

# Trawl Exposure and Protection of Seabed Fauna at Large Spatial Scales

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# **Diversity and Distributions**

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#### 37 ABSTRACT

Aim: Trawling leads to widespread direct human disturbance on the seabed. Knowledge of 38 the extent and consequences of this disturbance is limited because large-scale distributions of 39 seabed fauna are not well-known. We map faunal distributions in the Australian Exclusive 40 Economic Zone (EEZ) and quantify the proportion of their abundance that occurs in areas 1) 41 42 that are directly trawled, and 2) where legislation permanently prohibits trawling — defined as percentage exposure or protection respectively. Our approach includes developing a 43 method that integrates data from disparate seabed surveys to spatially expand predicted 44 45 benthos distributions.

46 **Location:** Australia

47 Methods: We collate data from 18 seabed surveys to map the distribution of seabed invertebrates (benthos) in nine regions. Our approach combines data from multiple surveys, 48 groups taxa within taxonomic classes, and uses Random Forests to predict spatial abundance 49 distributions of benthos groups from environmental variables. Exposure and protection of 50 benthos groups were quantified by mapping their predicted abundance distributions against 51 52 the footprint of trawling and legislated boundaries of marine reserves and fishery closures. **Results:** Trawling is currently prohibited from more area of Australia's EEZ (58%) than is 53 trawled (<5%). Across 134 benthos-groups, 96% had greater protection of abundance than 54 exposure. The mean trawl exposure of benthos-group abundance was 7%, compared to mean 55 protection of 38%; whereas the mean abundance neither trawled nor protected was 55%. 56 Fishery closures covered 19% less study area than marine reserves, but overlapped with a 57

higher proportion (5% more) of benthos-group abundance.

59 Main Conclusions: This study provides the most extensive quantitative assessment of the
60 current exposure of Australia's benthos to trawling. Further, it highlights the contribution of

61	fishery closures to marine conservation. These results help identify regions and taxa that are
62	at greatest potential risk from trawling, and supports managers to achieve balance between
63	conservation and sustainable industries in marine ecosystems.
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#### 81 INTRODUCTION

Seabed fauna are critical for the functioning of marine ecosystems. Seabed invertebrates 82 (benthos) help oxygenate the sea floor, break down organic material, provide habitat structure 83 and food sources for other organisms (Tagliapietra & Sigovini, 2010). Accordingly, benthos 84 are often used as indicators for assessing the status and health of marine ecosystems 85 86 (Rosenberg *et al.*, 2004). From a human perspective, benthos support a range of commercial industries (Hiddink et al., 2011; Choi & Joon Choi, 2012). However, many benthic species 87 are sensitive to disturbance; thus, the extent and intensity of human activity in marine 88 89 ecosystems can ultimately disrupt the services that benthos provide (Thrush & Dayton, 2002). 90 While the importance of benthos in marine ecosystems is recognized, their distributions and 91 extent of threats on them are largely unknown, particularly across large spatial scales.

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Seabed trawling and dredging (hereafter "trawling") yield ~25% of global seafood catches 93 (FAO, 2009), yet are considered the most widespread human source of direct physical 94 disturbance to benthos (Hinz et al., 2009). Much research has focused on investigating the 95 96 impacts of trawling on benthic species and communities (Kaiser et al., 2006). Experimental and comparative studies indicate that trawling reduces species abundance and biomass 97 (Burridge et al., 2003; Kaiser et al., 2006), and can lead to longer-term restructuring of 98 benthic communities (Hiddink et al., 2006a; Hinz et al., 2009). While there is much debate 99 about the severity and extent of trawl impacts (Hilborn, 2007), rather few studies have 100 measured these on large scales ( $>50 \text{ km}^2$ ) where spatial variation in trawling intensity will 101 102 influence the aggregate impact (Jennings et al., 2001; Hiddink et al., 2006a; Pitcher et al., 2016a). 103

105 Marine reserves and fishery closures are two management tools that are used to protect species and habitats from human disturbance (Rice, 2005). Previously, marine reserve 106 designation was largely opportunistic (Roberts et al., 2003), but now systematic approaches 107 108 that take account of biota distributions may be used for planning spatial closures (Schmiing et al., 2014). Even though closures and reserves may not be specifically established for 109 protection and conservation of benthos, they may provide fortuitous benefits (Pitcher et al., 110 111 2007a). Protected areas that are not located in areas of high benthos abundance or diversity may have little benefit for the state of benthic ecosystems, and can have negative effects if 112 113 fishing is displaced to benthos rich areas (Pitcher et al., 2015). Thus, benefits for benthos cannot be assumed, and distributions of benthic habitats and fauna should be assessed and 114 incorporated when planning spatial closures (Hiddink et al., 2006b). 115

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Knowledge of the large-scale distribution of benthos is essential for impact assessments, 117 118 conservation and management. Given incomplete knowledge of benthic distributions and the 119 challenge of large-scale and high-resolution sampling (Fisher et al., 2011), models are often developed and used to predict species distributions beyond sampled sites (Elith & Leathwick, 120 121 2009). Attempts to apply these models are often constrained by sparse and patchy survey data, often only available as presence/absence or even presence-only records and at coarse 122 taxonomic resolution (Compton et al., 2013). Despite investment in some large-scale benthic 123 surveys in Australia (Pitcher et al., 2007b; Pitcher et al., 2016b), it is not feasible to address 124 all constraints. Hence, alternative methods need to be adopted for predicting distributions and 125 trawling impacts in data-limited situations, and to expand the extent of assessed regions. 126 Advances in the use of data-limited approaches for making large-scale predictions of benthos 127 distributions would enable management decisions on the mitigation of seabed impacts in 128 more areas of the world. 129

We aim to quantify, across large spatial scales, the proportion of benthos abundance currently 131 distributed in areas that are trawled — defined as *exposure* — and in marine reserves or 132 fishery closure areas where legislation permanently prohibits trawling — defined as 133 protection. Our analysis is based on benthos distributions predicted from seabed survey data. 134 135 We also develop approaches to utilize sparse and disparate datasets with the intention of expanding the spatial extent of distribution mapping — an approach that can be widely 136 applicable elsewhere. Here we focus on the Australian Exclusive Economic Zone (EEZ), 137 where the diversity of environments and seabed fauna is high (Ponder et al., 2002), and 138 management measures are already influencing the distribution of trawling activity; including 139 large MPAs (e.g. The Great Barrier Reef Marine Park: Day & Dobbs, 2013; Commonwealth 140 141 Marine Reserves: Department of the Environment, 2016) and ecosystem-based fisheries management (McLoughlin et al., 2008). 142

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#### 144 METHODS

145 Large-scale distributions of benthos were modelled and predicted from available surveys and environmental variables across Australia's EEZ. We sought to maximise the extent of 146 predictions by utilising data from disparate surveys (e.g. different sampling devices, 147 148 abundance metrics, locations and levels of taxonomic resolution). Benthos taxa were aggregated to the rank of class — the taxonomic resolution consistently recorded among 149 datasets and with reported trawl-sensitivities (Collie et al., 2000). Within classes, taxa were 150 grouped according to their correspondence with assemblages of sites and their abundance 151 data were aggregated and modelled. Finally, benthos group distributions were mapped and 152

used to quantify the proportion of their abundance that overlaps with the trawl footprint(exposure) and trawl closed areas (protection).

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# 156 Collating large-scale datasets across Australia

We collated available data across Australia's continental EEZ (9.14 million km<sup>2</sup>, Fig. 1) for
large-scale benthic surveys, trawl footprint, trawl closed areas and environmental variables
(predictors).

160 *Benthic surveys* 

Invertebrate data were obtained from 18 benthic surveys in nine Australian regions (Fig. 1; 161 Table S1 in Supporting Information). These data were collected from 3200 sites by four gear 162 types: beam trawl (119 sites), grab (462 sites), epibenthic sled (2438), and prawn trawl (1028 163 sites). The taxonomic classes that comprised the largest number of sampled taxa were used in 164 165 analyses: Bivalvia, Demospongiae, Echinoidea, Gastropoda, Gymnolaemata, Holothuroidea, Malacostraca, Ophiuroidea and Polychaeta. The number of taxa identified in each survey 166 ranged from 277 to 4067, and their abundances were recorded as counts or weight, usually 167 standardised by sampled area. 168

169 *Trawl footprint* 

Trawl effort data for 3–5 years in 2007–2012 were acquired from the relevant authorities responsible for management of each fishery (Table S2). Average annual trawl effort in hours per  $0.01^{\circ}$  grid cell (~1.1 km) was re-scaled to total swept area, based on the gear swept-width and towing speeds for each fishery. Total annual swept area was divided by grid area to give the swept-area ratio *F* of each cell. Trawl footprints were estimated in two ways: (1) by assuming trawling was randomly distributed within cells, thus the trawled proportion of each

176	cell is $1-e^{-F}$ and is representative of the annual trawl footprint, and (2) by assuming trawling
177	is uniformly distributed within cells, thus the trawled proportion of each cell is $F$ if $F < 1$ ,
178	otherwise 1, and is representative of a multi-year trawl footprint (for details see Pitcher et al.,
179	2016c; Pitcher et al., 2016d).

180 *Trawl closed areas* 

All available data on the location of marine reserves/parks and fishery closures were collated for the Australian EEZ (Table S3; Table S4). We examined each management and zoning plan to include only spatial areas that permanently prohibit trawl fishing. All areas that prohibit trawling were combined and mapped using ArcGIS (ESRI, 2014). We note that for many Commonwealth Marine Reserves protection is planned but not yet in effect.

#### 186 Environmental data

Environmental data for modelling and predicting the distribution of benthos comprised 37
environmental variables mapped to the Australian EEZ on a 0.01° grid (Table S5). Predictors
that did not vary among surveyed sites (SD=0) or were missing for parts of a region were
excluded from individual analyses.

191

#### **192** Statistical methods

Benthos distributions were modelled and predicted using Random Forests (RF), an ensemble
of decision trees with binary splits (Breiman, 2001). Analyses were implemented in the R
computing environment (R Core Team, 2015) using package 'randomForest' (Liaw &
Wiener, 2002). Importance of each predictor was calculated as the increase in Out-of-Bag
(OOB) mean squared error (MSE) when the values of the predictor were randomly permuted.
We used conditional importance as implemented in 'extendedForest' to take into account

199 correlations between predictors (Ellis *et al.* 2012). Model performance (measured by OOB 200  $R^2$ ) was improved in all analyses by iteratively excluding predictors with low importance 201 until OOB  $R^2$  stopped improving. We optimized the number of terminal nodes of trees 202 ('maxnodes') by iteratively fitting RFs with maxnodes increasing from 1, in blocks of 10, 203 until OOB  $R^2$  decreased for two consecutive blocks. The number of terminal nodes associated 204 with the highest OOB  $R^2$  was selected for the final model.

205

#### 206 **Predicting benthos distributions**

Nine regions on the continental shelf of Australia (area = 1.44 million km<sup>2</sup>; ~16% of EEZ;

Fig. 1) were assessed based on the availability of large-scale benthic survey datasets (Table

S1). Each study region was bounded by the latitude, longitude and depth-range of surveyed

sites. Analyses for each region followed a three-step process: arranging data-matrices,

grouping taxa and predicting current benthos distributions using RF (Fig. 2; Appendix S1 – R
code example).

213

# 214 <u>Step 1. Arranging data into a matrix</u>

The RF analyses required a site-by-taxon matrix (biomass or count data) for each of the nine regions. Three approaches based on the complexity of regional survey datasets were used to produce the matrix (Appendix S1).

# 218 *i)* Single gear approach

For regions where benthos were sampled with one gear type (e.g. sled, prawn trawl or grab),abundance data were arranged into a conventional site-by-taxon matrix.

221 *ii) Multiple gears approach* 

In some regions, multiple gears were used to sample benthos at the same sites. Where surveys 222 used two devices that had substantive overlap in species composition, taxa data were 223 combined by accounting for catchability differences between gears (note: epifauna and 224 225 infauna, e.g. trawls vs grabs were not combined). A multiplicative scaling-factor was estimated for each taxon sampled by both gears, using an iterative process, similar to Chen et 226 al. (2007): (i) an initial scaling-factor, equivalent to the back-transformed difference between 227 228 gear means of the log-transformed data, was used to rescale abundance (on the natural scale) for the gear with lower catchability, (ii) a random forest (RF) was fitted to the log-229 230 transformed re-scaled data-matrix, with all environmental variables as predictors to account for environmental influences on abundance; (iii) an incremental scaling-factor was estimated 231 by minimising least squares on the residuals of the RF fit, then back-transformed and used to 232 233 re-scale the rescaled data-matrix again. Steps two and three were repeated until the 234 incremental scaling-factor converged. The final scaling-factor for each taxon was estimated as the cumulative-product of the initial and incremental scaling-factors. Both gear-types were 235 considered to sample the taxon with adequate reliability for scaling-factors in the range 0.2 -236 5, and data from both gears were used by scaling-up abundances for the gear with lower 237 catchability. If scaling factors were outside this range, data from the lower catchability gear 238 was considered too unreliable and we used data only from the gear having the higher 239 240 catchability. Where the same sites were sampled with two gears, we calculated the mean site 241 abundances of each taxon after scaling-up the data from the gear with lower catchability. If sites were not all sampled by both gears a 'hybrid' site-by-taxon matrix was created, where 242 for taxa requiring a scaling-factor, data were the mean of the observed and rescaled 243 244 abundances at all sites (averaged at sites with both gears), and for taxa sampled with only one gear, data were the observed abundances, and at sites sampled by the other gear abundances 245 246 were estimated with RF modelling.

Subsequent calculations on the hybrid matrix required abundance data on the natural scale.
However, where predicted abundances were used, the back-transformation introduces a bias
and a correction factor is required to adjust predicted values (Cowpertwait & Metcalfe,
2009). Hence, we applied an empirical adjustment factor on the natural scale, estimated from
the ratio of the mean of the observed values and the mean of their corresponding backtransformed predicted values.

#### 254

#### *iii)* Disparate datasets approach

In some regions benthos were sampled by multiple surveys that, although spatially 255 interspersed, were disparate in: spatial extent, time, taxonomic resolution and identification, 256 257 sampling device and abundance metrics. These datasets could not be cross-standardised nor could taxa be merged. To integrate these disparate datasets, we fitted RF models (log 258 response) for each taxon within each survey dataset separately, with environmental variables 259 as predictors. These RF models were used to predict each taxon's abundance at sites sampled 260 by all other surveys. Predictions were then back-transformed to the natural scale, applying an 261 262 adjustment factor as described in the previous section. Thus a 'hybrid' site-by-taxon matrix was created with observed abundances where available, otherwise predicted abundances. 263

264

Because the disparate surveys could not be standardised, we normalised the hybrid matrices via a series of scalings: 1) the abundance of each taxon (including rare taxa) was divided by its total abundance so that across surveyed sites each taxon's abundance summed to one. Next, 2) each taxon was scaled by the proportion of abundance it comprised of its own survey dataset, so that each dataset summed to one. Finally, 3) these values were multiplied by the total number of taxa in the dataset, so that each dataset summed to the total number of taxa

comprising that dataset. The normalised hybrid matrices, now with the same number of sites,were joined together to provide a single hybrid matrix.

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## 274 <u>Step 2: Determining benthos groups</u>

We aggregated taxa at taxonomic class level because of: taxonomic inconsistencies among 275 datasets, reported sensitives of benthos to trawling, and to provide concise presentation of 276 277 results. However, different species within classes can have very different distributions. Thus, 278 within classes, we grouped taxa with similar distributions so that resulting distributions more usefully reflect distributions of constituent species. Various methods exist that can group taxa 279 based on the correlation of their abundances at sites, but most do not objectively define the 280 281 number of groups. Multivariate Regression Trees (MRT; De'ath, 2012) provide an objective method for grouping sites based on the sampled abundances of taxa and their relationships 282 with environmental variables. Hence, first we grouped sites using MRT, then assigned taxa to 283 site-group assemblages using the Dufrêne & Legendre (1997) indicator-species metric (DLI). 284

# 285 (*i*) Group sites by multivariate regression trees

MRTs (R package 'mvpart'; De'ath, 2012), group sites by minimizing heterogeneity in multi-286 taxon composition data through repeated splitting on environmental values. The response 287 variables were the site-by-taxon matrix (or hybrid matrix), log-transformed, excluding rare 288 taxa (presence at <5 sites). Tree size (number of terminal nodes = groups) was selected by 289 cross-validation, using the "1SE" criterion, which indicates the smallest tree having 290 291 prediction error within one standard error of the minimum cross-validated error. The terminal nodes of the tree represent site-group assemblages of taxa, with homogeneity of composition 292 defined by this criterion. 293

- 294
- *ii)* Assign taxa to groups and aggregate abundance

We calculated the DLI metric of the relative frequency and abundance of each taxon for each site group (function 'indval' in R package 'labdsv'; Roberts, 2010), based on the site-bytaxon matrix on the natural scale (created in Step 1). We assigned each taxon to the group in which it attained its highest DLI score. This also enabled inclusion of rare taxa and assignment of them to the appropriate group. Group abundance was calculated by summing taxon abundances (on the natural scale) at sites from the site-by-taxon matrix.

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# 302 <u>Step 3: Predicting benthos distributions</u>

The abundance distributions of benthos groups were modelled with RF. Where model 303 performance indicated a meaningful level of prediction success (cross-validated OOB R<sup>2</sup> 304 305 >5%), we used the model to predict and map the current distribution of benthos groups to a regional-scale grid of environmental variables. The influence of variables in each benthos-306 group model was obtained from the RF predictor importance measure (%IncMSE). We 307 summarized predictor importance across models by scaling importance by its proportionate 308 contribution to model performance (OOB  $R^2$ ) for each benthos group. These proportions 309 310 were then averaged across all models, per region and per class to estimate overall predictor importance. 311

312

#### 313 Calculating benthos trawl exposure and protection

Benthos group abundance distributions were mapped (on a 0.01° grid) against trawl footprints and boundaries of areas closed to trawling to quantify their trawl *exposure* and *protection*. Specifically, we quantified *exposure* by summing the predicted group abundance in trawled grid cells, calculating the trawled proportion of each cell (calculated using both the random and uniform methods, to represent the annual and multi-year exposure respectively), and dividing by total group abundance. *Protection* was quantified by summing group
abundance in cells identified to permanently prohibit trawling via legislated fishery closures,
marine reserves or both, and dividing by total group abundance. We also quantified the
proportion of group abundance in cells neither trawled nor protected.

323

324 **RESULTS** 

# 325 **Prediction performance and important predictors**

326 The performance of RF prediction models (Fig. S1; Fig. S2) varied widely among benthos groups within all regions. The OOB  $R^2$  values tended to be highest for Exmouth Gulf & 327 Shark Bay and lowest for Pilbara Coast (Fig. S1). The most important predictor across all 328 benthos models was sediment sand fraction (Fig. S3; Fig. S4). Other important variables were 329 bottom-water temperature and oxygen concentration, surface photosynthetically active 330 331 radiation, sediment gravel and mud fractions. Predictor importance varied widely across the nine regions, such that the most important predictor for each region was different, but 332 333 sediment properties (sand, mud, gravel) were always among the most important predictors 334 (Fig. S3). Across taxonomic classes, predictor importance was less variable (Fig. S4). Sand 335 was the most important predictor for class Bivalvia, Ophiuroidea, Malacostraca and Polychaeta; gravel was the most important variable for Demospongiae and Gymnolaemata; 336 337 and the annual average bottom-water temperature (°C) was most important for Echinoidea, Gastropoda and Holothuroidea. 338

339

# 340 Trawl exposure and protection across Australia's EEZ

Trawl fishing is prohibited from 57.9% of Australia's EEZ; marine reserves cover 37.2% of the EEZ and fishery closures cover 30.3%, with a 9.5% overlap of both (Fig. 3). The recent national annual and multi-year trawl footprints are 0.9% and 1.1% of Australia's entire EEZ, and the area of grid-cells in which any trawl effort is recorded is 4.4% of the EEZ. Thus, ~37.7% of the EEZ is neither trawled nor protected.

346

## 347 Trawl exposure and protection across study regions

The proportion of trawled and protected areas varied substantially among the nine case-study 348 regions (Fig. 4; Table S6). Regions having the highest proportion of protection were the 349 Great Barrier Reef followed by Exmouth Gulf & Shark Bay. The areas with least protection 350 351 were the Pilbara Coast and Gulf of Carpentaria. The highest proportion of trawl footprints were in Exmouth Gulf & Shark Bay and the lowest were in the Great Australian Bight and 352 West Coast — other regions were intermediate. The predicted distributions of benthos groups 353 differed within regions, thus their protection and trawl exposure varied, including between 354 groups within taxonomic classes. For example, the variation between three different 355 356 distribution groups of sea urchin (Echinoidea) taxa in the Gulf of Carpentaria (Fig. 5).

357

Protection and exposure also ranged widely across all 134 benthos groups for which
distributions were predicted and mapped by this study (Table S7; Table S8). As a proportion
of their abundance, almost all benthos groups (129/134; 96%) had higher protection from
trawling (mean=38%; median=40%) than exposure to trawling (mean=6.5%; median=3.2%;
Fig. 6). Only five benthos groups, in four regions, had higher exposure than protection. In all
five cases, a greater proportion of their abundance was neither trawled nor protected. Indeed,
overall, the greatest proportion of group abundances occurred in areas that were neither

365 protected nor trawled (mean for all 134 groups =55.5%). Among regions, there tended to be a 366 consistency of protection and exposure related to the extent of trawling and reserved/closed 367 areas within the study region. However, across all regions, there was no apparent pattern of 368 protection or exposure related to taxonomic classes (Fig. 6b).

369

Comparing across regions, benthos in Exmouth Gulf & Shark Bay had the highest exposure 370 to trawling (mean=26.7%; Fig. 6a); yet, this region had comparably high protection 371 (mean=43.1%), primarily due to extensive fishery closures. In contrast, benthos in Pilbara 372 Coast had the least protection, but also low exposure to trawling. Benthos groups in the Great 373 Barrier Reef had the highest protection by marine reserves compared to other regions, but its 374 375 trawl fishery closures have been fully incorporated into its protected areas, so combined 376 protection of its benthos groups (mean=52%) was similar to that of several other study regions (Fig. 6a). 377

378

379 Regions having the most variation in protection among benthos groups included the Great 380 Barrier Reef (min =13%, max=80%) and Spencer Gulf (min=4%, max=79%) (Fig. 6a), 381 reflecting widely differing benthos group distributions in relation to reserves and closures. The least variation occurred in the South East (min=33%, max=56%), and Pilbara Coast 382 383 regions (min=3%, max=26%). In all regions, variation in benthos trawl exposure was considerably less than variation in benthos protection. The largest trawl variation was in the 384 Great Barrier Reef and Exmouth Gulf & Shark Bay, and the smallest variation in the Great 385 Australian Bight and West Coast. 386

388 Over all benthos groups, greater protection of their abundance was provided by fishery closures (mean=23%; median=20%) than by marine reserves (mean=22%; median=14%; Fig. 389 6a). This was despite fishery closures covering 19% less area than marine reserves (17.7% vs 390 391 21.9%; Table S6). However, slightly more individual benthos groups, by number, had greater protection of abundance in marine reserves (77/134; 57%) than in fishery closures (57/134; 392 43%). Exposure to scallop dredging occurred only in the Southeast region and was minimal 393 394 (max exposure <0.1%; Table S8); hence this gear-type was excluded from presentation of results. 395

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397

#### 398 **DISCUSSION**

399 This study provides the most extensive quantitative assessment of the current trawl exposure 400 and protection of Australia's benthic invertebrates. The exposure of most Australian benthos to trawling was relatively low, whereas benthos protection was typically about 6-fold higher. 401 402 However, for most benthos groups more than half of their benthos abundance was neither 403 protected nor trawled, highlighting the importance of untrawled open areas when considering trawl-impact risks. Our results imply that overall, Australia's benthos may be at low risk from 404 trawling. Although, we caution that the results indicate potential rather than realised risks to 405 406 sustainability and, while the potential risks appeared low overall, there were some cases of higher exposure and lower protection. Further, exposure and protection alone do not account 407 for the sensitivity of benthos to trawl impacts or their capacity to recover. The typically low 408 exposures observed do, nevertheless, suggest that even if impacts in trawled areas were high 409 and recovery was slow, large proportions of abundance outside trawled areas may sustain 410 411 most benthos at regional scales.

While trawling is prohibited over a large proportion (58%) of Australia's EEZ, most of these 413 areas are located in waters deeper than 1,000 m where no bottom trawling occurs (Pitcher, 414 2016). The proportion of area closed to trawling was substantially lower in our shallower 415 study regions (33%, Table S6). Similarly, the national footprint of all Australian trawling as a 416 417 proportion of the entire EEZ (at ~1% trawled, or 4.7% area of grid-cells with effort) is smaller than the trawl footprints in our study regions (at ~3% trawled, or 14% area of grid-418 cells with effort; Table S6). Thus, the high proportion of area protected at the EEZ scale 419 420 cannot be assumed at regional scales, where local protection and risks must be quantified to guide appropriate management actions. Other pressures besides trawling that may affect 421 benthic fauna should also be considered, such as coastal pollution, acidification and climate 422 423 change (Hiddink et al., 2015).

424

Fishery legislated closures are often overlooked as a marine conservation tool (Ward & 425 Hegerl, 2003). The contribution of fishery closures to management of commercial stocks is 426 427 generally well accepted (Stefansson & Rosenberg, 2005), but they can also provide habitat protection (Asch & Collie, 2008). Our study highlights their contribution to protection of 428 Australia's benthos. Interestingly, we found that fishery closures provide higher protection of 429 430 benthos abundance than marine reserves, despite their smaller coverage of the study regions. This may be due to the strategic placement of fishery closures targeting fisheries stock 431 management or productive nursery habitats. While our results indicate that fishery closures 432 433 contribute to benthos protection, the best sustainability outcomes are achieved when a variety of management tools are applied (e.g. effort reductions, catch limits and permanent/temporal 434 spatial closures (Hiddink et al., 2006b; Dichmont et al., 2013; Pitcher et al., 2015). 435

The synthesis presented here enables broad-scale comparisons across regions. The region 437 438 with the greatest protection of benthos abundance was the Great Barrier Reef. Interestingly, one form of protection (either reserves or closure) dominated benthos protection in each 439 region with the exception of the West Coast, the only region with relatively equal protection 440 441 of benthos by reserves and closures. Exmouth Gulf & Shark Bay had the most extensive relative trawl footprint and its benthos had the highest exposure to trawling. However, 442 protection provided by closures in this region was relatively high, offsetting the high 443 exposure. In contrast, benthos in the adjacent Pilbara Coast had the lowest trawl exposure, 444 even though this region did not have the smallest trawl footprint. Thus, while the regional 445 extent of reserves/closures and trawl footprints did influence overall benthos protection and 446 447 exposure, the distributions of individual benthos groups differed substantially within regions and directly affected individual group protection and exposure (e.g. Fig. 5; Fig. 6). Hence, 448 understanding the potential risk of trawling requires information on distributions, since 449 benthos exposure cannot be assumed from trawl footprint alone. 450

451

Patterns of exposure and protection appeared unrelated to taxonomic class. However, 452 distribution relationships with environmental variables did tend to show patterns related to 453 454 class. Moreover, sediment (sand and gravel) were particularly important for six of nine taxa groups. In comparison, the most import predictors varied widely by region, but sediment and 455 bottom-water properties were prevalent. These findings are consistent with other observations 456 457 of strong associations between sediment properties and benthos composition, richness and diversity (Collie et al., 2000; Sutcliffe et al., 2014). Thus, we recommend studies aiming to 458 predict benthos distributions prioritise the collection of sediment and bottom water properties. 459

Defining the extent of study area boundaries is a vexed issue for many spatial studies (Piet & 461 Quirijns, 2009). Our choice of study areas was limited to the extent of existing benthic 462 surveys to avoid extrapolating beyond the data range. However, our results would differ if we 463 used different boundaries such as large marine ecosystems (LMEs; 464 465 http://www.lme.noaa.gov/), marine ecoregions (Spalding et al., 2007), or fisheries management regions (Pitcher et al., 2016d). For example, in the Great Australian Bight there 466 are trawl fishery closures at depths less than 10 m, yet our study region did not encompass 467 468 such shallow depths due to the distribution of surveyed sites. If it did, perhaps our results would have indicated higher protection for benthic taxa, although it is also likely that 469 taxonomic composition would differ at shallower depths. Therefore, study area boundaries 470 471 need to be carefully considered in the context of relevant management questions.

472

There are inherent limitations when conducting large-scale spatial studies that integrate 473 scarce available survey data and rely on modelling to predict distributions. First, available 474 475 data may be biased towards the objectives of the initial survey. For example, fisherydependent data are often relied upon and, in the case of Exmouth Gulf & Shark Bay, the 476 surveys were largely confined to the active trawl grounds, resulting in the higher relative 477 478 trawl footprints for that region in our study. Second, it is implicit that some details observed by finer-scale studies will not be picked up by a large, cross-regional study. Moreover, we 479 aggregated benthos into groups, which would inherently introduce additional uncertainty 480 481 compared with species-level analyses (Pearman et al., 2010). Nevertheless, our broad crossregional finding that trawl exposure was low and protection was high, is consistent with 482 species-level regional analyses (Pitcher et al., 2007b; Pitcher et al., 2015; Pitcher et al., 483

484 2016b). Third, modelling and predicting regional benthos distributions will always introduce uncertainty due to sampling variability/error in source data, imperfect relationships between 485 benthos and environment and biological/ecological processes among others. For these 486 487 reasons, we report the OOB prediction performance of benthos models (Fig. S1; Fig. S2), and acknowledge uncertainty in the estimates of protection and exposure. If such limitations can 488 be minimised, the greatest impediment limiting large-scale assessments is actually the 489 490 availability of suitable survey data. We anticipate that as more data are deposited in the evolving database repositories, more large-scale assessments of trawling may be feasible. 491

492

In conclusion, we discovered greater proportions of benthos abundance in our study regions 493 were distributed in protected and/or closed areas rather than in trawled areas. Our study also 494 495 highlights the importance of fishery closures in providing protection for benthic invertebrates. These results are a first step in quantifying large-scale risks and impacts of trawling on 496 benthos and can help managers identify priorities for focusing future status assessments. 497 Future work should expand our analysis to quantify risk from trawling and determine whether 498 benthos are sustainable under the current regimes of exposure and protection. Such future 499 500 quantitative sustainability assessments can help managers identify if any taxa and regions may be at higher risk from trawling, determine the effectiveness of current management, and 501 guide decisions about the need for future management measures. Our approach for combining 502 scarce and disparate benthic invertebrate data into distribution models can be widely applied 503 to other marine taxa and regions where data are sparse and trade-offs with anthropogenic 504 pressures need to be assessed. Such analysis can help managers achieve balance between 505 conservation and sustainable industries in marine ecosystems. 506

507

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512

#### 513 DATA ACCESSIBILITY

Benthic survey data sources are provided in Table S1. Trawl effort data are confidential and
source information is in Table S2. Marine reserve and Fishery closure data are available from
sources provided in Table S3 and Table S4. Environmental predictor data are available from
sources provided in Table S5.

518

#### 519 AUTHOR CONTRIBUTIONS

520 TM, CRP, NE and WR conceived the ideas; TM and CRP collated the data, TM analysed the521 data. All authors contributed to the writing, led by TM.

522

# 523 **BIOSKETCH**

524 Tessa Mazor is a postdoctoral fellow at the Commonwealth Scientific and Industrial Research

525 Organisation (CSIRO). The fellowship project aims to conduct both national and global

526 quantitative ecological risk assessments of trawling, working with the Ocean and Atmosphere

- 527 research team and linking to a multi-national project "Trawling: finding common ground on
- 528 the scientific knowledge regarding best practices" (<u>http://trawlingpractices.wordpress.com</u>).

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698 FIGURES

Fig. 1 Map of nine study regions around Australia showing locations of sites sampled by oneor more of four gear-types.

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Fig. 2 Flowchart of the steps used to predict and map benthos-group distributions from raw
survey data (left). The box (right) details the three approaches (Single Gear Approach,
Multiple Gears Approach and Disparate Datasets Approach) and the process used to create
the site-by-taxon matrix from collated benthic survey datasets. Note that survey gears with
non-overlapping benthos composition (e.g. epifauna in trawls vs. infauna in grabs), were not
combined, but treated separately as single gears.

708

Fig. 3 Map of areas where trawling is prohibited within Australia's Exclusive Economic
Zone EEZ (see Table S3; Table S4).

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Fig. 4 Area statistics of the study regions: a) relative total areas of the study regions (for
absolute area, see Table S6); b) percentage area within regions comprising fishery closures,
reserves and overlap of both; and c) percentage area within regions comprising grid cells with
trawl effort recorded, and the trawl footprint area for multi-year exposure and single-year
exposure.

717

Fig. 5 Predicted distributions of three groupings of sea-urchin taxa (class: Echinoidea) in the
Gulf of Carpentaria (Figure 1 – study region 1). Areas that exclude trawling are represented
by black polygons with parallel hatching. Each group has different trawl exposure (Group

721	1=1.6%; Group 2=6.4%;	Group 3=4.7%)	and protection (Gro	oup 1=6.4%; Group	p 2=17.5%;
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Group 3=5.8%) as proportions of their abundance. See Tables S7 and S8 for group and modeldetails.

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**Fig. 6** Box plots summarizing protection and trawl exposure of 134 benthos groups, as

proportions of their abundance in each a) region, and b) taxa group — calculated by mapping

their distributions against marine reserves and fishery closure boundaries — and their

combination, and against the multi-year footprint of trawling. Horizontal lines denote the

medians and box plot error bars represents the variation of different benthos groups.



Figure 1.





# Figure 3.



Figure 4.



Figure 5.

