

Effects of chronic bottom trawling on soft seafloor macrofauna in the Kattegat

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4	Running page head: Effects of trawling on macrofauna in the Kattegat
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22	Abstract: Chronic impact studies of bottom trawling aiming to reveal long term effects on
23	benthic organisms are often hampered by the lack of comparable untrawled conditions and the
24	difficulty to assess the spatial distribution of trawling intensity. We sampled soft sediment
25	macrofauna over a precise trawling gradient in the Kattegat using hourly vessel monitoring

systems and logbooks. The gradient accounted for the establishment of a marine protected 26 area where trawling intensity declined sharply. Our results show shifts in the macrofauna 27 28 assemblage and non-linear responses with decrease in number of species and diversity from 29 low to medium trawling intensities. The benthic community was dominated by burrowing 30 brittle stars, and one of the species Amphiura chiajei increased in abundance from low to 31 medium trawling intensities. We interpret the positive response to increasing trawling 32 intensities as a consequence of reduction in predation by benthivorous flatfish and Nephrops 33 norvegicus that are significant catches of the fishery. The response was supported by a 34 corresponding trend towards lower abundance of the dominating brittle stars following enforcement of the MPA and presumably an increase in benthivor density and predation 35 36 pressure within the MPA. We conclude that chronic bottom trawling reduces diversity, and 37 may boost the abundances of species resistant to bottom trawling. The results emphasize the 38 need to consider food web effects when assessing the impact of bottom trawling.

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Key words: Physical disturbance, *Amphiura filiformis*, Echinoderm, Otter trawling, Fishing
impact, Food web, Benthic habitats, *Nephrops norvegicus*, Anti-predator defenses

43 INTRODUCTION

44

Bottom trawls are designed to catch fish and shellfish that live on and close to the seabed and 45 46 are therefore by design in contact with the seabed. As a result, bottom trawling can lead to 47 disturbance of benthic habitats, and changes in the abundance, biomass and diversity of 48 communities and species (Collie et al. 2000; Kaiser et al. 2006). Sensitivity of benthic 49 habitats tends to be lower in shallow high energy areas with high levels of natural 50 disturbance, than in deeper areas where physical disturbance by waves caused by wind driven 51 energy, i.e. storms may not reach the seabed (van Denderen et al. 2015). Sensitivity of the fauna also differs between species depending on their biological traits and the mechanism of 52 53 disturbance. Direct mortality of organisms and longer-term modification of habitats by mobile 54 demersal fishing gear are most severe in vulnerable areas such as deep-water coral reefs and 55 sponge communities where fragile species that build three-dimensional structures make up a 56 significant component of the habitats (Fosså et al. 2002, Greathead et al. 2007, Jørgenssen et 57 al. 2016). Other components of the fauna, like sediment dwelling infauna may be less 58 sensitive to the direct physical impact because they are partly protected by burying in the 59 substrate (Tillin et al. 2006). For such species, indirect effects such as changes in particle dynamics of the sediment (Dounas et al, 2007, Tjensvoll et al. 2013), or changes in the 60 61 balance between predators and prey (Hiddink et al. 2016) and their interactions, or whether 62 the abundance of benthos is top-down or bottom-up controlled (van Denderen et al. 2013), 63 may have larger impacts.

64

65 The effects of bottom trawling impact on seafloor species, communities and habitats have 66 been widely studied, and although some general patterns are emerging, there remains a lot of 67 unexplained variation in the findings of individual studies (discussed in e.g. Collie et al. 2000,

Løkkeborg 2005, Kaiser et al. 2006). The variation in outcomes of studies may relate to 68 69 variations in fishing pressures as well variations in the sensitivity of the system studied or 70 other environmental factors that may interact with fishing. In a recent global analysis of 71 depletion and recovery of benthic fauna based on gradient studies only 5 of 24 studies included unfished conditions in their gradient of trawling (Hiddink et al. 2017). Observational 72 73 studies that sample over existing gradients in trawling intensity may thus suffer from a lack of 74 appropriate reference conditions, i.e. comparable sites without or with low bottom trawling 75 intensity

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77 Fishing activities are patchy and concentrated on specific fishing grounds due to accumulated 78 knowledge by the fishers on catchability of target species, and accessibility by the gears used 79 (e.g., Bastardie et al. 2010, Eigaard et al. 2016a). Likewise, benthic communities are patchy 80 due to the spatial heterogeneity of factors structuring the communities such as the sediment 81 composition, depth, hydrodynamic regime and food availability (e.g. Rosenberg and Möller 82 1979; Gogina et al.2016). With the introduction of satellite monitoring of fishing vessels and 83 developed techniques to analyse and reconstruct fishing activities it has been increasingly 84 clear how certain areas and habitats periodically are fished intensively, while other areas are 85 fished in low intensities or not at all (Eigaard et al. 2016b, ICES 2016). These large spatial 86 and temporal differences occur both on the wide scale between and within sea areas and on 87 much finer scales of about 100 meters. It is crucial to assess the spatial distribution of bottom 88 trawling intensity at a fine resolution if the aim of impact studies is to cover long term impact 89 and effects of direct disturbance of the seafloor. This is because relationships between 90 trawling and responses might otherwise be confounded by misclassification of the pressure at 91 the site where the impact is studied. A universal problem for observational studies of trawling 92 gradients is that trawling intensity, for practical and data access reasons is aggregated within

grid cells much larger than the sampled site, usually 1 X 1 nm or larger (e.g. Hiddink et al.
2006, Reiss et al. 2009, Eigaard et al. 2016b, ICES 2016, Pommer et al. 2016). This may lead
to unreliable estimations of the trawling intensity because large areas are likely to
overestimate the trawling intensity in lightly trawled sites and underestimate the trawling
intensity in heavily trawled sites. To our knowledge, no bottom trawling gradient study of
benthic fauna communities have assessed the trawling intensity on a fine scale directly on the
sampled position using a Euclidian radial distance approach.

100

101 The aim of this study was to evaluate the effects of bottom trawling on benthic macrofaunal assemblages using fine resolution trawling distribution data and untrawled reference 102 103 conditions. We hypothesize that benthic macrofauna will be influenced by trawling intensity 104 and evaluate this by stratifying a sampling programme in relation to a known bottom trawling 105 gradient in the Kattegat. The power to detect effects was maximized by precisely assessing 106 the trawling pressure to the sites where the sampling was carried out. The stratification took 107 into account the establishment of a marine protected area (MPA) where bottom trawling was 108 stopped to ensure as far as possible the inclusion of comparable untrawled conditions. We 109 also study the effect on the macrofauna following the enforcement of the MPA, and 110 hypothesize that temporal changes in the assemblage within the MPA will deviate from 111 changes outside the MPA where trawling continues. 112

114

113 MATERIAL AND METHODS

- 114
- 115 Study area
- 116

117 Kattegat is a shallow (mean depth 27 m) sea area between Sweden and Denmark and connects 118 to the Skagerrak in the north and to the Baltic Sea via narrow straits in the south. The 119 influence from the Baltic Sea by low saline surface waters creates a typical estuarine 120 circulation pattern and strongly stratified water masses separated by a halocline that persists 121 all year round at around 15 m depth but may extend down to 20 m (Granéli 1992). The 122 outflow from the Baltic, the so called Baltic current is mostly concentrated along the Swedish 123 coast where the halocline is deeper and stratification stronger than in the western parts. The 124 water beneath the halocline, originating from Skagerrak and the North Sea is more stable and 125 marine conditions prevail with salinity conditions usually above 32 PSU (Andersson and 126 Rydberg 1988). Depths and substrate vary with shallow areas with sandy sediments in the 127 west and deeper soft mud sediments in the east (Hallberg et al. 2010). Benthic fauna in the 128 soft mud habitats are today dominated by brittle stars of the Amphiura-community (Gogina et 129 al. 2016), but especially the south-eastern part was earlier dominated by amphipods of the 130 Haploops community (Petersen 1913).

131

132 Fishing by bottom trawling in the Kattegat has been ongoing since early 1900 and target 133 gadoid fish and flatfish in the south-eastern part of the Kattegat mainly using otter trawls 134 (Bartolino et al. 2012). Off cod spawning season, and today all year round, the fishery is 135 dominated by otter trawling targeting Norway lobster Nephrops norvegicus or Nephrops and 136 a mixture of fish, mainly plaice Pleuronectes platessa, sole Solea solea and cod Gadus 137 *morhua* (Hornborg et al. 2016). Denmark has the largest share (67%) of the Total Allowable 138 Catch (TAC) of *Nephrops*, Sweden has the second largest share (31%), while Germany has 139 the smallest share of demersal fish and Nephrops (ICES 2015).

140

In 2009 a large (653 km²) marine protected area, (MPA), was closed for all fishing activities in the southeast Kattegat to protect spawning grounds for cod *G. morhua*. The MPA was one of the core spawning areas that was fished by otter trawls historically for about 100 years targeting aggregated cod during spawning season and *Nephrops* and mixture of fish the rest of the year (Vitale et al. 2008). Some illegal fishing activities was documented the first year after establishment of the MPA but seem to have decreased following actions taken by the Swedish and Danish enforcement agencies (Bergström et al. 2016).

148

149 Assessment of trawling intensity

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151 Trawling intensity was estimated as precisely as possible for each sampling site in relation to 152 the spatial resolution of the trawl path of the vessels within a radius of 250m around the 153 location benthic macrofauna were sampled. The method of using the Euclidian radial distance 154 to a sampling station and interpolated trawl tracks has been shown to be consistent and 155 accurate when compared to true distributions of trawl tracks for otter trawls (Lambert et al. 156 2012). The Swedish and Danish fleets equipped with vessel monitoring systems (VMS) were 157 analysed using the VMStools R package (Hintzen et al. 2012) and protocols developed and 158 described in Eigaard et al. (2016a). VMS covers hourly updated GPS positions for vessels of 159 15 m length and larger over the period 2006 - 2011, and all vessels of 12 m length and larger 160 during 2012 - 2014. Based on logbook information of the total effort in the Kattegat, we 161 estimated that the fleet coverage of vessels with VMS increased over the period studied from 50 - 60 % in 2006 - 2011 to > 75 % in 2012 - 2014. The VMS data set was interpolated into 162 163 positional data with 12 minutes' temporal resolution (Hintzen et al, 2010). The spread 164 between the trawl doors was estimated for each logbook trip based on the gear used and the 165 vessel's engine power (Eigaard et al. 2016a). This estimate was combined with interpolated

VMS data to reconstruct individual trawl paths. Trawling intensity was then calculated around 166 167 the sampled position for benthic fauna as the area swept by trawls within the 250 m radius at 168 each station and summed over 32 months prior to the sampling date. The time scale of accumulating trawling over 32 months was chosen to achieve a wide gradient $(0 - 15 \text{ yr}^{-1})$ of 169 170 the bottom trawl history, accumulate the index to cover more than one benthos recruitment 171 event at a sampling station, and as a reasonable match to recovery time of the long living and 172 presumably more sensitive part of the macrofauna species. To ensure that the gradient was 173 consistent and comparable over time, data on trawling intensity was standardised to vessels \geq 174 15 m in all the analyses.

175

176 Benthic macrofauna sampling

177

178 Sampling of benthic macrofauna was carried out in May - June in 2009, 2010, 2011 and 2014 179 using a modified Smith-McIntyre grab (0.1 m^2) at 58 stations (Fig. 1). One sample was taken 180 per station and sieved (1.0 mm mesh size) for macrofauna. Sediment properties mapped by 181 Hallberg et al. (2010) was inspected by eye and fingers for each sample and for all stations 182 verified as soft mud sediments sometimes mixed with fine sand -silt. Samples were stored in 183 4% borax buffered formaldehyde prior to sorting, counting and weighing. With few 184 exceptions, e.g. species belonging to the genus *Edwardsia* and the amphipod family Aoridae, 185 all taxa were identified to species level.

186

187 All statistical analyses on biomass were tested without large bodied species, i.e. the heart 188 urchins *Brissopsis lyrifera* and *Echinocardium cordatum*, and the ocean quahog *Arctica* 189 *islandica* that due to their individual weight in some samples may strongly influence the 190 outcome of the results. The distance based test for homogeneity of multivariate dispersions

191	PERMDISP (for statistical methods see below) was significant when including large bodied
192	species (Group factor Trawling intensity: $F = 5.60$, $df_1 = 3$, $df_2 = 228$, $p = 0.0034$). Removing
193	these species thus improved the statistical results by reducing heterogeneity of biomass.
194	
195	A total of 29864 specimens belonging to 261 different taxa of benthic fauna were present in
196	the samples.
197	
198	Multivariate analysis of macrofauna structure along the trawling intensity gradient
199	
200	Taking into consideration that the bottom trawling activities would be removed from the
201	MPA, benthic fauna sampling stations was established inside and outside the MPA with the
202	aim to cover a wide gradient in bottom trawling intensity (Fig. 1). The sampled stations were
203	situated to cover the habitat and depths explored by the dominant demersal bottom trawl
204	fisheries, and thus chosen within the depth interval 23 - 65 m where 95% of the trawled
205	positions from the VMS of the dominant fisheries were located. Sampling stations were
206	placed to reduce natural variation between habitats by limiting the stations to post glacial soft
207	mud sediments according to sediment mapping of the Kattegat (Hallberg et al. 2010). In this
208	design, we expect to identify macrofauna community shifts in the benthic community along
209	the identified trawling intensity gradient.
210	
211	All multivariate analyses were performed using the statistical package PERMANOVA + for
212	PRIMER (Anderson et al. 2008). The effect of trawling intensity on the macrofauna
213	community was analysed with PERMANOVA with trawling intensity at sampling station
214	categorised into statistical quartiles (≤ 0.4 , $>0.4 \leq 2.1$, $>2.5 \leq 4.6$, >4.6 yr ⁻¹) as fixed factor.

215 The categorisation was done to simplify interpretation of the results since two more factors

216 was included in the design, and to standardise the trawling intensity gradient into informative 217 statistical groups representing low to high intensities. Year of sampling was fixed and 218 sampling station a random factor nested in trawling intensity. Community composition were 219 weighted both by abundance and biomass of species. Resemblance matrices were constructed 220 using Bray-Curtis similarity and abundance and biomass data was fourth root transformed to 221 reduce the influence of dominant species. Posthoc comparisons were done using pairwise 222 PERMANOVA, and results were visualized with Canonical Analysis of Principal Coordinates 223 (CAP). All data was evaluated for distance based test for homogeneity of multivariate 224 dispersions using the function PERMDISP.

225

226 To further explore the effect of the gradient in trawling intensity and to account for the 227 potential covariance of trawling intensity and depth related environmental factors known to be 228 important in the Kattegat (Petersen 1913, Rosenberg & Möller 1979, Rosenberg et al. 2000, 229 Agrenius and Göransson 2009, Pommer et al. 2016, Leonardsson et al. 2016), we evaluated 230 depth together with trawling intensity as predictors for community composition weighted by 231 species abundance and biomass using Distance based Linear modelling (DistLM) and 232 Distance based redundancy analysis (dbRDA). The predictors were checked by draftsman 233 plots and trawling intensity was transformed by log (X+1) and depth by square root to reduce 234 skewness. Depth and trawling intensity were checked for collinearity and a correlation of 0.64 235 was estimated for these variables. This is below the critical correlation level of 0.7 as 236 suggested by Dormann et al. (2013). The "Best" selection procedure was chosen together with 237 the selection criteria modified Akaike Information Criterion (AICc) for model selection, and 238 only predictor variables that were significant in marginal tests were selected. Individual 239 species contributing to the multivariate dbRDA model was evaluated using correlations 240 (Pearson's R) between species abundance and the axis that explained most of the variation

correlating with trawling intensity. In addition, correlations were done between speciesabundance and trawling intensity.

243

244 Univariate analysis of macrofauna metrics along the trawling intensity gradient 245 The effects of bottom trawling on macrofauna may be expected to depart from a linear 246 relationship. For this reason we analysed univariate response variables using generalized 247 additive models (GAMs). GAMs use non-parametric functions which allow to model non-248 linear relationships without prior knowledge on their actual shape. The effect of trawling was 249 tested by applying GAM on the following univariate response variables: number of species 250 Nspp, total abundance Nind, total biomass Biom, abundance of the two dominant species 251 Amphiura filiformis and Amphiura chiajei, Richness index d (Margalef 1958), Shannon 252 diversity H'(log₂) (Shannon & Weaver 1949) and Benthic Quality Index (BQI). BQI was 253 calculated according to Leonardsson et al. (2016) without the correction for depth as depth is 254 included in the GAM model (see below). The BQI is used by Sweden for benthic quality 255 assessments under the EU Water framework directive (2000/60/EC) and combines abundance 256 weighted species sensitivities with species diversity into one index. All the variables were 257 calculated at the level of individual sampling stations (i.e., for each year y and geographical 258 location ρ, φ identified by longitude and latitude degrees) and the analysis performed 259 accordingly. The models were formulated as follows:

260

261
$$Response_{(y,\rho,\varphi)} \sim \alpha_y + s(D_{(\rho,\varphi)}) + s(TI_{(y,\rho,\varphi)}) + s(Nind_{(y,\rho,\varphi)}) + \gamma_{(\rho,\varphi)} + e_{(y,\rho,\varphi)}$$
262

where α is the year specific intercept, *s* is a one-dimensional (Wood 2004) smoothing function on depth (*D*) and trawling intensity (*TI*). To account for dependency among sampling stations which are closer in space, the error part of the model was separated into a Gaussian spatially

autocorrelated component (γ) (Venables and Ripley 2002, Dormann et al. 2007), which was 266 267 treated as a random effect (Pinheiro and Bates 2000), and a normally distributed error term 268 (e). The total abundance (*Nind*) was included as a predictor only for the model using *Nspp* as 269 a response variable to account for changes in the detection probability of new species as 270 abundance increases. Model overfitting was controlled by constraining to 3 the maximum 271 degrees of freedom on the depth variable. Inspection of models' residuals revealed no major 272 departure from the model assumptions of normality and homogeneity of variance. The GAM 273 models were fitted using R and the library mgcv (Wood 2011). 274 275 Effects of reduction in trawling intensity by the establishment of the marine protected 276 area 277 278 In the evaluation of the closure for trawling within the MPA, we expected potential temporal 279 changes in the macrofauna assemblage within the MPA to deviate from changes outside the 280 MPA where trawling continues. No benthic sampling was carried out prior to the 281 establishment of the MPA in 2009. However, we assume that the macrofauna community 282 status of 2009 sampled five months after the enforcement of the MPA can be considered as a 283 reasonable baseline since the impact of previous years bottom trawling was likely to remain 284 longer (i.e. > 1.9 years) for macrofauna (Hiddink et al. 2017). 285 286 Samples were collected at the same stations in 2009, 2010, 2011 and 2014. Stations sampled 287 within the MPA prior to the establishment in 2009 and outside over all the years, were 288 defined as trawled if trawling intensities were above the median trawling intensity (> = 2.5) 289 estimated from all sampling stations and the whole study period. As expected a reduction in 290 trawling intensity by the establishment of the MPA in 2009 was seen over time. However,

trawling intensity was not reduced to zero at all stations inside the MPA indicating some

292 noncompliance or misclassification of vessels on transit at slow speed (Fig. S2).

293

294 The experimental effect of the MPA on the temporal development of the species assemblage 295 was evaluated using PERMANOVA with year of sampling and treatment i.e. closure versus 296 continuously trawled as fixed factors, and station as random factor nested in treatment. The 297 effect of the closure on the macrofauna community will thus be the interaction between Year 298 of sampling and Treatment (i.e. the "closed" area versus the area outside the MPA that was 299 continuously "trawled"). Dependent variables examined were species composition weighted 300 by abundance, biomass, or abundance of the two most dominant species (Amphiura filiformis 301 and Amphiura chiajei), and univariate total abundance, total biomass and number of species. 302 As significant effects were detected for the dominant species these were as well examined by 303 a factorial ANOVA with the same design. Dependent variables i.e. abundances of the species 304 were transformed by $\log X + 1$ and tested for unequal variances by Welsch's test. Univariate 305 statistics were analysed using the statistical package JMP pro 12.0.1. 306

307 **RESULTS**

308 Multivariate analysis of macrofauna structure along the trawling intensity gradient
 309

Trawling intensity estimated as swept area ratio ranged from $0 - 15 \text{ yr}^{-1}$ and was heavily skewed towards the lower range (median 2.1 yr⁻¹). The ranges and distributions of trawling intensity verified the stratification of the design and were stable over the four years sampled with the exception of the anticipated decrease at the 7 stations protected due to the establishment of the MPA from 2009 (Fig. S1 and S2, supplement).

316 The PERMANOVA based on species abundance indicated significant effects of both year (p 317 = 0.0001) and trawling intensity (p = 0.0001) on the community composition of the 318 macrofauna, but no interaction between these two factors (p = 0.0749; Fig. 2). Pairwise 319 comparisons of trawling intensity effect showed that the effect of trawling intensity categories 320 differed between the overlapping two lower quartiles and the two highest overlapping 321 quartiles. Similar statistical results as for abundance were achieved using species biomass 322 without large bodied species (year: p = 0.0001; trawling intensity categorised into quartiles: p 323 = 0.0001, and no interaction between these two factors: p = 0.1045). Pairwise comparisons of 324 trawling intensity categories again showed that the effect of trawling intensities differed 325 between the overlapping two lower quartiles and the two highest overlapping quartiles (for 326 details on statistics, see Supplement tables S1 on macrofauna abundance and table S2 on 327 biomass). The multivariate PERMANOVA analysis thus indicated that the macrofauna 328 assemblage was structured differently over the years and along the trawling intensity gradient 329 of the sampling stations, but that the effect of variation in trawling intensity did not change 330 over time.

331

332 To further explore the effect of the gradient in trawling intensity, depth together with trawling 333 intensity were evaluated as continuous predictors of community composition by Distance 334 based Linear modelling (DistLM) and Distance based redundancy analysis (dbRDA). Since 335 the year factor did not show interaction with trawling intensity in the foregoing 336 PERMANOVA analysis, data from all years were pooled to increase the generality of the 337 tests. The marginal test showed both trawling intensity and depth to be significant predictors 338 contributing to the model (log X+1 trawling intensity F = 10.1, p = 0.0001, and square root 339 depth F = 15.3, p = 0.0001). Using the best model selection procedure, i.e. all variable 340 combinations, and Akaike's Information Criterion with second order correction (AICc) both

341 predictors were identified and explained together 7.4 % of the variation in the community 342 composition. The Distance based redundancy analysis (dbRDA) visualise how the stations 343 with differing trawling intensity and depth relates to the multivariate dbRDA axes 1 and 2 344 (Fig. 3). Trawling intensity correlated with dbRDA 1 (multiple partial correlation 0.52) that 345 explained 6.4 % of the total variation and to dbRDA 2 (0.85) that explained 0.9 % of the total 346 variation. Depth also correlated with both dbRDA 1 (multiple partial correlation 0.85) and 347 dbRDA 2 (0.52). The testing procedure above was also done using biomass of species as 348 dependent variable which showed the similar effects (log X+1 trawling intensity F=9.5, p =349 0001 and square root depth F = 14.5, p = 0.0001) and correlations to the dbRDA axis 1 and 2 350 (multiple partial correlation 0.52 and 0.86 respectively) and overall contribution to the total 351 variation 6.1 and 1 %. Depth also correlated with both dbRDA 1 (multiple partial correlation 352 0.86) and dbRDA 2 (0.52).

353

Correlations between the multivariate ordination axis that explained most of the total variation and abundance of individual species indicated that 40 macrofauna species had a decreasing trend in abundance along the axis while 13 increased. Correlations between individual species abundance and trawling intensity showed agreement with the species that decreased along the axis for 58 % of the species that had the negative trends e.g. *Ampelisca tenuicornis* and *Phoronis muelleri*. For the species increasing 31 % showed conformity with the positive trend along e.g. *Amphiura chiajei* and *Labidoplax buskii* (Table 1).

361

362 Univariate analysis of macrofauna metrics along the trawling intensity gradient363

- 364 Trawling intensity had a similar significant effect on the number of species, richness,
- 365 diversity and the benthic habitat quality (BQI), while its effect was non-significant on the

total biomass and abundance of benthos (Fig. 4). The effect of trawling intensity was negative for values below approx. 5 yr⁻¹, which include 64% of the sampling stations, and the relationship became slightly positive for larger values. Depth had no significant effect in all the models with the exception of the benthos abundance which significantly decreased (p < 0.01) in response to increasing depth until approximately 40 m (Fig. 4). The GAM model of the number of species has a R² value of 0.34. In the other models the R² ranged between 0.10 and 0.19 with the exception of the model on biomass which had a value of 0.015 (Table 2).

374 The soft mud macrofauna community in the area investigated was dominated by the 375 burrowing brittle stars Amphiura filiformis and Amphiura chiajei. Together these two species 376 represented 50 % in the overall number of individuals, and 58 % of the biomass. A. filiformis 377 was present in 98 % and A. chiajei in 83 % of the samples. The two species presented an 378 opposite response to depth below 40 m, with A. chiajei increasing in deeper waters while A. *filiformis* decreasing in abundance in the same depth range (Fig. 5). The response to trawling 379 380 intensity was non-significant for A. filiformis but positive for A. chiajei at low to medium 381 trawling intensities and decreased only for trawling intensity levels above 5 yr⁻¹.

382

383 Effects of reduction in trawling intensity by the establishment of the marine protected384 area

To evaluate the potential recovery of the macrofauna within the MPA we hypothesized that the temporal development of the macrofauna within the MPA deviate from the area outside where trawling continued. Multivariate PERMANOVA tests of abundance and biomass and univariate tests with PERMANOVA number of species (Fig. 6a), total abundance (Fig. 6b) and total biomass (Fig. 6c) showed no interactions between the year and treatment (Table S3). However, abundance of the dominating species (*A. chiajei* and *A. filiformis*) showed a

significant interaction between the factors treatment and year (Table S4 and 5). Post-hoc comparison with pairwise PERMANOVA indicated that the last year 2014 differed from the earlier years 2009 - 2011 within the closed area, while no difference between years occurred in the area where trawling continued. Examining the pattern with factorial ANOVA indicated the same interaction with a decrease for both species within the closed area in 2014 compared to earlier years and no temporal trend within the trawled area (Fig. 7), however, only at a significance level of $\alpha = 0.10$.

398

399 DISCUSSION

400

401 In this study, we found shifts in the structure of the macrofauna community in soft mud 402 substrate along a wide gradient in trawling intensity. We investigated this using a stratified 403 sampling design covering a wide and precisely estimated gradient in bottom trawling 404 intensity, taking the establishment of a marine protected area (MPA) into account to ensure as 405 far as possible that untrawled as well as intensively trawled sites were included in the 406 gradient. Our results show small but significant shifts in community composition and a 407 decrease in number of species and indices of diversity as trawling intensity increases from low to medium levels i.e. below 5 yr⁻¹. Several taxa had a negative trend along the gradient of 408 409 increasing trawling intensity while a few taxa increased. The dominant brittle star species 410 showed different responses as Amphiura chiajei increased at low to medium trawling intensities and decreased only at trawling intensity above 5 yr⁻¹, while *Amphiura filiformis* 411 412 showed no conclusive response. Effects along the trawling intensity gradient were non-linear 413 and in general more evident at the lower range. Responses for number of species and diversity 414 indices appear inverted at high levels of trawling intensity, but the large confidence intervals 415 related to the low number of observations suggest high uncertainty of the model to explain the

416 effect of fishing intensity at the high end of the range. There was temporal change to the 417 benthic community over the duration of the study, but the effect of trawling intensity on the 418 benthos was consistent over time. As expected, the macrofauna community was also 419 structured according to depth which was included in the statistical models to avoid 420 confounding effects.

421

422 In a study similar to ours, Pommer et al. (2016) concluded that nearly 100 % of the habitats 423 below 22 m in the Kattegat were impacted by bottom trawling, and their analysis could not 424 discriminate between effects on the macrofauna in lightly trawled versus heavily trawled 425 areas. Differences between the studies can be explained by higher spatial resolution of 426 trawling intensity in our study in combination with the use of the MPA to ensure that 427 sampling was done across a wide range of trawling intensity to detect effects by bottom 428 trawling on the benthic macrofauna. In another similar study of otter trawl disturbance at N. 429 norvegicus soft sediment fishing grounds in the Irish Sea, Hinz et al. (2009) found negative 430 effects of trawling intensity on macrofauna abundance, number of species and total biomass. 431 Similar ranges of trawling intensities were observed by Hinz et al. (2009) and in our study (maximum 18.2 in the Irish Sea and 15.8 yr⁻¹ in our study), however, in the Irish Sea 432 comparable stations with trawling intensity below 1.3 yr⁻¹ could not be identified while our 433 434 study included low ranges and untrawled comparable conditions in the MPA. The species 435 decreasing with trawling intensity show some commonalities between the two studies for the 436 following taxa: the Phoronida Phoronis sp., crustacean amphipods of the genus Ampelisca 437 and the polychaete Magelona alleni, however, also contradicting results in that the 438 dominating brittle star A. *filiformis* appears tolerant to bottom trawling in our study while 439 decreased in response to trawling in the Irish Sea. In explaining the differences, we emphasize 440 the need to consider non-linear effects, detailed spatial estimates of trawling intensity, and

again the necessity of including untrawled and lightly trawled conditions in gradient studies
as effects are most prominent at low levels of the gradient. The most sensitive species are
likely affected and depleted already at low trawling intensities, and the benthic fauna left are
the more resilient species (National Research Council 2002). Our results support this pattern
of response as the macrofauna composition and the decrease in number of species and indices
of diversity is evident mainly at the lower range of trawling intensity.

447

448 However, our results differ from other studies (e.g. Hiddink et al. 2006, Hintz et al. 2009, 449 Reiss et al. 2009, Hiddink et al. 2011, Johnson et al. 2015) in that we could not detect any 450 shifts in total biomass. A possible explanation to this difference might be that in our study, 451 one of the two dominant species A. chiajei increased along the trawling intensity gradient 452 which may then mask the decrease in other less common species negatively affected. Reiss et 453 al. (2009) in their study of beam trawling impact on macrofauna communities found that even 454 in areas of high trawling disturbance, further increase still caused additional damage to the 455 faunal communities in terms of biomass and number of species. The ground-gear used in 456 beam trawling penetrate the seafloor deeper than otter trawling (Eigaard et al. 2016), which 457 might explain the different responses between our study and others where beam trawling is 458 common (e.g. Hiddink et al. 2006, Reiss et al. 2009). However, all these other studies 459 analysed the effect of trawling intensity using much coarser spatial resolutions (approximately 460 1 X 1 nm or larger) compared to our analysis making the studies difficult to compare.

461

462 It is crucial to assess the spatial distribution of bottom trawling intensity at a fine resolution if 463 the aim of impact studies is to cover long term impact and effects of direct disturbance on the 464 seafloor. This is because relationships between trawling and responses might otherwise be 465 confounded by misclassification of the pressure at the site where the impact is monitored.

466 Our study had access to reliable raw VMS data and logbooks from all the nations fishing in 467 the area i.e. Sweden and Denmark, and was thus able to reconstruct with high precision the 468 daily bottom trawling intensity at each station sampled for benthic macrofauna from 2006 to 469 2014. The VMS derived effort is considered to be an underestimate since vessels smaller than 470 15 m was not included in the gradient. However, we consider the gradient established to be 471 reliable since the main fishing grounds for trawlers in the Kattegat are expected to be similar 472 for large and small vessels without VMS due to the large coverage of the soft mud habitat, 473 and that the smaller trawlers target the same species composition as the larger fleet. 474 Differences in compliance between small and large vessels, however, may have introduced 475 bias in the estimates of trawling intensity within the MPA due to the lack of VMS monitoring 476 of smaller vessels. The examination of the trend over time within the closed area indicate only 477 a decrease over time for the dominating brittle star species. Non-compliance during the two 478 first year after enforcement of the MPA is evident as the VMS indicate rather high activity. 479 This might explain the lacking of a response over time for the macrofauna community over 480 the relatively short period studied following the closure.

481

482 The choice of grid cell and resolution will always be a trade-off with the risk of mismatch 483 between the direct impact at each sampling location and the patchiness of the trawling 484 pressure. In this study, we used a fine scale search radius to the stations studied as we 485 prioritized to capture and be able to ascertain the low-end part of the trawling intensity 486 gradient, i.e. lightly or untrawled areas within the Kattegat Nephrops fishing ground. 487 However, it is unclear whether the indirect ecological disturbance by bottom trawling acting 488 on macrofaunal assemblages, e.g. due to shifts in predator-prey relationships (van Denderen 489 et al. 2013, Hiddink et al. 2016) will be larger than the direct localized physical disturbance 490 by a single trawl path. Response curves to trawling intensity from indirect effects may further

differ both in shape and trigger levels as these impacts might act on larger temporal and
spatial scales. Impacts might reflect how the communities connect to each other and how fast
animals can recolonize an impacted site either by migration or recruitment of new animals, as
discussed by Lambert et al. (2012) who found the most significant relationships between
spatial resolution of 2 km by 2 km to 4 km by 4 km which represents a 20 - 80 times coarser
cell resolutions than this study.

497

498 It should to be considered that even though our study included stations within the MPA that 499 had not been trawled for 5 years, stations with pristine conditions were not represented. We 500 could not find any trend in the macrofauna community indicating recovery within the closed 501 area, possibly due to the short period of time since the MPA was established and the lack of 502 compliance by the fleet during the first two years following enforcement of the MPA. Taking 503 a longer historical perspective, the trawl fishery in the Kattegat was likely more widespread 504 when the fishery targeted cod Gadus morhua before quotas were severely enforceed around 505 year 2000. However, no reliable VMS data coupled to logbooks is available before 2005 to 506 support this statement. Also, the Kattegat is a eutrophicated coastal sea area that had recurrent 507 problems of oxygen deficiency mainly in the 1980's which resulted in large areas with 508 mortality of benthic invertebrates (Rosenberg & Loo, 1988, Baden et al. 1990). The large 509 number of stations sampled, however, allows historical comparison of the macrofauna 510 community sampled in the early 1900's. Around this time, when industrial trawling started, Petersen (1913) made extensive quantitative benthic macrofauna mapping of the Kattegat that 511 512 showed differences but also large similarities with the assemblages observed today, e.g. large 513 areas dominated by the brittle stars Amphiura spp. Pearson et al. (1985) re-assessed Petersen's 514 stations in the Kattegat and found generally higher abundances and biomass, and that A. 515 filiformis had increased in dominance at over 70 % of the stations compared. Also, in the

516 beginning of the 1900's, a large area in the south-eastern Kattegat was dominated by 517 amphipods i.e. the *Haploops* community which has not been found again in the area 518 following revisits (Göransson 1999). Only 15 specimens of Haploops spp. were noted in four 519 of our samples within the historical main distribution area of these species. Petersen (1913), in contrast reported thousands of individuals per m^{-2} at the beginning of the century. Several 520 521 other species were described to be associated to Petersens Haploops community, including 522 the brittle star Ophiura robusta, the clams Pseudamussium peslutrae and Limea loscombi, the 523 ostracod Philomedes brenda, the bivalves Nuculana pernula and Nuculana minuta and the 524 brittle stars Ophiura albida and Ophiocten affinis (Petersen 1913, Göransson 2002, Göransson et al. 2010). Several of these species were noted at a few stations in our study 525 526 between but in comparably low numbers, and the species O. robusta, P. peslutrae and L. 527 loscombi were not found at all in the investigated area. Oxygen deficiency and bottom 528 trawling has been put forward as possible explanations to the disappearance of Haploops and 529 shift in species assemblages (Göransson 1999). Interestingly, in a study from the southern 530 hemisphere in New Zealand, a similar shift in macrofaunal assemblages have been described 531 (Handley et al. 2014). In that study amphipods, ostracods and bivalves numerically dominated 532 soft mud communities within a relatively pristine untrawled protected area, while nearby 533 trawled comparable mud habitats were dominated by burrowing brittle stars (Amphiura sp.) 534 and polychaetes. In summary, our results confirm the disappearance of dense *Haploops* 535 dominated communities in the Kattegat and supports that bottom trawling contribute to the 536 shift towards brittle star dominated communities since the two Amphiura species were 537 tolerant to bottom trawling, and that A. chiajei increased in abundance at low to medium 538 trawling intensities.

540 The Amphiura species dominate abundance and biomass over large areas in the Kattegat, Skagerrak and the North Sea with localized maxima of >1000 ind. m⁻² (Duineveld et al. 1986, 541 542 Gogina et al. 2016). Given that this distribution coincides with areas fished extensively by 543 bottom trawls (Eigaard et al. 2016b, and this study), it is evident that these two species are 544 resilient to trawling. Characteristic traits for these brittle stars are that they have planktonic 545 larvae with great dispersal capability, are burrowed with their disc ca 5 cm in the sediments 546 with their arms protruding above the sediment surface, and frequently autotomize their arms 547 or even discs in A. filiformis, as a response to attacks from predators (Sköld et al. 1994). Their 548 arm regeneration potential is great but differ considerably between the two species in that A. 549 filiformis regenerate an arm about 5 times faster than A. chiajei (Sköld & Gunnarsson 1996). 550 Both species are deposit feeders and A. filiformis is also a facultative suspension feeder (Loo 551 et al. 1996, Solan & Kennedy 2002). Populations are often stable, comprised of adults and 552 longevity has been estimated to more than 20 years (O'Connor et al. 1983). The frequency of 553 sub-lethal predation can be assessed by counting scars on arms of brittle stars (Aronson 554 1989), and differences have been documented between species and among trawled versus 555 untrawled areas, with lower incidence of scars of A. filiformis in trawled areas in the 556 Skagerrak – Kattegat (Sköld & Rosenberg 1996). Mechanical damage of ophiuroid arms by 557 trawling have not been investigated, however, storms do not appear to cause arm damage in 558 brittlestar populations on tropical reefs, and predation is considered to be the prime source of 559 arm injuries (Aronson, 1991). Important predators of Amphiura spp. are demersal fish species 560 and crustaceans, e.g., haddock Melanogrammus aeglefinus, dab Limanda limanda, American 561 plaice Hippoglossoides platessoides, plaice Pleuronectes platessa and Norway lobster 562 Nephrops norvegicus (Duineveld & Van Noort, 1986, Baden et al, 1990, Mattson, 1992, Pihl, 1994, Kaiser & Ramsey 1997, Hiddink et al. 2016). Fishing effort is intense and stable in the 563 564 fishing grounds examined and targeted as well as by-catches of the above listed predators are

565 significant (Hornborg et al. 2016). In addition, some of these predators are stationary, in 566 particular N. norvegicus in its adult stages. We thus conclude that reduced predation pressure 567 due to local depletion of these predators at fished stations may be one important mechanism 568 behind the increase of A. chiajei along the trawling intensity gradient. The examination of the 569 trend over time within the closed area supports this conclusion as the dominating species i.e. 570 both *Amphiura* species., indicate a decrease over time which could be due to increased 571 abundance of fish and Nephrops following cessation of the fishery and accordingly increased 572 local predation pressure.

573

574 Several indirect effects of bottom fishing on fish and vice versa have been documented 575 (Collie et al. 2016), and studies from the Irish sea (Hiddink et al. 2011, Johnson et al. 2015), 576 and the Kattegat (Hiddink et al. 2016) at soft seafloor otter trawl fishing grounds link the 577 chronic trawling effects to the condition of predators, and explain the results as responses to 578 the ratio of prey to predator biomass and dietary shifts. Our study emphasizes the complex 579 interplay between predators, their benthic prey and the interaction with bottom trawling. 580 Amphiura spp. have their arms protruding above the sediment surface when feeding and arms 581 are frequently preved on by fish and *Nephrops*. As these heavily fished predators are locally 582 removed by trawling, Amphiura spp. being released from predation causes its population to 583 grow. That is, if predators are more strongly affected than their prey by bottom trawling, prey 584 may increase with bottom trawling until trawling becomes so intense that the prey is also 585 affected.

586

587 Conclusion

588 Our results show shifts in the macrofauna assemblage and a decrease in number of species

and indices of diversity at low to medium trawling intensities. The dominant brittle stars A.

590 *filiformis* and *A. chiajei* are evidently resistant to trawling at low to intermediate intensities 591 and A. chiajei showed positive response to increasing trawling intensity possibly caused by 592 reduction in predation by demersal fish and the target species N. norvegicus. Furthermore, the 593 study contributes to the understanding of how chronic bottom trawling contribute to the 594 documented historical shifts in benthic macrofauna assemblages of soft seafloors by reducing 595 diversity and benefitting resistant species like brittle stars. The Kattegat is representative for 596 similar soft seafloor coastal and shelf areas exploited by bottom otter trawl fisheries for fish 597 and crustaceans. The large MPA provides a unique potential for further studies of recovery of 598 the benthic fauna following cessation of bottom trawling.

599

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601

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876 **Figures and tables**

877

Table 1. Linear trends of individual species abundance in relation to ordination along axis

dbRDA 1 that best contributed to the total variation (6.4 %) and correlate with Trawling

880 intensity (0.52). Correlations between species abundance and trawling intensity are given

881 within brackets. Only significant correlations with the dbRDA axis 1 are shown i.e., Pearson

882 correlation coefficient R > = 0.14, df = 252, p < 0.05.

Species decreasing with			Species increasing with		
trawling intensity and axis			trawling intensity and axis		
dbRDA 1	R		dbRDA 1	R	
Ampelisca tenuicornis	-0.41	(-0.24)	Amphiura chiajei	0.50	(0.30)
Phoronis muelleri	-0.38	(-0.20)	Ophiocten affinis	0.26	(0.05)
Rhodine gracilior	-0.37	(-0.22)	Labidoplax buskii	0.25	(0.18)
Mysella bidentata	-0.34	(-0.21)	Lipobranchius jeffreysii	0.22	(0.13)
Nephtys hombergii	-0.33	(-0.19)	Philomedes brenda	0.22	(0.02)
Turritella communis	-0.29	(-0.17)	Abyssoninoe hibernica	0.22	(0.25)
Prionospio fallax	-0.29	(-0.25)	Nuculana pernula	0.21	(0.05)
Mysia undata	-0.28	(-0.16)	Brada villosa	0.21	(0.09)
Scoloplos armiger	-0.28	(-0.23)	Ophiodromus flexuosus	0.21	(0.23)
Praxillella praetermissa	-0.27	(-0.05)	Panthalis oerstedi	0.19	(0.10)
Pholoe baltica	-0.26	(-0.19)	Leucon nasica	0.18	(0.00)
Eudorella truncatula	-0.25	(-0.21)	Photis longicaudata	0.16	(0.00)

Aoridae	-0.25	(-0.17)	Hyala vitrea	0.15	(0.07)
Amphiura filiformis	-0.25	(-0.11)			
Chamelea striatula	-0.24	(-0.17)			
Trichobranchus roseus	-0.24	(-0.05)			
Pectinaria auricoma	-0.23	(-0.10)			
Nucula nitidosa	-0.21	(-0.14)			
Scalibregma inflatum	-0.21	(-0.11)			
Edwardsia spp.	-0.20	(-0.21)			
Terebellides stroemi	-0.20	(0.09)			
Sphaerodorum flavum	-0.19	(-0.11)			
Ampelisca brevicornis	-0.19	(-0.16)			
Dosinia lupines	-0.19	(-0.17)			
Abra nitida	-0.19	(0.09)			
Corbula gibba	-0.19	(0.00)			
Harpinia antennaria	-0.19	(-0.16)			
Notomastus latericeus	-0.17	(-0.11)			
Levinsenia gracilis	-0.17	(-0.01)			
Bela brachystoma	-0.17	(-0.14)			
Anobothrus gracilis	-0.17	(-0.09)			
Cylichna cylindracea	-0.17	(0.02)			
Spiophanes bombyx	-0.16	(-0.15)			

Gari fervensis	-0.16 (-0.11)	
Magelona alleni	-0.16 (-0.15)	
Chone fauveli	-0.15 (-0.12)	
Spio filicornis	-0.14 (-0.16)	
Arctica islandica	-0.14 (-0.20)	
Virgularia mirabilis	-0.14 (-0.13)	
Westwoodilla caecula	-0.14 (-0.05)	

- Table 2. Summary statistics of GAM of number of species (*Nspp*), total abundance (*Nind*),
- biomass (*Biom*), Richness according to Margalef (*d*), diversity according to Shannon (*H'*),

888 Benthic Quality Index (BQI), and for the dominating *Amphiura* species by abundance.

- 889 Equivalent degrees of freedom, is reported for the non-parametric predictors depth (D),
- trawling intensity (TI) and total abundance (Nind) and statistical significance (* P < 0.05, **
- 891 P < 0.01) for all terms. The family distribution adopted for each model and the R² are
- 892 included.
- 893

Dognongo		Predictor variable				Family
Response	a Year	s(D)	s(TI)	s(Nind)	- R ²	Family
Nspp	**	1.00	2.29**	1.00**	0.344	Poisson
Nind		1.93**	1.00		0.130	Poisson
ln(<i>biomass</i>)	*	1.00	1.00		0.015	Gaussian
\sqrt{d}		1.57	2.64**		0.177	Gaussian
H'	**	1.00	2.36**		0.103	Gaussian
BQI	**	1.76	2.54**		0.187	Gaussian
Amphiura chiajei		1.95**	2.64**		0.283	Poisson
Amphiura filiformis		1.91**	1.00		0.132	Poisson

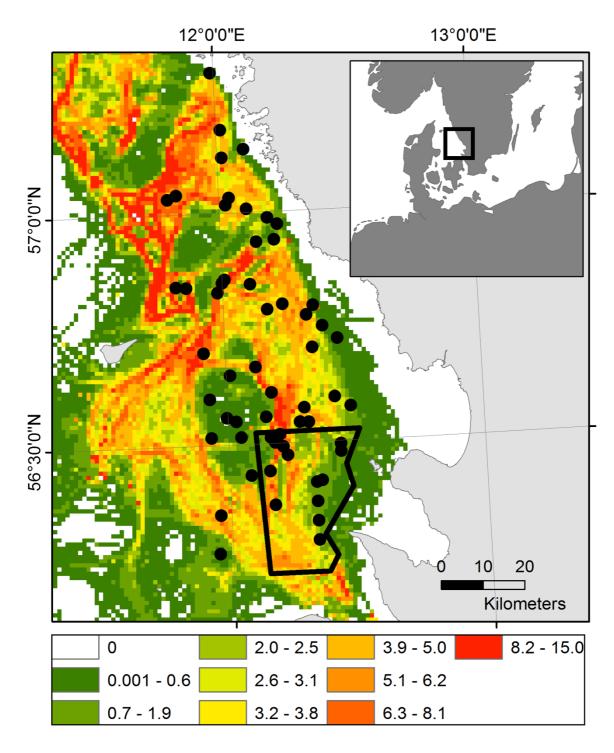
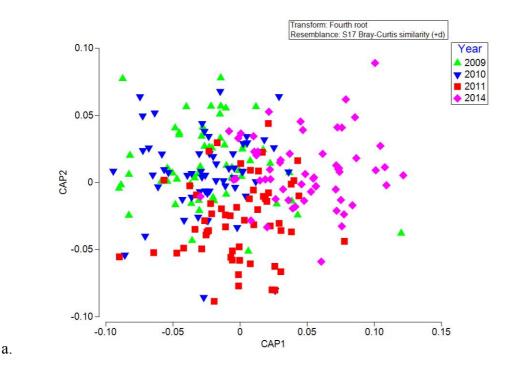
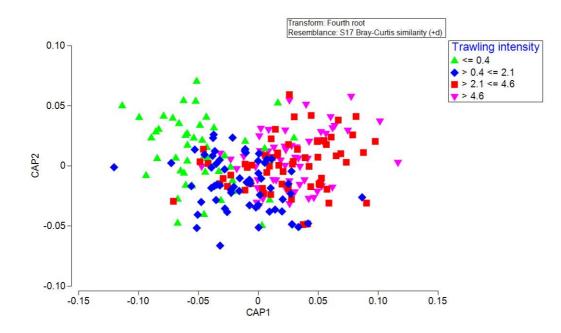


Fig. 1. Map of the study area in Kattegat. Colours indicate yearly averaged trawling intensity
averaged per year for 2006-2008, i.e. the period before the MPA was established in 2009.
Trawling intensity is estimated from Danish and Swedish VMS and logbook data of bottom
trawl fleets as the total area swept yearly in grid cells of 1 × 1 km divided by grid cell size.
Sampling stations for benthic macrofauna are indicated with black dots and the MPA is
delineated.



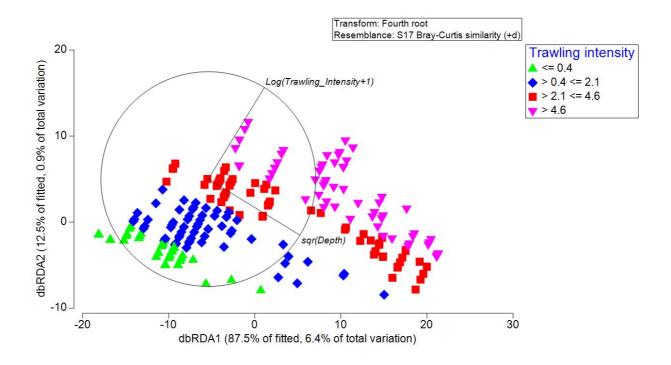




b.

Fig. 2. Canonical analysis of principal coordinates (CAP) for visual presentation of

- macrofauna community composition (abundance of species) as shaped by (a) Year and (b)
- Trawling intensity (yr⁻¹) grouped into statistical quartiles as indicated in the legend.





911 Fig. 3. Distance based redundancy analysis (dbRDA)ordination of the fitted model of the

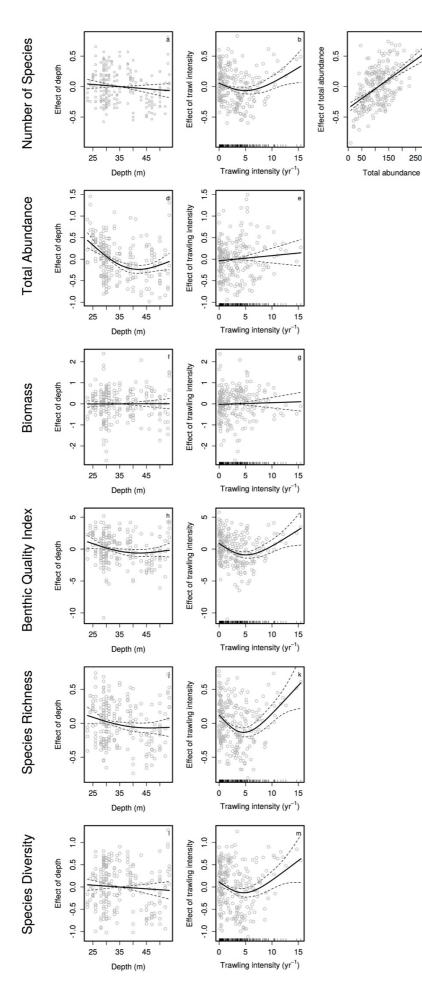
912 macrofaunal community composition. The gradient in trawling intensity grouped into

913 quartiles is illustrated by the sampling stations colour according to the legend and vectors of

914 the predictors trawling intensity and depth are indicated in the plot.

915

916



- 919 Fig. 4. Effect of different predictors on the number of species *Nspp* (a-c), total abundance
- 920 Nind (d-e), biomass (f-g), Benthic Quality Index (BQI, h-i) Richness according to Margalef
- 921 (d, j-k), diversity according to Shannon (H', 1-m) as estimated from GAMs with 95%
- 922 confidence interval (dotted lines) and partial residuals (grey dots).
- 923



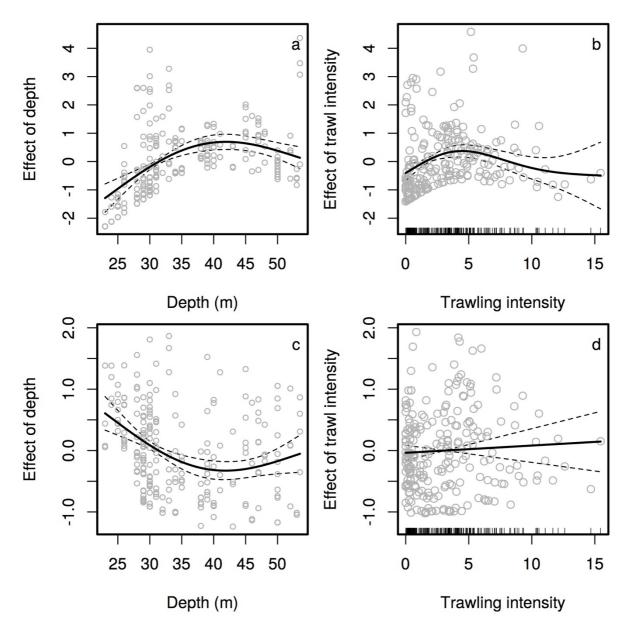
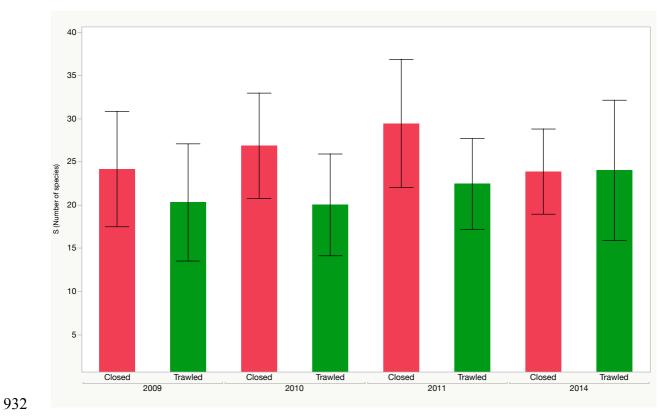
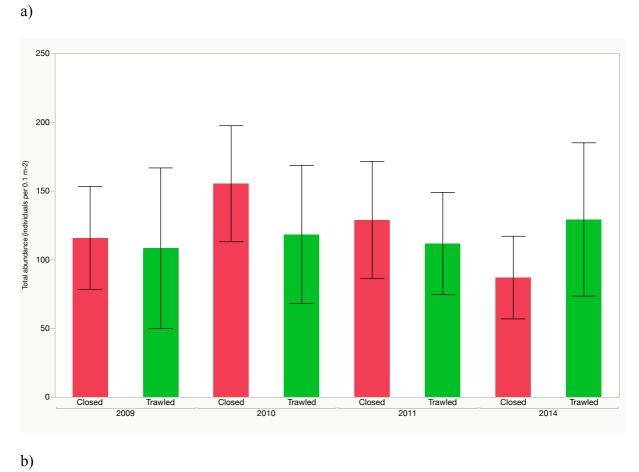


Fig. 5. Effect of different predictors on abundance of *Amphiura chiajei* (a-b) and *Amphiura filiformis* (c-d) as estimated from GAMs with 95% confidence interval (dotted lines) and
partial residuals (grey dots).









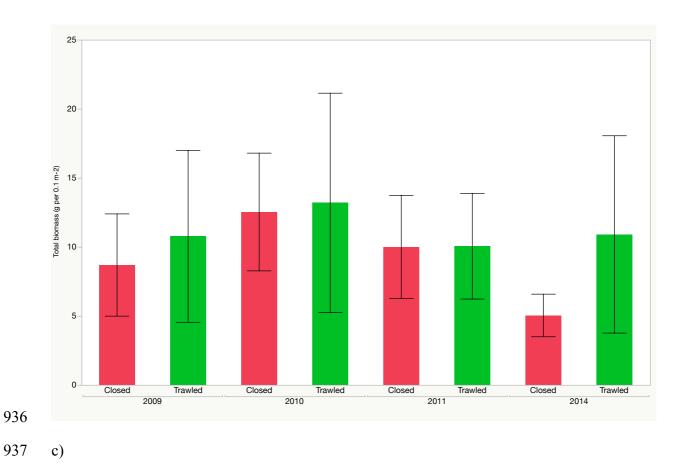


Fig. 6. Macrofauna number of species (a), total abundance (b) and total biomass excluding
large bodied species (c) across years in the MPA (red, n=7) and continuously trawled area
(green, n=7). Error bars are 95 % confidence intervals.

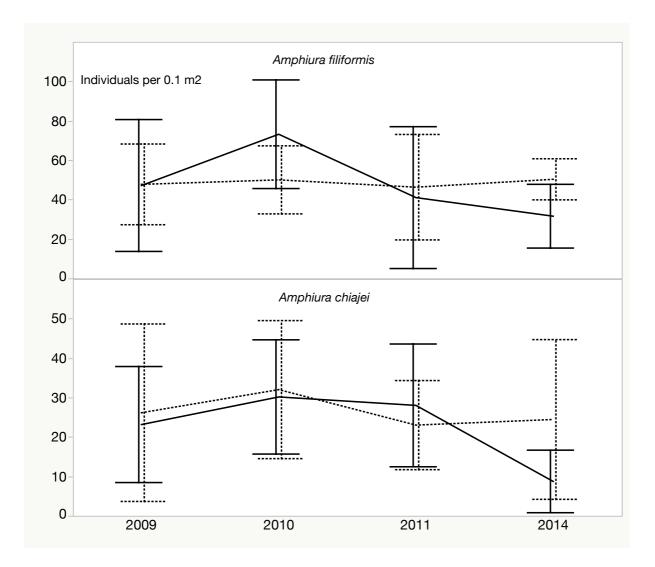




Fig. 7. Abundance of *Amphiura filiformis* (upper panel) and *Amphiura chiajei* (lower panel)
across years in the closed MPA (solid line, n=7) and continuously trawled area (dotted line,

n=7). Error bars are standard deviation of the mean