

Changing Agendas on Sleep, Treatment and Learning in Epilepsy (CASTLE) Sleep-E: A protocol for a randomised controlled trial comparing an online behavioural sleep intervention with standard care in children with Rolandic epilepsy

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TITLE

Changing Agendas on Sleep, Treatment and Learning in Epilepsy (CASTLE) Sleep-E: A protocol for a randomised controlled trial comparing an online behavioural sleep intervention with standard care in children with Rolandic epilepsy

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ABSTRACT

Introduction Sleep and epilepsy have an established bi-directional relationship yet only one randomised controlled clinical trial has assessed the effectiveness of behavioural sleep interventions for children with epilepsy. The intervention was successful, but was delivered via face-to-face educational sessions with parents, which are costly and non-scalable to population level. The Changing Agendas on Sleep, Treatment and Learning in Epilepsy (CASTLE) Sleep-E trial addresses this problem by comparing clinical- and cost-effectiveness in children with Rolandic epilepsy between standard care and standard care augmented with a novel, tailored parent-led CASTLE Online Sleep Intervention (COSI) that incorporates evidence-based behavioural components.

Methods and analyses CASTLE Sleep-E is a UK-based, multi-centre, open label, active concurrent control, randomised, parallel-group, pragmatic superiority trial. A total of 110 children with Rolandic epilepsy will be recruited in out-patient clinics and allocated 1:1 to standard care (SC) or standard care augmented with COSI (SC + COSI). Primary clinical outcome is parent-reported sleep problem score (Children's Sleep Habits Questionnaire). Primary health economic outcome is the Incremental Cost Effectiveness Ratio (National Health Service and Personal Social Services perspective, Child Health Utility 9D instrument). Parents and children (≥ 7 years) can opt into qualitative interviews and activities to share their experiences and perceptions of trial participation and managing sleep with Rolandic epilepsy.

Ethics and dissemination The CASTLE Sleep-E protocol was approved by the Health Research Authority East Midlands (HRA) — Nottingham 1 Research Ethics Committee, reference: 21/EM/0205. Trial results will be disseminated to scientific audiences, families, professional groups, managers, commissioners, and policy makers. Pseudo-anonymised Individual Patient Data will be made available after dissemination on reasonable request.

Registration details ISRCTN registry (Trial ID: ISRCTN13202325, prospective registration 09/Sep/2021). See Supplemental Table 1 for the World Health Organisation Trial Registration Data Set (Version 1.3.1).

Strengths and limitations of this study

- First randomised controlled trial to evaluate the clinical- and cost-effectiveness of a novel, tailored, parent-led CASTLE Online Sleep Intervention (COSI) that incorporates evidence-based behavioural components for children with Rolandic epilepsy
- Extensive Patient and Public Involvement via dedicated CASTLE Advisory Panel
- Embedded health economic evaluation
- **Limitation**: Heavily reliant on parent and child self-report to assess intervention implementation, ameliorated by COSI e-analytics and actigraphy data

INTRODUCTION

Epilepsy is one of the most common long-term neurological conditions worldwide whose prevalence peaks during childhood (5–9 years) and late in life (over 80 years).[1] Epilepsy in children (5 to <13 years) accounts for the annual loss of 2.6 million disability-adjusted life years, equivalent to 1.8 % of the global burden of disease among children and adolescents.[2] Rolandic Epilepsy (RE) is the most common childhood epilepsy.[3]

In the UK, RE has a stable crude incidence rate of 5 in 100 000 children (<16 years) or 542 new cases annually.[4] Concurrent neuro-developmental disorders are very common (35 %).[5] Seizures are often triggered by sleep fragmentation.[6] Many parents co-sleep or monitor children with nocturnal seizures, and children experience a fear of death during and after a seizure.[7] Problems related to sleep emerge as a top concerns for both children and parents,[8] but are often unaddressed.[9 10]

A recent systematic review and meta-analysis of clinical trials shows that parent-based behavioural sleep interventions are effective for typically-developing children and those with neurological and neuro-developmental disorders.[10] The review concluded that randomised controlled clinical trials assessing functional outcomes (e.g. cognition, emotion, behaviour) and targeting specific populations (e.g. epilepsy) are missing (but see two recent trials).[11 12] Harms capture for cognitive-behavioural and behavioural sleep interventions has been sparse (only 32.3 % of trials address Adverse Events) and predominantly inadequate (92.9 % of trials do not meet adequate reporting criteria).[13] Observed harms of behavioural sleep interventions in adults have been mild (e.g. transient fatigue/exhaustion from sleep restriction in insomnia in 25-33 % of participants).[14] The only published paediatric and adult epilepsy trials did not address harms. [11 12] Based on the existing evidence, the benefits of behavioural sleep interventions in children with epilepsy outweigh potential harms, especially because sleep problems not only affect seizure control, but overall child well-being, learning and memory, and parental quality of life.[9 10] There remains, however, uncertainty whether sleep interventions, which can be resource intensive, are cost-effective in public health systems.

This protocol describes the design for the Changing Agendas on Sleep, Treatment and Learning in Epilepsy (CASTLE) Sleep-E trial, which evaluates the clinical- and cost-effectiveness of a novel, tailored, parent-led CASTLE Online Sleep Intervention (COSI) that incorporates evidence-based behavioural components for children with epilepsy. COSI and CASTLE Sleep-E outcome-selection were co-produced by affected children, young people, and their parents, sleep- and epilepsy experts.[8 15-17] The CASTLE Sleep-E protocol follows Standard Protocol Items: Recommendations for Interventional Trials (SPIRIT),[18 19] its extension for Patient Reported Outcomes (SPIRIT-PRO),[20] and the Guidance for Reporting Involvement of Patients and the Public (GRIPP2).[21]

As CASTLE Sleep-E is a pragmatic superiority trial assessing whether UK standard care for children with RE should be augmented with an online behavioural sleep intervention, standard care is the appropriate comparator.[22-24] Current UK clinical guidelines[25-27] recommend that standard care for children with RE consist of a comprehensive care plan with the option of pharmacological treatment with anti-epileptic drugs (AEDs).

The primary objective of CASTLE Sleep-E is to determine if standard care augmented with COSI is superior to standard care alone in reducing sleep problems in children with RE and cost-effective. Implementation details and secondary objectives are reported in Table 1.

Table 1. Outcomes for CASTLE Sleep-E (incl. participant level metrics, time-points, aggregation method). Child measures may be collected by parent proxy.

| Outcome type | Specific measurement variable | Collected for | Participant-level analysis metric | Measurement time-point(s) |
|--------------------|--|------------------|---|--|
| Primary | | | | |
| 1. Clinical | Children's Sleep Habits Questionnaire[28] | Child | Total score | Baseline, 3 months |
| 2. Health economic | Cost utility of COSI ^a : National Health Service and Personal Social Services perspective, using outcomes 13–15 | Child and Parent | Time integral of utility Total costs | Baseline, 3 months, 6 months, (PLICS and HES at 6 months only) |
| Secondary | | | | |
| 1. Clinical | Children's Sleep Habits Questionnaire[28] | Child | Total score | Baseline, 6 months |
| 2. Clinical | Seizure-free period | Child | Time to first seizure from randomisation (days) | Randomisation, 3 months, |
| 3. Clinical | Seizure remission | Child | Time to 6-months seizure remission from randomisation (days) | 6 months |
| 4. Clinical | Knowledge about Sleep in Childhood (unpublished custom-scale) | Parent | Total score | Baseline, 3 months |
| 5. Clinical | Hospital Anxiety and Depression Scale[29] | Parent | Total score | Baseline, 3 months, |
| 6. Clinical | Insomnia Severity Index[30] | Parent | Total score | 6 months |
| 7. Clinical | SleepSuite[31] (iPad App) | Child | Reaction time (ms) Executive function (accuracy) | Baseline, 3 months |
| 8. Clinical | Health-Related Quality Of Life Measure for Children with Epilepsy^[32] World Health Organisation – Five Well- | Child Parent | Total score Total score | Baseline. 6 months |
| | Being Index[33] | raiciit | Total score | |
| 9. Clinical | Strengths and Difficulties Questionnaire[34] | Child | Total score | Baseline, 3 months, 6 months |
| 10. Clinical | Parenting Self Agency Measure[35] | Parent | Total score | |
| 11. Clinical | Actigraphy[36] | Child and Parent | Total sleep time (minutes) Sleep latency (minutes) Sleep efficiency (% asleep of sleep period) All 2-week averages | Baseline, 3 months |

^a Reported as incremental cost per Quality-Adjusted Life Year (QALY) gained

| Outcome type | Specific measurement variable | Collected for | Participant-level analysis metric | Measurement time-point(s) |
|------------------------|---|---------------------|---|--|
| 12. Clinical | Sickness-related school absences | Child | Total number of days | Randomisation,3 months, 6 months |
| 13. Health | Health-utilities derived from: | Child and Parent | Total score | Baseline, 3 months, |
| economic | • EQ-5D-Y[37] | • Child | Utility score | 6 months |
| | • Child Health Utility instrument[38] | • Child | Utility score | |
| | • EQ-5D-5L[39] | • Parent | Utility score | |
| 14. Health | Insomnia Severity Index mapped to | Parent | Total score | Baseline, 3 months, |
| economic | EQ-5D health state utilities[40] | | Utility score | 6 months |
| 15. Health economic | Direct costs: National Health Service and Personal Social Services perspective, measured using Resource Use Questionnaire Case Report Form data Patient Level Information and Costing System (PLICS) data Hospital Episode Statistics (HES) data Serious Adverse Events (assessed at 3 months, 6 months) | Child | Resource use and total cost | Baseline, 3 months, 6 months, (PLICS and HES at 6 months only) |
| 16. Health economic | Indirect and direct non-medical costs, measured using: Resource Use Questionnaire Case Report Form data | Child and Parent | Resource use and total cost | Baseline, 3 months, 6 months |
| 17. Health economic | Cost utility of COSI: Societal perspective, using Quality-Adjusted Life Years and Cost using outcomes 13, 14, and 16 | Child and Parent | Quality-adjusted life years from the time- integral of utility Mean of total costs | Baseline, 3 months, 6 months |
| Qualitative | Trial experience | Child and Parent | Qualitative interview transcript Activity booklet transcript/photos | 3 months + 3 weeks 6 months + 3 weeks |

METHODS AND ANALYSES

Trial design

CASTLE Sleep-E is a UK-based, multi-centre, open-label, active concurrent control, randomised (1:1), parallel-group, pragmatic superiority trial (overall trial start date: 14/May/2018, first trial site opened: 12/May/2022, first recruitment: 30/August/2022, planned trial end date: 31/July/2023). Compared are clinical- and cost-effectiveness of standard care (SC) alone and SC augmented with a novel, tailored, parent-led CASTLE Online Sleep Intervention (SC + COSI) in reducing sleep problems in children (5 to <13 years) with RE at 3- and 6 months after randomisation. Parents and children (≥ 7 years) can opt into qualitative interviews and activities to share their experiences and perceptions within 3 weeks of completion of other data collection at 3- and 6 months after randomisation.

Patient and Public Involvement

The CASTLE programme (which subsumes CASTLE Sleep-E) recruited a dedicated Patient and Public Involvement (PPI) Advisory Panel (AP) through social media and epilepsy charities in 2017. The CASTLE Advisory Panel (CAP) consists of 17 adults with experience of childhood epilepsy and five children with epilepsy (aged 6–15 years). CAP has been involved in CASTLE from the funding application onward (2 CAP members are co-applicants). Full PPI details are provided in GRIPP2 Short Form in Table 2.

Trial setting and eligibility criteria

Participants will be identified by staff in NHS out-patient general paediatric and paediatric epilepsy clinics in the UK (pre-dominantly urban setting). Eligibility criteria for participants are reported in Supplemental Table 1, field 14 of the World Health Organisation Trial Registration Data Set (Version 1.3.1). In the UK, a clinical RE diagnosis is based on electroclinical criteria defined by the International League Against Epilepsy (https://www.ilae.org/). Semiology and EEG need to be judged as concordant by a consultant neurophysiologist. Neuroimaging does not form part of UK standard care for RE. Eligibility criteria for trial sites include a Capacity and Capability assessment as advised for NHS site set-up by the UK HRA. The expected number of trial sites is 40 (England: 34, Scotland: 4, Wales: 1, Northern Ireland: 1). A list of trial sites can be obtained from the Trial Manager (see Supplemental Table 1).

Intervention

Participants will be allocated to trial arms (SC or SC + COSI) using minimisation (1:1 ratio). On allocation to SC + COSI, participants will receive an email with access details to COSI. COSI consists of a self-paced, novel, tailored, e-learning package for parents of children with epilepsy that incorporates evidence-based behavioural components. Table 3 provides a brief overview; detailed reports on the development, content, and evaluation of COSI have been published.[15 16] COSI is divided into 13 modules (1 screening for child-specific sleep problems to allow tailoring, 10 content, 1 additional resources, 1 initially hidden evaluation), of which three are compulsory (1 screening, 2 content). The non-compulsory modules are recommended based on screening outcome, but all modules are accessible, repeatable, and printable. The advice in COSI supports parents to implement general prevention techniques (e.g. good sleep hygiene) and specific behavioural change techniques (e.g. bedtime fading) relevant to their child's sleep

problems. Three months after first being given access to COSI, parents will be asked by email to complete a COSI evaluation module. At the end of a participant's trial timeline (6 months), access to COSI will be revoked. After the trial, all families (irrespective of trial allocation) have the option to receive the COSI content in electronic format via email.

Fidelity, adherence, retention, and acceptability

Fidelity (intervention delivery) will be monitored through e-analytics embedded in the COSI system (modules accessed, and time spent per module). Strategies to improve completion of COSI training in case of non-access include: (1) an automated text-reminder after two days; (2) an email reminder after four days; (3) a phone call from researchers who developed COSI (the Sleep Team) after six days. To improve adherence to the intervention, (1) all participants will receive a phone call from the Sleep Team six weeks after account creation; and (2) children will receive postcards with child-oriented activities (e.g. maze) at three time-points to welcome them to the trial (weeks 1–2), to stay in touch (weeks: 4–5), and to thank them for participating (weeks 4–8 post-trial). To encourage completion of the intervention evaluation, participants will receive: (1) an automated text-reminder after three days of non-completion, (2) and a phone call from the Sleep Team after eight days of non-completion. Fidelity (intervention implementation, acceptability, perceived helpfulness) will be captured jointly by the COSI evaluation module and the qualitative trial component.

Discontinuation, withdrawal, concomitant care, or interventions

Participants may discontinue the trial intervention or withdraw from the trial if (1) the parent/child withdraws consent/assent respectively; or (2) a change in the child's condition justifies discontinuation of treatment in their clinician's opinion. Trial site staff will record withdrawal with reason where provided in electronic Case Report Forms (eCRFs). Pseudo-anonymised data up to the time of consent withdrawal will be included in analyses in accordance with General Data Protection Regulation (GDPR)[41] under the UK Data Protection Act 2018[42] — the trial Data Controller relies on the legal bases of 'public interest' and 'research purposes'.

To avoid confounding and to minimise participant burden, co-enrolment into other clinical trials is discouraged. Where recruitment into another trial is considered appropriate, the trial coordinating centre will discuss enrolment with the Chief Investigator (CI). Participation in the Rolandic Epilepsy Genomewide Association International Study (REGAIN: https://childhoodepilepsy.org/research-studies/regain/) is complementary (same CI).

Table 2. GRIPP2- Short Form (SF)[21]: Guidance for Reporting Involvement of Patients and the Public in research

Section and topic Item 1: Aim To contribute to and guide the CASTLE Sleep-E study: Report the aim of PPI in • To ensure greater relevance and acceptability of the study and study the study procedures to children with epilepsy and their parents. • To ensure the study is communicated to families and the public in an accessible way (e.g. recruitment, dissemination). 2: Methods Two adults with experience of childhood epilepsy are co-applicants on the Provide a clear Changing Agendas on Sleep, Treatment and Learning in Childhood Epilepsy description of the (CASTLE) Research Programme National Institute for Health and Care methods used for PPI in Research (NIHR) Award (https://tinyurl.com/ycyfkc63) and are an integral part of the CASTLE Advisory Panel (CAP). CAP is a dedicated Patient and the study Public Involvement (PPI) Advisory Panel that was recruited in 2017 through social media and epilepsy charities. CAP consists of 17 adults with experience of childhood epilepsy and five children with epilepsy (aged 6-15 years). CAP members are reimbursed for expenses and offered honorarium payments in acknowledgement of their contributions. Facilitated by a salaried Family Engagement Officer and the PPI lead (LB), CAP members have co-developed working practices (CAP Handbook: Adult version https://tinyurl.com/28u8jex4, child version: https://tinyurl.com/2p8d6bnx) and undertaken research training. CAP members communicate by video conference, telephone, email, social media, and face-to-face. CAP is represented in the Trial Steering Group (TSC, see Supplemental Table 2). CAP feedback and opinion is formally communicated to the CASTLE Sleep-E Trial Management Group (TMG, see Supplemental Table 2) via the CASTLE PPI lead (LB). 3: Study results To date (at the recruitment stage of CASTLE Sleep-E), CAP has contributed to Outcomes—Report the the following trial aspects: results of PPI in the study, Initial funding application including both positive Two adults with experience of childhood epilepsy are co-applicants on the and negative outcomes CASTLE Research Programme NIHR Award (https://tinyurl.com/ycyfkc63) Trial design • CAP strongly endorsed the investigation focus (sleep problems) and the focus on non-seizure related issues linked to epilepsy • CAP tested and consulted on the trial intervention (CASTLE Online Sleep Intervention [COSI]) in respect to content, format, and acceptability (e.g. knowledge evaluation quiz was changed from compulsory to optional) • CAP informed the selection of study questionnaires to ensure relevance to parents and children with epilepsy • CAP guided trial design to ensure acceptability of processes (e.g. time, effort, schedule from a family perspective) Trial procedure • CAP led the development of a trial flowchart and clinician's guide (top tips for explaining the trial to families to aid recruitment) • CAP guided data collection processes (assent/consent procedure, delivery of equipment, instructions, and packaging of Actigraphs and iPads) • CAP guided the qualitative interview content and format (e.g. topics, question wording, length, delivery method and format) **Trial materials** • CAP informed the logo design (e.g. CASTLE website

https://castlestudy.org.uk/) and name of the CASTLE Sleep-E trial

- CAP guided the development of all participant-facing trial materials including:
 - Information Sheets and Consent Forms
 - o Child-friendly postcards to update and maintain interest in the trial
 - Wording of trial emails sent to participating families, strap lines for promotional materials (e.g. mugs and pens for trial sites)

Dissemination

- CAP informed liaison with stakeholders via social media and direct contact (charities, patient groups)
- CAP developed lay summaries for completed work as part of the CASTLE programme and helped ensure the CASTLE Sleep-E trial website (https://castlesleepetrial.org.uk/) is accessible to families
- CAP informed ongoing work to attract new CAP members

4: Discussion and conclusions

Outcomes—Comment on the extent to which PPI influenced the study overall. Describe positive and negative outcomes • To date (recruitment stage of CASTLE Sleep-E), overall positive outcomes of CAP contributions to CASTLE Sleep-E have resulted in a trial design, procedure, materials, and dissemination that is likely to have greater appeal and relevance to parents of children affected by Rolandic epilepsy and to the children themselves. CAP has made the trial more familyfocused, and enabled more direct public involvement (e.g. contact details of the Family Engagement Officer on the CASTLE Sleep-E webpage). This should increase the proportion of eligible patients to assent/consent to trial participation. Materials (including the trial intervention itself) and procedures should be more accessible and more feasible to complete for participants, which should positively affect adherence, compliance, and retention. Throughout their involvement, CAP contributions to the CASTLE programme have exceeded expectations, and taken on a greater, independent purpose (e.g. forming a support group via social media). The Coronavirus (COVID-19) pandemic meant that CAP's work had to move online, and whilst this has facilitated engagement between CAP members across the country, it made it more difficult for the children to join in some of the consultation exercises.

5: Reflections/critical perspective

Comment critically on the study, reflecting on the things that went well and those that did not, so others can learn from this experience

TBC (currently at recruitment stage of CASTLE Sleep-E)

Table 3. Content of the CASTLE Online Sleep Intervention (COSI)

| Module | Module Name | Outline content | Compulsory or recommended |
|--------|--------------------------|--|---|
| Α | What is sleep and why | Education about normal | Compulsory |
| | is it important | sleep physiology and | |
| | | processes | |
| В | Sleep and seizures: a | Information about the | Compulsory |
| | vicious cycle | relationship between sleep | |
| | | and seizures | |
| С | Personalising this | A sleep screening | Compulsory |
| | advice for your child | questionnaire to identify | |
| | | key areas of concern or | |
| | | problems around individual child sleep | |
| D | Tips on sleep hygiene | General advice about key | Recommended for all |
| D | for everyone | aspects of sleep hygiene | Recommended for all |
| E | Advanced sleep | Introduction to principles | Recommended for all |
| _ | behaviour training | of behavioural sleep | Recommended for an |
| | benaviour trunning | interventions | |
| F | Learning difficulties, | Advice for parents of | Recommended to parents who |
| | Attention Deficit | children with other | highlighted (in module C) their child may |
| | Hyperactivity | comorbid conditions | have comorbid conditions |
| | Disorder (ADHD), and | | |
| | Autism Spectrum | | |
| | Disorders | | |
| G | Solving falling asleep | Sleep intervention options | Recommended to parents who |
| | problems | for typical falling asleep | highlighted (in module C) their child may |
| | | problems | have problems falling asleep |
| Н | Solving difficult night | Behavioural techniques to | Recommended to parents who highlight |
| | wakings and early | address typical night or | (in module C) their child may have |
| | morning waking | early waking problems | problems with their sleep during night |
| | 6.1. | 51 | or early morning wakings |
| I | Solving night-time fears | Behavioural techniques to | Recommended to parents who highlight |
| | lears | address typical night-time fears | (in module C) their child may have problems with night-time fears |
| J | Sleep walking, sleep | Information about different | Recommended to parents who highlight |
| J | terrors, and | sleep behaviours, what | (in module C) their child may have |
| | nightmares | causes them and how to | problems with sleep walking, sleep |
| | | identify and manage | terrors, and/or nightmares |
| | | different conditions | , , , |
| K | Troubleshooting and | How to deal with common | Recommended to all |
| | maintaining good | issues, such as the child | |
| | sleep | being ill or parents | |
| | | disagreeing about how to | |
| | | manage sleep and advice | |
| | | about how to maintain any | |
| | _ | benefits | |
| L | Resources | Links to additional | Recommended to all |
| | | resources of support, | |
| | | information and advice | |
| | | relating to sleep | |
| М | Evaluation | Questionnaire in which | Recommended to all |
| | | parents are asked to report | |
| | | on their experiences of | |
| | | using COSI | |

Outcomes and participant timeline

Outcomes are reported in Table 1 and were chosen collaboratively by children and young people with epilepsy and their parents, sleep- and epilepsy experts[8 17] in accordance with Core Outcome Measures in Effectiveness Trials (COMET) guidelines.[43] Psychometric properties and clinical relevance of outcomes are reported in Supplemental Table 3. Each participant will be followed up for 6 months. The participant timeline and estimated time requirement are respectively shown in Table 4 and Supplemental Table 4.

Sample size

The target sample size (110 children with RE, 55 per trial arm) was calculated based on achieving 90 % power to detect the minimal clinically important difference (MCID) in the primary clinical outcome (CSHQ) at 3 months after randomisation, accounting for 10 % expected attrition (non-parametric test with two-sided 5% significance level). MCID was defined based on an individual-focused anchor-based method,[44] that is, 'the smallest difference in outcome that patients perceive as beneficial and which mandates a change in patient management'.[45] The MCID value was based on the estimated reduction in total CSHQ score required for children with epilepsy (M = 48.25, SD = 8.91)[7] to fall at or below the diagnostic cut-off score of 41 for sleep disorders in paediatric populations.[28]

Recruitment, stopping guidelines, interim analyses

An Independent Data and Safety Monitoring Committee (IDSMC) will monitor recruitment and make recommendations to the Trial Steering Committee (TSC) concerning trial continuation, adjustments of recruitment methods, and follow-up optimisation (see Supplemental Table 2). A traffic light approach will determine trial continuation: (1) Green: Continue if at least 30 trial sites have opened and 22 participants have been randomised by end of month 6; (2) Amber: Implement additional recruitment strategies if 15–21 participants have been randomised by end of month 6; (3) Red: If recruitment is <15 participants by end of month 6, then stopping the trial early will be discussed with the TSC. Formal interim analyses of the accumulating data will not be performed.

Treatment allocation

Participants will be allocated with a 1:1 ratio to either SC or SC + COSI based on a computer generated adaptive restricted randomisation procedure that minimises differences between trial arms in variables likely to affect outcomes. Minimisation algorithm details are not published to avoid subversion of allocation sequence concealment, but include seizure frequency, AED, and sleep medication details. The allocation concealment mechanism is an online, central randomisation service implemented and maintained by the Liverpool Clinical Trial Centre (LCTC). The service will be accessed within four weeks of participant enrolment (once consent and eligibility confirmed, Participant ID issued, baseline dataset completed) by trained, authorised staff at trial sites. Randomisation will trigger allocation emails to the Trial Manager at LCTC and to the relevant trial site as well as enable COSI access for participants allocated to the intervention arm. Trial sites will notify the participant's General Practitioner (GP) of the treatment allocation by letter (electronic or hard copy, depending on preference).

Blinding

Only quantitative data analysts will be blinded (Participant IDs do not reveal treatment allocation). All other stakeholders (participants, parents, healthcare providers, data

| collectors, qualitative researchers) will be aware of the allocated intervention. Emergency unblinding procedures are therefore unnecessary. | | |
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Table 4. CASTLE SLEEP-E participant timeline and order of outcome completion.

| | T-4 weeks ^a | T0 ^b | T+3 months | T+6 months |
|---|------------------------|------------------------|--------------------|--------------------|
| | Consent and Baseline | Randomisation | Follow up visit | Follow up visit |
| Visit No | 1 | 2 | 3 | 4 |
| Informed consent/assent | X | | | |
| Review of medical history and EEG ^c results | X | | | |
| Eligibility confirmation | Х | Х | | |
| COVID-19 Screener | Х | | Х | |
| Review of seizure occurrence | | Х | Х | Х |
| Hospital admissions | | Х | Х | Х |
| Demographics | Х | | | |
| School absences | | Х | Х | Х |
| Check contact details for accuracy | | Х | Х | Х |
| Children's Sleep Habit Questionnaire[28] | Х | | Х | Х |
| SleepSuite[31] (iPad) | Х | | Х | |
| WHO–Five Well-Being Index[33] | Х | | | Х |
| Health-Related Quality Of Life Measure for Children with Epilepsy[32] | Х | | | Х |
| Strengths and Difficulties Questionnaire[34] | Х | | Х | Х |
| CHU-9D ^d /CHU-9D proxy[38] | Х | | Х | Х |
| EQ-5D-Y/EQ-5D-Y proxy[37] | Х | | Х | Х |
| EQ-5D-5L[39] | Х | | Х | Х |
| Parenting Self Agency Measure[35] | Х | | Х | Х |
| Insomnia Severity Index[30] | Х | | Х | Х |
| Hospital Anxiety and Depression Scale[29] | Х | | Х | Х |
| Resource Use Questionnaire | Х | | Х | Х |
| Knowledge about Sleep in Childhood | Х | | Х | |
| Randomisation Standard Care (SC) or (SC + COSI ^e)[16] | | Х | | |
| Intervention arm only: COSI[16] | | ← | | |
| Actigraphy and sleep diary[36] (14 days) | Х | | Х | |
| Confirm continuing trial participation | | | Х | Х |
| Assessment of Serious Adverse Events | | | Х | Х |
| Completion of Follow-up Case Report Form | | | Х | Х |
| Review of concomitant medications | | Х | Х | Х |
| Qualitative Interview ^f | | | Х | Х |

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^a Up to four weeks flexibility between consent and randomisation to allow delivery of actigraph and iPad.

^b Randomisation may be performed once two weeks of actigraphy and the minimum dataset are complete.

^c Electro-encephalogram (EEG)

^d Child Health Utility Index 9D (CHU-9D)

^e CASTLE Online Sleep Intervention (COSI)

^f Optional trial component: Consenting participants are interviewed within 3 weeks of follow up visits 3 and 4

Assent and consent

Potentially eligible children will be screened at trial centres by trained site staff. Screening outcome will be documented. Eligible children with interested parents will be invited to participate and provided with a Patient Information Sheet and Consent Form electronically and/or hard-copy (PISC, three versions: Parent, child [5–6 and 7–12 years]). Sufficient time will be allowed for discussion of the trial and the decision to assent/consent to trial entry and the optional qualitative component. Assent (children aged 7-12 years) and consent (parents) may be given face-to-face or remotely and will be electronically captured in a secure Consent Database managed by LCTC. Reasons for declining participation will be asked, but it will be made clear that children and parents do not have to provide a reason.

Data collection and management

Data collection will be carried out electronically except for Serious Adverse Events and Participant Transfer Forms (hard copy). At consent/assent, site staff will enter patient medical history (including electro-encephalogram), eligibility confirmation, COVID-19 screening, and demographics (see Table 4) into eCRFs stored in a secure Data Management System managed by LCTC. Trial participation will be added to the patient's medical records alongside their unique Participant ID.

Consent- and Contacts Databases are securely linked. The addition of a new participant will trigger email notifications to the parents containing access links to baseline assessments (see Table 4) and the Sleep Team who will access the Contacts Database to arrange the delivery of an iPad pre-configured by LCTC (optionally fitted with pre-paid SIMs), and two actigraphs with supporting documents. iPads (Generations 7–8, iOS 15.2 or 15.3) will be used to access the SleepSuite App, (V 1.4)[31], which assesses executive functions in child-friendly, interactive games (e.g. popping virtual bubbles with smiling children's faces). Access requires the Participant ID and is only possible at pre-specified trial time-points (see Table 4). Data is only stored on the iPad until the test-session completion, then automatically uploaded to a cloud-based server, and then securely downloaded for analyses by authorised LCTC staff. Families lacking other means of internet access can use iPads fitted with pre-paid SIMS to access other online trial materials (including email).

Actigraphs (Micro Motionlogger® Watch and Watchware Software V 1.99.17.4, Ambulatory Monitoring, Inc., NY: USA) will be used to collect 14 days of objective sleep data from child and parent. Concurrent sleep diaries (hard copies) will be completed by the parent with or without child input. At the end of the baseline period, actigraphs will be returned to the Sleep Team via pre-paid courier. The Sleep Team will download and securely store pseudo-anonymised (using Participant IDs) actigraphy data for pre-processing (manual selection of sleep periods cross-checked against sleep diaries) per night at participant-level. Summary variables (sleep latency, total sleep time and sleep efficiency) are then automatically calculated by actigraph software, manually collated, and securely transferred electronically to LCTC for trial-level analyses by the Trial Statistician.

Participants will be randomised to trial arms during a telephone/video call or clinic visit only *after* site staff have confirmed that baseline data (see Table 4) is complete, and eligibility, consent/assent, and contact details are still valid. Data collection will be repeated 3- and 6 months after randomisation, and iPads to LCTC via trial sites (see Table 4).

The Qualitative Research Team will access the Contacts Database to schedule audio-recorded interviews with children and parents who consented/assented to this optional trial component. Interviews (audio- or audio-video) will take place remotely within 3 weeks of

completion of other data collection at 3- and 6 months after randomisation. Parents and children will be interviewed together or separately as preferred. Parents and children will have the opportunity to think through their ideas prior to the interview (as proposed by parents and children from the CASTLE Advisory Panel). Children will be invited to complete activity booklets in advance of their interviews (the booklets will be mailed or emailed one week prior to their interview); the content they complete will support the interview. Parents will receive a list of proposed questions/topics. Children will be able to share the booklet with the Qualitative Team (e.g. screen or photograph sharing, verbal description).

The direct costs of health and personal social services, and indirect costs of productivity losses and school absenteeism will be collected using a Resource Use Questionnaire administered at baseline and during follow-up visits. Other data such as concomitant medications, study visits and Adverse Events will be collected using eCRFs. Trial participants' use of secondary care services will be collected from Patient-Level Information and Costing Systems (PLICS) data obtained from the finance departments of each recruiting hospital or from Hospital Episode Statistics (HES) data obtained from NHS Digital at the end of the trial. PLICS and HES data will be pseudo-anonymised and transferred securely to the trial health economists at Bangor University.

Data quality, security, and trial oversight

Reliability, validity, and clinical relevance of outcomes are reported in Supplemental Table 3. Processes to promote quality and security of collected data include general local training of site staff and research teams (Good Clinical Practice); and trial-specific training in the use of electronic forms and databases by LCTC. LCTC will request to see evidence of appropriate training and experience of all trial staff. Staff will be signed off as appropriately qualified by the CI. Electronic data capture provides several in-built validity and security checks (e.g. data type, range, and missingness checks in eCRFs, SleepSuite use/access restrictions). Some electronic and all hard-copy data will be repeat checked (e.g. eligibility, contact details). Data processing requiring more subjective judgement will be performed by minimum of two trained researchers on at least a subset of data (i.e. manually-assisted selection of actigraphy sleep period; thematic and content analysis of qualitative data).

Data will be processed and stored in accordance with GDPR under the UK Data Protection Act 2018. Central data monitoring will be performed by LCTC who will raise and resolve queries with site and research teams within the online system. The University of Liverpool is registered with the Information Commissioners Office. LCTC will receive trial participants' HES identifiers for secure transfer to the Health Economic team, who will access, securely store, and dispose of HES data in accordance with the Bangor University and NHS Digital Data Sharing Framework Contract.

Statistical methods

Statistical analyses of all but health economic and qualitative data will be performed by the Trial Statistician (LCTC) using SAS software, Version 9.4 or later. Intention-To-Treat (ITT) will be the main analysis strategy for primary and secondary outcomes (see Table 1 and Table 5). Minimisation variables (including seizure frequency, AED, and sleep medication details) will be adjusted for at baseline. Statistical significance will be set at the conventional two-sided 5 % level; clinical relevance will be based on previous research (see Supplemental Table 3). Point estimates with 95 % two-sided confidence intervals will be reported adjusted and unadjusted for covariates. No multiplicity adjustments will be made (only one primary clinical outcome, uncorrected secondary outcome analyses).

Sensitivity analyses will be carried out if the amount of missing data is greater than 10 %. Multiple imputation will be used to assess the robustness of the analysis to missing primary outcome data. The multiple imputation method will follow published guidelines. [46] PROC MI in SAS will be used to generate 50 complete data sets. The imputation model will include all variables included in the primary outcome analysis model. The overall summary adjusted mean difference will be presented with 95 % confidence intervals, to assess the sensitivity of the primary analysis to missing data. All analyses will be reported in accordance with the Consolidated Standards of Reporting Trials Checklist (CONSORT)[47] and regardless of statistical significance.

Health economic evaluation

The economic analysis will be performed in accordance with a Health Economics Analysis Plan, and by the trial health economists at Bangor University. The primary analysis will adopt an NHS and Personal Social Services perspective and, based on Quality-Adjusted Life Years (QALYs) as a measure of health outcome, estimate the incremental cost-effectiveness ratio from an incremental analysis of the mean costs and QALYs for the intervention and control trial arms.[48] Data assumed to be missing at random will be imputed using multiple imputation by chained equations.[49]

Sensitivity analyses will be conducted to test whether, and to what extent, the incremental cost effectiveness ratio is sensitive to key assumptions in the analysis (e.g. unit prices, different utility estimates from CHU-9D[38] vs. EQ-5D-Y[37]). The joint uncertainty in costs and QALYs will be addressed through application of bootstrapping and estimation of cost-effectiveness acceptability curves.[50] Alternative scenarios considering a broader cost perspective (including indirect costs, such as school absences and loss of productivity, valued by reference to published sources), and a range of outcomes (including parental QALYs, measured using the EQ-5D-5L[51] and ISI[30 40]) will be conducted. Inclusion of spillover disutility[52] (impact on parents' utility) will be based on the NICE reference case specification[53] that all QALYs are of equal weight and calculated assuming additive effects. Health-economic findings will be reported according to the Consolidated Health Economic Evaluation Reporting Standards (CHEERS).[54]

Qualitative component

Child and parent interviews will be analysed by the Qualitative Research Team using an interpretive, reflexive, and conceptual analytical approach. Audio-recordings of interviews will be transcribed and thematically analysed in discrete sets (e.g. intervention/control, child/parent, engagement/lack of engagement with intervention, types of decision-making, different responses/experiences). Parent and child transcripts will first be analysed separately, and then as dyads. All data will be used for synthesis. Thematic and content analyses will be used for child activity booklets (text and images). Qualitative and selected quantitative data (e.g. anxiety measures, actigraphy data) will be compared, as appropriate.

Table 5. Analysis plan for outcome variables in CASTLE Sleep-E. Further analyses details are reported in-text.

| Outcome type | Specific measurement variable | Hypothesis | Method of analysis |
|-----------------|---|--|---|
| Primary | | | |
| Clinical | Children's Sleep Habits Questionnaire[28] | Total score lower in intervention arm at 3 months | Linear mixed effect regression: • Fixed effects: Intervention (binary) • Random effects: Trial site (categorical) • Co-variates: • Baseline score • Use of sleep medication (binary) |
| Health economic | Cost ^a per quality-adjusted life year gained | Not applicable (health economic evaluation) | Cost-effectiveness (utility) analysis |
| Secondary | | | |
| Clinical | Children's Sleep Habits Questionnaire[28] | Total score lower in intervention arm at 6 months | Linear mixed effect regression (as before) |
| Clinical | Seizure-free period | Time to first seizure (days) differs between trial arms at 3 and 6 months | Survival analyses Kaplan-Meier curves by trial arm Cox proportional hazards regression (if applicable) Co-variates: Use of sleep medication (binary) Trial site (categorical) |
| Clinical | Time to 6-months seizure remission from randomisation (days) | Time to 6-months seizure remission (days) differs between trial arms at 6 months | Survival analyses (as before) |
| Clinical | Knowledge about Sleep in Childhood Actigraphy[36] (2-week average): Total sleep time Sleep latency Sleep efficiency | Total score differs between trial arms at 3 months | Linear mixed effect regression (as before) |
| Clinical | Hospital Anxiety and Depression Scale[29] Insomnia severity index[30] | Total score lower in intervention arm at 3 and 6 months | Linear mixed effect regression (as before) |
| Clinical | Sickness-related school absences | Total days differs between trial arms at 3 and 6 months | Poisson mixed-effects regression |

^a **Perspective**: NHS and PSS perspective; **Alternative perspective**: Societal (Indirect and direct non-medical costs)

| Outcome type | Specific measurement variable | Hypothesis | Method of analysis |
|--------------|---|--|--|
| Clinical | Health-Related Quality Of Life Measure for Children with Epilepsy[32] World Health Organisation – Five Well-Being Index[33] | Total score differs between trial arms at 6 months | Linear mixed effect regression (as before) |
| Clinical | SleepSuite[31]: Animal task SleepSuite: Bubble task Shape detection Emotion detection Gender detection SleepSuite: Maze task | Executive function, reaction time, and variability differ between trials arm at 3 months | Poisson/zero-inflated negative binomial regression (depending on presence of overdispersion) 2 x 2 multi-variate repeated-measures Analysis of Variance (ANOVA) Factors: Time (PM/AM) x Intervention (Pre/Post) Fitted per detection task (Shape, Emotion, Gender) Linear mixed effect regression (as before) |
| Clinical | Strengths and Difficulties[34] Questionnaire Parenting Self Agency Measure[35] | Total score differs between trial arms at 3 and 6 months | Linear mixed effect regression (as before) |
| Qualitative | Trial experience ^b | Not applicable (inductive) | Thematic analysis (interpretive, reflexive, and conceptual analytical approach) • Discrete sets: Intervention/Control, Child/parent, Engagement with intervention/lack thereof, Decision making types, Responses/experiences • Separately for child and parent, then jointly (dyad) • Comparisons to selective objective data as emerging from analysis (e.g. Anxiety measures, Actigraphy) |

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^b Source data for trial experience: Qualitative interviews (parents and children individually and as dyad), activity booklets (children only)

Harms

A flowchart of Adverse Event (AE) reporting requirements is shown in Supplemental Figure 1. Harms severity and causality will be graded by the investigator responsible for the care of the participant based on categories shown in Supplemental Table 5. If any doubt about causality exists, the local investigator should inform LCTC who will notify the CI. In case of discrepant views, the Research Ethics Committee (REC) will be informed of both views. Seriousness and expectedness of AEs will be defined based on International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use Definitions and Standards for Expedited Reporting (ICH E2A, ref: CPMP/ICH/377/95). Expectedness will be assessed by the CI. The only expected AEs in CASTLE Sleep-E are mild and transient worsening of sleep behaviours targeted by the trial intervention. Safety data will be quality-checked by a statistician not otherwise involved in the trial. Safety analysis will include all patients randomised and starting treatment and be presented descriptively split by treatment arm.

Auditing

The CI will ensure that the trial team conducts monitoring activities of sufficient quality and quantity (e.g. protocol adherence, consent/assent, data quality). The Sponsor will delegate monitoring duties and activities to LCTC. The CI and LCTC will inform the Sponsor of any concerns. Auditing does not meet the National Institute for Health and Care Research (NIHR) or SPIRIT Statement definitions of independence[19 55] as auditors (LCTC and CI) are part of the trial team.

Protocol amendments

Substantive protocol amendments will be notified to HRA via the UK's Integrated Research Application System (IRAS). Trial sites will receive an amendment pack of HRA- and REC-approved changes and unless an objection is received within 35 days, the trial will continue at site with a GO LIVE email.

Ancillary and post-trial care

King's College London (KCL) holds insurance against claims from participants for harm caused by their participation in this clinical study; compensation can be claimed in case of KCL negligence.

Ethics and dissemination

The CASTLE Sleep-E protocol was approved by the HRA East Midlands – Nottingham 1 REC, reference: 21/EM/0205. Trial results will be disseminated to scientific audiences in peer-reviewed publications and conferences, and — with the help of the CASTLE Advisory Panel (parent and child experts-by-experience), relevant charities (e.g. Epilepsy Action, Epilepsy Society and Cerebra) and professional groups (e.g. Royal College of Paediatrics and Child Health, Epilepsy Specialist Nurses Association) — as plain language summaries to families, other professional groups, managers, commissioners, and policy makers. Pseudo-anonymised Individual Patient Data and associated documentation (e.g. protocol, statistical analysis plan, annotated blank Case Report Form) will be made available after dissemination on reasonable request.

Registration details

ISRCTN registry (Trial ID: ISRCTN13202325, prospective registration 09/September/2021). The World Health Organisation Trial Registration Data Set (Version 1.3.1) for CASTLE Sleep-E is shown in Supplemental Table 1.

Author Statement

Contributorship (alphabetic surname order)

PG, DKP (Chief Investigators); CAP, CTS, HH, LW, BC, CM, DH, and LB (Co-Investigators) conceived the study and are award holders. Topic expertise for the core outcome set development was provided by CAP, LB, BC, AC, HC, PG, DH, CM, DKP, CTS, and PRW. Epilepsy expertise-by-experience is provided by CAP. Topic expertise for epilepsy is provided by DKP. Topic expertise for the health economic evaluation is provided by WASH, DH, and EW. Topic expertise for intervention development was provided by GC, PG, HH, DKP, and LW. Topic expertise for Patient and Public Involvement (Advisory Panel and Family Engagement) is provided by CAP, AR-S, LB, BC, and CM. Responsibility for the selection of Patient-Reported Outcomes lay with CM. Responsibility for Programme management lies with AC. Topic expertise for qualitative research components is provided by CAP, LB, BC, and HS. Topic expertise for sleep is provided by GC, PG, HH, and LW. Topic expertise for Statistical analyses is provided by CTS, VW, and LWh. Responsibility for trial management lies with NA-N, CS, and LS-E. All authors contributed to the design and refinement of the study protocol. The protocol manuscript was written by KCD (including supplemental materials but excluding Figure 1 and Patient Information Sheet and Consent Forms). Authors in the Trial Management Group (TMG) had the opportunity to provide feedback twice (initial and final draft); non-TMG authors had the opportunity to provide feedback once (final draft). Provided feedback was incorporated. The final manuscript was approved for publication by all authors. GRIPP2 content was checked for accuracy by LB. Sponsor name and contact information are provided in Supplemental Table 1. Details of trial committees and other groups and individuals overseeing the trial are listed in Supplemental Table 2. Trial site Principal Investigators will be listed alphabetically in resulting publications as members of the CASTLE Sleep-E Consortium in the Acknowledgements section. There has not been and will not be any use of hired writers.

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Disclaimer. To avoid potential bias, neither the funder nor the sponsor of this trial has any role in or authority over the design, execution, analyses, interpretation of data, or result dissemination.

Competing interests. None declared.

Patient consent for publication. Not applicable.

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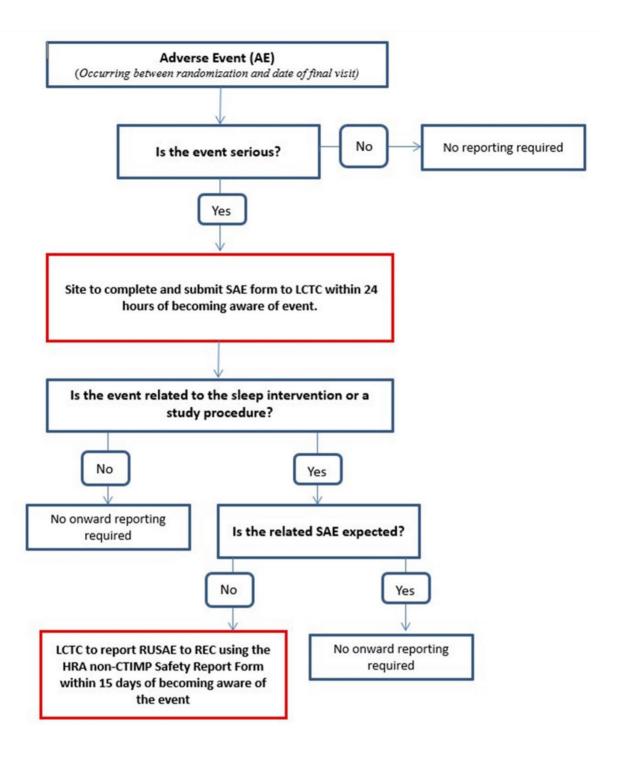
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Supplemental Table 6. World Health Organization Trial Registration Data Set (Version 1.3.1) for CASTLE Sleep-E

| Data category | | Information | | | |
|---------------|---|--|--|--|--|
| 1. | Primary registry and trial identifying number | ISRCTN: ISRCTN13202325 | | | |
| 2. | Date of registration in primary registry | 09/September/2021 | | | |
| 3. | Secondary identifying numbers | CPMS 50413 RP-PG-0615-20007 IRAS 289580 21/EM/0205 | | | |
| 4. | Source(s) of monetary or material support | National Institute for Health and Care Research (NIHR) | | | |
| 5. | Primary sponsor | Ms Jasmine Palmer Research & Innovation Operational Manager King's College Hospital NHS Foundation Trust The Research & Innovation Office First Floor, Coldharbour Works 245a Coldharbour Lane, Brixton | | | |
| | | London SW9 8RR jasmine.palmer1@nhs.net +44 (0) 7790 950 219 | | | |
| 6. | Secondary sponsor(s) | Professor Reza Razavi Director of Research Management & Director of Administration (Health Schools) Room 5.31 James Clerk Maxwell Building 57 Waterloo Road London SE1 8WA reza.razavi@kcl.ac.uk +44 (0)20 7848 3224 | | | |
| 7. | Contact for public queries | Trial Manager: Lucy Stibbs-Eaton Liverpool Clinical Trials Centre University of Liverpool Liverpool L69 3BX LCTC@liverpool.ac.uk +44 (0)151 795 8751 | | | |
| 8. | Contact for scientific queries | Professor Deb Pal Professor of Paediatric Epilepsy Maurice Wohl Clinical Neuroscience Institute King's College London 5 Cutcombe Road London SE5 9RX deb.pal@kcl.ac.uk +44 (0) 207 848 5762 | | | |
| 9. | Public title | A trial comparing the effectiveness of an online sleep behavioural intervention versus standard care in children with rolandic epilepsy | | | |
| 10. | Scientific title | Changing Agendas on Sleep, Treatment and Learning in Epilepsy (CASTLE) Sleep-E: A randomised controlled trial comparing an online behavioural sleep intervention with standard care in children with Rolandic epilepsy | | | |

| Data category | Information |
|---|---|
| 11. Countries of recruitment | England Scotland Wales Northern Ireland |
| 12. Health condition(s) or problem(s) studied | Sleep problems in Rolandic epilepsy also known as childhood epilepsy with centro-temporal spikes |
| 13. Intervention(s) | Intervention arm (SC + COSI): Novel, tailored, parent-led CASTLE Online Sleep Intervention (COSI) that incorporates evidence-based behavioural components. Delivered by parents to enrolled children with Rolandic epilepsy in their own homes after completion of self-paced online training. Standard care (SC) is augmented with the CASTLE Online Sleep Intervention (COSI). |
| | Active control arm (SC): UK National Health Service standard care (SC) for children with Rolandic epilepsy, which consists of a comprehensive care plan with the option of pharmacological treatment with anti-epileptic drugs (first-line mono-therapy with lamotrigine, levetiracetam, oxcarbazepine [girls and boys], carbamazepine or sodium valproate [both boys only]). |
| 14. Key inclusion and exclusion criteria | Inclusion criteria Main CASTLE Sleep-E study 1. Children diagnosed with RE/CECTS (see International League Against Epilepsy Diagnostic Manual at https://www.epilepsydiagnosis.org/syndrome/ects-overview.html) 2. EEG showing focal sharp waves with normal background (see International League Against Epilepsy Diagnostic Manual at https://www.epilepsydiagnosis.org/syndrome/ects-eeg.html) 3. Aged 5 to <13 years at the time of randomisation 4. Parent/Carer reported child sleep problem as defined by mild, moderate or severe score on Hiscock Australian global sleep question (Poor sleeper defined by caregiver responding 'Mild', 'Moderate' or 'Severe' to "Over the last 2 weeks, how much of a problem has your child's sleep been?") 5. Documented informed consent received from a person with parental responsibility 6. Family have an email address and mobile phone 7. Parent and child are to have a good enough understanding of the English language to read and answer study questionnaires Qualitative component 1. Consent of care giver to participate and for their child to participate (optional item on main trial consent form) 2. Children need to be >=7 years of age Exclusion criterion |
| 15. Study type | Children with moderate/severe learning disability Interventional Allocation: Minimisation using a bespoke LCTC system Allocation concealment: Central web-interface Sequence generation: Randomised, 1:1 ratio Intervention model: Parallel assignment Blinding Child, parent, healthcare providers, data collectors, qualitative researchers: None (open label) Quantitative data analysts: Blinded Primary purpose: Clinical- and cost-effectiveness, process evaluation (qualitative trial component, COSI e-analytics and evaluation module) Phase: III (behavioural intervention) |

| Data category | Information |
|---|---|
| 16. Date of first enrolment | 24/June/2022 |
| 17. Target sample size | 110 (55 children per arm) Calculation based on: Achieving 90 % statistical power to detect Minimal Clinically Meaningful Difference in primary outcome 10 % expected attrition |
| 18. Recruitment status | Recruiting • First trial site opened: 12/May/2022 • First recruitment: 30/August/2022 |
| 19. Primary outcome(s) | Clinical: Children's Sleep Habits Questionnaire at 3 months Health economic: Cost-effectiveness of the intervention over 6 months after randomisation, measured in terms of incremental cost per quality-adjusted life year gained (Child Health Utility instrument or EQ-5D-Y) from the perspective of the National Health Services and Personal Social Services in the UK. |
| 20. Key secondary outcome(s) | Clinical Outcome: Sleep problem reduction Metric/method: Children's Sleep Habits Questionnaire Timepoint: 6 months Clinical Outcome: Seizure frequency reduction Metric/method: Time to first seizure (days) Timepoint: 3 months, 6 months |
| 21. Ethics Review | Status: Approved Approval reference: 21/EM/0205 Health Research Authority East Midlands – Nottingham 1 Research Ethics Committee Chair: Mr Paul Hamilton +44 (0) 207 104 8115 or +44 (0) 207 104 8283 nottingham1.rec@hra.nhs.uk |
| 22. Completion date | 31/July/2023 |
| 23. Summary results | TBC |
| 24. Individual patient data (IPD) sharing statement | Plan to share IPD: Yes Plan description: At the end of the trial, after the primary results have been published, the pseudo-anonymised Individual Patient Data and associated documentation (e.g. protocol, statistical analysis plan, annotated blank case report form) will be prepared to be shared with external researchers on reasonable request. |
| 25. Protocol version and date | Internal protocol: V4.0, 08/December/2021 Manuscript for protocol publication: V3.2, 20/December/2022 |

Supplemental Table 7. Composition, roles and responsibilities of the Trial Management Group, Programme Steering Committee, and Independent Data and Safety Monitoring Committee for CASTLE Sleep-E.

| Rol | e | Name (Initials) | Affiliation | | |
|------|---|-------------------------------|---|--|--|
| Tria | l management Group (TMG) | | | | |
| Res | Responsibilities: Day-to-day running and management of the trial. | | | | |
| Me | Meeting frequency: Bi-weekly to three-monthly, depending on trial stage. | | | | |
| 1. | King's College Hospital Sponsor | Jasmine Palmer | King's College Hospital NHS | | |
| | Representative | | Foundation Trust, UK | | |
| 2. | Chief Investigator | Deb K. Pal | King's College London, UK | | |
| 3. | Co-Chief Investigator | Paul Gringras | Evelina London Children's Hospital, UK | | |
| 4. | Co-Investigator Public and Patient Involvement Lead | Lucy Bray | Edge Hill University, UK | | |
| 5. | Co-Investigator Qualitative Research Lead Public and Patient Involvement Co-Lead | Bernie Carter | Edge Hill University, UK | | |
| 6. | Co-Investigator Health Economics Lead | Dyfrig Hughes | Bangor University, UK | | |
| 7. | Co-Investigator Patient Reported Outcome Lead Public and Patient Involvement Co-Lead | Christopher Morris | University of Exeter, UK | | |
| 8. | Co-Investigator Lead Statistician | Catrin Tudur Smith | University of Liverpool, UK | | |
| 9. | Co-Investigator Intervention Development Lead | Luci Wiggs | Oxford Brookes University, UK | | |
| 10. | Supervising Trials Manager | Catherine Spowart | University of Liverpool, UK | | |
| 11. | Trial Manager | Lucy Stibbs-Eaton | University of Liverpool, UK | | |
| | Trial Statistician | Liam Whittle | University of Liverpool, UK | | |
| 13. | CASTLE Programme Manager | Amber Collingwood | King's College London, UK | | |
| | Researcher | Georgia Cook | Oxford Brookes University, UK | | |
| 15. | Researcher | Kristina C. Dietz | King's College London, UK | | |
| 16. | Health economist | Will A. S. Hardy | Bangor University, UK | | |
| 17. | Researcher | Holly Saron | Edge Hill University, UK | | |
| Tria | I Steering Committee (TSC) | · · · | | | |
| | | ion and advice, ultimate deci | sion for the continuation of the trial. | | |
| | eting frequency: At least annually. | | | | |
| 1. | Chair | Jeremy Parr | Newcastle University, UK | | |
| 2. | Medical statistician | Martyn Lewis | Keele University, UK | | |
| 3. | Paediatrician | Desaline Joseph | Evelina London Children's Hospital, UK | | |
| 4. | Public and Patient Involvement Representative | Jo Conduit-Smith | CASTLE Advisory Panel | | |
| 5. | Chief Investigator | Deb K. Pal | King's College London, UK | | |
| 6. | Co-Chief Investigator | Paul Gringras | Evelina London Children's Hospital, UK | | |

| Ind | Independent Data and Safety Monitoring Committee (IDSMC) | | | | |
|-----|--|---|-------------------------------|--|--|
| Res | sponsibilities: Interim monitoring o | f safety and effectiveness, tria | l conduct and external data. | | |
| Red | commendation to TSC about trial co | ntinuation. | | | |
| Me | eting frequency: At least annually | | | | |
| 1. | Chair | Helen Cross | University College London, UK | | |
| 2. | Paediatrician | Alberto Verroti | University of L'aquila, Italy | | |
| 3. | Medical statistician | Anthony Johnson (to 31/August/2022) Appointment pending (20/December/2022) | University College London, UK | | |

Supplemental Table 8. Psychometrics and clinical relevance/minimal clinically important difference (CR/MCID) for CASTLE Sleep-E outcomes (Table 1). Metrics refer to the single referenced publication. Further validation studies exist, but, due to differences in population, setting, and/or methods, results cannot be merged.

| Outcome | Description | Validity | Reliability | CR/MCID |
|------------------|---|----------------------|-----------------|---|
| Children's Sleep | Parent-reported, one- | Classification | Test-retest | Cut-off (total score): |
| Habits | week retrospective sleep | accuracy | 2-week delay | 41 |
| Questionnaire | screening tool for | Sleep disorder | Pearson's r: | • Sensitivity: 80 % |
| (CSHQ)[1] | children (4–10 years) | (yes/no) | 0.62-0.79 | • Specificity: 72 % |
| | | Receiver Operating | | Accuracy: 80 % |
| | 35 items (2 duplicated | Characteristic | <u>Internal</u> | , |
| | across subscales) | (ROC) analyses: See | consistency | MCID |
| | 3-point Likert scales | MCID | Cronbach's α | Not assessed |
| | (rarely, sometimes, | | Control | |
| | usually) | Construct validity | sample: 0.68 | |
| | Total score (33 items): | See MCID | Clinical | |
| | 33–99, lower is better | | sample: 0.78 | |
| | 8 subscales: | Criterion validity | | |
| | Bedtime Resistance (6 | Not assessed | Inter-rater | |
| | items) | | reliability | |
| | Sleep Onset Delay (1 | | Not assessed | |
| | item) | | | |
| | Sleep Duration (3 | | | |
| | items) | | | |
| | • Sleep Anxiety (4 items) | | | |
| | Night Wakings (3 | | | |
| | items) | | | |
| | Parasomnias (7 items) | | | |
| | Sleep-Disordered | | | |
| | Breathing (3 items) | | | |
| | Daytime Sleepiness (8 | | | |
| | items) | | | |
| | Validation samples | | | |
| | Parents of 469 school | | | |
| | children (community | | | |
| | setting) and 154 children | | | |
| | diagnosed with sleep | | | |
| | disorder (hospital | | | |
| | setting); English | | | |
| | language; England, UK. | | | |
| | Test-retest: 60 parents | | | |
| | from control sample | | | |
| EQ-5D-Y[2 3] | Child- or adolescent | Not yet validated in | Not yet | CR/MCID |
| | reported (4–7 years: EQ- | UK (last updated | validated in | Applicability to utility |
| | 5D-Y proxy; 8–16 years: | 07/March/2022) | UK (last | scores debated, |
| | EQ-5D-Y, ≥16 years: EQ- | | updated | suggested MCID: |
| | 5D-5L), standardised | | 07/March/202 | difference in index |
| | measure of current | | 2) | score between |
| | ('today') | | | baseline health |
| | • health profile across 5 | | | profile and single- |
| | dimensions, | | | level transitions in |
| | • self-rated <i>health</i> | | | single domain (e.g. |
| | status, and | | | 33333 to 33332). |
| | • EQ-5D-Y index value, | | | |
| | using a country- | | | |
| | specific weighting | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|---------|---|----------|-------------|---------|
| | (value set) of a given | | | |
| | health profile. | | | |
| | | | | |
| | Two components: | | | |
| | 1. <u>Descriptive system</u> | | | |
| | 5 dimensions with 3 | | | |
| | response severity | | | |
| | options each (tick-box): | | | |
| | Mobility | | | |
| | • Self-care | | | |
| | Usual activities | | | |
| | Pain/discomfort | | | |
| | Anxiety/depression | | | |
| | Visual Analogue Scale | | | |
| | Self-rated health on a | | | |
| | vertical Visual Analogue | | | |
| | Scale (VAS) that ranges | | | |
| | from 'The best health | | | |
| | you can imagine' (100) | | | |
| | to 'The worst health you | | | |
| | can imagine' (0). | | | |
| | | | | |
| | Scoring: | | | |
| | • Descriptive system: 5- | | | |
| | digit <i>health profile</i> | | | |
| | (best health state: | | | |
| | 11111, indicating no | | | |
| | problem in each of the | | | |
| | 5 dimensions; worst | | | |
| | health state: 33333 | | | |
| | indicating many | | | |
| | problems in each of | | | |
| | the 5 dimensions; 243 | | | |
| | possible health states | | | |
| | are coded) | | | |
| | • VAS: 0–100 subjective | | | |
| | health state (worst to | | | |
| | best) | | | |
| | • EQ-5D-5L index value | | | |
| | Single summary | | | |
| | number, calculated by | | | |
| | subtracting country- | | | |
| | specific weighing | | | |
| | (value set) of an | | | |
| | obtained health profile | | | |
| | from 1, where 1 | | | |
| | represents the best | | | |
| | possible health profile | | | |
| | of 11111. | | | |
| | Value set validation | | | |
| | Value set validation | | | |
| | sample (UK) Not yet validated in UK | | | |
| | (last updated | | | |
| | 07/March/2022) | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|--------------------|---|--------------------------------|----------------------------|--|
| Child Health | Child-reported (7–11 | Predictive accuracy | <u>Test-retest</u> | CR/MCID |
| Utility instrument | years) descriptive system | Standard ordinary | Not assessed | Applicability to utility |
| (CHU-9D)[4] | for current ('today') | least squares (OLS) | | scores debated, |
| | generic health-related | regression: 98.41 % | <u>Internal</u> | suggested MCID: |
| | quality-of-life | No systematic bias, | <u>consistency</u> | difference in index |
| | | no auto-correlated | Utility values | score between |
| | 9 dimensions with 5 | errors. | are consistent | baseline health |
| | response severity | | with health | profile and single- |
| | options each (circle): | Construct validity | profiles, but | level transitions in single domain (e.g. |
| | WorriedSad | Not assessed | required | 55555555555555555555555555555555555555 |
| | • Pain | 0.11 | merging of the initial 5 | 555555554). |
| | • Tired | Criterion validity | response- | 333333331,1 |
| | Annoyed | Not assessed | levels for all | |
| | School-/homework | Farance Baltan | but one of the | |
| | • Sleep | Face-validity Preference | 9 dimensions | |
| | Daily routine | elicitation using | as follows: | |
| | Activities | Standard Gamble | Worried: 2 | |
| | - Netivities | (SG) task, which | • Sad: 4 | |
| | Scoring: | give the choice of | • Pain: 4 | |
| | Descriptive system: 9- | living in a specific | • Tired: 2 | |
| | digit health profile | health-state until | • Annoyed: 2 | |
| | (best health state: | death with | • School- | |
| | 111111111, indicating | certainty (Choice | /homework: | |
| | no problem in each of | A), or taking a | 2 | |
| | the 9 dimensions; | gamble (Choice B) | • Sleep: 4 | |
| | worst health state: | that could result in | • Daily | |
| | 555555555 indicating | living in perfect | routine: 5 | |
| | many problems in | health for the rest | Activities: 3 | |
| | each of the 5 | of life with a | | |
| | dimensions; 1953125 possible health states | probability p, or dying with a | lates setes | |
| | are coded) | probability 1-p. The | Inter-rater reliability | |
| | • CHU-9D index value | utility value of a | Not assessed | |
| | Single summary | given health-state | Not assessed | |
| | number indicating the | is the point of | | |
| | utility value of a given | indifference | | |
| | health state, | between options A | | |
| | established using | and B. | | |
| | Standard Gamble (SG) | Utility values are | | |
| | tasks. | consistent with | | |
| | | health profiles but | | |
| | <u>Value set validation</u> | required merging of | | |
| | sample (England) | response options. | | |
| | 1245 households were | | | |
| | randomly sampled from a database of UK names | | | |
| | and addresses in | | | |
| | Sheffield and | | | |
| | Huddersfield (England) | | | |
| | were contacted by a | | | |
| | research team of the | | | |
| | Centre for Research and | | | |
| | Evaluation (CRE) at | | | |
| | Sheffield Hallam | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|-------------|---|----------------------|--------------------|--------------------------|
| | University. 1195 | | | |
| | households were | | | |
| | approached at the door, | | | |
| | of which 661 (55 %) | | | |
| | were in, and 300 (25 %) | | | |
| | agreed to take part. 282 | | | |
| | respondents (all adults) | | | |
| | were analysed (94 %). | | | |
| | Compared to the general | | | |
| | UK population, this adult | | | |
| | sample was broadly | | | |
| | representative, but more | | | |
| | affluent and highly | | | |
| | restricted | | | |
| | geographically. | | | |
| | Modelling did not | | | |
| | include key demographic | | | |
| | characteristics (e.g. age, | | | |
| | gender, education, employment, religion | | | |
| | and ethnicity). The | | | |
| | sample consisted | | | |
| | exclusively of adults but | | | |
| | was used to derive a | | | |
| | paediatric value set. | | | |
| EQ-5D-5L[5] | Adolescent or adult- | Classification | <u>Test-retest</u> | CR/MCID |
| 200 02[0] | reported (≥16 years), | accuracy | Not assessed | Applicability to utility |
| | standardised measure of | Not assessed | | scores debated, |
| | current ('today'): | | <u>Internal</u> | suggested MCID: |
| | • health profile across 5 | Construct validity | consistency | difference in index |
| | dimensions, | Not assessed | Not assessed | score between |
| | • subjective <i>health</i> | | 1101 43363364 | baseline health |
| | status, and | Criterion validity | Inter-rater | profile and single- |
| | • EQ-5D-5L index value, | Not assessed | reliability | level transitions in |
| | using a country- | Not assessed | Not assessed | single domain (e.g. |
| | specific weighting | <u>Face-validity</u> | | 55555 to 55554). |
| | (value set) of an | Preference | | |
| | obtained health | elicitation using | | |
| | profile. | time trade-off | | |
| | | (TTO) and discrete | | |
| | Two components: | choice experiments | | |
| | 1. Descriptive system | (DCEs). | | |
| | 5 dimensions with 5 | • TTOs: | | |
| | response severity | Confirmation of | | |
| | options each (tick-box): | negative | | |
| | Mobility | relationship | | |
| | • Self-care | between level | | |
| | Usual activities | sum score and | | |
| | Pain/discomfort | average observed | | |
| | Anxiety/depression | value. | | |
| | 2. Visual Analogue Scale | • DCEs: | | |
| | Self-rated health on a | Confirmation of | | |
| | vertical Visual Analogue | assumption that | | |
| | Scale (VAS) that ranges | health states with | | |
| | from 'The best health | lower-level sum | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|---------|-----------------------------|-----------------|-------------|---------|
| | you can imagine' (100) | scores are more | | |
| | to 'The worst health you | likely to be | | |
| | can imagine' (0). | chosen. | | |
| | | | | |
| | Scoring: | | | |
| | • Descriptive system: 5- | | | |
| | digit <i>health profile</i> | | | |
| | (best health state: | | | |
| | 11111, indicating no | | | |
| | problem in each of the | | | |
| | 5 dimensions; worst | | | |
| | health state: 55555 | | | |
| | indicating many | | | |
| | problems in each of | | | |
| | the 5 dimensions; | | | |
| | 3125 possible health | | | |
| | states are coded) | | | |
| | • VAS: 0–100 subjective | | | |
| | health state (worst to | | | |
| | best) | | | |
| | • EQ-5D-5L index value | | | |
| | Single summary | | | |
| | number, calculated by | | | |
| | subtracting country- | | | |
| | specific weighing | | | |
| | (value set) of an | | | |
| | obtained health profile | | | |
| | from 1, where 1 | | | |
| | represents the best | | | |
| | possible health profile | | | |
| | of 11111. | | | |
| | | | | |
| | Value set validation | | | |
| | sample (England) | | | |
| | 2220 households from | | | |
| | 66 post-code based | | | |
| | primary sampling units | | | |
| | in England were | | | |
| | contacted by the market | | | |
| | research company Ipsos | | | |
| | MORI. 2088 participants | | | |
| | were invited, of which | | | |
| | 996 (47.7 %) completed | | | |
| | the valuation | | | |
| | questionnaire. Only | | | |
| | complete responses | | | |
| | were analysed (985 | | | |
| | participants, 98.9 %). | | | |
| | Compared to the general | | | |
| | population of England, | | | |
| | the sample included | | | |
| | more people aged over | | | |
| | 75 years, retired, and | | | |
| | with health problems, | | | |
| | but fewer younger | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|------------------|--------------------------------|-------------------------|--------------------|------------------------|
| | participants, and fewer | | | |
| | males. | | | |
| Knowledge About | 13 items | Not evaluated | Not evaluated | Not evaluated |
| Sleep in | Self-reported Likert- | | | |
| Childhood (KASC, | scales assessing parental | | | |
| custom-scale | efficacy in managing | | | |
| devised for | child sleep and | | | |
| CASTLE Sleep-E) | knowledge about child | | | |
| | sleep | | | |
| Hospital Anxiety | Self-reported, one-week | <u>Classification</u> | <u>Test-retest</u> | Cut-offs (subscales) |
| and Depression | retrospective screening | <u>accuracy</u> | Not assessed | Depression |
| Scale (HADS)[6] | tool for anxiety and | Psychiatric | | Absent:≤ 7 |
| | depression in people | interview, | <u>Internal</u> | Borderline: 8–10 |
| | aged 16–65. | see CR/MCID | <u>consistency</u> | Definite: ≥ 11 |
| | | | Spearman's $ ho$ | • False positives: 1 % |
| | 14 items | Construct validity | Anxiety: 0.41- | • False negatives: 1 % |
| | 5-point Likert scales (0– | See CR/MCID | 0.76 | Borderline not |
| | 3) | | Depression: | counted as error |
| | No total score | Convergent validity | 0.30-0.60 | |
| | Subscale score: 0–21, | Spearman's p | | Anxiety |
| | lower is better | Interview/self- | <u>Inter-rater</u> | Absent:≤ 7 |
| | 2 subscales (7 items | rating | <u>reliability</u> | Doubtful: 8–10 |
| | each): | Depression/Depres | Not assessed | Definite: ≥ 11 |
| | Depression | sion: 0.79 | | • False positives: 5 % |
| | Anxiety | Anxiety/Anxiety: | | • False negatives: 1 % |
| | | 0.54 | | Borderline not |
| | Validation samples | | | counted as error |
| | 2 x 50 patients (16–65 | Discriminant | | |
| | years) with and without | validity | | <u>MCID</u> |
| | psychiatric disorders | Spearman's ρ | | Not assessed |
| | (hospital setting); English | Interview/self- | | |
| | language; England, UK. | rating | | |
| | | Depression/Anxiety | | |
| | | ns Amilatu/Damassian | | |
| | | Anxiety/Depression | | |
| | | ns | | |
| | | 0.11 | | |
| | | Criterion validity | | |
| | | See CR/MCID | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|-------------------|---|-------------------------------------|--------------------|--------------------------------|
| Insomnia Severity | Self-reported, one- | Classification | Test-retest | Control sample (self- |
| Index (ISI)[7], | month retrospective | accuracy | Not assessed | diagnosis) |
| patient version | screening tool for | Insomnia (yes/no) | | Cut-off (total score): |
| | insomnia in adults (≥18 | ROC analyses, see | <u>Internal</u> | 10 |
| | years) | MCID | consistency | • Sensitivity: 86 % |
| | 7 items | | Cronbach's α, | Specificity: 88 % |
| | 5-point Likert scales (0– | Construct validity | Control | Accuracy: 87 % |
| | 4, no problem to severe | See CR/MCID | sample: 0.71 | |
| | problem) | Pearson's r | Clinical | Clinical sample |
| | Total score: 0–28, lower | Daily sleep diary: | sample: 0.73 | Cut-off (total score): |
| | is better | 0.54-0.59 | | 11 |
| | • 0–7: Absence of | Activity level, | <u>Inter-rater</u> | • Sensitivity: 97 % |
| | insomnia | Anxiety (state, | <u>reliability</u> | Specificity: 100% |
| | • 8–14: Subthreshold | trait), | Not assessed | Accuracy: 98 % |
| | insomnia | Depression, | | |
| | • 15–21: Moderate | Fatigue (general, | | MCID |
| | insomnia | physical, mental), | | Change required for |
| | • 22–28: Severe | Motivation: 0.20- | | improvement |
| | insomnia | 0.48 | | Blinded assessor, M, |
| | Dimensions: | | | [Cl ₉₅]: |
| | Severity of sleep onset | Criterion validity | | • Slight: 4.65 [2.61– |
| | Sleep maintenance | Pearson's r | | 6.69] |
| | Early morning | Polysomnography | | Moderate: 8.36 |
| | awakening problems | Sleep onset | | [7.20–9.53] |
| | Sleep dissatisfaction | latency: ns | | • Marked: 9.89 |
| | Interference of sleep | Wake after sleep | | [8.74–11.04] |
| | difficulties with | onset: ns | | ROC analyses: |
| | daytime functioning | Number of | | Slight: not reported |
| | Noticeability of sleep | awakenings: ns | | Moderate: ≥7 |
| | problems by others | Early morning | | o Sensitivity: 60 % |
| | Distress caused by the | awakening: ns | | o Specificity: 70 % |
| | sleep difficulties | Total wake time: | | o Accuracy: not |
| | | ns | | reported |
| | Validation samples | Sleep efficiency: - | | • Marked: ≥8 |
| | 959 adults with and | 0.16 | | o Sensitivity: 64 % |
| | without insomnia | | | o Specificity: 80 % |
| | (community setting), 183 | | | o Accuracy: not |
| | adults with insomnia and | | | reported |
| | 62 controls (clinical | | | |
| | setting); English | | | |
| | language; Québec, | | | |
| | Canada. | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|-----------------------------|---|--|-------------------------------|--------------|
| SleepSuite[8] | SleepSuite bubble tasks | Classification | <u>Test-retest</u> | Not assessed |
| (iPad App): | (iPad games) are | <u>accuracy</u> | Delay | |
| Bubble task | adapted from a validated | Not assessed | unspecified | |
| | Balloon Task[9]: The goal | | (likely none | |
| Executive | is to burst upward | Construct validity | [immediate | |
| function | drifting balloons with | Not assessed | retest]) | |
| (accuracy and | children's faces under | | | |
| response times | multiple target | Criterion validity | Pearson's r | |
| [RT]) | conditions (e.g. happy | Child Behavior | • Hits: 0.60 | |
| | faces only) and at | Checklist (CBCL): | • Misses: 0.37 | |
| | increasing presentation | total score, sub- | Completed | |
| | conditions (speed, load: | scales (8), recode to | levels: 0.39 | |
| | number of faces shown | externalising and | • RT: 0.78 | |
| | simultaneously). | internalising | | |
| | Validation computa[0] | behaviours. | <u>Internal</u> | |
| | Validation sample[9] | | consistency | |
| | 134 healthy children (7– | Pearson's r (age | Not assessed | |
| | 12 years, 58 boys, 23 with clinical behavioural | and sex partialled | | |
| | problems, 40% first- | out), across | <u>Inter-rater</u> | |
| | born) from middle- and | conditions | <u>reliability</u> | |
| | upper-class families of | Camandatad | Not assessed | |
| | which 25% included at | Completed | | |
| | least one parent who | • Total score: - | | |
| | immigrated more than | | | |
| | 10 years ago. Children | 0.24/ns | | |
| | lived with their parents | Delinquency: ns/0.18 | | |
| | in small households (on | | | |
| | average 4.53 members). | • Aggression: - 0.20/0.23 | | |
| | Parents were largely | • Attention | | |
| | employed full-time | problems: - | | |
| | (fathers: 90.71%, | 0.18/ns | | |
| | mothers: 49.31%) and | • Social | | |
| | well educated (on | withdrawal: - | | |
| | average for 16 years). | 0.24/ns | | |
| | Community setting | Somatic | | |
| | (school, number | complaints: | | |
| | unspecified); paid | ns/0.18 | | |
| | participation (\$15 school | • Thought | | |
| | supply voucher); | disorders: ns/ns | | |
| | language: Hebrew, | Anxiety- | | |
| | Israel. | Depression: - | | |
| | | .28/ns | | |
| | | Social problems: - | | |
| | | 0.20/ns | | |
| | | Externalising | | |
| | | behaviours: - | | |
| | | 0.18/0.23 | | |
| | | Internalising | | |
| | | behaviours: - | | |
| | | 0.25/ns | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|------------------|---|-------------------------------------|----------------------------------|--------------|
| Health-Related | Quality of life | Classification | Test-retest | Not assessed |
| Quality Of Life | assessment tool for | <u>accuracy</u> | 10– 14 days | |
| Measure for | children or parents with | Not assessed | delay | |
| Children with | epilepsy (no specified | | Intraclass | |
| E pilepsy | time-period); child | Construct validity | correlation | |
| (CHEQOL)[10] | reported if ≥8 years, | (child) | coefficient | |
| | parent proxy-report if | Pearson's r | Child: 0.59- | |
| | child 5 to <8 years | Health care | 0.69 | |
| | 25 items | utilisation: 0.13- | Parent: 0.60- | |
| | 4-point Likert scales (0- | 0.31 | 0.81 | |
| | 4, opposites: true/sort of | Drug Adverse | | |
| | true) | Events: 0.18–0.25 | <u>Internal</u> | |
| | Total score: 25–100, | Number of | <u>consistency</u> | |
| | higher is better | friends: 0.18 | Cronbach's α , | |
| | 5 subscales (5 items | • N° of | subscales | |
| | each): | extracurricular | Child: 0.63- | |
| | Interpersonal/social | activities: 0.13 | 0.84 | |
| | consequences | One-way ANOVA (p | Parent: 0.64– | |
| | Future worries | ≤ .05) | 0.86 | |
| | Present worries | Seizure severity: | | |
| | Intrapersonal/emotion | All 5 subscales | <u>Inter-rater</u> | |
| | al | Anti-epileptic | <u>reliability</u> | |
| | Epilepsy secrecy | drug use: 4 | Pearson's r | |
| | | subscales | • Child/mothe | |
| | Validation samples | t –tests ($p \le .05$) | r: 0.24–0.56 | |
| | 381 children (6–15 | • Help at school: | Child/father | |
| | years) with epilepsy and | All 5 subscales | : 0.18-0.54 | |
| | their parents (clinical | Results for parent- | Mother/fath | |
| | setting); English | proxy similar | er: 0.40– | |
| | language; Ontario, | prony similar | 0.71 | |
| | Canada. Test-retest: | Criterion validity | | |
| | Additional 89, then 31 | Not assessed | | |
| | children; additional 48 | | | |
| | parents. | | | |
| | Metrics refer to self- | | | |
| | report for children 8-15 | | | |
| | years and parent proxy | | | |
| | report for children 5 to | | | |
| | <8 years and were | | | |
| | assessed for sub-scales, | | | |
| | not total score. | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|---|---|--|---|---|
| Outcome World Health Organisation – Five Well-Being Index (WHO- 5)[11] | Description Self-reported, two-week retrospective tool to assess subjective psychological well-being in people aged 9 years and older. 5 items 6-point Likert scales (0–5, 'at no time' to 'all the time') Raw score: 0–25 Total score multiplied by 4 to give final score: 0–100, higher is better Validation samples 446 children analysed (9–12 years, 16 [3.6 %] with depressive disorder), 6 additional participants dropped due to incomplete data. Hospital setting: 3 paediatric hospitals and | Classification accuracy Depressive disorder (yes/no) Receiver Operating Characteristic (ROC) analyses: See CR/MCID Construct validity See CR/MCID Criterion validity Diagnostic and Statistical Manual of Mental Disorders (DSM-IV) criteria for depressive disorder (major or minor depression only, dysthymia dropped due to mismatch in time- period of concept definitions), see | Reliability Test-retest Not assessed Internal consistency Not assessed Inter-rater reliability Cohen's k = .90 | CR/MCID Cut-off (total score): 10 Sensitivity: 75 % Specificity: 92 % Accuracy: 88 % MCID Not assessed |
| | with depressive disorder), 6 additional participants dropped due to incomplete data. Hospital setting: 3 paediatric hospitals and 3 paediatric surgery | minor depression only, dysthymia dropped due to mismatch in timeperiod of concept | | |
| | hospitals (in- and out- patients for non- psychiatric reasons), Munich, Germany. German language. | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|---------------|---|----------------------|--------------------|------------------------|
| Strengths and | Parent-, teacher-, or | Classification | Test-retest | Cut-off (total score): |
| Difficulties | child-reported, | <u>accuracy</u> | Not assessed | 17 |
| Questionnaire | retrospective screening | Psychiatric disorder | | • Sensitivity: 88 % |
| (SDQ)[12] | tool of child | (yes/no) | Internal | • Specificity: 59 % |
| | psychopathology (2–18 | Receiver Operating | consistency | Accuracy: 74 % |
| | years). Retrospective | Characteristic | Cronbach's α: | |
| | period: 6 months or | (ROC) analyses: See | 0.84 | MCID |
| | current school year | CR/MCID | | Not assessed |
| | | Original total score | Inter-rater | |
| | 25 items | cut-offs: | <u>reliability</u> | |
| | 3-point Likert scales (0– | • Normal: 0–13 | Not assessed. | |
| | 2, | Borderline: 14– | | |
| | not/somewhat/certainly | 16 | | |
| | true) | • Abnormal: 17–40 | | |
| | Total score: 0–40, lower | transformed to | | |
| | is better | binary: | | |
| | 5 subscales (5 items | • No: 0–16 | | |
| | each): | • Yes: 17–40 | | |
| | hyperactivity/inattenti | | | |
| | on, | Construct validity | | |
| | emotional problems | See CR/MCID | | |
| | conduct problems | , | | |
| | peer problems | Criterion validity | | |
| | prosocial behaviours | Diagnostic and | | |
| | (omitted from total | Statistical Manual | | |
| | score) | of Mental Disorders | | |
| | | (DSM-IV), see | | |
| | Validation samples | CR/MCID. | | |
| | 541 children (5–12 | 0.7 | | |
| | years) with and without | | | |
| | psychiatric disorders | | | |
| | (school setting); multiple | | | |
| | languages; Italy, | | | |
| | Germany, the | | | |
| | Netherlands, Lithuania, | | | |
| | Bulgaria, Romania, and | | | |
| | Turkey. Metrics refer to | | | |
| | parent-report, total | | | |
| | score, and data | | | |
| | aggregated across | | | |
| | countries and psychiatric | | | |
| | disorders. | | | |

| Outcome | Description | Validity | Reliability | CR/MCID |
|--|--|---|---|--------------|
| Parenting Self Agency Measure (PSAM)[13] | Self-reported tool assessing overall confidence to successfully parent (including managing the child's behaviour and resolving problems with the child). The time-period for parental self-assessment is unspecified. 5 items 7-point Likert scales (1–7, rarely to always) Total score: 5–35, higher is better Validation sample 90 English-speaking mothers (all European-American, median age 36–40 years, median annual income >\$40,000, median education bachelor's degree, 82% married or co-habiting) of 3–12-year-olds (community setting); 2 day-care centres and classes at a large university, 2 churches. English language, southwestern USA. | Classification accuracy Not assessed Construct validity Convergent validity Pearson's r Active coping: 0.31 Parenting acceptance: 0.55 Positive re- interpretation: ns Discriminant validity Pearson's r Inconsistent parental disciplining: -0.34 Acceptance coping: ns Criterion validity Not assessed | Test-retest Not assessed Internal consistency Cronbach's α: 0.70 Comparative Fit Index: 0.94 Inter-rater reliability Not assessed | Not assessed |

| Outcome | Description | Validity | Reliability | CR/MCID |
|--------------------|----------------------------|--------------------------------------|--------------|--------------|
| Actigraphy: Micro | The Micro- | Classification | Test-retest | Not assessed |
| Motionlogger® | Motionlogger® Watch | <u>accuracy</u> | Not assessed | |
| Watch, | directly measures 3-D | Not assessed | | |
| Watchware | acceleration (in CASTLE | | Internal | |
| Software V | Sleep-E and the | Construct validity | consistency | |
| 1.99.17.4, Action- | referenced validation | Not assessed | Not assessed | |
| W software, V | study of the non- | | | |
| 2.7.3285 | dominant wrist). Raw | Criterion validity | | |
| (Ambulatory | data (zero-crossing | Agreement of | Inter-rater | |
| Monitoring, Inc., | mode) is initially | actigraphy with | reliability | |
| NY: USA) | recorded as periods of | continuous video- | Not assessed | |
| combined with | activity and inactivity (1 | electroencephalogr | | |
| sleep diaries | min epochs), and then | aphy (24 hours), | | |
| (Child and | recoded into periods of | scored by | | |
| Parent) | wakefulness and sleep | neurologist and | | |
| | using a combination of | neurophysiologist. | | |
| Total sleep time | proprietary algorithms | | | |
| (minutes) | and manual processing | Bland-Altman plots | | |
| Sleep latency | (e.g. sleep periods are | in combination | | |
| (minutes) | visually inspected and | with t-tests for | | |
| Sleep efficiency | manually corrected with | significant bias: | | |
| (% asleep of | the aid of participant | Total sleep time | | |
| sleep period) | sleep diaries). Sleep- and | (minutes): Bias = | | |
| | wake parameters are | 8.3 (SD = 31), n.s. | | |
| All 2-week | then calculated | Wake duration: | | |
| averages | automatically using | Bias = -4.8 (SD = | | |
| averages | validated public | 31.1), n.s. | | |
| | algorithms. | | | |
| | | Pearson's r: | | |
| | Validation sample[9] | Total sleep time | | |
| | 27 children (3–17 years) | (minutes): 0.96 | | |
| | with medically refractory | Wake duration: | | |
| | epilepsy, of which 12 | 0.93 | | |
| | had parent-indicated | | | |
| | sleep problems (44%). | | | |
| | Hospital setting (in- | | | |
| | patient epilepsy | | | |
| | monitoring unit in | | | |
| | tertiary paediatric | | | |
| | hospital), English | | | |
| | language, Toronto, | | | |
| | Canada. | | | |

Table 9. Estimated overall time requirement for CASTLE Sleep-E (participant perspective). Time estimates for questionnaires/instruments are based on published estimates where available, and otherwise on an estimate (indicated by *) of 30 seconds per item derived from the Children's Sleep Habits Questionnaire (35 items, 10 minutes published completion time), plus an arbitrary estimate of 2 minutes to read instructions and consider responses. The total time requirement for participation in CASTLE Sleep-E varies from minimally 2 hours per month over a 6-month period in the intervention arm including optional qualitative interviews.

| Trial component | Time (mins) | Frequency | Overall time (mins) |
|---|---------------------|-----------|---------------------|
| Study visits (4) | | | 150 minutes |
| Remote or in-person, combinable with standard care visits | | | |
| Consent and baseline data | • 60 minutes | • 1 | |
| Randomisation | • 30 minutes | • 1 | |
| Follow-up at 3 months | • 30 minutes | • 1 | |
| Follow-up at 6 months | • 30 minutes | • 1 | |
| Questionnaires/instruments in order of the participant timeline shown in Table 4 | | | 246.5 minutes |
| Children's Sleep Habits Questionnaire[1], 35 items | • 10 minutes | • 3 | • 30 minutes |
| World Health Organisation – Five Well-Being Index[11], 5 items | • 5 minutes | • 2 | • 10 minutes |
| Health-Related Quality Of Life Measure for Children with Epilepsy[10], 25 items | • 12.5 + 2 minutes* | • 2 | • 29 minutes |
| Strengths and Difficulties Questionnaire[12], 25 items | • 12.5 + 2 minutes* | • 3 | • 43.5 minutes |
| Child Health Utility Index 9D (CHU-9D)/CHU-9D proxy[4], 9 items | • 4.5 + 2 minutes* | • 3 | • 19.5 minutes |
| • EQ-5D-Y/EQ-5D-Y proxy[2], 15 items | • 5 minutes | • 3 | • 15 minutes |
| • EQ-5D-5L[5], 25 items (note: Published time estimate same as for EQ-5D-Y [15 items]) | • 5 minutes | • 3 | • 15 minutes |
| • Parenting Self Agency Measure[13], 5 items | • 2.5 + 2 minutes* | • 3 | • 13.5 minutes |
| • Insomnia Severity Index[7], patient version, 7 items | • 3.5 + 2 minutes* | • 3 | • 16.5 minutes |
| Hospital Anxiety and Depression Scale[6], 14 items | • 5 minutes | • 3 | • 15 minutes |
| • Resource Use questionnaire (custom instrument), 11 items | • 5.5 + 2 minutes* | • 3 | • 22.5 minutes |
| Knowledge About Sleep in Childhood (custom scale), 13 items | • 6.5 + 2 minutes* | • 2 | • 21 minutes |
| SleepSuite[8] (iPad App) | 40 minutes | 2 | 80 minutes |
| Morning of single day | • 20 minutes | | |
| Evening of single day | • 20 minutes | | |

| Trial component | Time (mins) | Frequency | Overall time (mins) |
|--|---------------------|-----------|---------------------|
| Actigraphy | | | 74 minutes |
| • Delivery arrangements to participants' home or collection point (incl. SleepSuite iPad) | | | |
| o Baseline | • 15 minutes | • 1 | |
| ○ Follow-up at 3 months | • 15 minutes | • 1 | |
| Return arrangements to participants' home or collection point (incl. SleepSuite iPad) | | | |
| o Baseline | • 15 minutes | • 1 | |
| ○ Follow-up at 3 months | • 15 minutes | • 1 | |
| • Use: Removal and re-fitting of device once daily (2 x 0.25 minute) when showering, bathing, or swimming; | | | |
| otherwise, the device is worn like a wristwatch without requiring participant interventions. | | | |
| o Baseline: 14 days | • 7 minutes | • 1 | |
| o Follow-up at 3 months: 14 days | • 7 minutes | • 1 | |
| Sleep diary | | | 140 minutes |
| Once daily completion of parent- and child diary (2 x 2.5 minutes) | | | |
| Baseline: 14 days | • 70 minutes | • 1 | |
| Follow-up at 3 months: 14 days | • 70 minutes | • 1 | |
| COSI (intervention arm only) | | | 245.5 minutes |
| • 3 mandatory modules (core information about sleep relevant to all families) | • 60 minutes | • 1 | |
| • 3 recommended modules (e.g. sleep hygiene) | • 60 minutes | • 1 | |
| • 5 tailored modules (addressing specific sleep issues indicated by a given parent) | • 100 minutes | • 1 | |
| • List of additional resources, optional, 10 webpages, not included in time estimate | • 0 minutes | • 1 | |
| • Evaluation questionnaire, 3 sections, 47 items overall | • 23.5 + 2 minutes* | • 1 | |
| A parent assigned to COSI (i.e. the intervention arm) would be expected to look at minimally 7 and | | | |
| maximally 11 modules. All modules are self-paced (i.e. do not have a fixed duration). To read and engage | | | |
| with a single module could take anywhere between 5–20 minutes depending on how quickly one reads, | | | |
| whether one watches the videos, does the quizzes, etc. Consequently, the estimated time requirement for | | | |
| initial material completion not including breaks or re-visits is 35–220 minutes for modules alone. | | | |
| To be conservative, maximal estimates are used in calculations. | | | |

| Trial component | Time (mins) | Frequency | Overall time (mins) |
|---|--------------|-----------|---------------------|
| Qualitative interviews (optional) | | | 140 minutes |
| Two time-points (Follow-up at 3 months + 3 weeks, at 6 months + 3 weeks) | | | |
| Interview date and time arrangement | • 10 minutes | • 2 | • 20 minutes |
| Interview preparation using supplied interview guide | • 10 minutes | • 2 | • 20 minutes |
| Actual interview | • 40 minutes | • 2 | • 80 minutes |
| • De-brief | • 10 minutes | • 2 | • 20 minutes |
| For the qualitative interviews with parents, we typically expect that the total time burden for each of the | | | |
| two interviews would range from 30–70 minutes. However, we will tailor the core interview to fit with the | | | |
| time the parent has available, so some interviews may be a little longer or shorter. | | | |
| To be conservative, maximal estimates are used in calculations. | | | |
| Total time for participation over a 6-months period | | | |
| • Standard Care arm (SC), not participating in optional qualitative interviews | | | • 690.5 minutes |
| Standard Care arm (SC), participating in optional qualitative interviews | | | • 830.50 minutes |
| Intervention arm (SC + COSI), not participating in optional qualitative interviews | | | • 936 minutes |
| • Intervention arm (SC + COSI), participating in optional qualitative interviews | | | • 1076 minutes |

Supplemental Table 10. Categories used to define the causality and severity of Adverse Events in CASTLE Sleep-E

| Category | Definition | | | |
|------------------|--|--|--|--|
| Causality | | | | |
| Almost Certainly | There is clear evidence to suggest a causal relationship, and other possible contributing factors can be ruled out. | | | |
| Probably | There is evidence to suggest a causal relationship, and the influence of other factors is unlikely. | | | |
| Possibly | There is some evidence to suggest a causal relationship (e.g. the event occurred within a reasonable time after administration of the study procedure). However, the influence of other factors may have contributed to the event (e.g. the participant's clinical condition, other concomitant events). | | | |
| Unlikely | There is little evidence to suggest there is a causal relationship (e.g. the event did not occur within a reasonable time after administration of the study procedure). There is another reasonable explanation for the event (e.g. the participant's clinical condition). | | | |
| Not related | There is no evidence of any causal relationship. | | | |
| Severity | | | | |
| Mild | The Adverse Event does not interfere with the participant's daily routine and does not require further procedure; it causes slight discomfort. | | | |
| Moderate | The Adverse Event interferes with some aspects of the participant's routine, or requires further procedure, but is not damaging to health; it causes moderate discomfort. | | | |
| Severe | The Adverse Event results in alteration, discomfort or disability which is clearly damaging to health. | | | |

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