

Valued Insight or Act of Insubordination? How Context Shapes Coaches' Perceptions of Challenge-oriented Followership

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4 Valued Insight or Act of Insubordination? How Context Shapes Coaches' Perceptions of  
5 Challenge-oriented Followership

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### **Abstract**

Effective leadership is a collaborative effort, requiring a degree of complementarity in how people enact roles of leadership and followership. Using a novel online vignette methodology, we experimentally tested how three contextual factors influenced coaches' responses to challenge-oriented acts of followership as well as investigated two potential mechanisms. Coaches ( $N = 232$ ) watched videos of an athlete provided unsolicited challenge-oriented feedback to a coach. Videos varied by the (a) athlete's status, (b) presence of third-party observers, and (c) stage of the decision-making process. Following the video, we assessed coaches' evaluations of the athlete. Challenge-oriented followership was perceived more favorably when enacted by an athlete in one-on-one (versus in a group) and before a decision has been reached (versus after a decision is reached). Coaches may appreciate proactivity from athletes in positions of followership, but challenge-oriented followership behaviors enacted at the wrong time and place can elicit negative reactions.

Keywords: Follower; Leader; Leadership; Proactive, Role Perceptions

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49 Challenge-oriented Followership

50 Society disproportionately recognizes leaders for their efforts in motivating individuals  
51 toward a collective purpose (i.e., a romanticism of leadership; Meindl et al., 1985). Leadership,  
52 however, cannot exist in the absence of followership (van Vugt et al., 2008). As described within  
53 the *leadership process framework*, effective leadership is the result of a collaborative effort  
54 between leaders and followers (Uhl-Bien et al., 2014). Rather than exclusively focusing on the  
55 social influence of a single individual (and the behaviors that underpin such influence), this  
56 framework emphasizes that leadership is a process of social influence that arises from how two  
57 or more individuals engage in acts of leading and following (Uhl-Bien et al., 2014). As  
58 individuals can flexibly navigate between roles of leading and following to accommodate the  
59 situational demands a group is currently facing, complementary patterns of leading and  
60 following are essential to group coordination and the pursuit of instrumental group objectives  
61 (Eys et al., 2020). Although conventional wisdom implies that coaches and athletes need each  
62 other to succeed—a point supported by abundant empirical evidence and theorizing specific to  
63 coach-athlete relationships (e.g., Davis et al., 2019; Jowett, 2017)—research on how specific acts  
64 of followership shape the leadership process in sport settings remains scarce.

65 Followership is defined as “the characteristics, behaviors, and processes of individuals  
66 acting in relation to leaders” (Uhl-Bien et al., 2014, p. 96). This definition is value-neutral;  
67 followership is not inherently positive or negative, nor does the term imply a static role of  
68 subordination. Indeed, research in workplace settings has explored the various beliefs leaders  
69 hold about followers, revealing that *prototypical followership* entails the facets of good  
70 citizenship, enthusiasm, and industriousness, whereas *antiprototypical followership* entails the  
71 facets of insubordination, incompetence, and conformity (Sy, 2010). Another important element  
72 emphasized within Uhl-Bien et al.'s (2014) definition is the interdependent nature of leading  
73 and following. Interdependence between leaders (e.g., coaches) and followers (e.g., athletes) is

74 especially apparent in sport, making the athletic context a fertile ground to study leader-follower  
75 interactions (Benson et al. 2014; Jowett & Shanmugam, 2016). Notably, high quality coach-  
76 athlete relationships are necessary for effective and purposeful coaching (Jowett, 2017) and  
77 fruitful interactions between these two parties predict favorable outcomes (Nicholls et al., 2017).

78         To gain insight into the nature of followership in sport, Benson et al. (2016) interviewed  
79 highly accomplished university sport coaches. These coaches described ideal followers as  
80 individuals who are accountable and committed to supporting team efforts, while also being  
81 proactive and willing to challenge ideas and offer alternative perspectives. A caveat echoed by  
82 the coaches, however, was that followers had to understand when and where it was appropriate  
83 to engage in such challenge-oriented behaviors. These findings advanced earlier work in the  
84 organizational domain demonstrating that leaders preferred followers who were proactive in  
85 their role and voiced their opinions (Sy, 2010), but that leaders' reactions to this proactive  
86 followership was contingent on the situation in which they were enacted and the way such  
87 behaviors were expressed (Sun & van Emmerik, 2014; Whiting et al., 2012). In sport team  
88 settings, acts of challenge-oriented followership can be a double-edged sword due to the mixed  
89 reactions they can evoke from leaders, and how they may enhance or undermine planning and  
90 coordination among team members (Benson et al., 2016). These findings suggest that similar to  
91 leadership, followership is a process of social influence that requires situational awareness of  
92 how context shapes the appropriateness of behaviors. As such, there is a need to better  
93 understand how context affects coaches' perceptions of challenge-oriented followership  
94 behaviors.

95         According to organizational literature, an important feature of challenge-oriented  
96 followership is that it is a proactive and self-initiated behavior, meaning it is enacted without  
97 prior instruction or permission from a leader (Grant & Ashford, 2008; Grant et al., 2009).  
98 Leaders may respond negatively to challenge-oriented followership if they perceive the behavior  
99 as threatening or insubordinate (Falbe & Yukl, 1992), a dishonest ingratiation attempt (Bolino,

100 1999), or an overstepping of boundaries (Uhl-Bien et al., 2014). Nevertheless, challenge-  
101 oriented followership may help to curtail selfish decisions made by some leaders (Oc &  
102 Bashshur, 2013), and aid in team decision-making processes (Benson et al., 2016). Thus, how  
103 challenge-oriented followership is perceived by a leader may ultimately depend on the context in  
104 which such behaviors occur (Carsten et al., 2018). In sport, there may be situations when a  
105 coach (often the formal team-leader) requires immediate deference and compliance from the  
106 athletes on their team (the followers) to move forward with a strategy or task (e.g., the time  
107 pressure situation of a basketball timeout). In contrast, there are times when leaders desire  
108 followers who are proactive in their role and willing to challenge their ideas and strategies (e.g.,  
109 in a pre-season team goal setting session). In the current research, we conducted the first  
110 experimental test of how three specific contextual factors (i.e., presence of third-parties, stage of  
111 the decision-making process, and status of follower)—previously identified through interviews  
112 with coaches (Benson et al., 2016)—influenced leaders' responses to challenge-oriented acts of  
113 followership. Specifically, we examined how the context in which the acts occurred influenced  
114 coaches' appraisals of the behaviour by assessing (a) perceived effectiveness of the athlete's  
115 communication, (b) leader receptivity to the feedback, and (c) general evaluations of the  
116 athlete's followership. As it pertains to the latter, on the basis of research on implicit  
117 followership theory, we evaluated whether coaches perceived the athlete as having traits  
118 associated with prototypical followership and insubordinate followership (Sy, 2010), which is  
119 the only component of antiprototypical followership that predicts whether followers will refuse  
120 their leaders' instructions (Knoll et al., 2017).

121         According to qualitative work exploring coaches' interpretations of followership in sport  
122 (Benson et al., 2016), one contextual factor that may affect how challenge-oriented behaviors are  
123 interpreted is the presence of third-party observers. DeRue and Ashford (2010) posited that  
124 successful leadership efforts are based upon mutual understanding between leaders and  
125 followers; when one person lays claim to leadership in a situation, others must grant this

126 person's claims by actively assuming a follower identity. Whereas visible displays of deference  
127 are critical to ensuring group members collectively endorse the same central leader, followers  
128 who challenge their leaders in front of other group members may create ambiguity over who is  
129 leading who. From the perspective of a formally appointed leader like a coach, such challenging  
130 behaviors may be perceived as acts of insubordination. In contrast, followers who publicly  
131 support their leader and/or wait for one-on-one interactions to challenge are less likely to  
132 disrupt the central leadership structure within the group. As such, our first hypothesis was as  
133 follows:

134 **Hypothesis 1:** Coaches will rate challenge-oriented acts of followership more positively  
135 in terms of (a) perceived effectiveness, (b) receptivity, and (c) general evaluations of the  
136 follower (i.e., higher levels of prototypical followership, lower levels of insubordinate  
137 followership)—when they occur in a one-on-one setting than in a group setting.

138 Coaches also identified that the timing of challenge-oriented acts of followership may  
139 affect their perceptions (Benson et al., 2016). Leaders tend to view feedback negatively when it is  
140 given too close to when a decision must be made (Whiting et al., 2012). Once the deliberation  
141 phase for a given decision has passed, any further input may obstruct rather than assist  
142 decision-making processes (Marks et al., 2001). Following this rationale, we hypothesized the  
143 following:

144 **Hypothesis 2:** Coaches will rate challenge-oriented acts of followership more positively  
145 in terms of (a) perceived effectiveness, (b) receptivity, and (c) general evaluations of the  
146 follower (i.e., higher levels of prototypical followership, lower levels of insubordinate  
147 followership)—when they occur before closure on a decision is signaled (i.e., before a  
148 decision is made) than after a decision is signaled (i.e., after a decision is made).

149 The status of the follower is another contextual factor coaches reported as relevant to  
150 their understanding of challenge-oriented behaviors (Benson et al., 2016). Oc and Bashshur  
151 (2013) proposed that followers are more likely to influence their leaders when they occupy a

152 higher status position within the group. Individuals with higher status (i.e., respect and  
153 admiration) tend to receive more opportunities and have greater access to resources within  
154 groups (Anderson et al., 2015). As status is conferred to individuals based on their perceived  
155 instrumental value to a group (Anderson et al., 2015), coaches may be more receptive to  
156 feedback from higher status group members. Nevertheless, challenge-oriented followership  
157 behaviors from high-status followers enacted at the wrong time (i.e., in the presence of third-  
158 party observers; Benson et al., 2016) may pose a threat to leaders by undermining their  
159 authority and disrupting the leadership hierarchy (van Vugt et al., 2008). As such, we  
160 hypothesized the following:

161       **Hypothesis 3:** Coaches will rate challenge-oriented acts of followership more positively  
162       in terms of (a) perceived effectiveness, (b) receptivity, and (c) general evaluations of the  
163       follower (i.e., higher levels of prototypical followership, lower levels of insubordinate  
164       followership)—when they are enacted from a higher status than lower status teammate,  
165       as long as no other contextual boundaries are violated (i.e., one-on-one setting, before a  
166       decision is reached).

167       Complementing our primary purpose regarding the direct effect of context on coaches'  
168       evaluations of challenge-oriented followership, the secondary purpose was to investigate  
169       potential mechanisms of *why* coaches sometimes viewed challenge-oriented behaviors less  
170       positively (i.e., indirect effects). Understanding why coaches arrived at certain evaluations  
171       would help to guide efforts to improve leader-follower communication patterns in sport. We  
172       tested two candidate mechanisms in the current study. Given the lack of direct evidence in this  
173       domain, we briefly highlight rationale for considering these particular mediators.

174       One potential mediator is the extent to which challenge-oriented followership is  
175       perceived as an expression of dominance. Evolutionary research on leader-follower dynamics  
176       suggests that dominant individuals may gain influence through forced compliance (instead of  
177       persuasion) by relying on fear and intimidation tactics (Bastardoz & van Vugt, 2019; Cheng et

178 al., 2010). In research on nonhuman primates, expressions of dominance by subordinates  
179 directly threaten the alpha individual and often elicit aggressive countermeasures (Sapolsky,  
180 2005). As such, perceptions of *follower dominance* may mediate the effect of challenge-oriented  
181 followership on how coaches evaluate and respond to such behavior in the presence of third-  
182 party observers or after a decision is made. Another candidate mechanism is the extent to which  
183 challenge-oriented followership is perceived as a *violation of role expectations*. Coaches develop  
184 and communicate specific and general role expectations to ensure athletes are positively  
185 contributing to the direction of the team (Eys et al., 2020). Challenge-oriented followership may  
186 contravene such expectations when enacted at the wrong moment, such as in the presence of  
187 others or after a decision is made. Altogether, we explored the following research questions:

188       **Research Questions:** Is the effect of challenge-oriented followership, (a) in the  
189       presence of third-party observers and (b) after a decision is made, on coaches'  
190       evaluations mediated by either (1) follower dominance or (2) violation of role  
191       expectations?

## 192 **Overview of the Current Research**

193       The objective of the proposed research was to experimentally test how specific contextual  
194 factors influence leaders' (i.e., coaches') responses to challenge-oriented acts of followership. To  
195 examine this question, we introduce a novel experimental vignette methodology in which we  
196 filmed leader-follower interactions using paid actors. Such an approach enabled us to directly  
197 manipulate three contexts (i.e., presence of third-party observers, stage of the decision-making  
198 process, follower status) in which a follower delivered challenge-oriented feedback. Using this  
199 methodology enhances the study design's internal validity and strengthens our confidence in  
200 making causal inferences (Scandura & Williams, 2000). Moreover, instead of asking  
201 participants to envision themselves in a scenario based on a written description, having  
202 participants observe a video that depicts an actual leader-follower interaction creates a more

203 immersive and realistic vignette experience, and controls for other factors such as content of the  
204 feedback (Pierce & Aguinis, 1998).

## 205 **Method**

### 206 **Participants**

207 The final sample consisted of 232 coaches ( $n_{\text{females}} = 67$ ;  $M_{\text{age}} = 37.90$ ,  $SD = 13.02$ )  
208 who coached at either the collegiate ( $n = 139$ ), youth ( $n = 40$ ), club ( $n = 32$ ), or recreational  
209 ( $n = 21$ ) level. Table 1 depicts how coaches' competition level varied by gender. We obtained  
210 338 initial responses; however, many coaches ( $n = 91$ ) were not able to complete the  
211 experiment due to technical difficulties (i.e., the video did not load properly due to software  
212 compatibility issues or the audio was inadequate). An additional 15 coaches were excluded  
213 for failing one of the manipulation checks (detailed below). The average number of years of  
214 coaching experience in our sample was 13.34 ( $SD = 10.36$ ). More coaches self-identified as  
215 head ( $n = 163$ ) than assistant ( $n = 67$ ) coaches (two coaches did not respond to this  
216 question). There were slightly more coaches of male-only teams ( $n = 96$ ) than female-only  
217 teams ( $n = 94$ ); some coaches ( $n = 42$ ) coached both male and female teams. The individuals  
218 in our sample coached a variety of different sports, including basketball ( $n = 50$ ), volleyball  
219 ( $n = 41$ ), hockey ( $n = 30$ ), soccer ( $n = 27$ ), football ( $n = 15$ ), rugby ( $n = 10$ ), baseball ( $n = 8$ ),  
220 and other sports (e.g., track and field, swimming, curling, dance, field hockey, golf;  $n = 51$ ).

### 221 **Procedure and Materials**

222 After obtaining approval from two institutional ethics boards (i.e., Western  
223 University and Wilfred Laurier University), coaches were recruited via the internet. As there  
224 were no comparable experimental designs from which to inform our assumed effect size for  
225 the power analysis, we targeted the smallest effect size of interest given the funding available  
226 for recruitment (i.e., small-to-medium sized effect). An a priori power analysis (estimated  
227 effect of  $f = 0.175$ ,  $\alpha = .05$ ) indicated that 259 coaches would be required to achieve a power  
228 of .80. Thus, we sought to obtain 32 coaches per condition (i.e., 256 coaches total). Our

229 primary recruitment method was searching publicly available coaching databases to obtain  
230 head and assistant coaches' email addresses—beginning with the collegiate level of  
231 competition. We used this method to email over 500 coaches in Canada and the United  
232 States to gauge interest in participation. As a secondary recruitment strategy after not  
233 obtaining enough complete responses from university coaches, we broadened our sampling  
234 criteria and information posts were distributed on social media. Coaching experience was  
235 verified once initial contact was made in all cases; coaches were emailed a unique study link  
236 to ensure experimenter control over who was included.

### 237 ***Experimental Vignettes***

238 Coaches who consented to participate first completed a demographic questionnaire.  
239 They were then randomly assigned to view one of eight video vignettes (created for the  
240 purposes of this study). The vignettes depicted an athlete speaking up and verbally  
241 challenging a coach during a meeting—hereafter referred to as challenge-oriented  
242 followership (COF). The individuals in the vignettes were paid male actors and the actor  
243 portraying the coach was a professional coach at the collegiate level—none of whom were  
244 part of the research team. Using a full-factorial  $2 \times 2 \times 2$  experimental design, three  
245 contextual factors were manipulated. We manipulated the *status of the follower* (i.e., high  
246 status vs. low status) via the following instructions given to participants immediately prior to  
247 watching the video:

248       Once you click on the next page you will be taken to a short video (i.e., just over a  
249       minute long) depicting an interaction between a coach and several athletes. In the  
250       following video clip, please pay careful attention to the athlete wearing the black  
251       shirt, who is a [*respected senior team member/newcomer to the team this year*].  
252       Immediately following the video clip, we will be asking for your thoughts on how you,  
253       as a coach, feel about the specific interaction with the [*senior athlete/newcomer*] in

254 the black shirt. We would like you to imagine yourself as the coach interacting with a  
255 team member in the following clip....

256 We manipulated the *presence of third-party observers* by depicting the athlete  
257 enacting the COF as either accompanied by four teammates (group condition) or alone (one-  
258 on-one condition). Finally, we also manipulated *the stage of the decision-making process*:  
259 The COF occurred either before the coach had announced his decision as final (before  
260 condition) or after his announcement (after condition). The content of the follower's  
261 feedback and the nature of the discussion led by the coach remained constant across the  
262 scenarios. After viewing the vignette, participants completed questionnaires of their  
263 perceptions of the follower and his feedback. See the supplementary material file for  
264 additional information about the vignettes: <https://osf.io/cwfhe/>

### 265 ***Dependent Measures***

266 **Effectiveness of Follower Behavior.** We adapted Sauer's (2011) measure of  
267 leader effectiveness to develop three items that evaluated the degree to which coaches  
268 perceived the COF to be effective in the context of advancing team goals. These items related  
269 to the perceived effectiveness of the COF ("To what extent was the athlete effective in his role  
270 as a follower?"; "To what extent was the athlete's behavior characteristic of ideal  
271 followership"; "To what extent did the athlete positively contribute to the team meeting in  
272 this situation?"), with response options ranging from 1 (*not at all*) to 7 (*very much so*).

273 **Leader Receptivity to Feedback.** Coaches were asked to indicate the extent to  
274 which they were receptive to the athlete's COF on three items, with response options ranging  
275 from 1 (*not at all*) to 7 (*very much so*). The items were: "I would carefully consider the  
276 athlete's feedback under these circumstances."; "I would pay close attention to the athlete's  
277 suggestion in this situation."; "I would be receptive to the athlete's advice in this situation."

278 **Evaluations of the Follower.** We modified Sy's (2010) Implicit Followership  
279 Scale to assess coaches' evaluation of the athlete depicted in the vignette. Specifically,

280 coaches were asked to rate “how characteristic each adjective is of the player [they] just  
281 observed in the previous interaction” with response options ranging from 1 (*not at all*  
282 *characteristic*) to 10 (*extremely characteristic*). This scale captures both prototypical and  
283 antiprototypical follower traits, but was initially designed as a trait measure in a workplace  
284 context. In modifying the questionnaire for this experimental context, ten items (i.e.,  
285 adjectives) were excluded from the original scale (i.e., hardworking, uneducated, easily  
286 influenced, slow, excited, follows trends, outgoing, inexperienced, soft spoken, happy),  
287 leaving five items reflecting *prototypical followership* (i.e., loyal, productive, reliable, goes  
288 above and beyond, team player) and three items reflecting *insubordinate followership*—a  
289 specific facet of antiprototypical followership (i.e., arrogant, rude, bad temper).<sup>1</sup>

290       **Dominance.** Four items were adapted from Cheng et al.’s (2010) Dominance and  
291 Prestige scale to assess the extent to which coaches perceived the target athlete as dominant.  
292 Coaches were asked to rate the extent to which they agreed with the four statements, with  
293 response options ranging from 1 (*strongly disagree*) to 7 (*strongly agree*). The items  
294 included were, “This athlete is concerned with demonstrating control over others”; “This  
295 athlete is willing to use aggressive verbal tactics to get his way”; “This athlete has a forceful  
296 personality”; “This athlete enjoys having authority over others.”

297       **Role Violation.** To assess whether coaches perceived the athlete to be in violation  
298 of his role, we created three items specifically for this study. Coaches were asked to rate the  
299 extent to which they agreed with three items related to role violation, with response options  
300 ranging from 1 (*strongly disagree*) to 7 (*strongly agree*). These items were, “This athlete  
301 clearly violated his role under these circumstances”; “The athlete’s behavior was  
302 inappropriate in this situation”; “I would not encourage this type of behavior in my group.”

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<sup>1</sup> A confirmatory factor analysis evaluating this two-factor structure demonstrated the following model fit,  $\chi^2(19) = 41.13$ ,  $p < .001$ , CFI = 0.96, TLI = 0.94, RMSEA = 0.08, 95% CI [0.05, 0.11], SRMR = 0.47, with factor loadings ranging from .68 to .85.

### 303 ***Manipulation and Deception Checks***

304 Finally, coaches completed three multiple-choice manipulation check questions  
305 to ensure coaches could recall the context surrounding the interaction (i.e., “How many  
306 athletes were present during the interaction you observed?”; “Which of the following best  
307 reflects the athlete you observed during the video?”; “Which of the following reflects the  
308 athlete’s behavior?”). To gauge coaches’ naiveté regarding the study, coaches also responded  
309 to an open-ended question about whether they developed their own ideas about the study’s  
310 purpose and hypotheses. Of the 247 coaches who completed the full experiment, 15 coaches  
311 were excluded for incorrectly responding to one of the manipulation check questions.

### 312 **Analysis**

313 We used confirmatory factor analysis to model scores derived from each subscale as a  
314 latent factor in Mplus 8.4 (Muthén & Muthén, 2017). The overall measurement model  
315 yielded the following fit indices,  $\chi^2(174) = 364.71, p < .001, RMSEA = 0.07, CFI = 0.93, TLI = 0.92, SRMR = .09$ . Although the RMSEA and SRMR values exceed the recommended  
316 cutoff values by Hu and Bentler (1999), these indices should be interpreted in the context of  
317 measurement quality, as the standardized factor loadings are strong and significant ranging  
318 from .54 to .96, with an average factor loading of .80 (McNeish & Hancock, 2018).  
319 Nonetheless, inspecting the modification indices revealed that fit markedly improves when  
320 allowing the residual error term to correlate for items one and two of the follower  
321 effectiveness subscale,  $\chi^2(173) = 267.108, p < .001, RMSEA = 0.05, CFI = 0.97, TLI = 0.96,$   
322  $SRMR = .04$ . This suggested modification may reflect the fact that these items used the term  
323 “follower/followership”, whereas the third item did not. Most of the interfactor correlations  
324 were moderate, but the correlation between insubordinate and dominance was strong at  $r =$   
325  $.80$ . All of the subscale scores demonstrated sufficient levels of reliability (effectiveness of  
326 follower behavior,  $\alpha = .76$ , leader receptivity to feedback,  $\alpha = .87$ ; prototypical followership,  
327  $\alpha = .88$ ; insubordinate followership,  $\alpha = .83$ ; dominance,  $\alpha = .88$ ; role violation,  $\alpha = .92$ ).

329 To evaluate the substantive hypotheses, full-factorial analyses of variance (ANOVA)  
330 were conducted to test the main and interactive effects of the contextual variables on how  
331 coaches evaluated the COF. Significant interaction effects were followed by pairwise  
332 comparisons to evaluate differences among specific combinations of factors using the least  
333 significant differences method.

### 334 **Results**

335 As Little's MCAR was non-significant,  $X^2(460) = 471.17, p = .349$ , the expectation-  
336 maximization algorithm was used to replace missing data from incomplete responses (Schafer &  
337 Olsen, 1998). Levene's test of homogeneity of variance was also non-significant across all  
338 variables ( $ps \geq 0.322$ ), fulfilling the assumption that variance between conditions was  
339 approximately equal. Fisher's exact tests were used to compare responses for coaching level,  
340 gender of the coach, and gender of the team coached across the three experimental conditions.  
341 This analysis revealed no significant relations between these demographic variables and the  
342 experimental conditions ( $ps \geq .149$ ). A one-way ANOVA also revealed that participant age did  
343 not significantly differ between conditions,  $F_s \leq 1.10, ps \geq .331$ .

### 344 **Coaches' Evaluations of Followership**

345 Descriptive statistics are displayed in Table 2. As seen in the table, scores fell near the  
346 midpoint of the scale for the majority of measures, suggesting that, on average, coaches deemed  
347 the act of challenge-oriented feedback to be relatively neutral. In the following analyses, we  
348 examined the degree to which contextual factors shifted coaches' evaluations of such behaviors.

### 349 ***Effectiveness of Follower Behavior***

350 Although there were no significant main effects related to the status of follower,  
351  $F(1, 223) = .92, p = .338, \eta_p^2 = .00$ , or the stage of the decision-making process,  $F(1, 223)$   
352  $= 2.41, p = .115, \eta_p^2 = .01$ , perceived effectiveness of the feedback differed based on the  
353 presence of third-party observers,  $F(1, 223) = 4.07, p = .045, \eta_p^2 = .02$ . Supporting  
354 Hypothesis 1, coaches perceived the COF as more effective when it was delivered

355 individually ( $M = 3.68, SD = 1.32$ ) than in a group context ( $M = 3.33, SD = 1.36$ ). Of  
 356 note, there was a significant interaction between presence of third-party observers and  
 357 stage of the decision-making process,  $F(1, 223) = 5.40, p = .021, \eta_p^2 = .02$ . The COF  
 358 delivered after a decision was reached was perceived as more effective when it occurred  
 359 individually ( $M = 3.75; SD = 1.37$ ) than in a group ( $M = 3.00; SD = 1.24$ ),  $p = .003$ ,  
 360 Hedges's  $g = 1.08$ . There was also a significant interaction between presence of third-  
 361 party observers and status of follower,  $F(1, 223) = 4.23, p = .041, \eta_p^2 = .02$ . COF enacted  
 362 by a newcomer (i.e., lower status member) was perceived as more effective when enacted  
 363 individually ( $M = 3.78; SD = 1.29$ ) than in a group ( $M = 3.01; SD = 1.26$ ),  $p = .005$ ,  
 364 Hedges's  $g = 0.60$ . The two-way interaction between status of follower and stage of the  
 365 decision-making process,  $F(1, 223) = .000, p = .990, \eta_p^2 = .00$ , and three-way  
 366 interaction,  $F(1, 223) = 0.11, p = .740, \eta_p^2 = .00$ , were nonsignificant.

### 367 ***Leader Receptivity***

368 There were no significant main effects of any contextual variables on leader's  
 369 receptivity to the COF: status of follower,  $F(1, 224) = 1.709, p = .192, \eta_p^2 = .01$ , presence  
 370 of third-party observers,  $F(1, 224) = 2.542, p = .112, \eta_p^2 = .01$ , stage of the decision-  
 371 making process,  $F(1, 224) = 0.104, p = .747, \eta_p^2 = .00$ . Nonetheless, a significant  
 372 interaction effect was found between stage of the decision-making process and presence  
 373 of third-party observers,  $F(1, 224) = 4.281, p = .040, \eta_p^2 = .02$ . When COF was delivered  
 374 after a decision was reached, coaches were more receptive to the COF when it was  
 375 enacted individually ( $M = 5.28; SD = 1.08$ ) than in a group setting ( $M = 4.70; SD = 1.37$ ),  
 376  $p = .012$ , Hedges's  $g = 0.46$ . Despite this finding, there were no other interaction effects  
 377 detected, including between presence of third-party observers and status of follower,  $F(1,$   
 378  $224) = 0.223, p = .637, \eta_p^2 = .00$ , or status of follower and stage of the decision-making  
 379 process,  $F(1, 224) = 3.283, p = .071, \eta_p^2 = .01$ . The three-way interaction was also non-  
 380 significant,  $F(1, 224) = 0.30, p = .864, \eta_p^2 = .00$ .

### 381 ***Prototypical Followership***

382 Ratings of prototypical followership varied according to the stage of the decision-  
383 making process,  $F(1, 220) = 4.37, p = .038, \eta_p^2 = .02$ . Supporting Hypothesis 2, coaches  
384 rated the athlete higher on prototypical followership characteristics when the COF was  
385 enacted before a decision was made ( $M = 5.88; SD = 1.15$ ) than after ( $M = 5.62, SD =$   
386  $1.30$ ) a decision was made. Conversely, there were no main effects of follower status,  $F(1,$   
387  $220) = 1.51, p = .221, \eta_p^2 = .007$ , or presence of third-party observers,  $F(1, 224) = 0.05, p$   
388  $= .921, \eta_p^2 = .000$ , on prototypical followership. There were also no significant  
389 interaction effects between the presence of third-party observers and status of follower,  
390  $F(1, 220) = 0.32, p = .570, \eta_p^2 = .001$ , status of follower and stage of the decision-making  
391 process,  $F(1, 220) = 0.14, p = .707, \eta_p^2 = .00$ , or stage of the decision-making process and  
392 presence of third-party observers,  $F(1, 220) = 2.45, p = .119, \eta_p^2 = .01$ . The three-way  
393 interaction was also nonsignificant,  $F(1, 220) = 1.75, p = .187, \eta_p^2 = .01$ .

### 394 ***Insubordinate Followership***

395 Counter to predictions, there were no significant main or interactive effects  
396 related to insubordinate followership (see supplementary file for complete statistics).

### 397 **Potential Mediators**

398 Similarly, analyses revealed that neither of the potential mediators (i.e.,  
399 perceptions of follower dominance, perceived role violation) exhibited differences across  
400 conditions (i.e., first linkage in the mediation pathway) that merited proceeding to  
401 evaluating them as potential mediators linking COF to coaches' evaluations of such  
402 behavior (see supplementary file for complete statistics).

### 403 **Discussion**

404 Followership is a process of social influence that is tightly intertwined with the  
405 process of leadership (Uhl-Bien et al., 2014). In sports, positive relationships between  
406 leaders (e.g., coaches) and followers (e.g., athletes) are a key component of successful

407 team performance (Davis et al., 2019), yet there is a scarcity of systematic research on  
408 the nature of followership and its consequences in sport. The present study is the first to  
409 use an experimental vignette methodology to examine whether contextual factors  
410 previously identified by coaches (i.e., presence of third-parties, stage of the decision-  
411 making process, and status of follower; Benson et al., 2016) impacted coaches'  
412 evaluations of both COF and the athlete enacting the challenging behavior. Analyses  
413 revealed the presence of higher-order interaction effects of context on leaders'  
414 interpretations of follower behavior. As commonly observed in research examining  
415 interpersonal exchanges, the setting, complexity, breadth, and nuance of social  
416 interactions means that several contextual factors ought to be accounted for in a given  
417 analysis (Kenny, 1996).

418         Nonetheless, of the factors manipulated, the presence of third-party observers  
419 (i.e., teammates) appeared to be the most salient factor influencing coaches' evaluations.  
420 Coaches perceived COF to be more effective in a one-on-one setting than in the presence  
421 of others. The timing of the COF was also relevant to coaches' evaluations; a preference  
422 for COF enacted before (rather than after) a decision emerged. Generally, coaches'  
423 evaluations of the COF were relatively neutral (mean responses clustered around the  
424 midpoint of the measures), suggesting that the behavior itself was not deemed inherently  
425 positive or negative. As the context the COF occurred within appeared to skew coaches'  
426 appraisals away from the midpoint of the scales (i.e., positively or negatively), this  
427 underscores the notion that COF is indeed a double-edged sword (e.g., Benson et al.,  
428 2016; Carsten et al., 2018).

### 429 **Theoretical Implications**

430         A major contribution of this study was that the presence of third-party observers affected  
431 how coaches evaluated COF. Providing support for our first hypothesis, coaches rated the COF  
432 as more effective when enacted by the athlete one-on-one than in the presence of teammates.

433 Moreover, the presence of interaction effects indicated that delivering COF in a one-on-one  
434 setting (instead of in front of teammates) may have actually buffered against the costs of  
435 violating other norms—namely, speaking up after a decision was made or as a low status group  
436 member. In such situations, coaches responded more favourably to the COF in terms of  
437 perceived effectiveness and receptivity when enacted individually. Altogether, the pattern of  
438 findings suggests that when other contextual conditions are violated (i.e., speaking up after a  
439 final decision is made, speaking up as a new group member), the mere presence of others may  
440 play a role in how such behaviors are interpreted by coaches. This aligns with research spanning  
441 several populations (e.g., elementary school students, Archer-Kath et al., 1994; medical  
442 students, Camp et al., 2010) whereby feedback is received more positively when delivered one-  
443 on-one compared to in a group. Indeed, publicly disagreeing with a leader or offering an  
444 alternative solution could be construed as threatening to the leader (Camp et al., 2010; Oc &  
445 Bashshur, 2013). In the context of sport, the coach-athlete relationship is highly interdependent  
446 and thrives due to the presence of complementarity, commitment, closeness, and co-orientation  
447 (see Jowett, 2017). When an athlete challenges in front of teammates, it may signal a mismatch  
448 (or absence) in these values, leading to the coach perceiving such behavior as threatening to  
449 their standing.

450 Our study also adds to the literature by showing how challenge-oriented behaviors can  
451 be a component of prototypical followership. In partial support of the second hypothesis,  
452 athletes engaging in COF before a decision was made (rather than after) tend to be perceived as  
453 a prototypical follower (i.e., loyal, productive, reliable, goes above and beyond, and is a team  
454 player). Research on workplace interactions have emphasized that timing is important to  
455 determining how leaders evaluate follower feedback (e.g., Whiting et al., 2012), as it can signal  
456 proactivity (Grant & Ashford, 2008). Leaders, however, do not always appreciate proactive  
457 behavior (Grant et al., 2009; Grant & Ashford, 2008), despite the fact that it can contribute to  
458 various positive work outcomes (see Parker et al., 2010). In sport, teams benefit from players

459 who proactively correct each other and voice suggestions to overcome obstacles (Van  
460 Puyenbroeck et al., 2018). Prior to the present study, however, coaches' evaluations of this  
461 proactive behavior had not been experimentally examined. Our findings suggest that coaches  
462 prefer when athletes enact early proactive behaviors (i.e., enact COF before a decision is made).

463         Although the third hypothesis was not supported (i.e., follower status did not affect  
464 coaches' evaluations), this may reflect the nature of the vignette design rather than the  
465 importance of a follower's status. As status refers to the respect, admiration, and voluntary  
466 deference afforded to an individual based on their instrumental social value (Anderson et al.,  
467 2015), the status manipulation may have lacked experimental realism in contrast to the other  
468 contextual factors that were varied across conditions (i.e., timing of feedback, presence of third-  
469 party observers). According to social impact theory (Latané, 1981), followers of higher social  
470 rank have a greater capacity to influence leaders because of their relative proximity to the leader  
471 (Oc & Bashshur, 2013). Moving forward, research should consider differences between what  
472 leaders prefer from their followers and what is beneficial for team outcomes (Fuller et al., 2012).  
473 Whereas qualitative work described how coaches preferred feedback from higher-status  
474 followers (Benson et al., 2016), research in organizational settings suggests that dissent from  
475 lower-status followers is associated with improvements in group decision making processes (De  
476 Dreu & West, 2001). Indeed, lower status followers may be the primary drivers of innovation  
477 and change as they stimulate a broader range of ideas (Blair & Bligh, 2018). Despite the absence  
478 of status-based effects in the current study, organizational scholars have drawn attention to the  
479 consequential role of status in shaping individual, interpersonal, and group consequences  
480 (Bendersky & Pai, 2018), and thus it is perhaps premature to rule out this important variable in  
481 relation to leader-follower dynamics in sport teams.

## 482 **Practical Implications**

483         Speaking up and providing alternative points of view is an important aspect of being an  
484 effective follower (Carsten et al., 2010; Sy, 2010), but it can also lead to ruptures in leader-

485 follower relationships (Grant & Ashford, 2008; Uhl-Bien et al., 2014). Thus, it is perhaps  
486 unsurprising that followers often fear retaliation when providing feedback to superiors (Kudisch  
487 et al., 2006). In sport teams, open and honest communication between coaches and athletes is  
488 vital to predicting team outcomes (see Davis et al., 2019). Coaches understand the importance of  
489 feedback given from the athletes on their team, but recognize they are not always open to  
490 receiving it (Mason et al., 2020). As such, athletes may benefit from understanding how to more  
491 effectively voice their ideas when they differ from their coach. Our results suggest that athletes  
492 should approach their coach one-on-one to maximize the likelihood of conflicting ideas being  
493 regarded as effective. They should also do so before their coach reaches a decision to increase  
494 the chance they are perceived as a good follower. Establishing team norms consistent with these  
495 recommendations may facilitate optimal team functioning.

#### 496 **Limitations and Future directions**

497 Like all research, the present study should be considered recognizing its limitations.  
498 Experimental video vignettes have inherent trade-offs as a methodology. Vignettes afford  
499 researchers a high degree of control by enabling manipulations of specific variables while  
500 effectively controlling for extraneous factors, which make them a useful way to establish cause-  
501 and-effect relationships (Aguinis & Bradley, 2014; Pierce & Aguinis, 1998). However, creating  
502 and implementing immersive experimental vignettes (i.e., filmed interactions) is resource  
503 intensive and both the external validity and realism can be diminished (Scandura & Williams,  
504 2000). A few participants in the open-ended deception check, for example, noted that the  
505 brevity of the coach-athlete interaction in the vignette did not provide enough information for  
506 them to make an accurate assessment of the situation. Although minimal acquaintance  
507 impressions are commonplace and consequential (Rule & Ambady, 2008), this may explain the  
508 gap between the present findings and prior qualitative research that emphasized the nuanced  
509 interplay among all three contextual factors manipulated in the experimental vignettes (Benson  
510 et al., 2016). Future research could study proactive followership behaviors with actual coach-

511 athlete dyads to mitigate this limitation. Although such an approach would sacrifice  
512 experimental control, studying the consequences of different types of followership behavior  
513 (e.g., Uhl-Bien et al., 2014) in actual sport teams would help bridge the gap between laboratory  
514 and *in vivo* settings.

515 Another limitation pertains to statistical power. Our final sample consisted of 232  
516 coaches, which fell slightly below our target sample. Moreover, due to the automatic  
517 randomization technique used (the gold-standard when using experimental methodologies to  
518 assess human behavior; Dugard, 2014) and failed attention checks in specific conditions, an  
519 uneven distribution of participants emerged across conditions. Thus, the study is at risk of  
520 committing a Type II error and results ought to be interpreted with some caution. Future  
521 researchers should focus on strategic and creative ways to tackle participant recruitment to  
522 mitigate this limitation. An empirically supported (e.g., McCullagh et al., 2014) way to  
523 accomplish this is partnering with organizations who can distribute the study internally. As the  
524 present study was not preregistered, it would be informative to replicate these findings with a  
525 high-powered sample. An additional benefit of using larger samples would be to implement  
526 structural equation modelling to account for measurement error and model multiple dependent  
527 measures in a single model (Breitsohl, 2019).

528 Having increased statistical power would also facilitate further sub-group comparisons.  
529 It would be worthwhile, for instance, to determine whether the competition level of the coach or  
530 the sport they coached affected how coaches evaluated the COF. Although there is scarce  
531 research in this regard, qualitative evidence suggests that high-performance coaches (e.g.,  
532 professional) across various sports welcome feedback from athletes on their team (Mason et al.,  
533 2020). However, these findings have yet to be demonstrated quantitatively; additional research is  
534 needed to substantiate this relationship.

535 Coaches' individual differences were not accounted for and were not a focus of this study.  
536 However, understanding how individual factors (e.g., personality composition) affected leaders'



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Table 1

*Coaches' Competition Level Breakdown by Gender*

Competition Level	Demographic Category		
	Males <i>n</i> (%)	Females <i>n</i> (%)	Combined <i>n</i> (%)
Recreational	13 (7.9%)	8 (11.8%)	21 (9.1%)
Youth	29 (17.6%)	11 (16.2%)	40 (17.2%)
Collegiate	100 (60.1%)	39 (57.4%)	139 (59.9%)
Club	23 (13.9%)	9 (13.2)	32 (13.8%)

*Note.* Male coaches, *n* = 165; Female coaches, *n* = 67.

Table 2

*Coaches' Evaluations of Followership as a Function of Context*

		Effectiveness	Receptivity	Prototypical	Insubordination	Dominance	Role
Condition		<i>M (SD)</i>	<i>M (SD)</i>	<i>M (SD)</i>	<i>M (SD)</i>	<i>M (SD)</i>	violation <i>M (SD)</i>
High Status							
After	Group	3.23 (1.43)	5.00 (1.30)	5.43 (1.39)	4.81 (1.99)	3.79 (1.47)	3.11 (1.69)
	Individual	3.69 (1.39)	5.45 (1.01)	5.86 (1.48)	4.12 (2.08)	3.13 (1.27)	2.81 (1.56)
Before	Group	3.97 (1.35)	4.95 (1.03)	6.31 (1.17)	4.13 (1.97)	3.42 (1.41)	2.87 (1.36)
	Individual	3.50 (1.34)	4.83 (0.94)	5.60 (1.36)	4.88 (1.83)	3.91 (1.12)	3.24 (1.35)
Low Status							
After	Group	2.77 (0.98)	4.41 (1.40)	5.66 (1.14)	5.01 (1.88)	3.83 (1.62)	3.34 (1.73)
	Individual	3.83 (1.36)	5.01 (1.15)	5.54 (1.20)	4.69 (1.62)	3.59 (1.20)	3.10 (1.40)
Before	Group	3.39 (1.43)	4.98 (1.21)	5.88 (1.18)	5.22 (1.98)	3.84 (1.43)	3.31 (1.57)
	Individual	3.75 (1.25)	4.96 (1.20)	5.71 (0.89)	4.53 (1.66)	3.49 (1.36)	2.90 (1.20)

*Note.* Male coaches,  $n = 165$ ; Female coaches,  $n = 67$ . High Status: the athlete engaging in the COF was described as a respected senior team member. Low Status: the athlete engaging in the COF was described as a newcomer to the team. After: the COF occurred after a decision was made. Before: the COF occurred before a decision was made. Group: The COF occurred in the presence of teammates. Individual: The COF occurred in a one-on-one setting with the coach. Scores on effectiveness and receptivity measures range from 1 (*not at all*) to 7 (*very much so*); prototypical and insubordination scores range from 1 (*not at all characteristic*) to 10 (*extremely characteristic*); dominance and role violation scores range from 1 (*strongly disagree*) to 7 (*strongly agree*).