Contrasting patterns of insect herbivory and predation pressure across a tropical rainfall gradient

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4 RRH: Herbivory and Predation across Rainfall Gradient

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8 **Contrasting patterns of insect herbivory and predation pressure across a tropical rainfall**
9 **gradient**

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One explanation for the extraordinarily high tree diversity of tropical lowland forests is that it is maintained by specialized natural enemies such as insect herbivores, which cause distance- and density-dependent mortality. Insect herbivory could also explain the positive correlation between tree species richness and rainfall if herbivory increases with rainfall, is higher on locally abundant versus rare species, and is not limited by predation pressure at wet sites. To test these predictions, insect herbivory and predation pressure on insect herbivores were quantified across a neotropical rainfall and tree species richness gradient, and herbivory was investigated in relation to local tree abundances. Insect herbivory on leaves (folivory) decreased strongly and significantly with rainfall, while predation pressure was significantly higher at the wetter site. Herbivores were more likely to attack abundant tree species, but herbivore damage levels were not related to tree species abundance. Insect folivores might contribute to local tree species coexistence in our system, but seem unlikely to drive the positive correlation between tree species richness and rainfall. The unexpected and contrasting patterns of herbivory and predation we observed support the need for a multi-trophic perspective to understand fully the processes contributing to diversity and ecosystem functioning.

Una explicación para la extraordinaria elevada diversidad de los árboles en los bosques tropicales de tierras bajas es que esta mantenida por enemigos naturales especializados como insectos herbívoros, los cuales causan mortalidad dependiente a la densidad y a la distancia. La herbivoría de los insectos podría explicar también la correlación positiva entre la riqueza de especies arbóreas y la precipitación, si la herbivoría se incrementa con la precipitación, es mayor en especies con alta abundancia local *versus* las especies poco comunes, y no está limitado por la presión de la predación en lugares húmedos. Para comprobar estas predicciones, la herbívora por

47 insectos y la presión de la predación en insectos herbívoros fueron cuantificadas, a lo largo de un
48 gradiente de precipitación neotropical y de riqueza de especies, y a su vez herbivoría fue
49 relacionada con las abundancias locales de 42 especies arbóreas de enfoque. La herbivoría de
50 insectos en las hojas (folivoria) decrece considerable y significativamente con la precipitación,
51 mientras que la presión por predación fue significativamente mayor en el sitio más húmedo. Con
52 una mayor probabilidad los herbívoros atacaron las especies más abundantes, pero el nivel de
53 daño por herbivoría no estaba relacionado con la abundancia de las especies arbóreas. En nuestro
54 sistema, los insectos folívoros podrían contribuir a la coexistencia de las especies de árboles
55 locales, pero parece improbable llevar a la correlación positiva entre la riqueza de especies y la
56 precipitación. Los patrones inesperados y opuestos que se observaron de la herbivoría y la
57 predación apoyan la necesidad de un punto de vista multitrófico para entender completamente el
58 proceso que contribuye a la diversidad y funcionamiento del ecosistema.

59

60 **Key words:** community compensatory trend; Janzen-Connell; Panama; precipitation; species
61 coexistence

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63 **Tweetable abstract:** Climate alters herbivory: in wetter rainforests, insects cause less damage
64 and have higher risk of predation

TROPICAL TREE SPECIES RICHNESS VARIES ENORMOUSLY ALONG ENVIRONMENTAL GRADIENTS

(Pyke *et al.* 2001; Leigh *et al.* 2004; Davidar *et al.* 2005). Understanding how abiotic and biotic factors interact in shaping and maintaining gradients in tree species richness, composition, and ultimately ecosystem functioning is crucial to predict the susceptibility of forests to climate change and to mitigate socio-economic consequences of forest degradation.

One of the most prominent large-scale patterns in tropical plant diversity is the tendency for tree species richness to increase with rainfall and decrease with seasonality (Givnish 1999; Leigh *et al.* 2004; Davidar *et al.* 2005). Enhanced density- and distance-dependent insect herbivory in less seasonal and more humid forests has been suggested to contribute to this pattern (Janzen 1970; Connell 1971; Coley & Barone 1996; Leigh *et al.* 2004; Baltzer & Davies 2012).

Specialized natural enemies such as insects can reduce the fitness of tree offspring growing close to conspecific adults, which serve as reservoirs for natural enemies, or at high conspecific offspring densities, which attract enemies via spatial resource concentration (Janzen 1970; Connell 1971; Root 1973). Conspecific negative density dependence (CNDD) has been widely documented in tropical forests (Peters 2003; Comita *et al.* 2010, 2014; Paine *et al.* 2012; Bagchi *et al.* 2014) and is regarded as an important mechanism contributing to the maintenance of high alpha-diversity in tropical forests (Janzen 1970; Connell 1971; Paine *et al.* 2012; Bagchi *et al.* 2014).

An increase in insect herbivore pressure with rainfall has been suggested to explain higher tree species richness in wet than in dry tropical forests (Janzen 1970; Connell 1971; Coley & Barone 1996; Leigh *et al.* 2004; Baltzer & Davies 2012). Insect herbivores, as the most important primary consumers in tropical forests, consume up to ~70 percent of total leaf area

(Coley & Barone 1996) and have been proposed as major agents of distance- and density-dependent effects on plant species (Leigh *et al.* 2004; Brenes-Arguedas *et al.* 2009). Drier and more seasonal conditions could reduce insect abundance by increasing desiccation risk (Coley & Barone 1996; Givnish 1999; Connahs *et al.* 2011) and by causing resource limitation through decreased plant productivity (Coley & Barone 1996; Leigh *et al.* 2004; Richards & Coley 2007; Connahs *et al.* 2011). The hypothesized tendency for insect abundance to be higher in wetter, less seasonal forests is likely to translate into higher herbivory. However, empirical evidence is scarce and contradictory: insect abundance and herbivory have been shown to be higher (Brenes-Arguedas *et al.* 2009; Rodríguez-Castañeda 2013), lower (Coley & Barone 1996; Leigh *et al.* 2004; Dirzo & Boege 2008) or similar (Baltzer & Davies 2012) in wet compared to dry tropical forests. Thus, it remains unclear whether insect herbivore pressure and CNDD do indeed increase with rainfall, and whether such a trend explains higher plant diversity in wetter tropical forests. In a recent meta-analysis, the strength of negative density- and distance-dependence was found to increase with increasing mean annual rainfall, suggesting that CNDD may contribute to increases in plant diversity along rainfall gradients (Comita *et al.* 2014). However, the degree to which this pattern is driven by insect herbivores or other mechanisms (e.g. pathogens, intraspecific competition) remains unknown.

The impact of CNDD may vary with the local abundance of tree species. Abundant species contribute more individuals per unit area, resulting in higher conspecific densities compared to rare species. Thus, natural enemies should have a higher chance to encounter, attack and build up populations if interacting with locally abundant species (Root 1973; Castagneyrol *et al.* 2014). In contrast, rare species that experience less herbivore damage would profit from a competitive advantage against abundant species, promoting species coexistence via a community

compensatory trend (Leigh *et al.* 2004; Norghauer *et al.* 2006). In order for insects to contribute to maintaining the high tree alpha-diversity of tropical forests via CNDD, the impact of insect herbivory must increase with tree species abundance in a community.

The effects of insect herbivores on plants can be moderated by their predators, which can effectively reduce insect herbivore populations (Purcell & Avilés 2008) and herbivory (Mazía *et al.* 2004; Stireman *et al.* 2005; Rodríguez-Castañeda 2013). Top-down control of herbivores has been suggested to increase from dry to wet tropical forests (Root 1973; Oksanen *et al.* 1981; Richards & Coley 2007). Higher plant productivity and species richness in wet, aseasonal forests may improve the fitness of predators by providing more complementary food and shelter options (Root 1973; Russell 1989). However, high predation pressure and the resulting lower abundance of insect herbivores in wetter forests would counter any tendency for more pronounced herbivore-imposed CNDD to act as a mechanism increasing tree species richness with rainfall. Despite the long-standing recognition of the impact of predators on herbivory (Coley & Barone 1996), few studies have measured predation pressure across tropical rainfall gradients (Stireman *et al.* 2005; Connahs *et al.* 2011), and we are unaware of studies documenting trends in herbivory and predation simultaneously across multiple sites.

Overall, insect herbivory could explain the increase of tree species richness towards wetter tropical forests, if it increases with rainfall, is higher on locally abundant versus rare species, and is not limited by predation pressure at wet sites. Working in a network of sites across a steep gradient of rainfall and tree diversity in Panama, Central America, we quantified insect herbivory of tree saplings in six natural forest sites in relation to the local abundances of a total set of 42 focal tree species. At the same time, predation pressure on insect herbivores was assessed in one dry and one wetter forest along the same gradient. We tested the hypotheses that

(1) insect herbivory increases with rainfall, (2) insect herbivory increases with local tree and sapling abundance, and (3) predation pressure remains unchanged with rainfall.

METHODS

FIELD SITES. – The study was performed in forests in Central Panama, along a steep rainfall gradient. Within just 65 km, mean annual rainfall increases from the semi-deciduous forests at the Pacific side with ~1600 mm/ yr and a pronounced dry season from December to April (~129 d), to the evergreen forests at the Caribbean side with ~ 4000 mm/ yr and a ~ 27 d shorter dry season (Engelbrecht *et al.* 2007). Tree richness ranges from 49 to 165 species per forest hectare along the gradient and is positively correlated with rainfall (Pyke *et al.* 2001). This study was conducted in six lowland 1-ha (100x100 m) forest sites, spanning almost the full range of variation in rainfall and tree species richness (Table 1). Adult trees and large saplings (≥ 1 cm diameter at breast height, dbh), hereafter referred to as 'trees', were censused throughout each site (Condit 1998b, this study) and seedlings and small saplings (≥ 20 cm tall and < 1 cm dbh), hereafter 'saplings', in 400 1x1 m plots per 1-ha site (Comita *et al.* 2007 for census methods). Herbivory and predation pressure were assessed between late May and August 2014 during the rainy season, when insect abundance (Coley & Barone 1996) and predation pressure on insects (Molleman *et al.* 2016) is highest. Mean annual rainfall was calculated based on 20 – 80 year rainfall records in a network of rainfall stations (Steve Paton, pers. comm.).

FOCAL SPECIES AND PLANT MATERIAL. – We focused on shade-tolerant tree species, which contribute the majority of species and stems at these sites (Welden *et al.* 1991), to avoid

157 conflicting impacts of plant life history strategies and growth form. Species were selected to
158 cover a wide range of abundances (based on census data from Condit 1998b and this study). The
159 very rarest species, often represented by only one individual per hectare, were excluded to allow
160 replication within sites. Specifically, species were included only if at least three saplings (50-200
161 cm tall, ≤ 1 cm dbh) could be found in the understory (i.e. excluding tree-fall gaps) of a 1-ha site.
162 We did not sample saplings located in conspecific clusters, i.e. more than three conspecific
163 saplings standing in close proximity, to match scales of herbivory and abundance data. With
164 these criteria, we selected 42 focal species (representing 35 genera, 21 families, and 12 orders;
165 Table S1), of which some were sampled in more than one site amounting to 56 species-by-site
166 combinations. Due to rapid species turnover across the gradient (Condit 1998a), focal species
167 differed among sites. Only one species, *Lacistema aggregatum* P.J.Bergius (Rusby)
168 (Malpighiales: Lacistemataceae), could be included in all six sites allowing for assessment of
169 intraspecific variation in herbivory across the gradient. We measured 5-11 species per site with
170 3-18 individuals per species (mean 11.54; Table S1), and a total of 680 saplings across all sites.
171
172 HERBIVORY MEASUREMENTS. – We focused on folivory and did not investigate more cryptic
173 forms of insect herbivory (e.g., stem-boring, root-feeding). Five fully expanded, young, healthy,
174 shaded and undamaged leaves per sapling were haphazardly chosen and tagged with numbered
175 aluminum rings around the petioles. We focused on fully expanded leaves because herbivory on
176 mature leaves can affect seedling survival negatively (Eichhorn *et al.* 2010). In contrast, plant
177 mortality has been found to be unaffected by herbivory on young leaves (Eichhorn *et al.* 2010),
178 although it is higher than herbivory on mature leaves (Coley & Barone 1996). Leaves with low
179 levels of previous damage ($< 2\%$ of leaf area) were included in cases where too few undamaged

leaves were found. Prior damage was measured with a millimeter grid. Leaves were collected to analyze herbivore damage about 50 days after tagging (minimum 46 d, maximum 58 d), alternating between drier and wetter sites. Missing leaves (3.29 % of all leaves) were not considered, as the cause of leaf loss could not be determined. Overall, 3209 leaves (3-5 leaves per sapling, mean 4.7) were collected.

Herbivory was assessed as the percentage leaf area removed relative to estimated total leaf area. Brown areas were considered as secondary damage and not included in the herbivory measurements. No damage of leaf miners or gall formers occurred on the tagged leaves during our sampling period. Leaves were covered and flattened with non-reflecting glass on a white background and photographed next to a 1 mm scale with a Nikon Coolpix P5000 camera. Photographs were analyzed for remaining and estimated total leaf area using ImageJ 1.46r (Rasband 2006). In the few cases where large parts of the leaf were missing and the original leaf outline could not be resolved, the median leaf area of all conspecific leaves was used as an estimate of the initial total leaf area. Any damage registered prior to the observation period was subtracted from the measured herbivory.

PREDATION PRESSURE. – Predation pressure was assessed in two of our sites (Metropolitano, Charco) in July 2014 using artificial caterpillars (hereafter 'caterpillars'; Howe *et al.* 2009). The caterpillars (30 x 2.5 mm, dark green color, Lewis Newplast) were odorless and non-toxic, and resembled undefended geometrid caterpillars. Members of the family Geometridae feed on woody plants and are among the most commonly observed caterpillars in forests worldwide, including our Metropolitano site (Connahs *et al.* 2011).

In each site, 100 caterpillars were placed individually on shaded tree recruits ≤ 100 cm

tall, ≥ 100 cm apart, with similar sized, entire, single leaves. Tree recruits were not identical to the saplings used for herbivory observations. Caterpillars were attached to the upper side of leaves with a small amount of quick-setting glue (Loctite Super Glue, Henkel) and examined for predator marks after 24 h, 48 h and 96 h (± 2 h). Attacked caterpillars were collected. Fallen caterpillars without predator marks were reattached. The caterpillars and the experimental setup followed the protocols of a global citizen science project (Roslin *et al.* 2017).

Predator marks were clearly visible (Fig. S1) and classified into attacks by ants, birds, mammals, lizards, snails and slugs, and unidentified predators using reference pictures from the literature (e.g. Howe *et al.* 2009). Caterpillars showing several types of marks were scored as attacked caterpillars for each of the relevant predator groups. We excluded caterpillars that only showed marks by snails and slugs (which are not predators on real caterpillars) or unidentified marks (Charco: 34% of caterpillars, Metropolitano: 33%), and missing caterpillars (Charco 2%, Metropolitano 1%). Very high occurrence of snail and slug attacks (70%) required the exclusion of a third, high rainfall site (San Lorenzo), initially included in the study.

STATISTICAL ANALYSES. – Individual sapling herbivory was assessed as median herbivory of three to five leaves, because leaf herbivory data were heavily zero-inflated and non-normally distributed. For each sapling, we analyzed two measures of herbivory. First, we analyzed the probability of being attacked by herbivores by transforming sapling herbivory values into binary data (presence or absence of herbivory). Second, we quantified the amount of herbivory occurring on damaged individuals, including only saplings with a median herbivory greater than zero. We then tested whether the probability and amount of herbivory were significantly related to mean annual rainfall across sites, and to species' abundance within sites.

Abundance was analyzed separately for trees and saplings to test for potentially different effects of conspecific trees versus conspecific saplings on herbivory. We assessed species' abundances in three ways: (1) using counts of tree and sapling conspecifics within each 1-ha site; (2) transforming the counts of tree and sapling conspecifics within each 1-ha site into abundance ranks to account for variation in the total number of tree stems among sites. Abundance ranks were assigned across all tree species within a site, including non-focal species. The rarest species at each site, i.e. with fewest individuals within the respective 1-ha site, was assigned the lowest rank (=1); and (3) to improve comparability across our six sites and create a consistent abundance scale ranging from 0.01 (rare) to 1 (the most abundant species in each site), we standardized abundance ranks by dividing species' ranks by the total number of ranks per site. All three analyses yielded qualitatively similar results (Table S2, Fig. S2&3). We therefore present only the third abundance measure, which improves across-site comparability by controlling for absolute abundance, in the text.

We fitted a generalized linear model with logit link function to analyze the relationship of the probability of herbivory with mean annual rainfall. The relationships of the probability of herbivory with tree abundance and with sapling abundance were analyzed with separate generalized linear mixed-effects models with logit link function. Field site was included as a random effect.

We then analyzed the amount of herbivory occurring on damaged individuals. Species with fewer than three attacked individuals per site were excluded from analyses to ensure reliable median values. The correlation of species' median herbivory with mean annual rainfall, tree abundance, and sapling abundance was tested using non-parametric Spearman rank sum tests, while controlling for the effect of field site for the two latter correlations by calculating partial

correlation coefficients using the 'ppcor' package in R (Kim 2015).

Additionally, we analyzed how the probability and amount of herbivory varied with tree and sapling abundance within each site to check whether across-gradient patterns were mirrored in within-site patterns. We used generalized linear models with logit link function for probability of herbivory, and Spearman rank sum tests for amount of herbivory.

For *L. aggregatum*, the only species found at all six sites, we analyzed the probability of herbivory and individual median amount of herbivory as a function of mean annual rainfall, tree abundance, and sapling abundance (for the results of all three abundance methods see Table S2, Fig. S4&5), separately, as described above. Herbivory data from all six sites were considered in the binary analysis; in the continuous analysis, the wettest site was excluded, since only one individual showed a median herbivory above zero.

Predation pressure was calculated as the proportion of caterpillars attacked and compared between the two sites with an equal proportions test. We also compared predation pressure of each predator group separately between the sites, using generalized linear models with binomial errors and cloglog link to model the probability of a caterpillar showing a particular attack mark (e.g., characteristic of ants or birds). Since caterpillars were removed from the forest after the first sign of attack by any predator, we included a log(time) offset in the models to adjust for differences in the length of exposure to predators. All statistical analyses were performed using R v3.1.2 (R Core Team 2015).

RESULTS

The overall amount of leaf area removed by insect herbivores over the course of the study was

low (mean 1.06%, minimum 0%, maximum 85.53%; Table S1). Extrapolating mean annual herbivory (dividing mean herbivory by the days of exposure and multiplying the result by the 365 days of a year), corresponds to a mean herbivory of about eight percent per year. In total, 26.8 percent of the leaves (861 of 3209), and 61 percent of the saplings (416 of 680) experienced herbivory during our study.

HERBIVORY ACROSS THE GRADIENT. – Counter to our hypothesis, the probability of herbivory (Fig. 1A) and the amount of herbivory (Fig. 1C) decreased significantly with rainfall. For example, mean probability and median amount of herbivory were ~1.5 and 4.4 times higher, respectively, at the driest compared to the wettest site (Panama Pacifico: probability = 0.61, amount = 0.31%; San Lorenzo: probability = 0.42; amount = 0.07%).

HERBIVORY AND ABUNDANCE. – Our hypothesis of an increase in herbivory with tree species abundance was only partially supported. Analyzing all sites together, the probability of herbivory increased significantly with sapling abundance, but not with tree abundance (Fig. 2A&B). Moreover, the amount of herbivory was not related to either tree or sapling abundance (Fig. 2C&D). Analyzing each site separately, we did not find a consistent relationship between species abundance and the probability or the amount of herbivory within each site, and in most cases there was no significant relationship (Table S3).

INTRASPECIFIC VARIATION IN HERBIVORY. – In *L. aggregatum*, neither the probability of herbivory (Fig. 1B), nor the amount of herbivory (Fig. 1D) were related to rainfall. The amount of herbivory was highest at a site with intermediate rainfall (Charco; Fig. 1D), in contrast to the

negative relationship between herbivory and rainfall observed across all species. The probability of herbivory was significantly positively related to the abundance of *L. aggregatum* saplings, but not trees across sites (Fig. 3A&B). The amount of herbivory of *L. aggregatum* was not significantly related to either tree or sapling abundance (Fig. 3C&D).

PREDATION PRESSURE. – Predation pressure, i.e. the overall proportion of attacked caterpillars after 4 days, was higher in the wetter site than in the dry site (wet: 54.7%, 35 of 64 caterpillars; dry: 22.7%, 15 of 66; Fig. 4A). Attack marks mainly originated from ants, birds, and mammals in descending frequency (Fig. 4B). For these different predator groups, the probability of attack was significantly higher in the wetter site than the dry site (Fig. 4B), for attack by ants (glm: $z = -2.96$, $P = 0.003$), with a similar, but non-significant, trend for attacks by birds ($z = -1.896$, $P = 0.058$) and mammals ($z = -1.915$, $P = 0.055$). Only one caterpillar was attacked by a lizard.

DISCUSSION

Herbivory was overall low, equivalent to eight percent per year and comparable to values reported for tropical forests in some previous studies (Eichhorn *et al.* 2010; Baltzer & Davies 2012; Table S1). Studies reporting higher herbivory rates usually defined herbivory more broadly, e.g. including lost leaves (e.g. Brenes-Arguedas *et al.* 2009) or discolored parts of the leaf (e.g. Plath *et al.* 2012), or used means instead of more conservative medians of individual herbivore damage levels (e.g. Plath *et al.* 2012). Nevertheless, even small amounts of herbivory on mature leaves can increase tree recruit mortality (Eichhorn *et al.* 2010) and reduce sapling growth, thereby delaying the age of first reproduction and enhancing the risk of mortality

(Marquis 1984; Sullivan 2003).

HERBIVORY ACROSS THE GRADIENT. – Counter to our prediction, both the probability and the amount of herbivory were lower in wetter forests (Fig. 1A&C). Insect herbivory can be suppressed at low annual rainfall (Brenes-Arguedas *et al.* 2009), a pattern hypothesized to result from insects experiencing an increased risk of desiccation, and/ or seasonal resource shortages in drier tropical forests (Givnish 1999). With ~1750 mm/ yr, the driest forest in our study was still relatively humid, with a dry season that may be too short to suppress herbivore pressure substantially. Nonetheless, the decreasing herbivory with increasing rainfall we report here agrees with several other studies showing higher herbivory rates on shade-tolerant plants in dry than in wet tropical forests (Coley & Barone 1996; Leigh *et al.* 2004; Dirzo & Boege 2008). Along the same gradient, Gaviria and Engelbrecht (2015) found evidence for a stronger impact of herbivory on plant establishment in a dry than a wet forest. Further support is provided by Novotny (2009), who questioned the idea of insect limitation through increased desiccation risk and presented evidence that insects have wider environmental tolerances than previously assumed.

Four main processes may contribute to higher herbivory in dry compared to wet sites. First, more intense and more frequent rainfall events in wet forests may constrain insect abundance and activity simply through the physical force of heavy rain (Wirth & Leal 2001; Purcell & Avilés 2008). Second, a higher predation pressure, i.e. top-down control, on herbivores in wetter forests, as indicated by our results (Fig. 4A&B) and several other studies (Stireman *et al.* 2005; Richards & Coley 2007; Purcell & Avilés 2008) may decrease insect herbivore abundance (Richards & Coley 2007) and feeding activity (Mazía *et al.* 2004; Stireman *et al.*

2005; Rodríguez-Castañeda 2013) in wet forests (see below). Third, anti-herbivore defense of leaves may be more pronounced in wet forest tree species and may effectively deter insect herbivores (Coley & Barone 1996; Julian Gaviria & Bettina M.J. Engelbrecht, pers. comm.). Deciduous, dry forest trees produce short-lived leaves (Santiago *et al.*, 2004), which can easily be replaced due to lower light limitation and therefore do not need high chemical or mechanical protection against herbivores. In contrast, wet-forest trees invest more in their long-lived leaves, arming them with stronger structural defenses (Santiago *et al.*, 2004). Lastly, the Panamanian rainfall gradient is accompanied by an increase in tree species richness, which may decrease herbivory in wetter forests via the higher number of different plant stimuli in more diverse plant communities that may hinder host recognition for specialized herbivores (Tahvanainen & Root 1972; Jactel & Brockerhoff 2007; Castagneyrol *et al.* 2014). Peters (2003) has shown that at a given density of conspecific trees, negative density dependent mortality decreased with the number of heterospecific trees in a tropical forest, attributing this effect to herd immunity.

Despite the relatively low number of leaves measured per species per site, our study is, to our knowledge, the most comprehensive analysis of insect herbivory in forest ecosystems across a rainfall gradient. While our data apply only to folivores, our results, together with earlier studies (Leigh *et al.* 2004; Mazía *et al.* 2004; Dirzo & Boege 2008), run counter to the theoretical prediction that insect herbivory increases with rainfall and is therefore a more important determinant of plant population dynamics and community composition in wetter tropical forests (Connell 1971; Givnish 1999; Leigh *et al.* 2004). CNDD may nevertheless contribute to high tree alpha-diversity via mechanisms other than herbivory, such as mortality from pathogens (Bagchi *et al.* 2014) or intraspecific competition for resources, or by acting more strongly on other life-stages (Zhu *et al.* 2015).

364

365 HERBIVORY AND ABUNDANCE. – The probability of herbivory increased with higher conspecific
366 sapling abundance (Fig. 2B). However, the probability of herbivory was not related to tree
367 abundance (Fig. 2A), and the amount of herbivory did not increase with abundance (Fig. 2C&D).
368 In addition, when analyzing sites separately, we did not find a consistent relationship between
369 abundance and herbivory (Table S3). Insect herbivores may respond to host leaf biomass rather
370 than tree number. However, it is unlikely that in all our sites the rarest species had a similar or
371 higher leaf biomass, i.e. larger and/ or more leaves, than the abundant species and such effects
372 are therefore unlikely to have biased our results. We may have underestimated herbivory –
373 abundance relationships, if insects respond to tree density at very small scales, because we
374 avoided conspecific plant clusters in our sampling protocol. Nevertheless, our results indicate
375 that the relationship between local plant abundance and insect herbivory is complex.

376 The higher probability of herbivory in tree species with higher sapling abundance
377 supports our hypothesis and is in line with a rare species advantage facilitating species
378 coexistence (Connell 1984). In abundant tree species, shorter distances between conspecific
379 saplings may favor host-switching from one sapling to another, which has been suggested to
380 benefit insect herbivores via intraspecific diet mixing (Plath *et al.* 2012; Hambäck *et al.* 2014). In
381 contrast, saplings of rare species are likely to be more isolated from conspecifics, reducing the
382 encounter rate and the probability of herbivory. Additionally, the diversity of cues emitted by
383 plant species in tropical forests may cause a chemical masking of isolated tree individuals,
384 further complicating host-finding for herbivores of rare species (Tahvanainen & Root 1972).
385 Thus, a higher distance between individual plants combined with insect dispersal limitation may
386 explain our result of the reduced probability of herbivory in rare species.

The lack of an increase in the amount of herbivory across abundance was, in contrast, unexpected and may seem at odds with a rare species advantage. A lower probability of herbivory in rare species alone may nevertheless allow the persistence of rare species within a community, if the saplings of rare species benefit enough from escape of herbivore attack to gain a competitive advantage compared to abundant species. The results thus point towards more complex processes influencing the relationship between host abundance and herbivory than expected.

INTRASPECIFIC VARIATION IN HERBIVORY. – In the one species studied across all six forest sites, *L. aggregatum*, the probability of herbivory increased with conspecific sapling abundance across sites, but was not related to conspecific tree abundance (Fig. 3A&B). Further, the amount of herbivory was not related to either tree or sapling abundance. Thus, the relationship of herbivory with abundance in *L. aggregatum* was similar to the pattern found in our across-species analyses. However, neither the probability nor the amount (Fig. 1B&D) of herbivory in *L. aggregatum* was related to rainfall, in contrast to the across-species pattern of decreasing herbivory with rainfall. A recent study of seed predation by insects across the same set of field sites in Panama also found no significant association between rainfall and levels of insect attack on fifteen focal plant species (Jeffs *et al.*, in revision). The divergent herbivory patterns in *L. aggregatum* imply that tree species may differ substantially in the relation of herbivory to rainfall. In addition to rainfall, other abiotic (e.g. nutrients, light) and biotic conditions (herbivore and plant community composition) vary across sites and, depending on species-specific ecological optima for plant and associated herbivore species, may strongly impact plant-insect interactions (Loranger *et al.* 2013; Hambäck *et al.* 2014). These results indicate that studies focusing on single plant species

are unlikely to yield representative results and highlight the need for community level studies.

PREDATION PRESSURE. – The overall predation pressure and the probability of attack by each of the three main predator groups (ants, birds, mammals) were higher in the wetter than in the dry site (Fig. 4A&B). This trend is consistent with a previous study showing increasing predation with rainfall (Stireman *et al.* 2005), and with the notion that ants and birds are the most important predators of tropical insect herbivores (Tvardikova & Novotny 2012; Sam *et al.* 2015). Nevertheless, with only two sites, our results should be interpreted cautiously since the sites may differ in other ways that could influence predation rates (e.g., our drier site is located within an urbanized area, which may reduce predator abundances).

The relative importance of predator-imposed, top-down control compared to bottom-up regulation of herbivores has been hypothesized to increase with stability of climatic factors regulating ecosystem productivity, such as rainfall (enemies hypothesis; Root 1973; Oksanen *et al.* 1981). High and stable primary productivity is proposed to result in high and stable herbivore abundance throughout the year, which may result in a higher number of predators. In line with this prediction, Ferger *et al.* (2014) found that higher precipitation indirectly increases African insectivore bird richness, possibly mediated by an increase in vegetation complexity and biomass of invertebrates. Higher tree species richness in wetter forests may enhance the supply of alternative and complementary food sources, such as nectar, which may stabilize predator populations and improve their fitness (Russell 1989). Further, predators may be less sensitive to the physical effects of rainfall than herbivores due to their larger size and greater robustness.

Predation on larval stages poses a substantial mortality risk for herbivores and can additionally lead to behavioral changes, causing insect herbivores to spend relatively less time

feeding and more time sheltering (Mazía *et al.* 2004; Tvardikova & Novotny 2012). In combination, lethal and behavioral effects of predators have been shown to halve herbivory (Mazía *et al.* 2004). Increased predation pressure towards wetter forests is therefore likely to contribute to the unexpected decrease of herbivory with rainfall that we observed.

CONCLUSION. – To the best of our knowledge, our analysis based on data from six forest sites represents the most comprehensive investigation of insect herbivory in forest ecosystems across a rainfall gradient. Our data suggest that the increase in the probability of herbivory with local sapling abundance could facilitate tree species co-existence. It remains to be tested, however, whether the lower probability of herbivory in rare tree species is indeed translated into a competitive advantage.

We did not find support for the prediction that higher insect herbivory contributes to the increase in tree species richness with rainfall across the Isthmus of Panama. Rather, herbivory strongly decreased with rainfall, which may be at least partly explained by higher predation pressure in wetter forests. Our study highlights the need to incorporate multiple trophic levels when assessing the factors contributing to patterns of species richness.

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461

462 **Conflict of Interest:** The authors declare that they have no conflict of interest.

463

464 **Data availability:** The data used in this study are archived at the Dryad Digital Repository ().

465

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626 TABLE 1 Study sites (1-ha), their coordinates, mean annual rainfall, and number of woody
 627 species with stems ≥ 1 cm dbh (Condit 1998b and this study) and small saplings (≥ 20 cm tall, <
 628 1 cm dbh).

Forest site	Location	Rainfall [mm/ yr]	Species No. (≥ 1 cm dbh)	Species No. (< 1 cm dbh)
Panama Pacifico	8°56'36.60"N 79°36'5.52"W	1756	74	46
Metropolitano	8°59'40.52"N 79°32'34.80"W	1874	47	33
Charco	9°5'2.58"N 79°39'48.24"W	2050	82	53
Pipeline Road	9°9'23.40"N 79°44'39.12"W	2311	130	79
Santa Rita	9°20'8.08"N 79°46'50.67"W	3053	201	108
San Lorenzo	9°16'51.13"N 79°58'28.92"W	3203	161	65

629 FIGURE 1 Relationship of (A, B) mean probability and (C, D) species median percentage of
 630 insect herbivory with rainfall. Data are shown for (A, C) the full set of 42 tree species and for (B,
 631 D) only *Lacistema aggregatum*. Point sizes reflect the (A, C) number of individuals measured
 632 per plant species and the (B, D) the number of individuals per site. (A, B) Lines give results of
 633 logistic regressions (continuous and dashed for significant and non-significant results,
 634 respectively). (C, D) Results of Spearman rank sum tests are shown.

635

636 FIGURE 2 Relationship of (A, B) species' mean probabilities of herbivory and (C, D) median
 637 amount of herbivory with (A, C) species tree and (B, D) sapling abundance ranks. Higher ranks
 638 indicate higher individual numbers. Point sizes reflect the number of individuals measured per
 639 plant species. Results from (A, B) logistic regressions and (C, D) Spearman rank sum tests are
 640 given.

643 FIGURE 3 Probability of (A, B) leaf herbivory and (C, D) amount of herbivory for saplings of
 644 *Lacistema aggregatum* in relation to (A, C) tree and (B, D) sapling abundance rank. (A, B) Point
 645 sizes reflect the number of individuals measured per site. (A, B) Lines give results of logistic
 646 regressions. (C, D) Results of Spearman rank sum tests are presented.

650 FIGURE 4 Predation pressure on artificial caterpillars in a drier (Metropolitano; 1874 mm/ yr)
 651 and a wetter (Charco; 2050 mm/ yr) tropical forest. Shown is (A) the proportion of caterpillars
 652 attacked after 96 hours of exposure and the result of an equal proportions test, and (B) the
 653 proportion of caterpillars showing attack marks of three main predator groups and the results of
 654 generalized linear models.

655