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Intermittent dynamical state switching in discretemode semiconductor lasers subject to optical feedback

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Intermittent dynamics switching on the route to chaos in a discrete-mode laser with long time-delayed feedback is experimentally and numerically studied by analyzing the time series, power spectra and phase portraits. The results show two types of dynamics switching: one or multiple times regular intermittent dynamics switching between stable state and square-wave envelope period-one oscillation within one feedback round time, and the irregular intermittent dynamics switching between stable state and quasi-periodic or multi-states or chaos with higher feedback ratio and bias currents. The relationship between the duty cycle of period-one oscillation and the feedback ratio has been analyzed. The map of the dynamics distribution in the parameter space of feedback ratio and bias current is plotted for a better understanding of dynamics evolution in long external cavity discrete-mode lasers.

1. INTRODUCTION

In nonlinear systems, the folding and stretching behaviors of variables result in different dynamical route to chaos [1]. Typically, period-doubling bifurcation and quasi-periodic bifurcation are mostly observed and have been investigated in detail [2-3]. Besides, intermittent bifurcation is another important route to chaos, which is characterized as the erratic switching between laminar and turbulent phases and has been widely observed in fluid flows, stirred chemical reactions and economics [4–6]. Moreover, intermittency has also been observed in various classes of lasers such as solid-state lasers [7], gas lasers [8] and semiconductor lasers (SLs) [9-11]. For SLs, external perturbations are usually required to drive the SL into chaos, which generally includes optical feedback, optical injection, optoelectronic feedback or modulation [12-14]. Among these perturbations, optical feedback is commonly adopted because of the simplicity and high dimensionality [15-17]. Therefore, the intermittent phenomenon in external cavity optical feedback (ECF) SLs has attracted significant research interests.

Low-frequency fluctuations (LFFs) are firstly observed and considered as intermittency in ECF-SL. The corresponding output of ECF-SL behaves a sudden power dropout and then recoveries gradually with a frequency of \sim MHz, which is much lower than the

relaxation oscillation frequency [18] and the physical mechanism is considered as the unstable saddle-node and intermittent instability involved in ECF-SLs [19]. Different from LFFs, some intermittent phenomena have also been observed and studied in a short ($\sim 10^{-3}$ m) external cavity SL [20-22]. Bosco et al. experimentally investigated the nonlinear dynamics of a photonic integrated circuit consisting of a SL with short ECF [20] and found a crisis-induced intermittent route to chaos [21]. The physical mechanism is explained as the intermittently switching between separated attractors from different external-cavity modes in phase space and the stochastic switching time was then utilized for random number generation [22]. For a long ($\sim 10^{-1}$ m) ECF-SLs, Citrin's group experimentally demonstrated that intermittency can be generally observed independently with the initial condition [23] and found two distinct intermittency regimes on the way of dynamical evolution to chaos [24]. More recently, different from the abovementioned intermittency between multistate dynamics and coherence collapse, a regular dynamical switching between stable state and periodic states has been observed in longer (>10 m) external cavity distributed feedback (DFB) lasers [25] as well as vertical-cavity surface-emitting lasers (VCSELs) [26].

As a special type of Fabry–Pérot (FP) SLs, discrete-mode (DM) SLs, in which the refractive index of very small sections is modified

by etching features into the ridge waveguide and suitable positioning of these interfaces along the laser cavity to achieve single longitudinal mode operating with high side-mode suppression [27]. DM lasers can be used in coherent communication, optical sensing and terahertz wave generation resulting from their unique characteristics including very narrow linewidth emission, wide temperature range of operation, low cost and easy to integrate [28–29]. Although a DM-SL is less sensitive to external perturbations because of its special design of the intercavity structure, we recently experimentally generated a flat broadband chaos output from a DM-SL with amplified optical feedback [30]. However, the detailed dynamics in routes to chaos of the DM laser are scarce.

In this paper, the intermittent dynamical state switching in a long ECF DM-SL is experimentally and numerically investigated. In the experiment demonstration, the dynamical route to chaos as well as the dynamics distribution in the parameter space of feedback ratio and bias current have been examined. The duty cycle for the bursting phases is introduced to quantitatively explore the dynamical switching between stable state and period-one oscillations with a square-wave envelope. In the simulation, the Lang-Kobayashi rate equations are adopted to model the long ECF DM-SL. The simulation results agree qualitatively with the experimental observations. The rest of this paper is organized as follows. Section 2 describes the experiment setup of long ECF DM-SL. Section 3 analyzes the observed regular and irregular dynamics switching in detail. Theoretical model and simulation results are provided and discussed in Section 4. Conclusions are drawn in Section 5.

2. EXPERIMENTAL SETUP



Fig. 1. Experimental setup. DM Laser – discrete-mode laser; OC – optical circulator; FC – fiber coupler; EDFA – erbium-doped fiber amplifier; PC – polarization controller; VA – variable optical attenuator; PM – power meter; PD – photodetector; ESA – electrical spectrum analyzer; OSC – oscilloscope.

The schematic diagram of the experimental setup is illustrated in figure 1. A commercially available TO-56 can-packaged DM laser (EP1550-DM-01-FA) provided by Eblana Photonics with a lasing wavelength of ~1550 nm is used in this experiment. The bias current is supplied by an ultra-low noise current source. The temperature of the DM-SL is set at 24.85 °C with an accuracy of 0.01 °C, and the threshold current of the solitary DM-SL at this temperature is 12.0 mA. Light emitted from the DM-SL first passes through an optical circulator (OC), and then travels through a 50/50 fiber coupler (FC₁) and the light is divided into two paths. One path is used to form an optical feedback loop which contains an erbium-doped fiber amplifier (EDFA), a polarization controller (PC), a variable optical attenuator (VA) and another fiber coupler (FC₂). The polarization of the feedback light is adjusted by the PC to match the emission of the laser and the VA is used to tune the feedback

ratio ξ_r . The feedback ratio ξ_r is defined as the ratio of the feedback power to the solitary laser output power, and the feedback power is extracted from a power meter (PM) reading after taking into account the split ratio of FC₂ and the ~0.6 dB loss of the optical circulator. The other path of FC₁ is sent to the detection part, where the light is further split to be detected by a 25 GHz photodetector (PD₁, New Focus 1414) and a 12 GHz photodetector (PD₂, New Focus 1544-B). After optical to electrical conversion, the time series and electrical spectra of the output of DM-SL are recorded by an electrical spectrum analyzer (ESA, Anritsu MS2667C, 30 GHz bandwidth) and a digital oscilloscope with a sampling rate of 50 GS/s (OSC, Tektronix 71254C, 12.5 GHz bandwidth). In the experiment, the optical feedback round trip time τ is 216.1 ns.

3. EXPERIMENTAL RESULTS

The dynamical route to chaos of the ECF DM-SL is firstly examined. Figure 2 illustrates the time series and power spectra under different feedback ratio ξ_{f} at the bias current *I* of 40 mA. Noise floor in the power spectra are presented with grey line in Figs. 2(b1)-2(b3). For $\xi_f = 0.045$ (row 1), the power spectrum shows a sharp peak at ~7.5 GHz, which is close to the relaxation oscillation frequency when the DM-SL operates at 40 mA. However, in Fig. 2(a1), the time series exhibits a stable state, at the time window from 0 ns to \sim 5.6 ns. Therefore, this dynamical state is assessed as switching between stable state (S) and period-one state (P1). As plotted in Fig. 2(a2), the output time series obtained with ξ_{f} =0.090 shows a varying amplitude modulated by a square-wave like envelope. In Fig. 2(b2), the fundamental frequency peak at \sim 7.5 GHz is broadened with some sideband peaks, and the powers at lower frequencies are also increase. Thus, this dynamic can be viewed switching between stable state and quasi-periodicity (QP). Further increase ξ_f to 0.300 (row 3), the time series become dramatically noise-like fluctuation and the power spectrum becomes flatten and smooth, which indicates chaos achieved by optical feedback. From the above experimental observations, we find a quasi-period route to chaos in the ECF DM-SL when increasing ξ_{f} . Different from the quasi-period route in other ECF-SLs [31], a regular intermittent switching between S and P1 with square-wave envelope is observed within a certain range of ξ_{f} . This interesting phenomenon will be described in detail below.



Fig. 2. Time series and power spectra of the ECF DM-SL output intensity when bias current is 40 mA, where the feedback ratio ξ_{f} is 0.045 (row 1), 0.090 (row 2) and 0.300 (row 3). The gray lines in power spectra denote the noise floor.

In Fig. 3, the first column shows the time series recorded by the oscilloscope. The inset figures present zoomed-in details when dynamical state switching occurs within 3 ns span. The second column shows the corresponding power spectra and the third column presents the phase portraits, which is defined as the local Nth intensity as a function of (N-1)-th intensity. Obviously, from time series, power spectra and phase portraits, we could conclude a regular switching involved the alteration between S and P1. It should be pointed out that the regularity refers to the bursting phases that are separated by regular laminar time intervals. The phase portraits show two attractors. One cluster sited around (3.2 mV, 3.2 mV) is S with noise fluctuation, and the circle around -65 mV to 40 mV represents P1 oscillation. In addition, the repeat periods have a close relationship with the optical feedback round trip time τ . As shown in Fig. 3(a1), for $\xi_f = 0.048$, the time series of the ECF DM-SL's output shows a nearly constant lasting from t = 0 to 0.83τ . Then from t = 0.83τ to τ , the dynamics of the laser switches to P1 state. The switching between S and P1 repeats every τ , with a duty cycle of 0.17. Here the duty cycle is defined as the duration of the P1 state over a feedback round trip time τ . For $\xi_f = 0.052$, the dynamics shown in Fig. 3(a2) still have a switching between S and P1 but the duration of S increases. As a result, the duty cycle reduces to ~ 0.1 . As ξ_f increases to 0.059, the dynamics switching in Fig. 3(a3) is triggered 3 times within a feedback round trip time and the duty cycle increases to 0.22. Further increasing ξ_{f} to 0.063, the dynamics switching occurs 4 times within a τ , as shown in Fig. 3(a4). However, the duration of P1 within a feedback round trip time decreases, so the duty cycle of P1 reduces to 0.18.



Fig. 3. Time series (a), power spectra (b) and phase portraits (c) of the output of ECF DM-SL when I = 40 mA, where ξ_I is 0.048 (row 1), 0.052 (row 2), 0.059 (row 3) and 0.063 (row 4). The insets of the first column show the detail temporal waveforms in 3 ns time span.

The duty cycle of the bursting phases as a function of ξ_f at the bias current of 40 mA is summarized in Fig. 4, in which the points A, B, C and D are corresponding to the ξ_f in Fig. 3. For $\xi_f < 0.040$, the dynamical state of the ECF DM-SL keeps at stable state, and thus, the duty cycle is 0 (not shown in Fig. 4). With the increase of ξ_f from 0.040 to 0.048, the duty cycle increases monotonically with the increase of the feedback ratio until the duty cycle reaches 0.17 at the feedback ratio of 0.048. Further increase of ξ_f from 0.049 to 0.051, the duty cycle drops gradually to 0.1. Continue to increase the feedback ratio to 0.056, the duty cycle increases again. Carry on increasing the feedback ratio, the duty cycle decreases and then increases immediately. But one dynamics switching within a feedback round trip time changes to 3 switches at $\xi_f = 0.059$, as

displayed in Fig. 3(a3). Further increase ξ_f from 0.059 to 0.062, the duty cycle decreases from 0.22 to 0.16. When ξ_f = 0.063, three times switches between S and P1 within a τ suddenly change to four times switches. When ξ_f > 0.063, the duration of the S becomes very short and the dynamic is about to leave the regular dynamical switching region. Compared with the previously reported intermittency in DFB lasers [25], regular multi-switches between S and P1 within a feedback round trip time during the dynamical route to chaos is observed for the first time in DM-SLs, and such regular multiple switches may result from the inter-cavity structure of DM-SLs [27-30] as well as the long ECF-induced instability. The further explorations are currently being undertaken in our research laboratory and corresponding results will be reported elsewhere in due course.



Fig. 4. Duty cycle of the periodic oscillation as functions of ξ_I when I = 40 mA.

Apart from the regular dynamical switching between S and P1, the irregular intermittent dynamical switching between S and quasi-period (QP), S and multi-states as well as S and chaos are also observed at higher bias current. Fig. 5 depicts the time series, their corresponding power spectra and phase portraits when I = 70 mA. For $\xi_f = 0.158$ (row 1), the time series looks similar to that in Fig. 3(a1), containing a stable state (S) and a square-wave like envelope bursting phase region within a feedback round trip time. However, the zoom-in of the bursting phase regions, shown in inset of Fig. 5(a1), presents a slow varied intensity modulation characteristic. In addition, the slow varied intensity modulation is separated by small random time intervals of laminar phase, which is different from the regular dynamics switching between S and P1 in Fig. 3, and we name it as the irregular intermittent dynamics switching. From the phase portrait (Fig. 5(c1)), the attractor shows an attractor-merging crisis [24]. Therefore, the dynamics are governed by the switching between S and QP. For $\xi_f = 0.186$ (row 2), the duration of the bursting phase regions is different. The inset of Fig. 5(a2) also manifests stable state, period-two oscillation (P2) and QP. Fig. 5(b2) also exhibits a sharp frequency peak at ~ 5.1 GHz and two broad frequency peaks at ~10.2 GHz and ~15.3GHz. There are many side peaks near 10.2 GHz peak, which are caused by external cavity mode. Moreover, the phase portrait in Fig. 5(c2) exhibits a trajectory cross among these attractors. The stable attractor is tangent to the P1 orbit. P1 and P2 orbit is crossed at two fixed points and the crisis leads to an abrupt change in the optical intensity range. Here, we name it as the intermittent dynamics switching between S and multi-states. When ξ_{f} increases to 0.204, the time series behaves erratic fluctuation and the periodicity related to the feedback round trip time can hardly be identified. When zooming in on the time series, very short periods of stable state can be seen, usually lasting a few nanoseconds between the chaotic oscillations. Combining the power spectrum and the phase portrait, the dynamics are assessed

to be the intermittent switching between S and chaos.



Fig. 5. Time series (a), power spectra (b) and phase portraits (c) for the output of the DM-SL when I = 70 mA, where the feedback ratio ξ_I is 0.158 (row 1), 0.186 (row 2), and 0.204 (row 3). The insets of the first column show the detail temporal waveforms within 3 ns time span.



Fig. 6. Mapping of dynamical states of the ECF DM-SL in the parameter space of ξ_{f} and *I*.

The above results reveal that the dynamical behaviors of the ECF DM-SL are strongly related to the feedback ratio and bias current. A two-dimensional map of dynamical states of the ECF DM-SL in the parameter space of ξ_i and *I* is plotted in Fig. 6. The bias current varies from 30mA to 70mA with 10mA interval and the feedback ratio is varied from 0 to 0.3. The different dynamical states are represented by different colour blocks. In Fig. 6, we can see that a larger feedback ratio is needed to trigger the ECF DM-SL into chaos for a higher bias current, which agrees with the results in [32]. When I=30 mA, the dynamical switching only involves S and P1 in a narrow feedback ratio ranging from 0.01 to 0.03. When the feedback ratio is above 0.03, the laser operates at QP or chaos dynamic, and no dynamical switching is observed. When I = 40 or 50 mA, in addition to S and P1 switching, another dynamics namely S and OP switching emerges, which occurs when feedback ratio is around 0.07. When the DM-SL operates at 60mA, the intermittency between S and multi-states is observed within the feedback ratio range from 0.12 to 0.15. While when the bias current of the laser is 70 mA, the ECF DM-SL directly enters the intermittent dynamics of S and QP at ξ_f of ~0.16, without the regular dynamical switching between S and P1. The intermittent between S and chaos has been observed at the feedback ratio from 0.19 to 0.25 before the laser enters the fully developed chaos.

4. THEORETICAL MODEL AND RESULTS

To understand the dynamical switching, Lang-Kobayashi model [33] is deployed to simulate the dynamics of the discrete-mode semiconductor lasers with long ECF, which are described as,

$$\frac{dE(t)}{dt} = \frac{(1+i\alpha)}{2} \left(G(t) - \frac{1}{\tau_p} \right) E(t) + \kappa E(t - \tau_{ext}) e^{-i\omega\tau_{ext}}$$
(1)

$$\frac{dN(t)}{dt} = J - \frac{N(t)}{\tau_s} - G(t) \left| E(t) \right|^2$$
⁽²⁾

where E(t) is the slowly varying complex amplitude of the electric field, N(t) represents the carrier number, α is the linewidth-enhanced factor, G(t) is the optical gain, which can be described as $G(t) = g(N(t)-N_0)/(1+\varepsilon E(t)^2)$, in which, g is the differential gain coefficient, N_0 is the transparency carrier number and ε is the gain saturation factor, τ_p is the photon lifetime, κ denotes the feedback strength, τ_{ext} is the delay time corresponding to the round-trip time of the external cavity, ω is the angular frequency of the laser, J is the injection carrier rate, τ_s is the carrier lifetime. Eqs. (1) and (2) are numerically solved by the fourth-order Runge-Kutta algorithm with a temporal resolution of 2 ps and 135 μ s time span, the other parameters are the same as those in [34]: α = 4, $g = 2 \times 10^4 \text{ s}^{-1}$, $N_0 = 1.25 \times 10^8$, $\varepsilon = 1 \times 10^{-7}$, $\tau_p = 4.2 \text{ ps and } \tau_s =$ 1.6 ns. In order to compare with the experimental results, *J* is selected from $3I_{th}$ to $6I_{th}$, where I_{th} is the injection carrier rate at threshold. For comparison, the feedback round trip time is set as τ_{ext} = 10 or 20 ns, which is much larger than the relaxation oscillation period $\tau_{ro} \approx 2\pi (gE^2/\tau_p)^{-1/2}$ [33].

Fig. 7 presents the time series, the corresponding power spectra and phase portraits when τ_{ext} = 20 ns. For J/J_{th} = 3 and κ = 4.2 ns⁻¹, the time trace of laser output in Fig. 7(a1) shows S from t = 0 to $0.17\tau_{ext}$, then switches to P1 dynamic from $0.17\tau_{ext}$ to τ_{ext} , and repeats in every τ_{ext} . According to the aforementioned definition of duty cycle, the duty cycle is 0.83. When κ increases to 5.0 ns⁻¹ shown in row 2 of Fig. 7, three bursting phases with P1 separated by stable state can be found from the time series trace of Fig. 7(a2). The duty cycle increases to 0.88. The power spectrum in Fig. 7(b1) and Fig. 7(b2) show that the fundamental frequency is located \sim 7.9 GHz with some sidebands, which result from the P1 dynamic modulated by a square-wave envelope. From Fig. 7(c1) and Fig. 7(c2), we can clearly see a closed loop and orbits from the loop to the stable fixed point. All the evidences above verify a regular switching between S and P1, which qualitatively agrees with our experimental observations.



Fig. 7. Time series (a), power spectra (b) and phase portrait (c) for the output of the DM-SL when $\tau = 20$ ns, where the $J/J_{th} = 3$ and the feedback strength κ is 4.2 ns⁻¹ (row 1), $\kappa = 5.0$ ns⁻¹ (row 2). The insets of the first column show the detail temporal waveforms within 3 ns time span.

The relationship between the duty cycle and the number of regular dynamical switching between S and P1 has been theoretically studied. Fig. 8 shows the duty cycle as a function of κ . *J* is kept at 3*J*_{th} and τ_{ext} = 20 ns. For 3.4 ns⁻¹ $\leq \kappa \leq$ 4.5 ns⁻¹, the duty cycle almost monotonically increases from 0.53 to 0.83 and the dynamics switching between S and P1 occurs only once. When $\kappa = 4.6$ ns⁻¹, one times switch between S and P1 within a τ_{ext} transits to two times switches and duty cycle increases to 0.87. During the upswing of κ from 4.6 ns⁻¹ to 4.9 ns⁻¹, the duty cycle decreases slightly to 0.84 first and then increases to 0.90 along with the two times switches transiting to three times switches. Furthermore, the similar trend can be observed when four times switches occurs where the duty cycle of P1 drops slightly before the switching count increases in every au_{ext} . The physical mechanism can be explained when feedback strength approaches the crossover point, the energy of period-one orbit will redistribute first and then reproduce in a feedback round time. As a result, the number of dynamics switches will increase after a decreasing of the duty cycle. This simulation results qualitatively match with our experimental observations.



Fig. 8. Duty cycle of the periodic oscillation as functions of κ when $J/J_{th} = 3$ and $\tau_{ext} = 20$ ns.



Fig. 9. Time series (a), power spectra (b) and phase portrait (c) for the output of the DM-SL when $\tau = 20$ ns, where the $J/J_{th} = 6$, $\kappa = 7.9$ ns⁻¹ (row 1), $\kappa = 9.3$ ns⁻¹ (row 2). The insets of the first column show the detail temporal waveforms within 3 ns time span.

For a higher bias current $J/J_{th} = 6$ and $\kappa = 7.9$ ns⁻¹ shown in row 1 of Fig. 9, the normalized optical intensity is modulated by a slow varying envelop (shown as the red dashed line in the inset of Fig. 9(a1)) and the burst phase is separated by unpredicted time intervals within a τ_{ext} . In Fig. 9(b1), numerous discrete peaks with equal frequency space of 50MHz around the fundamental frequency of ~10.2 GHz can be observed, which corresponds to the external cavity mode frequency. In addition, the attractor behaves a typical dynamics alternation between QP and S and this dynamic switching is classified as an irregular intermittent switching between S and QP. Further increase the feedback strength κ = 9.3 ns⁻¹, an intermittent dynamics switching between S and multi-states can be verified easily from the time series, power spectrum and phase portrait in row 2 of Fig. 9. These simulation results also qualitatively agree with the irregular state switching observed in the experiment.

To provide an overall insight of the key parameters on the dynamics of ECF DM-SLs, Fig. 10 presents two dynamical distribution maps of the ECF DM-SL in the parameter space normalized bias current and feedback strength with a different feedback delay time of 10 ns (left column) and 20 ns (right column). For τ_{ext} = 10 ns, when J/J_{th} < 5, the dynamical switching only involves the alteration between S and P1 and the regular switching only occurs once in every τ_{ext} . However, when τ_{ext} increases from 10 ns to 20 ns with the same feedback strength range, multiple times regular switches are observed (orange regions in the right column). The mechanism can be explained as: for a relatively short feedback round-trip time, the P1 orbit only passes through the stable fixed spiral once before the next feedback period comes under weak feedback strength conditions, however, for a longer feedback round-trip time, the P1 orbit repeatedly transits the fixed spirals within a feedback round trip period. Therefore, multiple times regular switches can be observed in the DM-SLs with long external cavity. In addition, when 5≤ $J/J_{th} \leq 6$, irregular intermittent dynamical switching between S and QP, S and multi-states emerge, which are also similar to the experimental results in Fig. 6.





5. CONCLUSIONS

In summary, we experimentally and theoretically investigate the intermittent dynamics switching on the route to chaos in a discrete-mode laser with long optical feedback for the first time. The results show two typical dynamical state switching regimes namely regular intermittent dynamics switching and the irregular intermittent dynamics switching. The former involves the switching between S and P1 with regular laminar time interval and the duty cycle of P1 will drop before the switching count increases in a feedback round trip time. The latter, which turbulence phases include QP, multistates and chaos, are found under a relative high bias current. In addition, the intermittent dynamics switching exists at wide ranges of bias currents and feedback ratios. This work will help for understanding the intermittent behaviors in high dimension multi-stable attractors of long ECF-SLs as well as exploring the potential applications based on the nonlinear dynamics of DM-SLs. For example, the continuous tunability of duty cycles of regular intermittent dynamics switching in DM-SLs has potential applications in optical signal processing [35] and clock generation [36], and the

features of unpredictable laminar time interval of the irregular intermittent dynamics switching in DM-SLs could be applicable to physical random number generations.

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Data availability. Data underlying the results presented in this paper are not publicly available at this time but may be obtained from the authors upon reasonable request.

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