundaries of the fishery, and this effect was most evident using the VMS data (Figure 4). This resulted in grid cells covering areas that had not been identified as fishing grounds from LK polygons (Figure 4, 5). The boundaries of the data also became increasingly abstract.

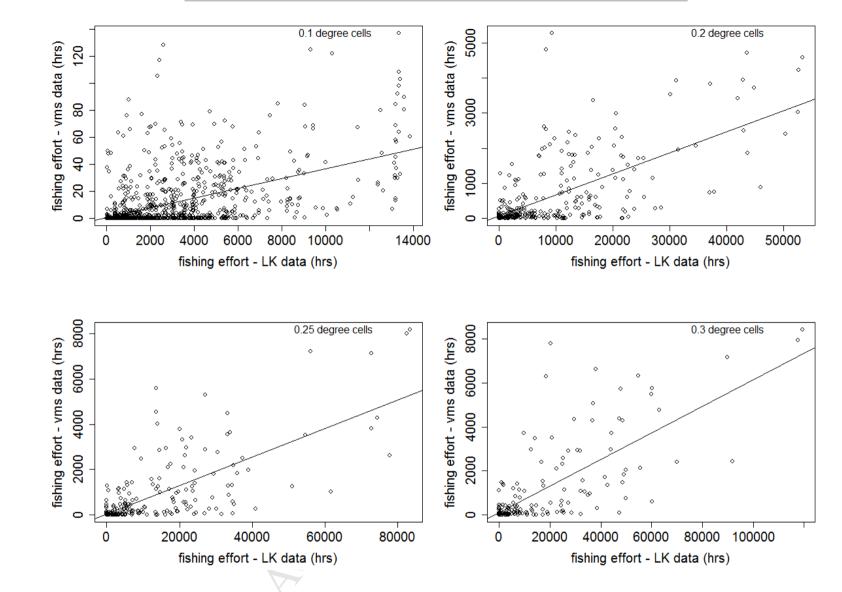
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Table 2: Estimate of the area of extent impacted by the king scallop fishery in the English Channel using VMS data and LK data for the inshore and offshore scallop fleets, with data aggregated at

295 increasing grid cell sizes.

Data	Grid cell size (decimal degrees)	Area (km ²)	% increase in area of extent <i>c.f.</i> 0.025 degree cells
	0.025 decimal degree cells	44,821	
VMS	0.1 raster	83,326	86%
	0.3 raster	124,300	177%
	raw polygons	81,636	
LK offshore	0.1 raster	88,024	8%
	0.3 raster	110,489	35%
	raw polygons	33,586	
LK inshore	0.1 raster	39,848	19%
	0.2 raster	39,097	16%

296



297

Figure 3: Plots of king scallop dredge fishing effort values derived from VMS data (2006-2013; >15m LOA vessels, total fleet) and fisher polygons (LK data; >15m LOA vessels, *c*. 50 % fleet sample) (2002-2013). Data points extracted at four different spatial scales: 0.1; 0.2; 0.25; 0.3 decimal degrees. Significant modelled linear regression

lines are displayed. The r^2 and p values are given in table 3.

	Grid cell size (decimal degrees)	cell dimensions	cell area	R^2 value	d.f.	p value
	0.1	7.2 x 11.1	80 km^2	0.28	1, 1083	< 0.001
	0.2	14.4 x 22.2	320 km^2	0.45	1, 332	< 0.001
	0.25	18.0 x 27.8	500 km^2	0.51	1, 231	< 0.001
	0.3	21.0 x 33.0	693 km ²	0.53	1, 175	< 0.001
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301Table 3: Results of linear regressions for fishing effort data calculated from VMS data and fisher302polygons (LK data) extracted at different cell sizes, d.f. = degrees of freedom.

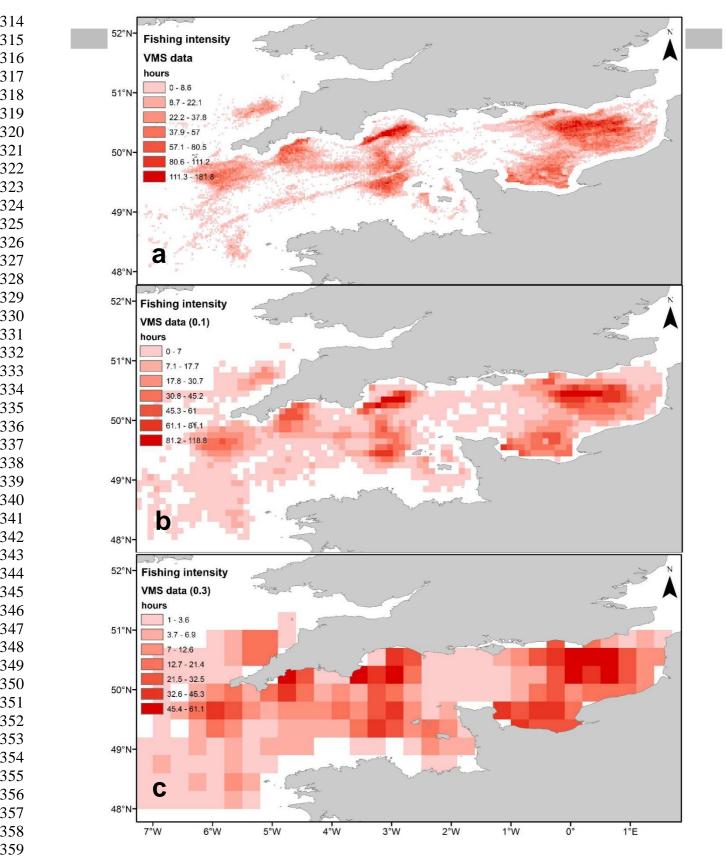
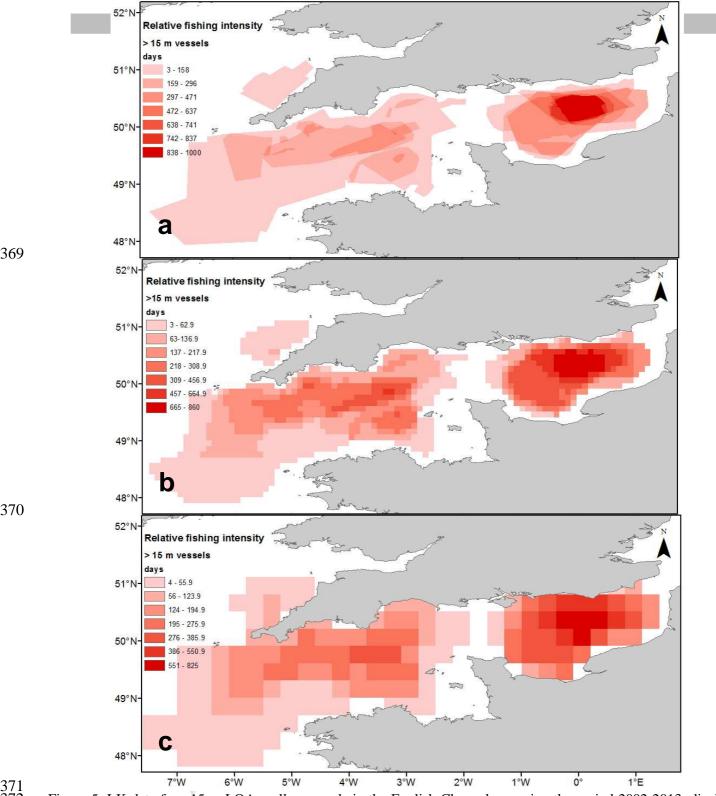


Figure 4: King scallop fishing intensity for all UK and foreign scallop vessels >15m LOA in the English Channel, expressed as the total number of hours fishing activity for the reference period 2006 to 2013, derived from VMS data for all UK and foreign vessels, aggregated at: a) 0.025 decimal degree grid cells; b) 0.1 decimal degree grid cells; c) 0.3 decimal degree grid cells. Darker shading indicates higher values of fishing intensity. Note the different scale applied to each figure.

365 366 367

15 | P a g e



 $7^{\circ}W$ $6^{\circ}W$ $5^{\circ}W$ $4^{\circ}W$ $3^{\circ}W$ $2^{\circ}W$ $1^{\circ}W$ 0° $1^{\circ}E$ 372Figure 5: LK data for >15 m LOA scallop vessels in the English Channel, covering the period 2002-2013, displayed373as: a) raw data (polygons); b) data aggregated at 0.1° grid cells; c) data aggregated at 0.3° grid cells. Although data374values (days fished per year, weighted over a 10 year reference period) are actual values gathered during the study,375these are qualitative and are intended to represent the relative number of vessel days (24 hour operations) fishing over376a 10 year reference period, from a c.50 % fleet sample. Darker shading indicates higher values of fishing intensity.377Note the different scale applied to each figure.

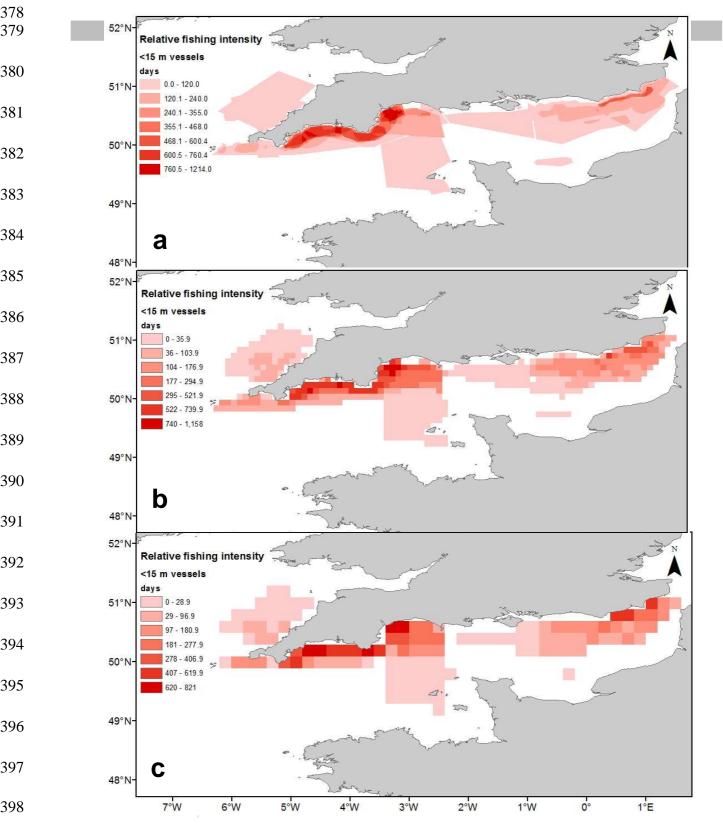


Figure 6: LK data for ≤ 15 m LOA scallop vessels in the English Channel, covering the period 2002-2013, displayed as: a) raw data (polygons); b) data aggregated at 0.1° grid cells; c) data aggregated at 0.2° grid cells. Although data values (days fished per year, weighted over a 10 year reference period) are actual values gathered during the study, these are qualitative and are intended to represent the relative number of vessel days fishing over a 10 year reference period, from a *c*.25% fleet sample. For vessels ≤ 15 m in length, total fishing time in a day varies from 8-24 hours. Darker shading indicates higher values of fishing intensity. Note the different scale applied to each figure.

406 Discussion

407 The value of local knowledge

408 Where electronic vessel tracking data and spatially resolved effort data for fleet activity are 409 non-existent or not available for use, semi-structured interviews create open dialogue and 410 offer opportunities for scientists and policy-makers to better understand socio-economic 411 drivers of fishers' activities and inform long-term solutions to issues in fisheries management 412 (Yates et al., 2014). The reliability and accuracy of local knowledge (LK) varies with context 413 and species (Gilchrist et al., 2005; O'Donnell et al., 2012). However, for a species such as the 414 king scallop that has a consistent association with seabed habitat, the reliability of LK data 415 can be high (Shepperson et al., 2014). In the present study, older fishers had fishing 416 experience that spanned decades and were able to impart specific knowledge of the state of 417 scallop stocks (relative to the past) for areas in which they had fished for many years; 418 although shifting perceptions of baseline must be considered with such information (Pauly, 419 1995).

420 Validation of LK data with VMS data

Local knowledge derived from just over half (54 %) of the offshore fleet that operated in the 421 422 fishery gave a good visual representation of the maximum spatial extent of fishing activity 423 when compared to 100 % VMS coverage. However, the estimate of the total area of extent of 424 seabed impacted was inflated, due to the coarse resolution of the LK polygons. LK data is 425 limited by the precision at which individual fishers report fishing grounds and the overall 426 accuracy is affected by sample size, and analysis grid resolution (Shepperson et al., 2014). In 427 relation to both the VMS and LK data, as the grid cell size used for aggregation increases, the 428 border of the area of impact becomes increasingly abstract. This can be critical if overlaps 429 between fisheries activities and conservation features (such as Marine Conservation Zones) 430 need to be identified. Thus, the smallest feasible grid cell size may be useful when 431 delineating fishing grounds. Using larger grid cells reduces the inherent variability in the data 432 and mitigates against individual error in reporting. However, the extent of the area impacted 433 by the fishery can be over-estimated, which may lead to inflated estimates of environmental 434 impact (Shepperson et al., 2014).

When data were aggregated into grid cells of 0.3 decimal degrees (the largest grid cell used)the estimate of the area of extent impacted by the offshore fishery increased by 35 % in

437 comparison to the raw polygon data. If we assume the LK data to be a valid measure of fishing extent (discussed further on), this suggests that smaller grid cells (e.g. <0.1 decimal 438 439 degrees) may provide more accurate maps of the area of impact. This is important to consider 440 if such information is used in spatial management. For the inshore fleet, the estimate of area 441 of extent impacted increased by 16 % when the data were aggregated using grid cells of 0.2 442 decimal degrees compared to the raw data. However, the increase was slightly greater (19%) 443 when aggregating at smaller (0.1 degree) grid cells, due to the data processing methods of the 444 GIS software in formation of raster layers. Hence, there is a necessary trade-off when 445 evaluating spatial patterns of fishing intensity, and the appropriate scale should be chosen 446 depending on the intended use of the data.

447 When considering the distribution of fishing effort, there were significant correlations 448 between the LK and VMS data (relating to vessels >15m LOA). Correlation of LK with VMS 449 data increased with increasing cell size, with moderate, significant correlations (0.45; 0.51; 450 0.53) at grid cell sizes of 0.2, 0.25 and 0.3 decimal degrees, respectively. Using a larger grid 451 cell size when assessing fishing intensity will buffer against inaccuracies in the data 452 (Shepperson *et al.*, 2014). It is therefore suggested that a grid cell of between 0.1-0.2 decimal degrees (c. 80-320 km²) provides the best trade-off between inaccuracies in LK data and the 453 454 overestimation of total area for the offshore scallop fishery in the English Channel when 455 compared to VMS data. A limitation of VMS data are the assignment of 'Unknown' gear 456 type to a substantial proportion of records. For the full VMS dataset obtained for use in the 457 present study, c. 70 % of records were classified as 'Dredge' gear, and c. 30 % as 458 'Unknown', thereby requiring an assumption of gear type and a decision on whether to 459 include or exclude a large proportion of data (Szostek, 2015). The time interval between 460 successive VMS transmissions can also be very variable. Both the latter issues hinder the 461 accuracy of the analysis. However, VMS data still represent the most reliable and 462 comprehensive source of fishing effort data for vessels >15m LOA, but can be enhanced when combined with other sources of fishing effort data (Russo et al., 2016). In the study by 463 Shepperson *et al.* (2014), grid cells of 25 km² were the largest used in analysis of scallop 464 fishing activity around the Isle of Man (Irish Sea) and gave the highest agreement between 465 LK and VMS data. In the present study, the smallest grid cells used were substantially larger 466 (approximately 80 km²), therefore we consider that the scale of analysis of LK data will yield 467 468 reasonable accuracy for the English Channel scallop fishery.

469 Shepperson et al. (2014) also found that a larger sample size of the fleet increased the accuracy of estimated fishing intensity. A subsequent reduction in sample size from 100 % of 470 471 the fleet to 33 % led to a 9 % reduction in the Kappa agreement statistic, which accounts for 472 the likelihood of chance agreement between datasets (Cohen, 1968). In the study by 473 Shepperson et al., the resultant Kappa value based on a 33 % sample of all scallop fishing vessels was 0.57, using a 25 km² grid cell, This value falls just below the threshold Kappa 474 value of 0.6 that is considered to indicate 'substantial agreement' between data sources 475 476 (Landis & Koch, 1977). Although the Kappa statistic could not be assigned in the present 477 study due to the different units used in analysis of VMS and LK data, for the offshore fleet in the present study, of which 54 % were sampled, the largest grid cell (693 km²) is considered 478 to provide a reasonably accurate estimation of the distribution of fishing effort. 479

480 Assessing confidence in LK data

Although it was not possible to validate the inshore LK data with VMS data, the significant 481 482 correlations found between the offshore LK and VMS data increase our confidence in the LK 483 dataset as a whole. Visual assessment of the aggregated LK data by fishers that had taken part 484 in the original questionnaire, also confirmed that they were a good representation of real 485 effort distribution. Therefore, we are confident that the maps of inshore scallop fishing 486 activity produced using LK data are an accurate representation of reality. The detailed maps of inshore fishing activity across the entire UK coast of the English Channel we present 487 488 (Figure 6) are the first of their kind and can be used to highlight areas of economic 489 importance, particularly in the consideration of marine spatial planning.

490 Individuals demarcated fished areas with varying levels of precision; inshore fishermen 491 frequently drew small polygons in specific locations, whereas offshore skippers tended to 492 map their activity with few polygons, covering a larger area. In the western English Channel, 493 offshore fishing activity is sparse (indicated by discrete patches of low intensity VMS data). 494 However, offshore skippers drew polygons that covered large areas of the western English 495 Channel to reflect the maximum range that they had travelled to fish in the previous 10 years. 496 Hence, the LK data failed to represent the fine scale detail in fishing activity that can be 497 revealed by VMS data and led to an overestimation of the total seabed area impacted by the 498 offshore fleet. It also resulted in many zero hour VMS records lying within low intensity LK polygons, thereby reducing the overall correlation between the two datasets. Thus, it appears 499 500 that using LK to represent the extent of the offshore fishery is a precautionary method in

501 terms of describing potential impact. There was greater visible correlation between the VMS 502 and LK data in areas of concentrated fishing intensity; therefore the LK is likely to be more 503 accurate where fishing activity occurs most frequently.

504 It was not possible within the scope of the study to interview skippers at every single port, 505 however interviews were conducted at a range of landing ports along the coast to ensure the 506 inshore activity recorded was representative across the full spatial extent of the fishery. 507 Although skippers may have different home ports, fishing grounds indicated by skippers from 508 nearby ports frequently overlapped, indicating that many skippers visited the same traditional 509 fishing grounds. For example, although no inshore skippers from Southampton were 510 interviewed, fishing grounds to the east of the Isle of Wight were identified by a Welsh 511 skipper that had fished in that area. The scallop fishery in this area is limited; a byelaw in the 512 Southern IFCA district restricts vessels to 12 m LOA or less, towing 3 or 4 dredges in total 513 and there were only 5 or 6 vessels landing scallops into Southampton at the time of this study 514 (Neil Richardson, Southern IFCA, pers. comm.). An increased sample size would increase 515 the accuracy of estimates of relative fishing intensity but is unlikely to significantly alter the 516 predicted spatial extent of inshore fishing activity.

517 In the process of aggregating data from all interviewees, 'hotspots' of scallop fishing activity 518 were highlighted by the inshore LK map, reflecting traditional fishing grounds along the coast. There is less inshore scallop activity in the eastern English Channel; however the 519 520 highest levels of activity are concentrated close to the Sussex shoreline (Vanstaen et al., 521 2010; Vanstaen & Silva, 2010). Areas of lower activity for the inshore fleet tend to be in 522 locations that are further from shore or landing ports, or are only visited during extended 523 periods of good weather, such as the Channel Islands (as smaller vessels are more vulnerable 524 at exposed locations such as these).

The precise location of inshore fishing activity is pertinent when considering the designation of Marine Conservation Zones (MCZs), which contribute to the UK's network of marine protected areas to meet commitments under the Convention on Biological Diversity, and achieve 'Good Environmental Status' under the EU Marine Strategy Framework Directive (JNCC & Defra, 2012). Such areas have been implemented to conserve sensitive seabed features and habitats but can also lead to cultural, social and economic impacts (Whitmarsh *et al.*, 2002).

532 Socio-economic considerations

533 Many factors can influence patterns of fishing activity and fleet dynamics (Putten et al., 534 2012). There has been a reduction in the spatial footprint of the offshore scallop fleet in 535 recent years, observed in the raw data in the present study and by Campbell et al. (2014). 536 This is due in part to a restriction on annual fishing effort (measured as kW days) for the >15537 m fleet in ICES area VII. Of those interviewed, 85 % of offshore skippers fished in both areas 538 VIId and VIIe. This is in contrast to inshore skippers, of whom the majority fished in either 539 area VIId, or VIIe exclusively (depending on the location of their home port), while just 24 % 540 fished in both areas. This confirms the anecdotal observation that >15 m LOA vessels tend to 541 be nomadic while ≤ 15 m vessels are more locally restricted in areas where they fish (pers. 542 comm. Jim Portus, CEO, Southwest Fish Producers Organisation).

543 Legislation such as area closures and effort restrictions inhibit activity of both the inshore and 544 offshore fleets. Ground closures displace the impacts of fishing to other locations 545 (Greenstreet et al., 2009), with financial and socio-economic impacts on fishers. Skippers of 546 inshore vessels reported that in recent years their fishing had been impacted by area closures 547 including the special area of conservation (SAC) in Lyme Bay, Marine Conservation Zones 548 (MCZs) in Falmouth Bay (Reker, 2015) and recent closures around the Isle of Wight, Start 549 Bay, Torbay, Falmouth, the Scilly Isles (all in the English Channel) and Cardigan Bay and 550 Caernarfon Bay (in Welsh waters) as a result of habitat conservation measures. Due to these 551 closures, 28 % of inshore fishers reported having to travel further from their home port to 552 fish, while 72 % travelled the same distance to fish as 10 years ago. This has resulted in more 553 time spent at sea, increased fuel expenditure and greater vulnerability to weather conditions 554 (closures generally occur in areas close to shore that are less exposed to extreme weather 555 conditions).

Therefore, when proposing sites to meet conservation objectives, careful consideration should be made of potential impacts on fleet behaviour. Currently, the total area of MCZs (designated under the Marine and Coastal Access Act 2009 (Hill *et al.*, 2010)) and Special Areas of Conservation (SACs) in the UK is 20,425 km², of which 3145 km² is in the English Channel (data from <u>http://jncc.defra.gov.uk/</u>) (Figure 7). Thus, 9% of the inshore scallop fishing grounds (calculated from LK data), and 7% of the offshore scallop fishing grounds, could potentially be affected by MCZ management measures (Figure 7).

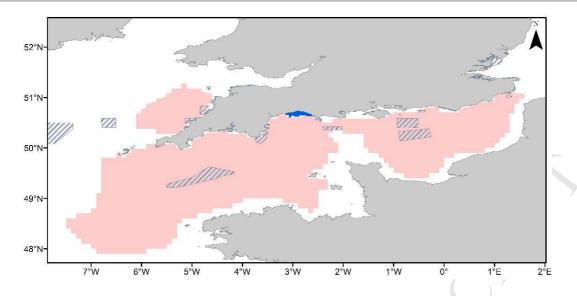


Figure 7: Location of designated Marine Conservations Zones (MCZs) in the English Channel and
north of Cornwall (shown with hatching). The Lyme Bay Special Area of Conservation (SAC) is
indicated in blue. Data from <u>http://jncc.defra.gov.uk/</u>. Scallop dredging grounds identified from LK
data are shown in pink.

568

563

569 Conclusions

The extent to which LK data reflect empirical measures of fishing effort can vary with 570 571 fishery, fleet sector, sample size, and scale of aggregation. Therefore it is important to assess each metier individually. In the present study we have demonstrated an example, in a high-572 value shellfish fishery, of where LK can be used to reliably inform development of fishing 573 effort data for the purposes of management. A suite of environmental and socio-economic 574 575 factors influence king scallop fishing activity. The inshore king scallop fleet fish on 576 traditional grounds in the English Channel and is impacted considerably by ground closures, 577 including existing MCZs and SACs. In comparison to this, the offshore fleet has access to 578 large areas of productive fishing ground, but economic drivers have reduced the spatial extent 579 of activity in recent years.

The LK data in the present study have certain limitations; <100 % fleet coverage and a tradeoff between scale and accuracy. However, LK data provide a tangible alternative in data deficient situations and have been demonstrated to be accurate for other king scallop fisheries (Shepperson *et al.*, 2014). However, for management decisions that require more precise estimates of fishing effort, sampling the entire fleet is desirable (Shepperson *et al.*, 2014). Insight gained from fishers could be incorporated into the development of future management plans for an economically and environmentally sustainable fishery. The present study

587 represents a useful resource for fisheries managers, in defining the spatial and temporal 588 utilisation of fishing grounds frequented by the scallop dredge fleet across the English 589 Channel. The data can be overlaid with habitat and stock information to help evaluate 590 potential benefits and conflicts of alternative management options.

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595

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736

737 Appendix 1

738

English Channel Scallop Fishery Survey

- Thank you for participating in this questionnaire. The aim is to increase knowledge about the
- 740 English Channel scallop fishery and the information will be used to support the Scallop
- Association and its members in the sustainable management of the fishery.

742 Do you have any questions before we begin.....?

	Gear information
743	
744	1. Gear type used:Newhaven / other (please specify)
745	
746	What is the:
747	Gear width
748	No. of dredges used
749	Dredge tooth spacing
750	• Belly ring size
751	Tooth length
752	
753	2. Do you plan to increase or decrease engine size in next 12 months? Y/N (please give
754	details)
755	
155	
756	3. Have you increased or decreased engine size in the last 10 years? Y/N (please give
757	details)
758	
759	4. Do you plan to increase or decrease no. of dredges used in next 12 months ? (please
760	specify)
761	
762	5. Have you increased or decreased no. of dredges used in the last 10 years ? (please
763	specify)
764	

765 **Please answer the following questions in relation to your fishing habits in 2011:**

- 6. On average, how many hours a day did you fish?.....hours
- 767 7. Approximately how many days did you fish?.....days
- 768 8. What is your average tow time?mins
- 769 9. What is your average tow speed?knots
- 10. What was your average catch per day (bags)?.....
- 11. What was the average bag weight/size?.....
- 12. What was your average trip length (days)?.....days

Location of fishing

- 773 Fish Map software used to record areas fished and number of days per month, main by-
- 774 catch landed no. of years fished, importance of grounds.
- 13. What are the three most important factors that influence **where** you decide to fish?

For example: Weather (e.g. strong winds), vessel's total catch in that area in previous year,
Condition of scallops, Distance from port, Cost of fuel, Number of other fishing vessels
present on grounds

779	i
780	ii
781 782	iii
783	14. What wind strength prevents you from fishing?
784	
785	15. How do you decide where you will fish? (Please tick all that apply):
786	Skippers knowledge/experience
787	Sharing knowledge with other boats/fishermen
788	Prospecting for new grounds
789	• Other (<i>please specify</i>)
790	
791 792 793	16. Approximately what percentage of your fishing each year is in the same areas as the previous year, and what percentage is in new / different (occasional) areas?
794	Same:% New / different areas:%
795	

796	17. If you fish in different grounds to 'normal', what are the 3 main reasons for this (<i>in</i>
797	order)?
798	i
799	ii
800	iii
801	
802	18. Do you spend time prospecting for new scallop beds? If yes, approximately how
803	many days per year do you spend doing this?
804	Yes / No Number of days per year
805	
806	19. If there are grounds that you fish on a rotational basis e.g. once every 2 or 3 years,
807	what are the reason(s) for this?
808	
809	
810	
811	20. In the last 10 years have there been any area based legislative reasons (e.g. area
812	closures) that have affected where or how you would normally fish?
813	• Location(s)
814	• Why/how
815	affected?
816	
817	
818	
819	21. In the last 10 years have there been any technical legislative reasons (e.g. gear/engine
820	size/effort restrictions, curfews) that have affected where or how you would normally
821	fish?
822	• Location(s)?
823	• Why/how
824	affected?
825	
826	
827	
828	22. Thinking about the last 10 years, how far would you normally travel from your home
829	port to fish? (<i>please state a range e.g. 50-200nm</i>)nm

830 831 832	23. What is the maximum distance you are willing or able to travel to fish? nm
833 834	24. In the last 10 years have you needed to travel further than normal from your home port to fish?
835	No 0-12nm 12-50nm 50-100nm 100-200nm >200nm
836	When and why? (e.g. fuel cost / scallop abundance/ restrictions)
837	
838 839	
840	If yes, where did you
841	go?
842 843	25. Where do you do the majority of your fishing? 0-3nm 3-6nm 6-12nm 12+nm
844	26. Has the way you fish for scallops changed in any other way over the last 10 years ?
845 846	
847	
848	
	Catch composition & condition
849 850	Please indicate on the paper map if you are aware which month(s) spawning occurs in a
851	particular area and provide the following information if possible:
852	27. Does spawning occur at the same time of year in the area?
853	
854	a) Yes it varies by less than a week
855	b) No, it can vary by 2 or 3 weeks
856	c) No, it can vary by a month or more
857	
858 859 860	28. Do the majority of scallops in this area all spawn at approximately the same time or does it occur over a longer time period?

	ACCEPTED MANUSCRIPT
861	a) Yes, most scallops spawn within a day or two of each other
862	b) Most scallops spawn within a week of each other
863	c) No, the spawning carries on for longer than a week
864	L Contraction of the second
865	29. Are there any apparent triggers for spawning? (<i>e.g. light, temp, sediment, water</i>
866	5 clarity, tides)
867	
868	
869	30. Does the timing of spawning influence where you decide to fish? (<i>Please state</i>
870	how/why)
871	
872	2
070	

873

Landings & Profitability 874 30. In the last 10 years has your overall catch increased or decreased? 875 >50% less 0-50% less increased 0-50% 876 same increased 877 >50% 878 Please possible for give reasons 879 this..... 880 881 882 31. If possible please say which years were: 883 884 particularly good (large catch):..... 885 particularly **poor** (small catch):.... 886 32. In the last 10 years has your average catch weight per tow of MLS scallops 887 increased or decreased? 888 >50% less 0-50% less increased 0-50% increased >50% 889 same 890 Please give possible reasons for this..... 891

	ACCEPTED MANUSCRIPT
892	33. What is your minimum commercially viable catch rate?
893	a. Bags per trawl
894	b. Bags per day
895	
896	By answering the following questions you will help place an economic value on the areas
897	that you fish:
898 899	34. For your fishing activity in 2011 please give an indication of:
900	• your annual gross landings (tonnes)/ prefer not to answer
901	• the value of your annual landings (£)/ prefer not to answer
902 903	• your annual profit (£)/ prefer not to answer
904 905	35. Please estimate the percentage (%) difference between 2011 and 2001 :
906	• % change in your annual gross landings (tonnes)% increase / decrease
907	• % change in the value of your annual landings (£)% increase / decrease
908	• % change in your annual profit (£)% increase / decrease
909	

	Management				
910 911	36. Please answ	ver these 3 statem	ents questions using the fol	lowing scale:	
912	Strongly disagree	Disagree	Neither agree or disagree	Agree	Strongly agree
913					
914	i. The fish	ery is currently f	ished at a sustainable level.		
915	Please g	give a reason for	your		
916	answer.				
917	ii. The fish	ery is at risk of t	being overfished		
918	Please g	give a reason for	your		
919	answer.				
920					
921 922 923	• 1	· •	ate the three most effective ing sustainably), in order of	•	0 1

924	• Dredges per side limits
925	New dredge design
926	• No. of teeth
927	• Belly ring size
928	• Vessel size limits
929	• Engine size limits
930	Minimum landing size
931	Permanent closed areas
932	Seasonal closures
933	• Curfews
934	• TACs
935	Restricted effort
936	Caps on licences
937	• Other (<i>please</i>
938	specify)
939	
940	38. Do you disagree with any of the current management measures in the English
941	Channel?
942	
943	
944	
945	
	20 Ano there envy other comments you would like to make? (C:
	39. Are there any other comments you would like to make? (<i>Continue on separate sheet if</i>
946	39. Are there any other comments you would like to make? (<i>Continue on separate sheet if necessary</i>)
946	
946 947	
946 947 948	
946 947 948	
946 947 948 949	
946 947 948 949 950	

Appendix 2

953 Summary of vessel characteristics. Vessels are grouped by length (≤ 15 m; >15 m LOA). S.D. = one standard deviation of the mean.

	<u>≤15 m LOA</u>			\sim	>15 m LOA		
	min	max	mean (S.D.)	min	max	mean (S.D.)	
total dredges	6	16	9.86 (2.5)	16	36	27.2 (6.55)	
hours per day	8	24	15.2 (5.5)	24	24	24 (0.0)	
days fished scallops in last 12 months	0	337	118.6 (75.8)	100	320	194.7 (67.1)	
min tow duration (minutes)	15	100	50.3 (19.0)	30	90	55.0 (13.3)	
max tow duration (minutes)	40	180	82.0 (38.8)	45	120	75.9 (22.2)	
min tow speed (knots)	1.5	3.0	2.4 (0.38)	1.9	3.0	2.4 (0.3)	
max tow speed (knots)	1.5	3.5	2.7 (0.47)	1.9	3.5	2.6 (0.5)	
min trip length (days)	1	5	1.2 (0.77)	2	7	5.8 (1.3)	
max trip length (days)	1	5	1.2 (0.77)	4	8	6.4 (1.1)	
max wind (knots)	4	6	5.38 (0.62)	5	10	7.8 (1.5)	
% same ground fished each year	20	100	87.6 (16.34)	0	100	78.3 (24.9)	
max distance travelled	9	1000	300.8 (385.15)	150	1000	880.6 (278.2)	
vessel length (m)	9.8	15.0	11.7 (2.0)	18.3	40.0	28.8 (5.9)	
engine power (kW)	93	300	154.7 (61.7)	221	880	595.8 (186.5)	
min crew	1	4	2.2 (0.7)	3	7	5.0 (1.0)	
max crew	1	6	3.0 (1.1)	4	9	6.4 (1.1)	
skipper age	27	64	48 (11.9)	24	57	43.3 (9.6)	

Highlights

- Local Knowledge (LK) from fishers can provide a reliable source of fishery spatial data
- LK data highlights important fishing grounds in the absence of empirical data sources
- A trade-off between accuracy and error reduction is required in analysis grid cell size

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