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1	Cost of a deprived environment – increased intraspecific aggression and susceptibility to
2	pathogen infections
3	Numair Masud ¹ , Amy Ellison ^{1, 2} , Edward C Pope ³ and Jo Cable ¹
4	
5	¹ Cardiff University, School of Biosciences, Cardiff, CF10 3AX, UK
6	² Bangor University, School of Natural Sciences, Bangor, LL57 2UW, UK
7	³ Centre for Sustainable Aquatic Research, Swansea University, Swansea, SA2 8PP, UK
8	
9	Corresponding author: Numair Masud : email: masudn@cardiff.ac.uk
10	
11	Abstract
12	A lack of environmental enrichment can be severely detrimental to animal welfare. For
13	terrestrial species, including humans, barren environments are associated with reduced
14	cognitive function and increased stress responses and pathology. Despite a clear link between
15	increased stress and reduced immune function, uncertainty remains on how enrichment
16	might influence susceptibility to disease. For aquatic vertebrates, we are only now beginning
17	to assess enrichment needs. Enrichment deprivation in fish has been linked to increased
18	stress responses, agonistic behaviour, physiological changes and reduced survival. Limited
19	data exist, however, on the impact of enrichment on disease resistance in fish, despite
20	infectious diseases being a major challenge for global aquaculture. Here, using a model
21	vertebrate host-parasite system we investigated the impact of enrichment deprivation on
22	susceptibility to disease, behaviour and physiology. Fish in barren tanks showed significantly
23	higher infection burdens compared to those in enriched enclosures and they also displayed
24	increased intraspecific aggression behaviour. Infections caused hosts to have significantly
25	increased Standard Metabolic Rates compared to uninfected conspecifics, but this did not
26	differ between enriched and barren tanks. This study highlights the universal physiological
27	cost of parasite infection and the biological cost (increased susceptibility to infection and
28	increased aggression) of depriving captive animals of environmental enrichment.
29	
30	Keywords: Environmental enrichment; transmissible disease; host-pathogen interactions; fish
31	welfare; respirometer

34 Introduction

35 Lack of environmental enrichment for captive terrestrial species is an established 36 global welfare concern (Erwin et al., 1976; Appleby and Wood-Gush, 1988; Carughi et al., 37 1989). Even for humans, environments lacking enrichment such as colour and structural 38 variation cause reduced cognitive stimulation and are implicated in early onset 39 neurodegenerative diseases (reviewed by Kramer et al., 2004; Milgram et al., 2006). For non-40 human vertebrates, commercial farming, in particular, represents a major welfare challenge 41 with its focus on maximizing outputs often at the cost of depriving species of enrichment 42 (Ashley, 2007; Wells, 2009; Stevens et al., 2017). But addition of structural enrichment, in the 43 poultry industry, for example, can reduce intra-specific aggression, mortality levels and stress 44 responses to human contact (Jones and Waddington, 1992; Gvaryahu et al., 1994). Reducing 45 stress is particularly important in captive animals as it has knock-on positive effects for 46 immunity. Much of our understanding of this connection between stress and immunity is 47 based on research conducted in fish (see Tort, 2011), where enrichment has been shown to 48 reduce stress that is linked to decreased cortisol production (e.g. Pounder et al., 2016; 49 Giacomini et al., 2016). However, it remains to be seen if using structural enrichment will 50 translate to improved disease resistance.

51 Managing disease burden in fish is a global priority; fish are the most consumed source 52 of animal protein and aquaculture is the fastest growing food industry globally (Shinn et al., 53 2015; FAO, 2018). Parasitic diseases pose the most significant biosecurity and economic risk 54 for aquaculture (Shinn et al., 2015) and stock management strategies are now emphasizing 55 husbandry practices that minimize stressors to prevent stress-related immunosuppression 56 (Conte, 2004; Ashley, 2007). The monogenean gyrodactylids are a group of hyperviviparous 57 ectoparasites that historically have been a challenge to manage in aquaculture and the 58 ornamental trade, with no effective cures that can be applied to fish stocks en masse (Schelkle 59 et al., 2009). Norwegian salmon were decimated by Gyrodactylus salaris in the 1970s 60 (Johnsen, 1978; Appleby and Mo, 1997) and despite the use of rotenone in rivers to kill all 61 potential fish hosts, the parasite persisted in adjacent water bodies (Erikson et al., 2009). Even 62 for parasite species that may not cause mortality, the metabolic cost of infection will have life 63 history consequences, such as reduced growth and fecundity, for hosts (Sheldon and Verhulst, 64 1996; Bonneaud et al., 2016).

65 Here we test the hypothesis that inclusion of environmental enrichment for captive animals can increase disease resistance using a model host-parasite system (guppy-66 67 Gyrodactylus turnbulli). The guppy host, Poecilia reticulata, is an established ecological and 68 parasitological model (Magurran, 2005). P. reticulata has been introduced as a pet and 69 biological agent to every major continent, except Antarctica (Deacon et al., 2011), and is a key 70 economic species in the ornamental trade (Maceda-Veiga et al., 2016). The hyperviviparous 71 ectoparasite G. turnbulli is a primary monogenean parasite of the guppy and a major concern 72 in the ornamental trade (reviewed by Cable, 2011). This is the first study of its kind to 73 investigate the impact of enrichment deprivation simultaneously on fish disease resistance, 74 behaviour and physiology (Standard Metabolic Rate; SMR).

75

76 Materials and methods

77

78 Study system

79 For this study, we used size matched male guppies measured using callipers under 80 0.02% MS-222 induced mild anaesthesia (*Poecilia reticulata,* size range: 14-19 mm) bred from 81 a stock caught in the Lower Aripo River in Trinidad in 2012 and initially housed at Exeter 82 University before being transferred to Cardiff University in 2014. All guppies were maintained 83 in 70 L breeding tanks (closed systems- 60 cm x 40cm x 30 cm) utilising dechlorinated water 84 from a main source at 24 \pm 0.5°C under a 12-h light: 12-h dark photoperiod (lights on 07:00-85 19:00) and fed dry food flakes (Aquarian®) ad libitum and freshly hatched Artemia nauplii 86 every alternate day. Water quality levels are tested on a weekly basis and prior to removing 87 fish for experimental investigations the water quality level was: Ammonia- non detectable, 88 pH: 7.8, Nitrite levels: >0<0.21 mg/l, Nitrate levels: <20mg/l (API[®] Freshwater Master Test 89 Kit). All fish stock tanks are consistently aerated with air stones connected to a main air 90 supply. Each stock tank was provided with the same environmental enrichment consisting of 91 2 cm pea gravel substrate, plastic flowerpots, plastic reeds and tubing. Sufficient refugia were 92 available to ensure all individual fish were able to use them when required.

For investigating susceptibility to disease, experimental infections used the Gt3 strain of *Gyrodactylus turnbulli*, isolated from a Nottingham aquarium shop in October 1997 and subsequently maintained at Cardiff University on inbred guppies prior to this study (see King and Cable, 2007).

98 Experimental design

99 All fish used for this study were size matched with callipers under mild anaesthesia 100 (0.02% MS-222- see above). Experimental fish were assigned to one of two treatments: 101 enriched or barren tanks (16 L- 36 cm x 21 cm x 21 cm). Each enriched tank contained gravel 102 (2 cm pea gravel substrate), plastic tube, flowerpot and plastic reeds (purchased from Aquatic 103 World, Cardiff) and these enrichments were consistent between each batch. Barren tanks 104 contained no enrichment and were visually isolated from enriched tanks. Guppies were 105 removed from stock tanks and a batch of fish (5 fish per batch x 12 replicates per treatment) 106 randomly assigned to an enriched or barren treatment tank. To ensure the effect of 107 displacement and a novel environment did not confound results, fish prescribed to enriched 108 and barren treatments were maintained in their respective experimental tanks for 2 weeks 109 to allow acclimatisation prior to starting experiments; this is sufficient time for the formation 110 of shoals based on familiarity (Griffiths and Magurran, 1997).

111

112 Behavioural observations

113 To investigate the effect of enrichment deprivation on guppy behaviour, focal 114 observations were conducted pre-infection (days 13 and 14 of acclimatisation) as G. turnbulli 115 is known to influence guppy inter-specific interactions (Reynold et al., 2018). Focal 116 observations involved an observer choosing a single male, identifiable from distinct 117 colouration (out of 5 fish per tank) and recording all interactions between the focal male and 118 conspecifics. For the enriched tanks, the time spent interacting with the structural enrichment 119 was also recorded as preliminary observations revealed that guppies will interact with 120 enrichment by either pecking at structures (gravel, flowerpot, plastic tube and reeds) or 121 seeking refuge (in flowerpots, plastic tubes and reeds). To ensure that observer bias did not 122 influence recording behavioural metrics, two observers (one who was unaware of the 123 expected outcomes of this study) recorded agonistic behaviours for a subsample of tank 124 treatments (5 enriched and barren tanks). A Kendall's Tau correlation analysis (chosen 125 because several 'tied' observations were reported between observers) revealed no significant 126 difference between observer data (i.e. a significant association was detected; z= 11.729, p<

127 <mark>0.001).</mark>

All observations were conducted between 10 am and 2 pm, and prior to each behavioural recording, the experimenter allowed 10 min for the fish to acclimatise to their presence. Aggression between male guppies is characterised by chasing and nipping behaviour (Houde, 1997). We report on two behavioural metrics for this study: 1) aggression index= number of nips + chases 2) time spent associating with enrichment = nibbling enrichment + swimming into plastic pot or tubing + swimming between plastic reeds.

134 135

136 Experimental infection

137 To investigate the effect of enrichment deprivation on susceptibility to disease, 138 guppies from tank treatments (barren = 42 fish, enriched= 41 fish) were lightly anaesthetised 139 with 0.02 % MS222 and all fish infected with two gyrodactylids each. Parasite transfer was 140 conducted using a dissection microscope with fibre optic illumination (following standard 141 methods of King and Cable, 2007). Briefly, two parasites from donor fish were transferred to 142 the caudal fin of each recipient hosts by placing the tail of a heavily infected donor fish close 143 to that of a naïve host. Control fish (barren= 20 fish, enriched= 20 fish) were treated the same 144 way infected fish were (anesthetizing without pathogen inoculation) to ensure that handling 145 was not a confounding variable.

After experimental infections, fish were returned to their respective experimental tanks where they were housed for a further 17 days. As gyrodactylids naturally transfer between fish upon contact, every 48 h guppies were removed from their tanks and mean parasite intensity was calculated for each fish. Parasite infections were monitored by anaesthetising fish and counting the total number of gyrodactylids. Individual male guppies could be recognized by distinct colouration based on photographs taken on an iPhone (Apple inc).

153

154 *Respirometry*

For investigating how environmental enrichment and infection impacted SMR, individual infected (n=29) or uninfected (n=28) guppies from both barren (n=14) and enriched (n=15) tanks were placed in respirometer chambers on days 3 and 13 of the 17-day infection trajectory to determine the impact of low and high parasite burden on Standard Metabolic Rate (SMR). All measurements were conducted in a respirometry set-up that permitted 160 monitoring of 3 fish and 1 blank control simultaneously and temperature for the duration of measurements maintained at 24 \pm 0.5°C. All water used for experimental purposes was 161 162 autoclaved. The static respirometry set-up consisted of individual glass chambers (130 ml, sealed DuranTM square glass bottle with Polypropylene screw cap, Fisher). Glass chambers 163 164 were autoclaved and rinsed with ethanol prior to commencing measurements to minimise 165 background noise before the start of each respirometry trial and each chamber contained a 166 false bottom with a magnetic stirrer to ensure a homogenous distribution of oxygen within it. 167 Chambers were fitted with individual contactless oxygen sensor spots attached to probes that 168 were connected to a FireSting O₂ meter (PyroScience, Aachen, Germany). Food was 169 withdrawn for 24 h before each fish was tested to ensure they were in a post-absorptive state 170 so SMR measurements were not influenced by thermal effects of food in the digestive tract. 171 The decline in O₂ concentration within respirometry chambers was measured using the below 172 formula in repeated 1s measurement cycles over ca. 1h 20 mins, with 1 h acclimation time 173 and 20 mins for recordings:

- 174
- 175

 $SMR = \frac{\Delta O2}{fishmass} \times Vc$

176

177 Where V_c is the volume of the respirometer chamber and $\Delta O2$ is the rate of oxygen decline 178 (Bonneaud et al., 2016) calculated as the slope of a linear regression. During measurements 179 dissolved oxygen levels never fell below 7 mg/l, which is within recommended levels for 180 freshwater tropical fish (OATA, 2008). The mean background oxygen consumption (typically 181 *ca.* 20% of fish SMR) was subtracted from fish SMR for analysis.

182

183 Ethics statement

All animal work was approved by the Cardiff University Animal Ethics Committee andconducted under UK Home Office licence PPL 303424.

186

187 Statistical analysis

All statistical analyses were conducted using RStudio version 1.0.143 (R Development Core Team, 2015). Here, we define three host disease categories: hosts on which parasite numbers consistently increased (susceptible); those on which parasite numbers increased 191 followed by a consistent decline indicative of an immune response (responders) or hosts 192 which cleared their parasites (resistant) (Bakke et al., 2002). Total infection trajectory over 17 193 days was calculated by Area Under Curve (AUC), using the trapezoid rule. A generalized linear 194 mixed model (GLMM) with a negative binomial error family in the MASS R package was used 195 to analyse both AUC and mean parasite intensity. Host standard length and treatment were 196 treated as fixed factors. Parasite count was recorded on each fish at multiple time points over 197 a 17-day infection trajectory so 'Fish ID' was included as a random effect in the GLMM to 198 avoid pseudoreplication by incorporating repeated-measures. Fish length was included in the 199 initial model but was removed because the size range did not explain significant variation. We 200 used a Generalised Linear Model (GLM) to analyse how peak parasite day, maximum parasite 201 count and mortality varied with treatment. For analysing maximum parasite count we used a 202 negative binomial error family with a log link function; a quasiPoisson error family with a log 203 link function for peak parasite day and a Poisson error family with log link function for 204 mortality count. A Fisher's exact test was used to investigate the difference between fish 205 disease categories.

206 For analysing behaviour data, we used a GLMM with a negative binomial error 207 structure to analyse agonistic behaviour between treatments, to prevent pseudoreplication 208 as each experimental tank was observed at two time points and over two days. Agonistic 209 behaviours (number of nips and chases) were combined into a single aggression index for 210 analysis. We hypothesized that any aggression observed in enriched tanks would be 211 associated with the time spent interacting with enrichment. Thus, we also used a GLMM with 212 a Restricted Maximum Likelihood (REML) function to analyse the association between the 213 time spent interacting with enrichment and the number of agonistic interactions within 214 enriched tanks. Data in the REML model had to be rescaled due to very large eigenvalues and 215 overdispersion (Thomas et al., 2013). Rescaling maintained data structure and minimized 216 dispersion, generating a robust model structure.

For analyzing the effect of tank treatments (barren versus enriched) and infection on SMR, we used a GLM with an inverse gaussian error family and log link function. Additionally, we used a linear regression analysis to assess the relationship between parasite count and SMR. All models used for analyses were chosen and refined based on the lowest Akaike Information Criterion (Bates et al., 2014).

223 Results

224 Mortality did not significantly differ between fish in enriched tanks and barren ones 225 (GLM: Z=-0.11, SE=0.21, p=0.91) but fish from barren tanks were significantly more 226 susceptible to infection (barren: 26/42; 62%; enriched: 12/40; 30%) and showed significantly 227 higher mean parasite intensity compared to fish housed in enriched tanks (Fig. 1A; GLMM: 228 Z=-8.16, SE=0.08, p<0.001). Fish from barren tanks also had significantly higher peak pathogen 229 burdens (Fig. 2A; GLM: Z=-16.03, SE=0.07, p<0.001) and this peak was achieved significantly 230 later in fish from barren tanks compared with enriched ones (Fig. 2B; GLM: t=-7.893, SE=0.02, 231 p<0.001). In addition, significantly more fish (Fisher's exact text: 95% C.I. = 3.29, p<0.001) 232 cleared infections (resistant) in enriched tanks (13/40; 33%) compared to barren tanks (1/42; 233 2%). Enrichment did not significantly affect SMR (Fig. 3A; GLM: t=-1.66, SE=0.11, p=0.09) but 234 fish with high parasite burdens (parasite range: 30-330; parasite mean: 120) had significantly 235 greater SMR compared to uninfected ones regardless of enrichment (Fig. 3B; GLM: t=3.38, 236 SE=0.25, p<0.001). Moreover, a linear regression analysis revealed that a significant 237 proportion of the SMR of infected fish could be explain by parasite count (Fig. 3C; LM: R²=0.31, 238 t=5.16, p<0.001).

Fish in barren tanks displayed significantly more aggressive behaviour (nipping and chasing) towards conspecifics compared to those in enriched tanks (GLMM: Z=-11.21, SE= 0.15, P<0.001). In addition, aggression observed in enriched tanks was significantly associated with time spent interacting with enrichment and fish that spent more time using enrichment showed significantly less agonistic behaviour compared to those that used less enrichment (GLMM: t= -5.34, SE= 0.0008, P<0.001).

245

246 **Discussion**

Transmissible disease is one of the most significant factors limiting the expansion of aquaculture globally (Stentiford et al., 2017) and there is now a renewed emphasis on developing sustainable methods for disease management. Here we show inclusion of environmental enrichment significantly reduces disease burden of ornamental fish. We also reveal behavioural modification (i.e. increased aggression) caused by depriving hosts of enrichment that could facilitate disease transmission and we show how increased disease burden significantly increases standard metabolic rate of hosts. Taken together, these results show how relatively simple measures could sustainably improve welfare of captive animalsby reducing disease burden and maladaptive behaviours.

256 Previous studies on the impact of environmental enrichment on host-pathogen 257 dynamics are so limited, and use different methodologies, that this precludes direct 258 comparisons. Our findings, however, do directly support the observation that farmed piglets 259 reared with environmental enrichment and subsequently inoculated with both Porcine 260 Reproductive and Respiratory Virus (PRRSV) and Actinobacillus pleuropneumoniae, showed 261 greater disease resistance compared to piglets in barren enclosures (van Dixhoorn et al., 262 2016). In our study it was clear that fish from barren enclosures were less resistant to 263 pathogen infections compared to hosts from enriched tanks and peak pathogen burdens were 264 also significantly higher in barren enclosures (Fig. 1B). Moreover, hosts from enriched tanks 265 cleared pathogen infections more effectively, suggesting application of environmental 266 enrichment can improve immune responses to infectious disease. This finding is particularly 267 compelling as pathogen exposure is likely to occur in most captive environments because 268 sterile enclosures are not sustainable, especially in large scale facilities. Therefore, ensuring 269 maintenance conditions maximise hosts' immune responses should be a priority.

270 Variations in the amount and type of enrichment can also impact host-pathogen 271 interactions. Certain enrichment substrates may act as a medium for pathogen growth and 272 actually increase the chances of infection. However, enrichment substrates are unlikely to 273 facilitate reproduction in directly transmitted microparasites such as *Gyrodactylus* spp. used 274 in this study which cannot survive for long off a host (reviewed in Bakke et al., 2007). Under certain enriched conditions, conversely, bacterial pathogens such as Flavobacterium 275 276 columnare, can actually increase propagation due to the formation of biofilms, increasing host 277 susceptibility to disease (see Karvonen et al., 2016; Räihä et al., 2019). Moreover, the source 278 of enrichment might not only influence biofilm growth but also present an additional hazard 279 as a source of macrofauna contamination; for instance, intermediate hosts, such as snails, 280 vectoring other infectious pathogens. Ultimately, the importance of managing disease burden 281 with interventions such as environmental enrichment is linked to the trade-off between the 282 labour costs of enrichment maintenance and risk of contamination versus the potential to 283 reduce the economic and welfare costs imposed by pathogens.

284 Most infections lead to the reallocation of metabolic resources to the immune system 285 from general physiological functions (Sheldon and Verhulst, 1996). Our study is the first to 286 show that gyrodactylosis increases the SMR of hosts. *Gyrodactylus* spp. are of major welfare 287 concern in both the ornamental and aquaculture trade (Bakke et al., 2007; Maceda-Veiga and 288 Cable, 2019), particularly because there are no effective *en masse* treatments. This increased 289 metabolic demand, even if hosts survive, will impact health reducing physical condition and 290 potentially fecundity. Increased metabolic rates linked to parasitism has been demonstrated 291 in both invertebrate and vertebrate hosts (e.g. crabs: Haye and Ojeda, 1998; brown trout: 292 Filipsson et al., 2017), and our results further highlight the universal physiological impact of 293 parasitism. Enrichment deprivation on its own, however, did not affect fish SMR, suggesting 294 that the increased aggression seen in fish in barren tanks was not driven by increased basal 295 metabolism.

296 Increased aggression, as seen in our study for hosts in barren tanks, may have 297 increased disease burden. Chronic aggression can elevate stress levels (see Giacomini et al., 298 2016) and chronic stress does suppress immunity and increase disease susceptibility (Khansari 299 et al., 1990; Dhabhar, 2009). Furthermore, higher aggression levels will lead to increased 300 contact rates, which can increase the probability of direct transmission for pathogens such as 301 *Gyrodactylus* (e.g. Reynolds et al., 2018). While we did provide two weeks for fish to acclimate 302 in experimental tanks, which is sufficient for this species to form familiar shoals (Griffiths and 303 Magurran, 1997), we acknowledge that removing fish from enriched stock tanks might have 304 impacted stress levels. However, as fish hosts in our study demonstrated significantly higher 305 aggression levels in only barren tanks, this does suggest that enrichment deprivation has an 306 overriding influence on stress related behaviour. Through aggression associated nips and 307 chases, contact rates would have increased, and it is plausible that this facilitated pathogen 308 transmission.

309 To conclude, our study highlights the biological costs of enrichment deprivation: 310 increased susceptibility to disease and interspecific aggression levels. We also show how 311 elevated disease burden linked to enrichment deprivation has a significant metabolic impact. 312 Aquaculture industries have displayed reluctance in using environmental enrichment due to 313 additional time spent cleaning structures and catching fish. However, if we are to prioritise 314 animal welfare, we recommend industries to investigate which enrichment conditions are 315 most effective at managing aggressive behaviour and disease outbreaks while minimising 316 cleaning and capture time. Here we show that at least on a small-scale enrichment can be a 317 useful tool in health management.

318	Author contributions		
319			
320	JC and NM conceived and designed the experiment. NM executed the experiment and		
321	conducted all statistical analysis. ECP helped with the respirometry set-up and analysis of		
322	respirometry data. Primary writing was conducted by NM and JC with all authors contributing		
323	towards revisions and final manuscript.		
324			
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Figure 1. (A) Mean (±1 SEM) parasite intensity in guppies (Poecilia reticulata) exposed to Gyrodactylus turnbulli infection was significantly higher in fish in barren tanks (n=42) than enriched ones (n=41). (B) The number of hosts raised in either enriched or barren tanks classed as susceptible (hosts on which parasite numbers consistently increased), responders (hosts on which parasite numbers increased followed by a consistent decline indicative of an immune response), or resistant (hosts which cleared their parasites). Hosts from barren tanks were significantly more susceptible to disease (n=26) compared to those from enrichment treatments (n=12).



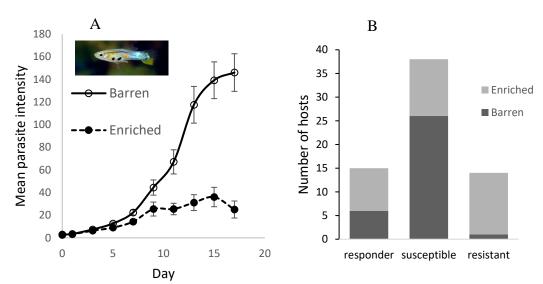


Figure 2. (A) Hosts from barren tanks (n=42) had significantly higher peak parasite counts than
their enriched counterparts (n=41) and (B) peak parasite burdens occurred significantly later
(peak day) for hosts in barren tanks compared to those in enriched tanks.



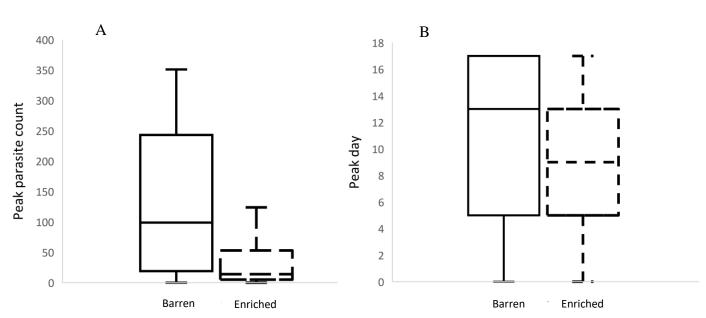


Figure 3. Relationship between fish Standard Metabolic Rate (SMR, mgO₂g⁻¹h⁻¹), tank treatment (barren versus enriched) and infectious status. (A) No significant association was found between SMR and tank treatment (n= 29 barren and n=28 enrichment- no infections) but (B) fish that were infected (n=29) had significantly higher SMR compared to uninfected conspecifics (n=28). Moreover, (C) a significant proportion of SMR of infected hosts could be explained by parasite count.

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