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Thresholds for estuarine compound flooding using a combined hydrodynamic-statistical modelling approach

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Abstract. Estuarine compound flooding can happen when an extreme sea level and river discharge occur concurrently, or in close succession, inundating low-lying coastal regions. Such events are hard to predict and amplify the hazard. Recent UK storms, including Storm Desmond (2015) and Ciara (2020), have highlighted the vulnerability of mountainous Atlantic-facing catchments to the impacts of compound flooding including risk to life and short- and long-term socioeconomic damages. To improve prediction and early-warning of compound flooding, combined sea and river thresholds need to be established. In this study, observational data and numerical modelling were used to reconstruct the historic flood record of an estuary particularly vulnerable to compound flooding (Conwy, N-Wales). The record was used to develop a method for identifying combined sea level and river discharge thresholds for flooding using idealised simulations and joint-probability analyses. The results show how flooding extent responds to increasing total water level and river discharge, with notable amplification due to the compounding drivers in some circumstances, and sensitivity (~7%) due to the time-lag between the drivers. The influence of storm surge magnitude (as a component of total water level) on flooding extent was only important for scenarios with minor flooding. There was variability as to when and where compound flooding occurred; most likely under moderate sea and river conditions (e.g. 60-70th and 30-50th percentiles), and only in the mid-estuary zone. For such cases, joint probability analysis is important for establishing compound flood risk behaviour. Elsewhere in the estuary, either sea state or river flow dominated the hazard, and single value probability analysis is sufficient. These methods can be applied to estuaries worldwide to identify site-specific thresholds for flooding to support emergency response and long-term coastal management plans.

1 Introduction

Estuaries are the most dynamic coastal systems – crucial for global water and nutrient cycling, biodiversity of natural habitats, and provide ecosystem services such as food security and tourism that shape the livelihoods and well-being of their

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

communities (Barbier et al., 2011). They hold strategic value for world trade, supporting haulage and fisheries, with significant growth opportunities, e.g., in marine energy. About 60% of the world's population lives along coastal and estuarine zones (Lindeboom et al., 2020) and 36% of the UK lives within 5 km of the coast (Census, 2020). Each year people make over 270 million recreational visits to UK coasts (Elliott et al., 2018) and generate £17.1 billion in tourist spend (NCTA, 2023). Sea-level rise and changing storm patterns, along with intensification of human activity in and around estuaries, e.g., littoralisation, farming, and water management, means estuarine communities are increasingly vulnerable to the impacts of extreme events – of which in the UK flood hazards are rated as the second highest risk for civil emergencies, after pandemic influenza, (HM Government, 2020; EA, 2023).

Estuaries are at the interface of marine (tide, storm surges, waves), hydrological and terrestrial (precipitation causing river discharge, runoff, snow melt, groundwater) physical processes, which interact over a range of temporal and spatial scales (Chilton et al., 2021). Flooding can occur when one or several of these processes cause water levels to exceed a critical threshold, such as a sea defence (EA, 2022). In the UK, coastal flooding has an annual cost of up to £2.2 billion for flood management and emergency response (Penning-Rowsell, 2015). Estuaries are particularly vulnerable to the effects of compound flood events when coastal and fluvial drivers can occur concurrently or in close succession (Svensson and Jones, 2004; Couasnon, et al., 2020; Bevacqua et al., 2020; Robins et al., 2021). High sea-levels can occur due to astronomical high spring tides and can be further exacerbated when they co-occur with storms generating large surges and waves at the coast. Alongside this, storms can generate heavy precipitation and lead to high fluvial and pluvial flows, which increases flood hazards within estuaries (Ward et al., 2018). A compound event caused devastating flood impacts in Lancaster following Storm Desmond, 4–6 December 2015, due to extended heavy rainfall and river discharges coinciding with an incoming tide (Ferranti et al., 2015).

Statistical analyses of long-term data, e.g., from paired coastal and riverine gauge observations can show dependence between these drivers (Hendry et al., 2019; Camus et al., 2021; Lyddon et al., 2022) and can be used to examine the joint exceedance probability of estuary water levels based on when marine and terrestrial drivers are above the predefined thresholds (e.g., 95th or 99th percentile) (Kew et al., 2013, Salvadori et al., 2016). Estuaries on the west coast of Britain are more likely to experience co-dependent extreme events and compound flooding than those on the east coast, due to the prevailing southwesterly storm tracks that can bring extreme storm surges and concomitant rainfall – the generally short and mountainous west coast catchments causing river flows to increase quickly and coincide with the surge (Haigh et al., 2016). Beyond the floods in Lancaster, Storm Desmond caused severe compound flooding across several estuaries of west and southwest Britain, amounting to over £500m in flood-related damages (Bilskie and Hagen, 2018; Matthews et al., 2018). Flooding in estuaries on the east coast of Britain is more likely to be driven by independent surge and rainfall events because the catchments tend to be larger with slower runoff times and easterly storms tend not to be coupled with heavy rainfall (Svensson and Jones 2002),

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

although the generally longer durations of high river flows (e.g., several days for the Humber) increases the chances of high discharge coinciding with high sea levels from a separate storm. Modelling studies have shown the likelihood and impacts of compound flooding at local (Robins et al., 2021) and national scales (Ganguli and Merz, 2019; Eilander et al., 2020; Feng et al., 2023; Eilander et al., 2023), but do not specify driver thresholds that lead to compound flooding and spatial variability in flooding of different driver combinations.

Defining critical driver thresholds for estuary flooding is crucial for the early detection and forecasting of flood events to issue timely warnings, for operational purposes such as emergency response, and for identifying vulnerable areas to focus intervention and coastal management strategies (EA, 2009). Early warning systems and appropriate planning measures are the most widely used and reliable tools to ensure community preparedness (Alfieri et al., 2012). Early warning systems and subsequent responses require a thorough understanding of hazard behaviour and classification, and knowing when a specific environmental condition will be passed to cause flooding is vital in this framework (Šakić Trogrlić et al., 2022). Terrestrial-driven floods and marine-driven floods are generally considered separately in operational flood risk assessments (e.g. CoSMoS, USA (USGS)), and there is currently a UK government policy gap in terms of estuary flood risk (EA, *pers. comm*.). Flood assessments show when a critical threshold is exceeded to cause either fluvial or coastal flooding, but do not consider compound events. Modelling statistical and probabilistic methods can contribute to an understanding of the unique response of each estuary to flood drivers, where catchment typology, tidal regime, and estuary characteristics influence the behaviour of the hazard. The same water level return period at a location within an estuary can be caused by different drivers and cause different flood extents, showing the importance of understanding a range of site-specific, compound event scenarios alongside their joint probability (Olbert et al., 2023).

This research aims to identify the coastal and fluvial conditions that lead to flooding in an estuarine system. The research will use a combination of historic records of flooding, instrumental data, statistical analyses, and numerical modelling tools to identify the combined driver thresholds which cause flooding, and which areas within the estuary are vulnerable to the compounding effects. The research is applied to the Conwy Estuary, N-Wales as an example of a mountainous, flashy catchment on the west coast of Britain which is vulnerable to the effects of storm-driven, compound flooding. The case study and methodology are described in section 2, which demonstrates how historic records of flooding are supplemented with online sources, instrumental data from a paired river and tide gauge, and results from an inundation model (section 3). Joint probabilities are assigned to coastal and fluvial conditions before results are considered in the context of wider flood hazard policy to improve the accuracy of flood records and flood hazard assessments in the context of future climate change and land use change for improved resilience of coastal communities (section 4).

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

2 Methods

2.1 Conwy Estuary, North Wales

The Conwy Estuary is a steep and mountainous catchment in North Wales that has been shown to be one of the most vulnerable in Britain to compound events of extreme surges coinciding with extreme river flows (Lyddon et al., 2021). The towns of Llanrwst in the upper estuary, and Conwy and Llandudno in the lower estuary are vulnerable to this hazard, and communities, businesses, and transport networks are affected by several floods each year. Most notably, the primary road and rail network connecting north and south Wales runs through the Conwy Valley. Storm Ciara, 9 February 2020, exemplifies the complexities of compound flooding. Ciara atypically came from the north bringing intense rainfall (80 mm in 15 hrs) that inundated the estuary floodplains to capacity and held back by the rising spring tide plus 0.72 m surge. Record-breaking flows (529 m^3/s) in the main river ensued, causing widespread flooding (> 150 properties) and a 'backwater effect' that flooded transport links and caused power outages. There was no warning, so residents and landowners had no chance of activating safety measures. Flooding was recorded throughout the community in local and regional news outlets (BBC, 2020; Evans, 2020; Spridgeon, 2020).

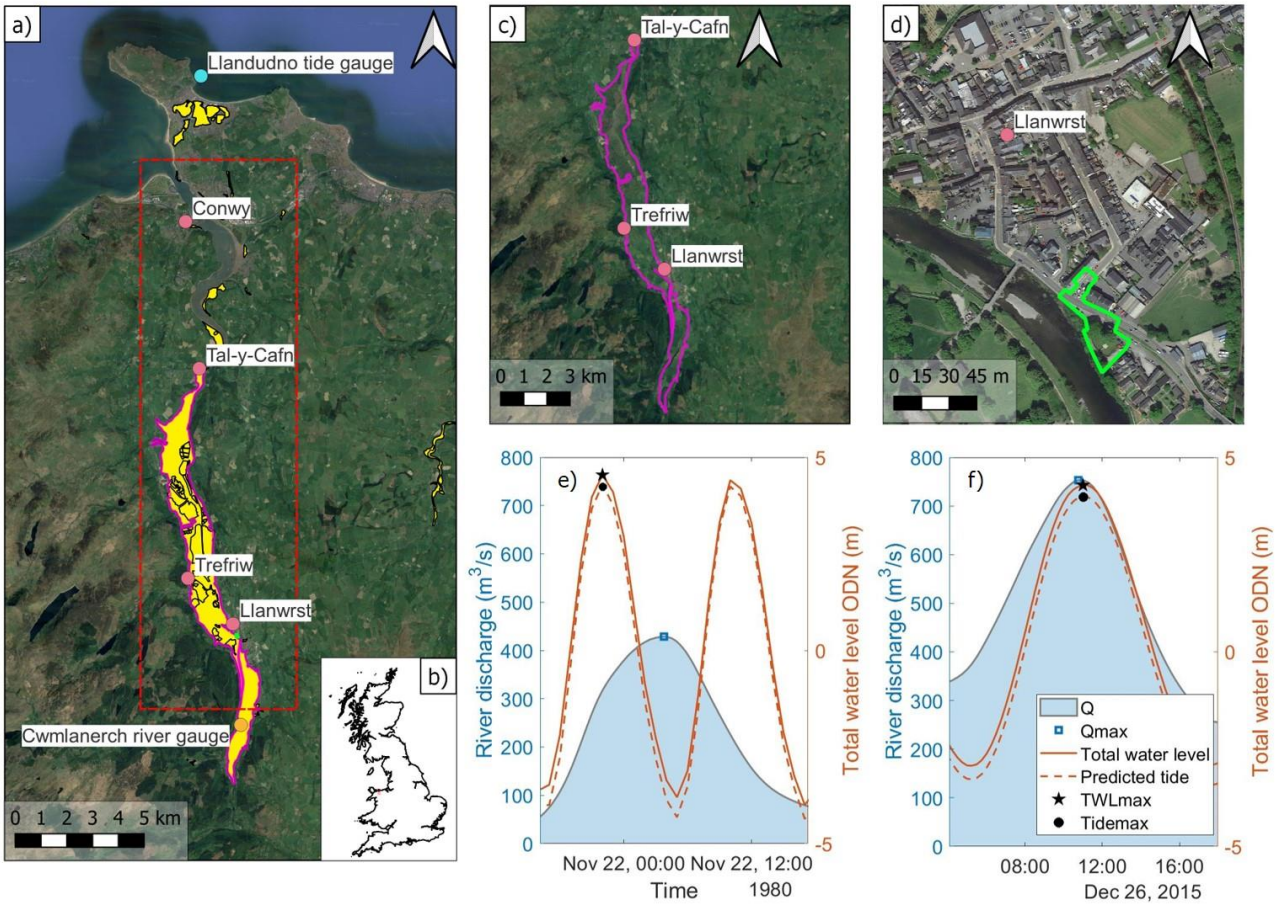
The Conwy Estuary has a record of instrumental, observation data available from the Cwmlanerch river gauge (https://nrfa.ceh.ac.uk/data/station/info/66011) and Llandudno tide gauge (https://ntslf.org/tgi/portinfo?port=Llandudno). River discharge recorded at Cwmlanerch is available at a 15-minute temporal resolution from November 1980 - February 2023, with 99% data coverage in time. The total water level recorded at Llandudno is available at a 15-minute temporal resolution from January 1994-December 2020, with 88% data coverage in time. Historic records of flooding extend back to the 1980's before the instrumental tide gauge data began, therefore tide and surge reanalysis data for this period were obtained from the Global Tide Surge Model (GTSM). The third-generation GTSM (Kernkamp et al., 2011) has a coastal resolution of 1.25 km within Europe and is forced with meteorological fields from the ERA5 climate reanalysis to simulate extreme sea levels for the period 1979 to 2017. The tide and surge model has shown good agreement between modelled and observed sea-levels, and is applicable to flood risk and climate change research (Muis et al., 2016; Muis et al., 2020; Wang et al., 2022).

2.2 Historic records of flooding in Conwy

Natural Resource Wales has collated information on Recorded Flood Extents to show areas that have flooded in the past from rivers, the sea or surface water, which is documented on an open-source, online data catalogue (NRW, 2020). The database of polygons (Figure 1a) shows 22 Recorded Flood Extents in the tidally-influenced Conwy estuary. Incidences of flooding were driven by high sea levels or river flows or both (i.e., flooding due to obstructions, blockages, local drainage issues, and excess surface water was ignored). This left 14 records of flooding caused by channel capacity exceedance or overtopping of defences, but instrumental river gauge data is only available for six of these events. The behaviour of the drivers of the six Recorded Flood Events was identified from the sea level and river flow data records, including timing and magnitude of peak river

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

discharge (*Qmax*), total water level (*TWLmax*), predicted tide level, and skew surge (e.g., Figures 1e and 1f). Figures 1c and 1e show the 21 November 1980 compound event where *Qmax* was recorded as 428 m^3/s at 03:45 am, and *TWLmax* was 4.5 m at 22:00 am (which included a 0.25 m skew surge). The NRW catalogue notes that there was widespread flooding in the Conwy Valley at this time, although since this was the pre-internet era there are no further online records. Figures 1d and 1f show the 26 December 2015 compound event where *Qmax* was recorded as 753 m^3/s at 10:45 am, and *TWLmax* was 4.3 m at 11:00 am (which included a 0.3 m storm surge). The short, 15-minute time lag between *Qmax* and *TWLmax*, and extreme magnitudes (*Qmax* was an 85th percentile event and *TWLmax* was an 84th percentile event), caused extensive flooding in Llanwrst and across the valley (ITV, 2015; Welsh Government, 2015; Jones, 2016; NRW, 2016); however, the Recorded Flood Event in the NRW catalogue covers only a small area at Llanwrst (Figure 1d). This suggests that historic records of flooding in the Conwy are incomplete, hence there is a need for further information on the drivers and impacts of flooding from which to establish flood prediction patterns and thresholds. Natural Resource Wales identifies that the absence of a Recorded Flood Extent does not mean the area has not flooded. This information gap is expected throughout the UK.



https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

Figure 1: (a-b) Location and extent of all Recorded Flood Events (yellow shading) in the region of interest (red dashed box) in the Conwy Estuary, N-Wales. The outlines of two Recorded Flood Events are highlighted; 21 November 1980 (pink polygon) and 26 December 2015 (green polygon), which are shown in more detail in (c) and (d). (e-f) Time series of river discharge, total water level and predicted tide for two Recorded Flood Events in (c) and (d). Figure 1a-c Basemap © OpenStreetMap contributors 2023. Distributed under the Open Data Commons Open Database License (ODbL) v1.0.

Flood drivers *Qmax* and *TWLmax* during the six Recorded Flood Events in NRW's data catalogue are shown as stars in Figure 2. Additionally, the top 50 most extreme *Qmax* and corresponding *TWLmax* events within a 'storm-window' are shown as circles in Figure 2 (where the storm-window was defined as 20.25 hours for the Conwy based on the average duration of event hydrographs over a 30-year period; Lyddon et al., 2021). Gaps in the tide gauge record meant that in effect the top 72 *Qmax* events were selected, to identify 50 events paired with *TWLmax*. Similarly, the top 50 most extreme *TWLmax* and corresponding *Qmax* events are shown as triangles in Figure 2. For all paired events plotted, the time lag in hours between *Qmax* and *TWLmax* is represented by the shape colour, and the vertical black line indicates the magnitude of the skew surge.

The recorded most extreme *Qmax* was 901.31 m^3/s, which occurred on 16 March 2019, and coincided with a *TWLmax* of 6.57 m (a neap tide reaching 6.08 m combined with a 0.49 m skew surge), where there was a time lag of +3½ hrs (i.e., *Qmax* occurred on the ebbing tide). The relatively long time lag and less extreme *TWLmax* means that this was predominantly a fluvial-driven event, rather than a compound event. Flooding was recorded across the UK including in the Conwy on this date following a particularly wet period that included two major storms, Freyer and Gareth (Met Office, 2019). The recorded most extreme *TWLmax* was 8.95 m (a spring tide of 8.47 m with a skew surge of 0.48 m), which occurred on 10 February 1997, and coincided with a *Qmax* of 311.52 m^3/s, where there was a +1½ hour time lag (again *Qmax* occurred on the ebbing tide). Whilst coastal flooding was recorded in the Conwy Tidal Flood Risk Assessment (HRW, 2008), there was no flooding recorded within the estuary so it is not considered as a compound event.

Of the top 50 *Qmax* events, 39 had a time lag of ±2 hours or less, of which 14 events had a time lag of ±1 hour or less, showing that concurrence of *Qmax* and *TWLmax* has occurred regularly in the past. Although there was only one occasion when a top 50 *Qmax* and top 50 *TWLmax* co-occurred, and this event had a time lag of about an hour. Seven of the top 50 *TWLmax* events had a time lag of ±2 hours or less, of which two events had a time lag of +1 hour or less. It is also worth noting that all top 50 *TWLmax* events occurred around midday (10:30 - 12:15) or midnight (22:45 - 00:00). Spring high tides are phase-locked around midday and midnight for the Conwy region, hence increasing the chances of an extreme water level at these times.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

175 Three standout events are circled in Figure 2 which could be interpreted as compound events, all with extreme river discharges (*Qmax* > 700 m^3/s and > 77^{th} percentile), high total water levels (*TWLmax* > 4 m and > 84^{th} percentile), and time lags under ±1 hour. One of these events is starred as a Recorded Flood Event on the NRW data catalogue (26 December 2015), however, the others are not. It is important to know whether all of these extreme events in fact caused flooding as one might expect, and which other extreme events in the record led to flooding, to be able to establish meaningful thresholds for flood warning.

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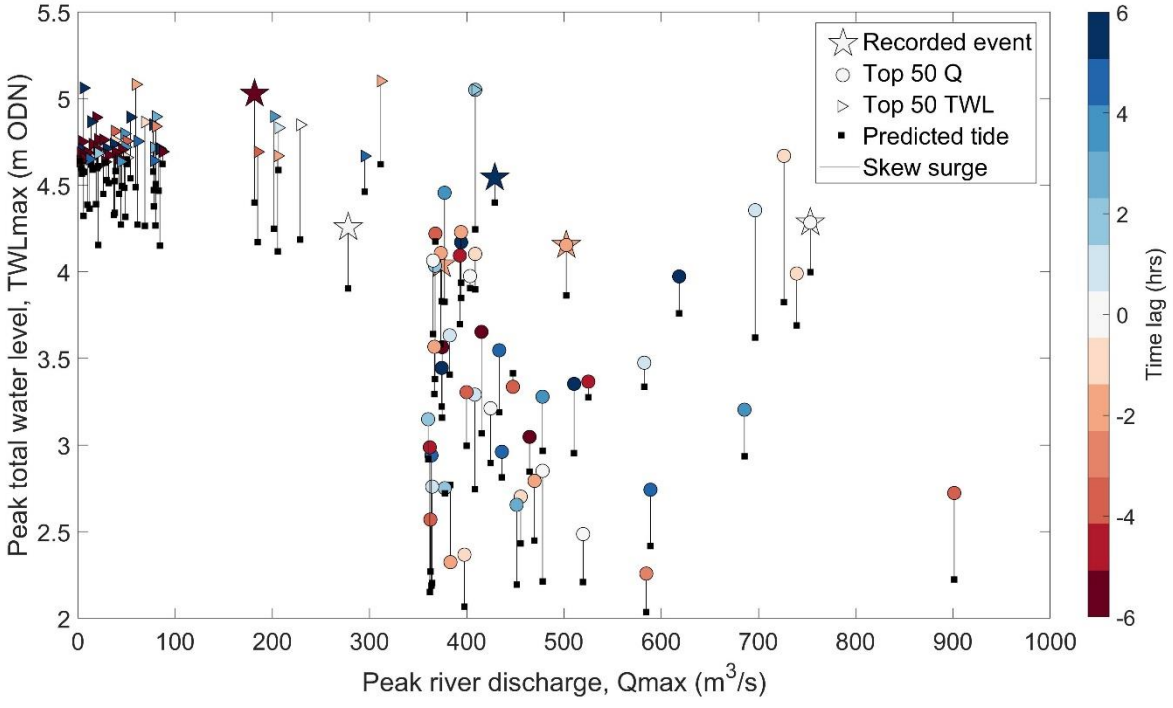

Figure 2: Recorded Flood Events at Conwy (stars), top 50 Qmax events at Cwmlanerch (circles), top 50 TWLmax events at Llandudno (triangles), and associated predicted tide (black square) and skew surge magnitude (vertical black 185 **line) for each event. Colours indicate the length of time lag between peaks in river discharge and total water level (negative time lags indicate that Qmax arrived before TWLmax and so coincided with a flooding tide).**

2.3 Extending the record of flooding

Web scraping approaches were used to evaluate whether there is further evidence of recorded flooding in the Conwy estuary within the 100 extreme *Qmax* and *TWLmax* events plotted in Figure 2. The dates of all recorded extreme events were searched 190 on DuckDuckGo, Microsoft Bing, and Google. No evidence of flooding was available for events prior to 1990; online records prior to this date are unreliable and before the 'internet era'. Predetermined searches specified any evidence must be for an

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

event in the Conwy Estuary from Deganwy upstream to Llanrwst (i.e. the dashed box in Figure 1a). Train and bus cancellations were also considered evidence of flooding events. A railway line runs between Deganwy and Llanrwst, stopping at Llandudno Junction, Glan Conwy, Tal-y-Cafn and Dolgarrog, so these stations were included in the web search. Results were supplied in browser tabs for analysis. If a date was deemed a 'flooding event', the supporting evidence was investigated to see if there was any information to note the drivers of the flooding (Table 1).

Table 1: Description of labels used to assign a cause of flood tag to a date

Label	Code
0	None
1	River discharge
2	Storm surge
3	High tide
4	Storminess

The web searches isolated an additional 26 recorded floods, as shown in Figure 3, with yellow dots indicating there is evidence of flooding and blue dots indicating there is no evidence of flooding. Labels assigned to three of the inundation events are shown in the figure. Multiple sources of evidence indicate a marine-driven flooding event on 3 January 2014, largely due to an extreme storm surge of 0.8 m, including railway cancellations, home evacuations, and road closures (Welsh Government, 2014; Sibley et al., 2015). Evidence of river-driven flooding on 16 March 2019, during Storm Gareth, was derived from news reports of damage to over 40 homes, road closures, and flood warnings issued by NRW (BBC, 2019; FloodList, 2019; Met Office, 2019). Evidence of river-driven and marine-driven flooding suggests that 9 February 2020 was a compound flood event. Figure 3 provides a more comprehensive record of flood inundation than shown in Figure 2; however, data gaps in instrumental time series, online evidence, and what information was recorded, leave uncertainty in where to set driver thresholds and patterns for flooding, especially for less extreme *Qmax* and *TWLmax* that led to compound flooding.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

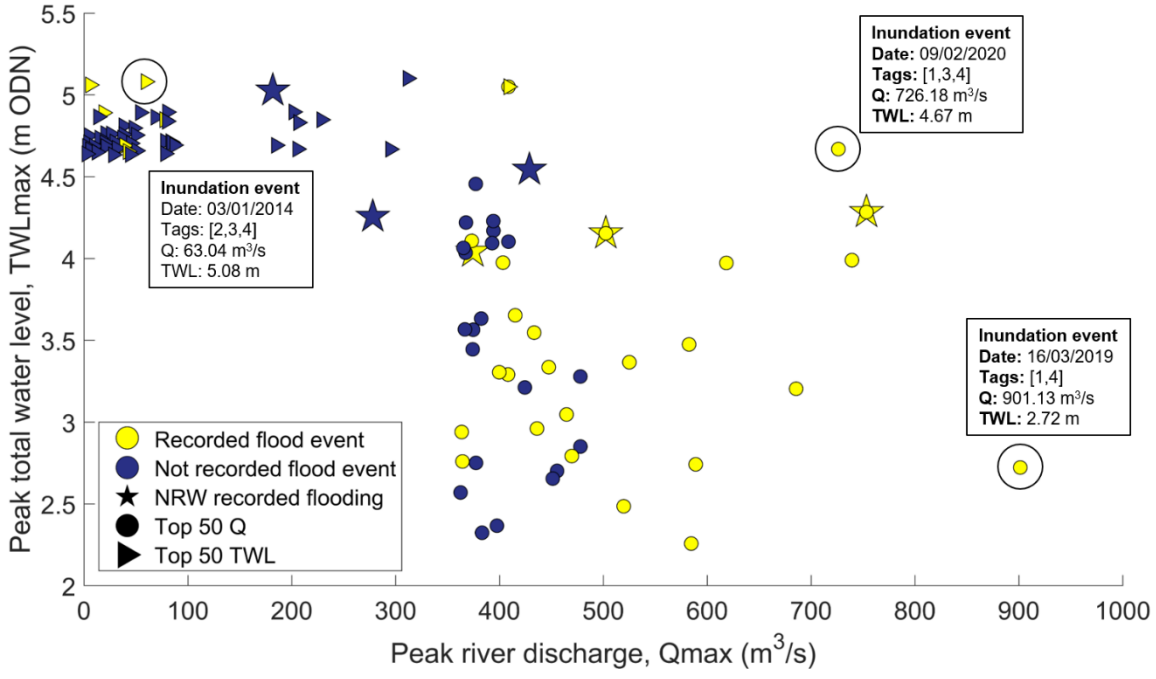

Figure 3: Recorded flood extents, top 50 Qmax and top 50 TWLmax, colour coded to show those which are inundation events (yellow) and those which are non-inundation events (blue). Three events are highlighted to show drivers, timing, and labels for the cause of flooding.

2.4 Hydrodynamic inundation model

The Caesar-Lisflood hydrodynamic model (Coulthard et al., 2013; Skinner et al., 2015; Harrison et al., 2022) was used within a sensitivity test framework to simulate a series of idealised event scenarios which represent plausible combined river and sea level conditions, to identify which combination of drivers leads to flooding in the Conwy.

2.4.1 Model domain

The model domain includes the tidally influenced Conwy estuary, downstream of the Cwmlanerch river gauge on the River Conwy and extending offshore into Conwy Bay and the Menai Strait at the coastal boundary. A number of sources were combined to generate the land elevation data required to build the model, including (a) seabed bathymetry, (b) land elevations and (c) location and heights of existing flood defences. The domain topography was based on the marine DEM, Lidar DTM and OS Terrain 5m DTM. The Lidar DTM data was used to check and, where necessary, augment the flood defences vector database. The processing steps undertaken to produce the model domain are described in Supplementary Information S1.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

2.4.2 DEM calibration

Caesar-Lisflood was run in reach mode, in which the model is forced with discharge and water level time series at the upstream (river) and downstream (offshore) boundaries, respectively. For the upstream boundary, a time series of water discharge (m^3/s) measured at the Cwmlanerch gauge was used. The dataset provided by NRW has a 15-minute temporal resolution and covers the calibration period: 1 March-16 April 2021. For the offshore boundary, a time series of measured sea levels at Llandudno was used, provided by the British Oceanographic Data Centre (BODC). It contains measured levels above the Llandudno Chart Datum (CD) at 15-minute intervals and spans the same period as the time series of discharge. The tidal water levels were converted to Ordnance Datum (OD) by adjusting for the vertical offset between CD and OD (i.e. –3.85 m). The Manning's roughness coefficient for the river channels and marine areas was set to 0.022, the Courant number at 0.6 and the Froude limit at 0.8. To avoid water accumulation behind flood defences when overtopping occurred, a water loss function of 0.2 m day^{-1} was applied. The function was only applied to the floodplains to avoid affecting river or sea water levels. Only the hydrodynamic component of the model was used for the simulations described here and simulated water levels were exported at 15-minute intervals for further analysis.

Simulated water levels were compared against corresponding values obtained from gauges within the estuary at Pont Fawr, Trefriw and Tal-y-Cafn (see Figure 4). The gauges at Pont Fawr and Trefriw are maintained by NRW and monitor water levels at 15-minute intervals, relative to OD. At Tal-y-Cafn a pressure logger was installed in October 2020 (Lat. 53.23°N, Lon. 3.82°W) that also provided measured water levels, relative to OD at 15-minute intervals. Initially the DEM had incorrect channel bed elevations due to the LiDAR shortcomings for inundated areas (further detail in S1). Therefore, we followed the concept described by (Neal et al., 2022) of using channel bathymetry as a calibration parameter. Indeed we gradually adjusted the channel bed elevations and ran the simulation in a stepwise manner until we reached a satisfactory agreement between simulated and observed water levels. The calibrated DEM is shown in Figure 4a together with the locations of the various gauges used in the study. After the final DEM adjustment (Figure 4b), RMSE values were 0.59 m, 0.39 m, and 0.69 m (Figure 4c-e) and the Kling-Gupta Efficiency (Gupta et al., 2009) values were 0.90, 0.90 and 0.70 for Pont Fawr, Trefriw and Tal-y-Cafn, respectively. Flood peaks were isolated in the calibration period and RMSE values were 0.57 m, 0.19 m, and 0.29 m for Pont Fawr, Trefriw and Tal-y-Cafn. Improved RMSE scores for flood peaks indicates the model is able to capture the magnitude of the largest and most prominent peaks. Higher RMSE and weaker KGE in the upper estuary could be attributed to the lack of tributaries in the model, but the set up remains suitable for the purposes of this research.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

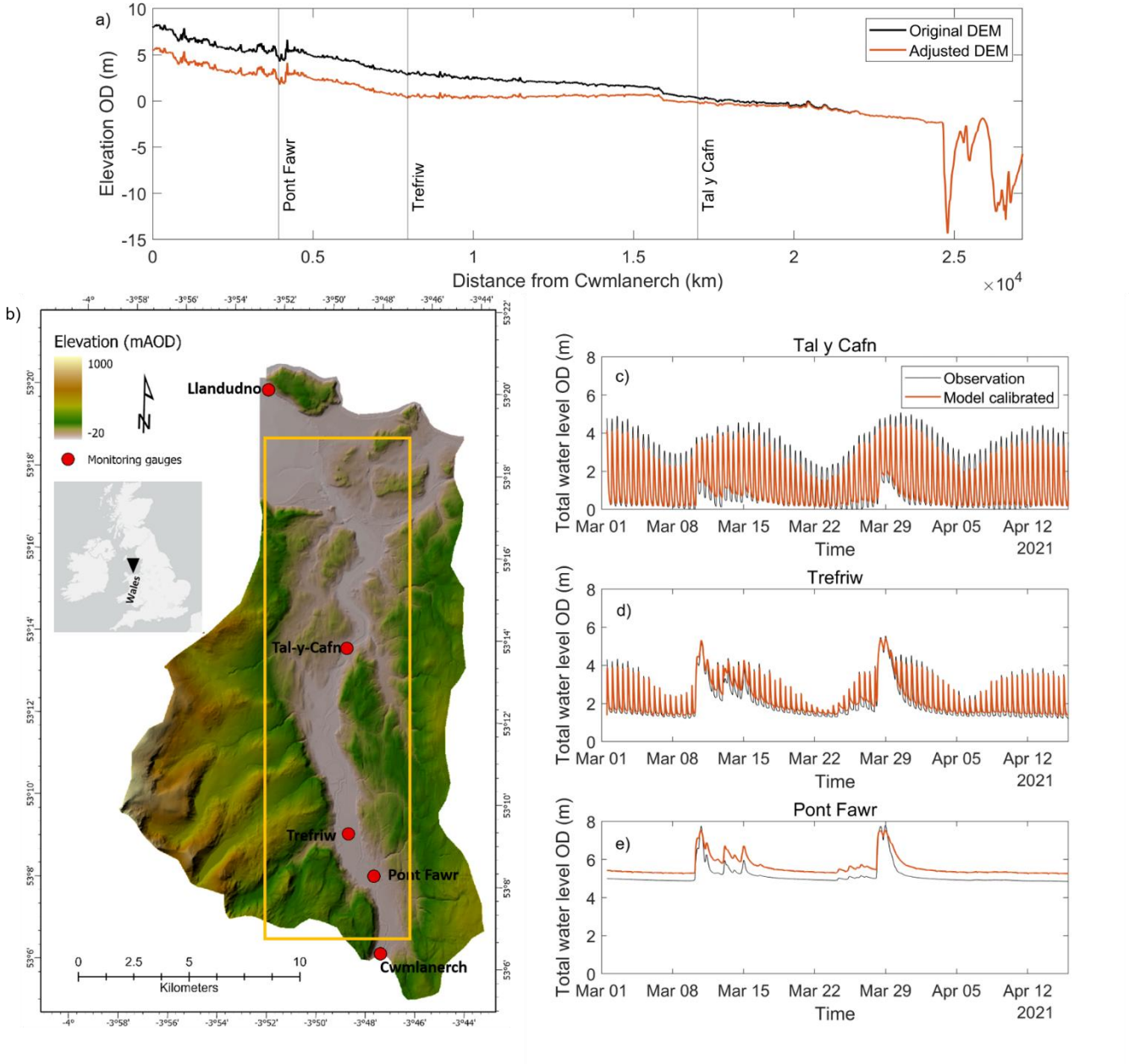

Figure 4 a) Calibrated Conwy estuary model domain showing elevations relative to Ordnance Datum and location of monitoring gauges. The region of interest in the estuary is shown (orange box, size 3920 × 19580 m); b) Longitudinal profile along the channel centreline showing the original elevation derived from the Lidar DTM (black), and adjusted elevation (red). Comparison between observed (black) and simulated (red) time-series of water levels are shown at c) Pont Fawr, d) Trefriw, and e) Tal-y-Cafn.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

2.5 Idealised boundary conditions for model scenarios

The idealised model scenarios were used to add more detail to the historic records of flooding and instrumental data (Figures 2 and 3) to enable driver thresholds for flooding to be established. Idealised scenarios are used to standardise the boundary conditions (Figure 5).

2.5.1 Total water level

The boundary conditions for total water level were created using predicted tidal signals combined with residual surges. T-Tide (Pawlowicz et al., 2002), a package of routines that can be used to perform classical harmonic analysis, was used on 12 months of tide gauge data from Llandudno (2002-2003) to calculate the amplitude of each tidal constituent. A subsequent tidal prediction revealed that mean high water neap tides reach 1.82 m (OD) and mean high water spring tides reach 3.6 m (OD) at the Llandudno tide gauge for the 12 month period. The M2 tidal constituent has an amplitude of 2.71 m and was used to produce a constant sinusoidal curve for 72 hours. This was scaled initially to represent neap high tide levels at Llandudno. The procedure was then repeated by successively increasing the scale factor by 25 cm until equivalent to spring high tides, thus creating 13 water level time series.

A residual surge was then added to the 13 predicted tidal series to represent the meteorological contribution to the total water level. A representative surge shape for Llandudno (Environment Agency, 2016) was shifted in time so that the maximum surge height coincided with the fourth high tide (at around 40 hours) and scaled to the magnitude of the maximum observed skew surge (1.03 m). The resultant 72-hour time series represented several tidal cycles where flooding was not expected (tide-only), followed by a tide + surge event at ~40 hours (where the peak water level is denoted as *TWLmax*), before the regular tidal cycles resumed. The procedure was then repeated, this time by applying a mean observed skew surge (0.13 m) to the predicted tide series, thus creating an additional set of 13 tide + mean surge time series. The boundary conditions (from 20 to 60 hours) shown in Figure 5a illustrate the 13 tidal + maximum surge time series (collectively named Scenario-1), whereas those shown in Figure 5b illustrate the 13 tidal + mean surge time series (collectively named Scenario-2).

2.5.2 River discharge

The following method was undertaken to generate idealised discharge time series parameterised on the hydrology of the Conwy. Firstly, the top 50 *Qmax* events from the Cwmlanerch river gauge were analysed to calculate the gradient of the rising limb of each hydrograph. These 50 gradients were averaged to one value that represents the typical extreme hydrograph shape - a proxy for the flashiness or intensity of these events. Next, a two-parameter gamma distribution was used to generate a synthetic series of 30 normalised, idealised gamma curves, each representing a different hydrograph shape that covers the natural range of river flow behaviours experienced in the Conwy based on 30 years of river discharge data from the Cwmlanerch river gauge (see Robins et al., 2018). Finally, the gamma curve with the gradient of the rising limb that most

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

closely resembled the top 50 averaged gradient was selected. The selected idealised hydrograph had the largest gradient (of the 30 shapes) representing the flashiest flow behaviour. The magnitude of the idealised hydrograph was then scaled to a peak discharge *Qmax* of 25 m^3/s, with a base flow of 20 m^3/s which represents mean flow conditions. The scaling was successively increased in 25 m^3/s increments to a *Qmax* of 1000 m^3/s (always keeping a base flow of 20 m^3/s), to represent a realistic range of 40 river discharge event time series applied to both Scenario-1 and Scenario-2. For each simulation in Scenario-1 and Scenario-2, the time series were 72 hours, with a 30-hour spin-up and *Qmax* and *TWLmax* coinciding at 40 hours (Figures 5a and 5b).

2.5.3 Time lag

The relative timing of *Qmax* relative to *TWLmax* is a key factor in determining compound flooding hazards. This time lag was therefore considered in our sensitivity framework. From the 30-year Cwmlanerch discharge record, we calculated the distribution of time lags (following the method of Lyddon et al., 2021), as shown in Figure 5d. Peaks in river discharge most commonly occurred 0-4 hours before peaks in total water level, i.e., on the rising tide. Initially (described in Section 2.5.2), we implemented the most common time lag of 0 hours (i.e., both *Qmax* and *TWLmax* were at 40 hours as shown in Figure 5a (Scenario-1) and Figure 5b (Scenario-2). Next, a –3 hour time lag was implemented as shown in Figure 5c, since this was the next most common time lag (Figure 5d), and applied to the 13 tidal + maximum surge time series and 40 discharge time series (collectively named Scenario-3). In total, 13 (TWLmax) × 40 (Qmax) × 3 (scenarios) = 1560 simulations of 72-hour duration were computed, as summarised in Table 2 and Figure 5.

Table 2: Summary of model scenarios, each containing 520 combination simulations

Set of 520 combination simulations	**Peak total water level (*TWLmax*)**	**River (*Qmax*)**	**Time lag**
Scenario-1	(Neap : 25cm : spring) + max surge = 1.03 m	25 : 25 : 1000 m^3/s	0 hours
Scenario-2	(Neap : 25cm : spring) + mean surge = 0.13 m	25 : 25 : 1000 m^3/s	0 hours
Scenario-3	(Neap : 25cm : spring) + max surge = 1.03 m	25 : 25 : 1000 m^3/s	–3 hours

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

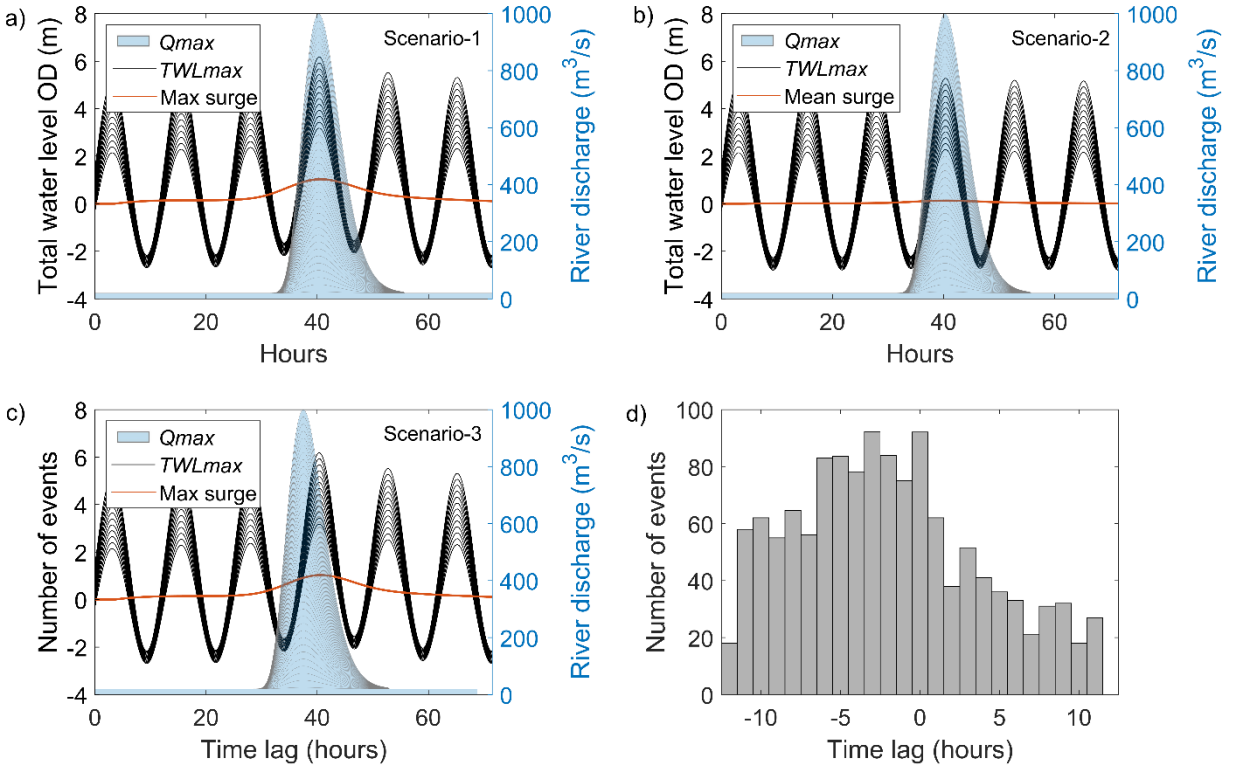

Figure 5: Idealised model boundary conditions for a) Scenario-1, b) Scenario-2, and c) Scenario-3. Sea levels comprised a) tidal + maximum surge with 0 hour time lag (at ~40 hours); b) tidal + mean surge with 0 hour time lag; c) tidal + maximum surge with –3 hour time lag. Each scenario in (a-c) also shows 40 river discharge hydrographs with baseflow of 20 m^3/s and each with a successively increased river flow event with Qmax occurring at ~40 hours. d) Histogram of recorded time lag values between all Qmax at Cwmlanerch and TWLmax at Llandudno, spanning the period 1980-2023.

2.6 Simulations of flooding

The flooding problem can be represented as a function:

$$FloodArea = f(Qmax, TWLmax, SurgeHeight, Time\ Lag) \quad (1)$$

Where the *FloodArea* quantifies the inundation area (km^2) of the Conwy estuary floodplains, as a function of *Qmax* (25 - 1000 m^3/s), *TWLmax* (tidal + surge) (2.25 - 6 m), surge height (max = 1.03 m, mean = 0.13 m), and time lag (0, –3 hours), as specified in Equation 1.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

A high-performance computing system, Supercomputing Wales (https://www.supercomputing.wales/), was used to efficiently run the Caesar-Lisflood solver. The system is capable of handling multiple concurrent computing tasks, to allow the parameter space to be partitioned into 'job blocks'. Blocks were submitted to the system using the SLURM (https://slurm.schedmd.com/) workload manager for batch processing. A typical 72-hour simulation took 1.2 – 2 hours of CPU runtime (on four Intel Xeon(R) cores operating at 2.1 GHz). Overtopping of levees and shallow flows over floodplains can lengthen the computational time, while dry parts of the catchment do not affect the computing time.

The output data comprises water depth grids in time layers with an interval of 15 minutes. Only data of time layers between 2300 and 3500 mins (~38 - 58 hours), corresponding to the period of widest flooding extents, were stored to reduce space. Post-processing to summarise outputs and calculate *FloodArea* was completed remotely to reduce the transfer load from the nodes to the local computer.

2.7 Scenario analysis

An initial baseline 'no flooding' simulation was performed, from which to calculate *FloodArea* in all subsequent simulations. The baseline simulation represented moderate river flow and sea level conditions whereby water was contained within the main channel, with dry floodplains, and high water levels submerged mid-channel shoals. The baseline was drawn from an actual event in 27-Jan-2016, in which no inundation occurred. This case approximates the Scenario-1 simulation [Q_1TWL_3] (i.e., *Qmax* = 25 m^3/s, *TWLmax* = 3.7 m). A mask has been used to define the region of interest (ROI, see Figure 1a), an area of 196 × 979 cells or ~7.7 km^2, which encompasses the estuary floodplains from the tidal limit at Cwmlanerch to the Conwy Tunnel near the estuary mouth. Six mid-channel shoals were excluded with areas ranging from 0.003 km^2 to 0.17 km^2. The baseline scenario comprises 13,982 wet cells in this ROI (~5.59 km^2).

For each simulation, the maximum total flooded area in the ROI was recorded, from which the baseline 'no flood' wet area was subtracted to create the simulated *FloodArea*. A floodplain model cell was considered to have flooded when the local water level exceeded a threshold of 2.5 cm. Wetted surfaces need some time to drain, hence the variation in flooded areas lags behind the water level variations. Furthermore, the minima of the flooded areas do not fully develop before the next flooding phase occurs. As experimented with a number of scenarios accompanying the study, if the depth threshold was set as zero, any thin layer of water is considered inundation, and then the flooded area is monotonically increasing (not shown here). Once the land is wet there is no way to change back into dry. Only new events with higher water levels may expand the inundated area. This is a practical decision, but we also realise that the flooding area is relatively insensitive when this depth threshold varies from 2.5 cm to 12.5 cm. The *FloodArea* for each simulation was the inundated area exceeding this threshold. *FloodArea* and absolute difference in *FloodArea* (between scenarios) are presented throughout the 520-simulation parameter space for Scenarios-1-3.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

Spatial inundation maps were presented. Four cases were presented in this way, based on the Scenario-3 simulations: (i) TWL dominated flooding; (ii) Q dominated flooding; (iii) moderate compound flooding, and (iv) extreme combined flooding. Spatial variability in flooding was also presented as variations in lateral flood extent (in m) across east-west transects of the floodplains at regular 20 m intervals, from the estuary mouth to the tidal limit - done this way since the Conwy is almost aligned in the north-south direction (typical deviation in angle of ±30°). Again, the four cases (i-iv) above were presented in this way for lateral flood extent, based on the Scenario-3 simulations. For each case (i-iv), three simulations were presented with similar *FloodArea*: (i) 3.1 - 6.5 km^2, (ii) 11.13 - 11.8 km^2, (iii) 5.4 - 8.3 km^2, and (iv) 8.8 - 9.1 km^2.

2.8 Estimating joint probabilities

The Copula method was employed in this study to compute joint probabilities for extreme sea levels and river flows co-occurring in the Conwy. The joint probabilities were computed using the framework introduced by Sadegh et al. (2017) and Moradian et al. (2023). The proposed framework uses three main components: (i) 16 statistical distributions were employed to identify the best marginal distributions; (ii) 26 distinct Copula functions were applied to the data; and (iii) the Bayesian method was employed to compute the joint probabilities. The following sections provide a concise overview of the steps involved in this framework, while more comprehensive details can be found in Sadegh et al. (2017, 2018), Yazdandoost et al. (2020), and Moradian et al. (2023).

2.8.1 Statistical marginal distributions

To identify the most suitable marginal distributions for the data, researchers commonly employ parametric or nonparametric distributions. It is important to note that each variable's marginal distribution is modelled using the best-fitted distribution, as shown in Table 6 of Moradian et al. (2023). To assess the accuracy of the marginal distributions, their significance at a 5% level is evaluated using the Chi-square goodness of fit test (Greenwood and Nikulin, 1996). Furthermore, various metrics are used for statistical evaluations, as detailed in Table 5 of Moradian et al. (2023). These metrics include the Akaike information criterion (AIC), Bayesian information criterion (BIC), Maximum likelihood estimation (MLE), Nash-Sutcliffe efficiency (NSE), and Root mean square error (RMSE).

2.8.2 The Copula Method

Copula functions are mathematical functions that link or connect time-independent variables (Nelsen, 2007), irrespective of their individual distribution characteristics (Genest and Favre, 2007). According to Sklar's theorem (Sklar, 1959), if we have two continuous random variables *X* and *Y* with probability density functions of $f_x(x)$ and $f_y(y)$, and cumulative distribution functions of $F_x(x)$ and $F(x)$, respectively, and if both and have the same marginal distribution function *F*, then there exists a unique Copula function: C: $[0.1]^2 \rightarrow [0.1]$ which serves as a bivariate cumulative distribution function and has uniform margins:

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

$$F\ (x, y)\ =\ C(F_x(x), (F_x(y)) \quad (2)$$

In an *n*-dimensional space, the cumulative distribution function *F* can be defined in terms of the Copula function *C* and the marginal distribution functions as follows:

$$F\ (x_1, x_2, \ldots, x_n)\ =\ C(F_1(x_1), F_2(x_2), \ldots, F_n(x_n)) \quad (3)$$

where $F_1, F_2, \ldots, F_n$ are the marginal distribution functions (Nelsen, 2007).

A wide range of Copula functions are available, categorised into various families such as Gaussian, Plackett, Archimedean, elliptical, and t families (Abbasian et al., 2015). Table 4 in Moradian et al. (2023) provides a compilation of the applied 26 Copula families and their corresponding mathematical descriptions. Here, to choose the best Copula family, different metrics were used according to Table 5 in Moradian et al. (2023). In addition, the dependence measures for the used flood pairs are Pearson's Linear Correlation Coefficient, Kendall's-Tau Correlation Coefficient and Spearman's Rho Correlation Coefficient (Akoglu, 2018).

2.8.2 The Bayesian Method

The Bayesian method entails assessing the likelihood of an event, taking into account existing knowledge of conditions that may be associated with the occurrence of the event. The concept has demonstrated remarkable success in diverse fields, including hydrology (Sadegh et al., 2017) and weather forecasting (Khajehei et al., 2017; Yazdandoost et al., 2020).

The joint probability distribution of A and B data in the Bayesian structure is written as follows:

$$P(A|B)\ =\frac{P(A).P(B|A)}{P(B)} \quad (4)$$

where $P(A|B)$ is the probability of *A* being true, given *B* is true; $P(B|A)$ is the probability of *B* being true, given *A* is true; is the probability of *A* being true and; $P(B)$ is the probability of *B* being true. Consequently, the utilisation of Copula functions yields the joint probability distribution.

3 Results

Results are presented for simulated *FloodArea* for Scenarios-1-3 in the Conwy estuary (Sections 3.1 - 3.3), where a range of 1560 idealised simulations represent likely sea level and river flow 'compound storm events' that could lead to flooding. Next

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

(Section 3.4), for Scenario-3, a selection of simulated flooding maps and along-channel flooded width graphs are presented. Finally (Section 3.5), joint probabilities are assigned to the compound flood drivers.

3.1 Scenario-1 [tide series + max surge combined with river discharge series and 0 hour lag]:

For Scenario-1, a surge tide event (skew surge = 1.03 m) was simulated, with a 0-hour time lag (i.e., *Qmax* and *TWLmax* occurred simultaneously at 40 hours of the 72-hour simulations). The simulated *FloodArea* (km^2) for all 520 simulations is shown in Figure 6 where white represents little to no flooding, and red indicates maximum flood extent (> 10 km^2). The top 50 *Qmax* and *TWLmax* events, and the recorded flooding events, are also shown.

As expected, there was no or little (< 1 km^2) flooding simulated under the low-magnitude river flow and sea level events (*Qmax* < 100 m^3/s and *TWLmax* < 4 m). Flooding wasn't simulated with *Qmax* of 25 m^3/s until *TWLmax* was 3.95 m, and then as *Qmax* was increased a reduced *TWLmax* was needed to cause flooding. For example, flooding was simulated with *Qmax* = 50 m^3/s and *TWLmax* = 3.6 m, as well as *Qmax* = 100 m^3/s and *TWLmax* = 3.4 m. *FloodArea* increased as *Qmax* and *TWLmax* increased. The simulated maximum *FloodArea* was 11.2 km^2 under the *Qmax* = 1000 m^3/s and *TWLmax* = 10 m combination.

The contours shown in Figure 6 connect the model simulations with similar *FloodArea* (although not necessarily inundation of the same areas within the floodplains) and suggest a complex relationship between *Qmax* and *TWLmax* drivers in terms of simulated flooding. The contour gradients, shapes, and separation can therefore be interpreted to explain the dynamics of flooding. The contour gradients change across the range of simulations as *FloodArea* becomes more or less sensitive to one driver or the other. The 1 and 2 km^2 contours are broadly straight diagonals (bottom left part of Figure 6), as are the 9, 10 and 11 km^2 contours (top right part of Figure 6). In these cases, *FloodArea* is broadly equally sensitive to both *Qmax* and *TWLmax* drivers. Convex contours (e.g. the middle sections of the 3 and 4 km^2 contours in Figure 6) indicate a compounding flood effect, as the addition of both drivers amplifies *FloodArea*. Conversely, concave contours (e.g. the middle sections of the 5-7 km^2 contours in Figure 6) indicate a degressive flooding effect, where the combination of the drivers leads to relatively less *FloodArea*. There is a widening between the convex (4 km^2) and concave (5 km^2) contours in the centre of Figure 6, indicating that simulated flooding was relatively insensitive to changes in *Qmax* between 350 and 500 m^3/s and *TWLmax* between 4 and 5 m. Hence, several simulated compound event permutations within these driver ranges produced broadly similar *FloodArea*. Contours that are near horizontal (e.g. the 5 and 6 km^2 contours in the top left and middle parts of Figure 6) indicate that changes in flooding are predominantly driven by changes in *TWLmax*. Whereas contours that are near vertical (e.g. the 5 and 6 km^2 contours in the bottom middle part of Figure 6) indicate that changes in flooding are predominantly driven by *Qmax*. Contours that are relatively close together (e.g. 5-7 km^2 contours where *TWLmax* > 5.25 m) potentially indicate key thresholds where small changes in one or both drivers lead to large changes in flooding.

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Preprint. Discussion started: 4 October 2023

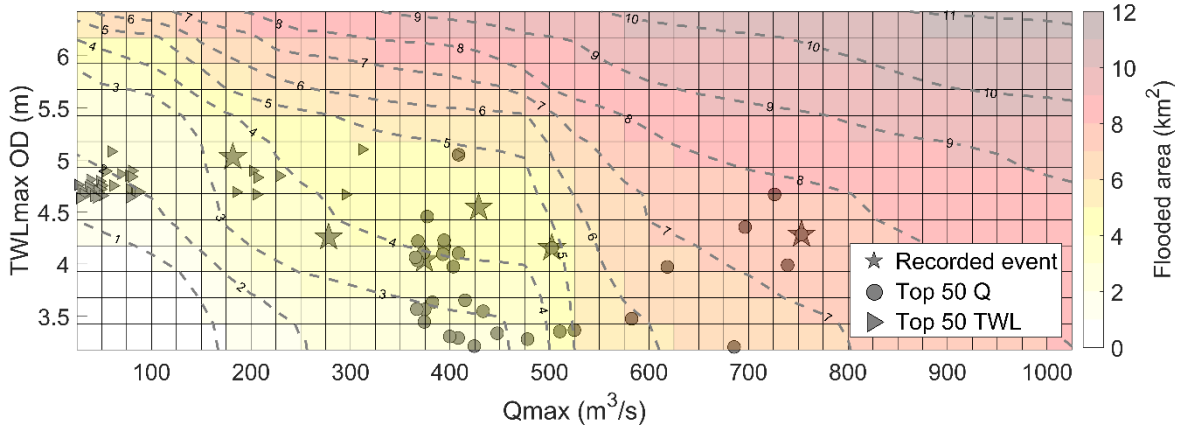

Figure 6: Scenario-1 (13 tide + max surge water levels combined with 40 river flow events, with 0 hr time lag): Coloured surfaces represent modelled FloodArea (km^2) from combinations of 520 Qmax and TWLmax simulations. The contours link common FloodArea magnitude. Shapes correspond with Figure 2 and indicate extreme Qmax and TWLmax values within the historical record (NRW Recorded Flood Events (stars), top 50 TWLmax (triangles) and top 50 Qmax (circles)).

3.2 Scenario-2 [tide series + mean surge combined with river discharge series and 0 hour lag]:

Scenario-2 simulated the effect on flooding of a mean surge magnitude, in difference to the maximum surge simulated in Scenario-1. The difference from Scenario-1 in simulated *FloodArea* is shown in Figure 7, by subtracting *FloodArea* results of Scenario-2 from Scenario-1. The *TWLmax* boundary conditions were lower for Scenario-2 (2.25-5.25 m) than for Scenario-1 (3.75-6.25 m), due to the smaller contribution of the surge, and gives insight into flooding dynamics under lower *TWLmax* values. Both sets of scenarios have the same underlying M2 tidal signal, so the absolute difference in *FloodArea* is due to the influence of the surge magnitude/shape for each scenario. All Scenario-1 simulations cause a larger *FloodArea* than Scenario-2 simulations, for the same *Qmax* and *TWLmax* values. The influence of the different surge magnitudes/shapes on *FloodArea* has the greatest impact under high *TWLmax* conditions (> 4.25 m), and with *Qmax* values below 500 m^3/s, causing a variance of up to 5 km^2 in *FloodArea*. Under low river and low sea level scenarios (bottom left of grid), or high river and sea level scenarios (top right of grid), a larger surge consistently causes 2-3 km^2 more *FloodArea*.

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Preprint. Discussion started: 4 October 2023

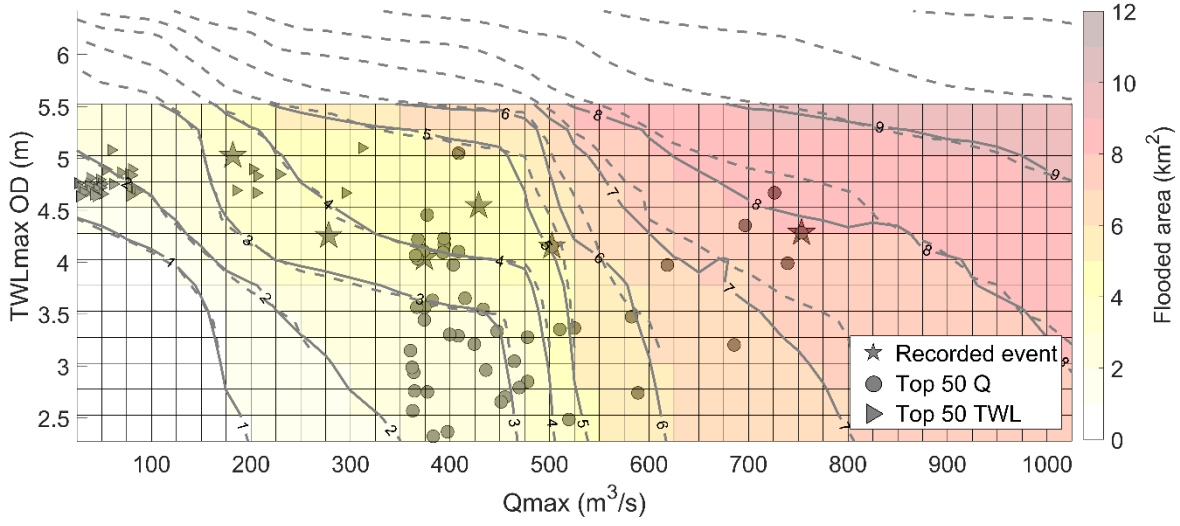

Figure 7: Scenario-2 (13 tide + mean surge water levels combined with 40 river flow events, with 0 hr time lag): Coloured surfaces represent modelled FloodArea (km^2) from combinations of 520 Qmax and TWLmax simulations. The dashed contours link common FloodArea magnitude for scenario-2, whereas the solid contours refer to scenario-1 for comparison. Shapes correspond with Figure 2 and indicate extreme Qmax and TWLmax values within the historical record (NRW Recorded Flood Events (stars), top 50 TWLmax (triangles) and top 50 Qmax (circles)).

3.3 Scenario-3 [tide series + max surge combined with river discharge series and -3 hour lag]:

Scenario-3 simulated the effect on the flooding of a –3 hour time lag between *Qmax* and *TWLmax*, in difference to the 0 hour time lag simulated in Scenario-1 (both Scenarios simulated a maximum surge event). Differences in *FloodArea* under an assigned –3 hours time lag (i.e. *Qmax* preceding *TWLmax* by 3 hours, hence occurring during flooding tide), compared with Scenario-1, are shown in Figure 8. Generally, a similar trend in flooding was simulated for both Scenarios and the gradients of the *FloodArea* contours were similar (see also Figure S2 in the Supplementary Material). One interesting difference, however, was that lower magnitude drivers (*Qmax* < 200 m^3/s, *TWLmax* < 3 m) simulated a larger *FloodArea* for Scenario-3 than Scenario-1. The FloodArea contours in Scenario-3 were smoother in shape than for Scenario-1, most notably on the 5 and 6 km^2 contours. This could indicate a more compounding effect of the drivers with a –3 hour time lag, since the lag causes more of the river water on the rising limb of the hydrograph to be retained within the estuary by the flooding tide. The simulated FloodArea was sensitive to the shift in time lag however with notable variation depending on simulations. The blue cells in Figure 8 indicate that the –3 hour time lag scenarios produced a greater FloodArea than in Scenario-1. The –3 hour time lag had a small influence (generally < 0.5 km^2) on FloodArea for Qmax < 425 m^3/s across all TWLmax simulations. For Qmax >

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Preprint. Discussion started: 4 October 2023

425 m^3/s, the differences in FloodArea were generally > 0.5 km^2. The greatest difference in FloodArea was 1.2 km^2 from the simulation with Qmax = 475 m^3/s and TWLmax = 4.7 m. Differences in FloodArea > 1 km^2 were also simulated for Qmax = 550-650 m^3/s and TWLmax < 5 m. For TWLmax > 5 m and Qmax > 800 m^3/s, FloodArea appeared less sensitive to the time lag (differences <0.5 km^2). However, for TWLmax < 5 m and Qmax > 800 m^3/s, FloodArea appeared more sensitive to the time lag (differences of 0.5-1 km^2), presumably because the stronger river discharges were able to counter the blocking effect of weaker tidal currents. Irrespective of the time lag, a Qmax of 475 - 600 m^3/s was again shown as the river conditions where there is a marked change in FloodArea and high sensitivity to Qmax. A –3 hour time lag produces a 7.7 % increase in flooding across the parameter space compared with Scenario-1; Scenario-1 produced a total of 3299 km^2 FloodArea, and Scenario-3 produced 3553 km^2 FloodArea.

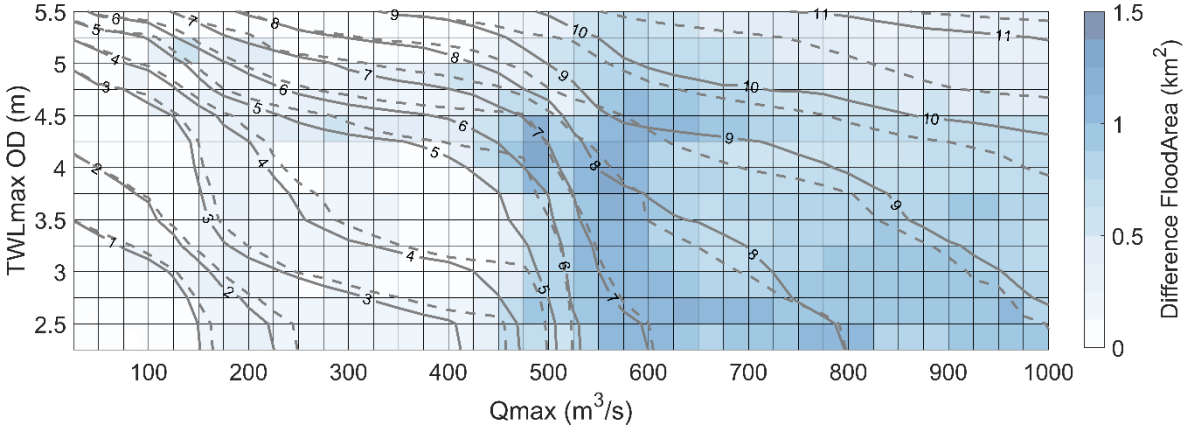

Figure 8: Coloured surface represents the absolute difference in modelled FloodArea between Scenario-1 (maximum surge with 0 hour lag) and Scenario-3 (maximum surge with -3 hour lag). The solid contours link common FloodArea magnitude for scenario-3, whereas the dashed contours refer to scenario-1 for comparison.

3.4 Spatial distribution of the flooded area

Aside from simulating the *FloodArea* considered in Sections 3.1–3.3, it is also important to specify where the simulated flood water is distributed. To quantify the distribution of flooding in various parts of the estuary-catchment system, four cases were considered:

(a) TWL dominated: $TWLmax \geq 6.1$ m, $Qmax \leq 25$ m^3/s.

(b) Q dominated: $TWLmax \leq 3.1$ m, $Qmax \geq 1000$ m^3/s.

(c) Moderate compound: *TWLmax* 4.7 - 4.9 m, *Qmax* 475 - 500 m^3/s.

(d) Extreme combined: $TWLmax \geq 6.1$ m, $Qmax \geq 1000$ m^3/s.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

Figure 9 shows the spatial distribution of flooding for the above four cases for Scenario-3 (tide + max surge combined with river events and –3 hour time lag). The TWL-dominated event is shown in Figure 9a, where water inundated the lower and middle estuary. The Q-dominated event simulated upstream flooding (Figure 9b). The moderate compound event is shown in Figure 9c where the inundation pattern shows flooding mostly at the upstream region and part of the middle estuary. Finally, the extreme combined event is shown in Figure 9d, where water inundated wide parts of the floodplains throughout the estuary. It can be seen that the flooded region of 9d is broadly the union of that in Figures 9a and 9b.

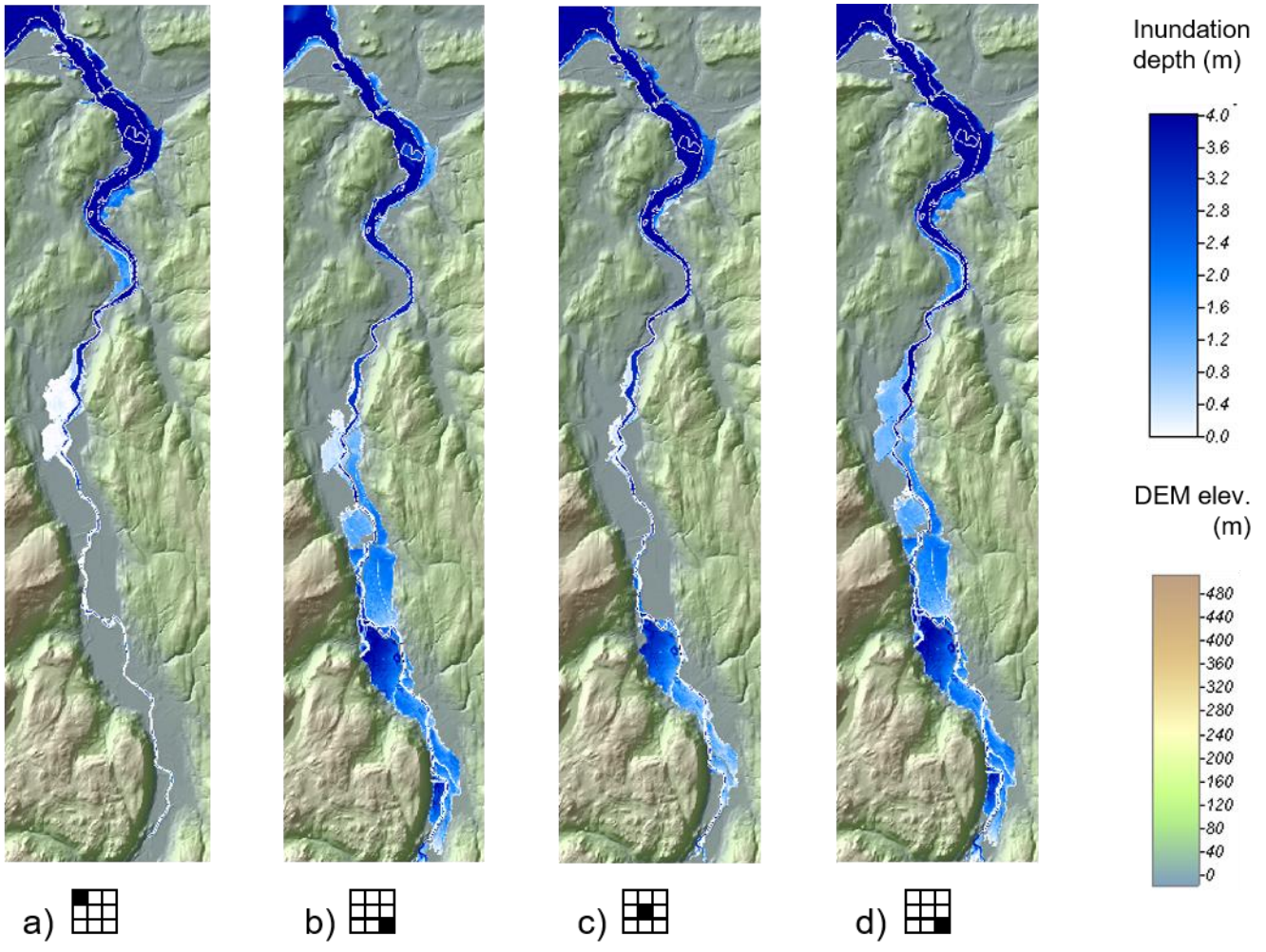

Figure 9: Scenario-3 (tide + max surge with river events and -3 hour lag): Simulated maximum flooded extent (blue shades) of the region of interest for cases: (a) TWL-dominant (Q_1TWL_{13}), (b) Q-dominant ($Q_{40}TWL_1$), (c) Moderate compound ($Q_{20}TWL_7$), (d) Extreme combined ($Q_{40}TWL_{13}$). Corresponding FloodAreas are 5.6 km^2, 11.5 km^2, 8.9 km^2,

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

and 6.6 km^2, respectively. The icons show the relative position of each case (a-d) on the TWLmax:Qmax parameter space. The white dashed lines delineate the shoreline in the 'no flooding' basecase. The green-brown shading denotes dry land.

The lateral extents of flooding for Scenario-3 for cases (a-d) are presented in Figure 10. In each case (a - d) three adjacent simulations are shown to depict some driver sensitivity. For the TWL dominated case, the three simulations presented in Figure 10a show extensive lateral inundation (15-60 m) simulated along the lower estuary floodplains (distance up to 6 km from the estuary mouth), with limited inundation between 6-8 km, then extensive inundation further up-estuary (8-14 km) that was sensitive to *Qmax* (in the range 25-100 m^3/s), and limited inundation beyond 14 km. For the three Q dominated cases (Figure 10b), extensive inundation (20-60 m) was simulated in the upper estuary (8-19 km) with minimal sensitivity between the three simulations. For the moderate compound event cases (Figure 10c), simulated lateral inundation showed large sensitivity to forcing conditions, with up to 40 m variability between the three simulations at 10-14 km. The capacity of the estuary for floodwater storage is clearly sensitive in this region. Finally, for the extreme combined event cases (Figure 10d), extensive lateral flooding (15-60 m) was simulated throughout the lower and upper estuary, except between 6-8 km where there was again limited flooding simulated. There was little sensitivity (< 1 m) between the three simulations shown.

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Preprint. Discussion started: 4 October 2023

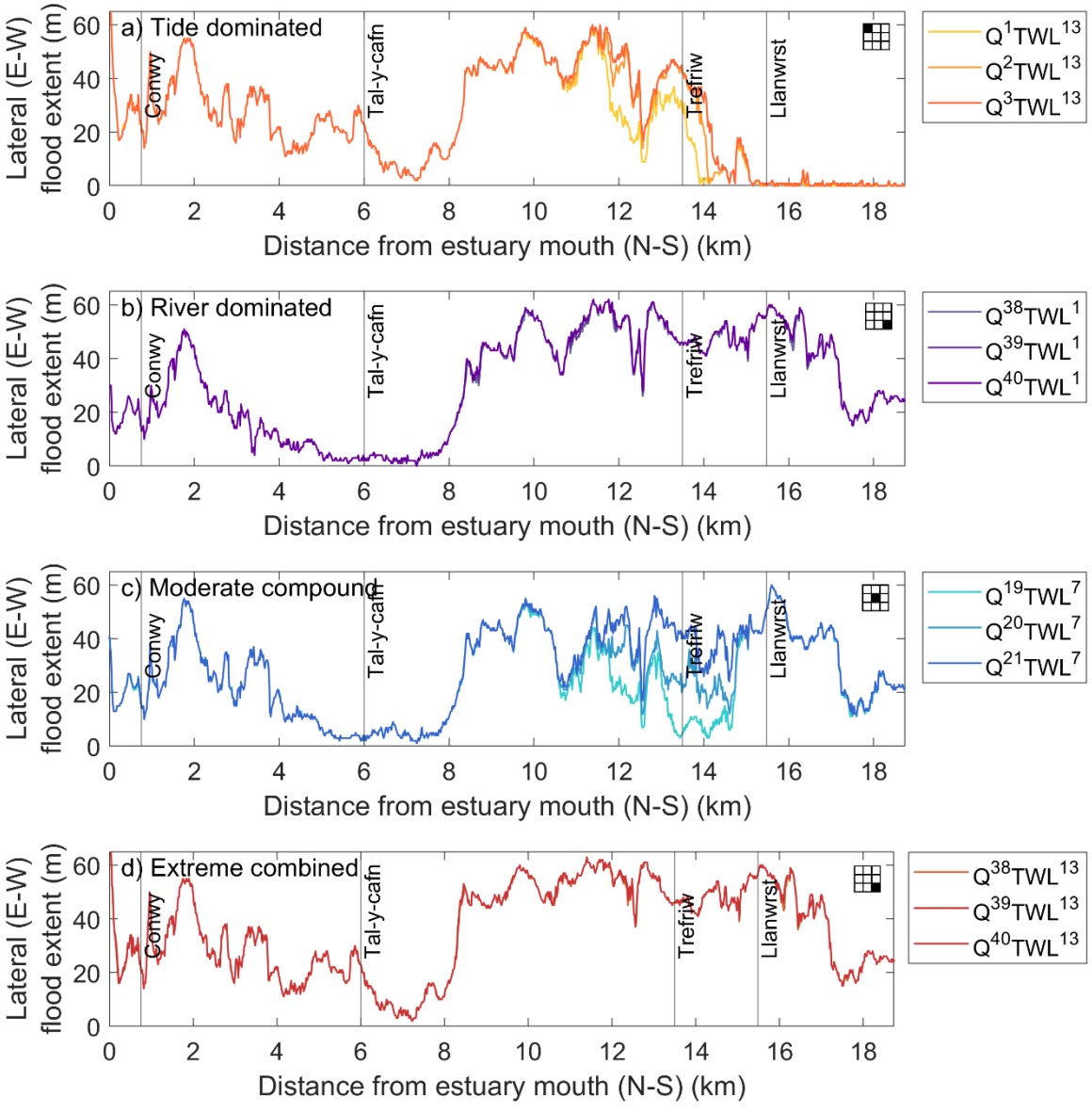

Figure 10: Scenario-3 (tide + max surge with river events and -3 hour lag): Distribution of lateral flooding along the Conwy estuary floodplain for four cases across the TWLmax:Qmax parameter space: (a) TWL dominant ($Q_{1\text{-}3}TWL_{13}$); (b) Q dominant ($Q_{38\text{-}40}TWL_{1}$); (c) Moderate compound ($Q_{19\text{-}20}TWL_{7}$); and (d) Extreme combination ($Q_{38\text{-}40}TWL_{13}$). Lateral flooding is measured in the east-west direction. Along-estuary distance is measured in the north-south direction

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

(from the estuary mouth to upstream). For each case (a-d), three simulations are presented (constant TWLmax and varying Qmax - see also Figure S3). The icons show the relative position of each case (a-d) on the TWLmax:Qmax parameter space.

3.5 Assigning probability to flood drivers

Figure 11 shows joint probabilities calculated from observed total water level at Llandudno and river discharge at Cwmlanerch, presented on the TWLmax:Qmax parameter space and overlaying the distribution of extreme events in the historic record. The joint probabilities highlight the likelihoods and severities of the historic extreme compound events. There were seven historic events which have a probability of <0.01, indicating less than 1 event in 100 years of this magnitude, six of which are recorded as causing flooding (yellow circles), whereas for one of these events no flooding was recorded (blue triangle). The no flooding event was 10 February 1997; Qmax was 311 m^3/s which peaked 1 hour 30 minutes before TWLmax, recorded as 5.1 m, including a 0.48 m skew surge. Reports indicate this was a high water level event, associated with a 5 year sea-level return period, but these conditions did not cause flooding or no flooding was recorded (HR Wallingford, 2008). This method allows return periods to be assigned to historic extreme events and recorded flood events, and to estimate the likelihood and severity of potential future events. Figure 11 shows that the same joint probability can occur from a range of combinations of *Qmax* and *TWLmax* conditions. For instance, an event with a 0.2 exceedance probability (1 event in 5 years) can occur on a TWL dominated, Q dominated, or moderate compound event.

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Preprint. Discussion started: 4 October 2023

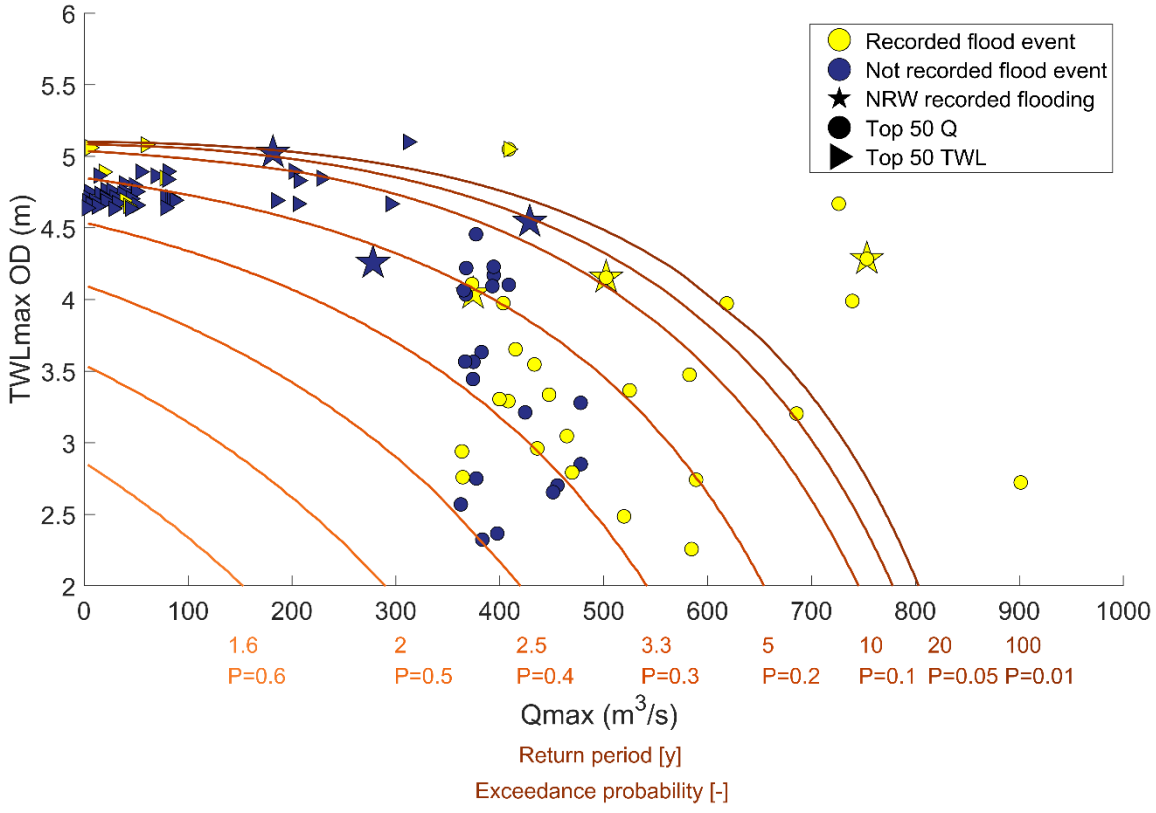

Figure 11: Joint probabilities for TWLmax and Qmax in the Conwy Estuary, where P = exceedance probability, ranging from high likelihood of co-occurrence (P=0.9) to low likelihood of co-occurrence (P=0.01) overlaid the distribution of extreme events (recorded and not recorded flooding) in the historic record.

4 Discussion

This research aims to establish site-specific driver-thresholds for flooding in an estuary environment, using documented records of flooding, instrumental data analysis, hydrodynamic modelling, and statistical analysis approaches. With application to the Conwy estuary, N-Wales, instrumental data and documented records of flooding have been supplemented with simulated flooding using a validated hydrodynamic inundation model and applied to a series of idealised combined river and sea level compound events. Here we discuss the importance of accurate records of historic flooding events, which can be used in combination with modelling to identify thresholds for flooding, and consider how these thresholds may change under different driver behaviours and combinations, and future climate conditions.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

4.1 Documented records of flooding

Historical records of flooding in the Conwy estuary are incomplete, with few flooding events pre-2004 documented and available online. More recent flooding events have only been recorded online in a piecemeal fashion and are contingent on the severity of the impact, suggesting that smaller flooding events or flooding away from people and infrastructure have potentially been undocumented. Additionally, documented flooding events tend to focus on the impacts rather than the drivers that caused the hazard. This study adds to the historical catalogue of flooding in the Conwy estuary by collating all available documented events into one space together with the driving river flow and sea level conditions and their relative timings. We believe that similar circumstances of incomplete historical records of estuary flooding are widespread nationally and indeed there is limited knowledge of how estuary flooding has varied geographically. National UK chronologies of flash flooding (Archer et al., 2021) and coastal flooding (Haigh et al., 2015) have been compiled, but such records do not exist for estuaries.

Documenting compound flood events aids in understanding and analysing the drivers, interactions, and impacts of the hazards (Haigh et al., 2015; Haigh et al., 2017). Recording historic information on river flows/levels, sea levels, other sources such as pluvial and groundwater flows, and subsequent flooded areas helps to identify high-risk areas and areas where appropriate measures to reduce future flood risk may be required. This prior knowledge combined with current information on where and when certain combinations of extreme conditions are forecast can aid in incident response for flood agencies and emergency services, and help local authorities identify what resources are needed in the short and longer term following flooding. Comprehensive historic flooding records can provide an opportunity to assess the effectiveness of existing flood management policies and flood control measures, such as floodwalls or drainage systems, that need improvement. This knowledge can guide future engineering designs for a range of coastal development, ensuring the construction of more resilient and adaptive infrastructure that can better withstand flood events. Documenting flood events can also build a database of information to help to raise public awareness of and resilience to flood hazards. Photographs, videos, and written accounts of past events can evoke an emotional response to prompt individuals and communities to engage with future flood preparedness and evacuation plans (Fekete et al., 2021; Wolff, 2021). This data could also be extended to include storm tracks, storm footprints, rainfall intensity, groundwater levels, and catchment saturation to build a greater understanding of the meteorological conditions that can contribute to compound flooding events (Zong et al., 2003). Social media data, including geolocated tweets, have been used to identify the remarkability of events and highlight major cities, including Miami, New York, and Boston, that are vulnerable to flooding (Moore and Obradovich, 2020). Qualitative hazard data from archived and digitised newspaper articles has been extracted to identify geographic location, date, triggers and damages of estuarine floods (Rilo et al., 2022) and validate flood models (Yagoub et al., 2020).

Improving the resilience and preparedness of communities to flood hazard is a UK priority policy, as outlined in the Defra Policy Statement on Flooding (2020), and highlights the need for integrated approaches to flood hazard management.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

Instrumental data can be used in conjunction with earth observation records, including remote sensing and satellite imagery, of flooding to build more comprehensive databases of past records of estuarine flooding and be supported with numerical modelling studies to help identify thresholds for flooding.

4.2 Thresholds for flooding

Since there are multiple drivers of flooding in estuaries, single-value driver-thresholds cannot be used, e.g., for the Conwy estuary we show that flooding is co-dependent on *TWLmax*, *Qmax*, and their relative time lag. The simulated flooding presented in Section 3 shows the total inundation (*FloodArea*) across the estuary system and includes both minor or nuisance flooding up to severe flooding. Recorded flood events are isolated based on time lag and associated web scraped tag(s), and presented with *FloodArea* contours from Scenario-3 to identify if there is a simulated *FloodArea* threshold that matches the recorded flooding events (Figure 12). The 2 or 3 km^2 contour lines can be interpreted as a minimum *FloodArea* contour for recorded flooding in the Conwy. The coastal events (Figure 12c) occur across a range of river discharge combinations, and thresholds may not need to consider this driver.

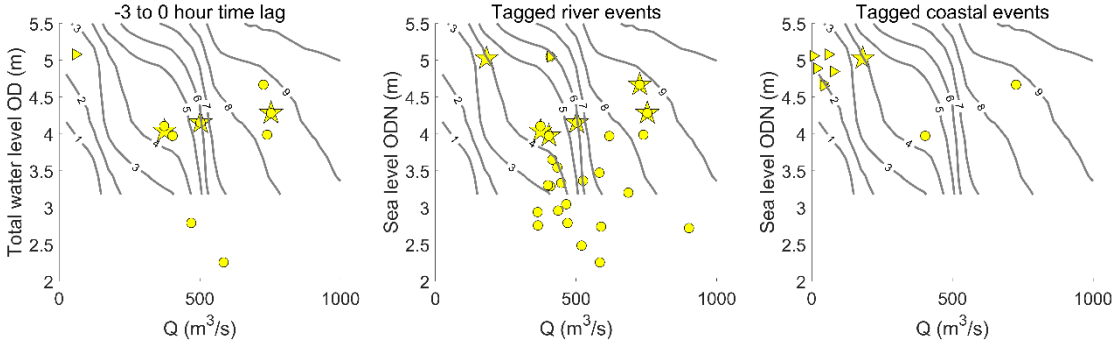

Figure 12: Recorded flood events with a) a time lag between 0 to -3 hours; b) with tag [1] for river event; c) with tags [3 4] for coastal event, all presented with FloodArea contours from scenario-3.

Whilst the *FloodArea* representation gives a good overall perspective of flooding dynamics, a different approach is needed to establish co-dependent driver-thresholds for flooding at different locations within the estuary. For a chosen location, as a first step, a flood-threshold (i.e., depth of inundation) has to be established. For instance, one might expect to assign a different flood-threshold for an area of unused woodland than an agricultural field or a dwelling or road, based on socio-economic impact metrics (Cutter et al., 2013; Alfieri et al., 2016). Next, the inundation modelling shown in Section 3 can be used to predict whether flooding is likely to have occurred or not for the range of compound events within the parameter space, and hence define the site-specific co-dependent driver-thresholds. This is an approach often used for coastal infrastructure, including nuclear sites (e.g. ONR, 2021) but rarely extended to individual properties or land users. We have demonstrated this procedure below for four discrete locations within the Conwy estuary floodplains: (i) primary school, Conwy, (ii) farmland,

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

mid-estuary; (iii) section of railway, mid-estuary; and (iv) dwelling, Llanwrst. We used Scenario-3 (tide + max surge combined with river events with a –3 hour time lag) for this demonstration since this scenario predicted the most flooding. Figure 13 shows the co-dependent driver-thresholds for each location (i-iv). Figure 13 shows TWL dominated flooding in the lower estuary when sea level > 5.7 m at the school and > 4.9 m at farmland, and river dominated flooding in the upper estuary at dwellings when river discharge > 750 m^3/s. This also aligns with what is shown in Figure 10, and single variable (Q *or* TWL, respectively) flood probability analysis may be appropriate in these locations. Moderate compound flooding in the mid-estuary shows flooding under a wider range of TWL and Q combinations, and shows that joint probability analysis is necessary when both drivers influence flood magnitude.

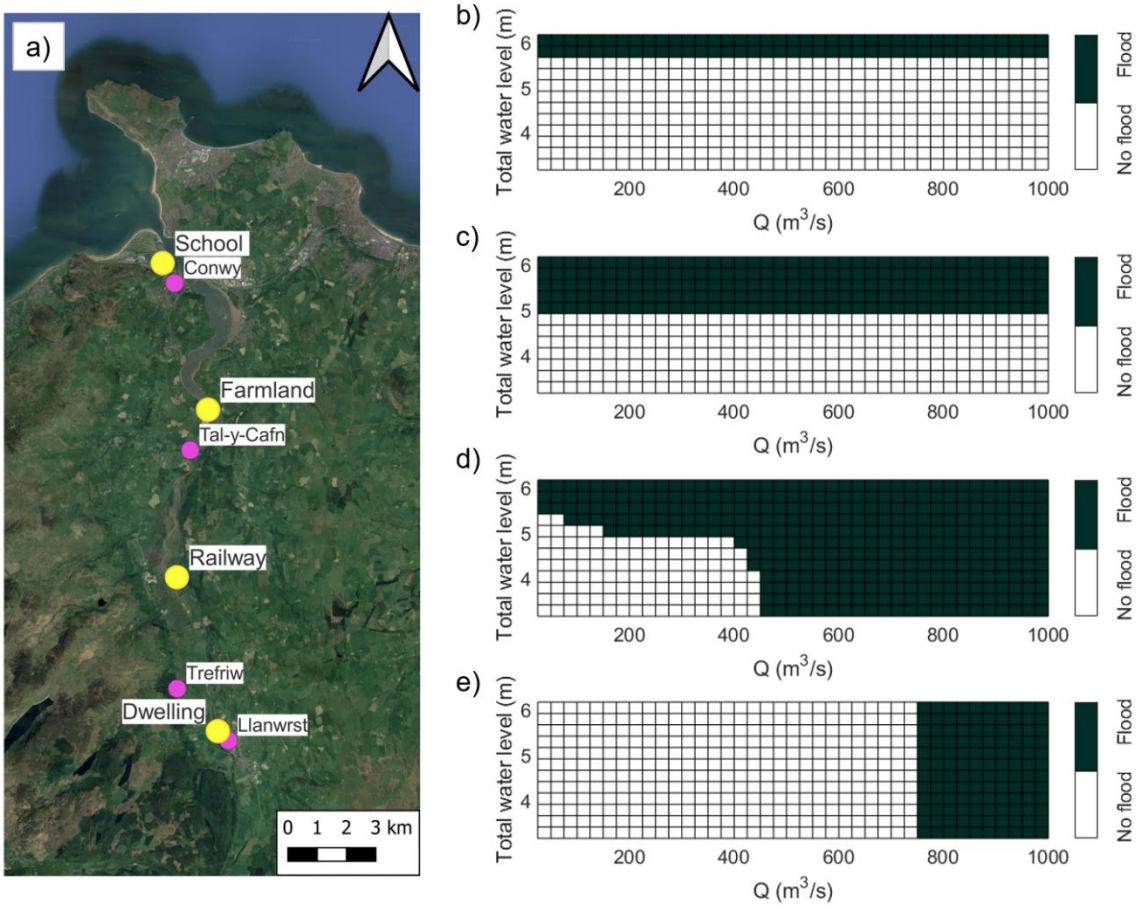

Figure 13: Site-specific flood thresholds to show the conditions that cause flooding to occur or not within the Conwy Estuary (a) using model outputs from Scenario-3 at: (b) primary school in lower estuary; (c) farmland in lower estuary; (d) railway in mid estuary; and (e) dwelling in upper estuary. Figure 13a Basemap © OpenStreetMap contributors 2023. Distributed under the Open Data Commons Open Database License (ODbL) v1.0.

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

4.2.1 Flood dynamics related to driver magnitude & timing

We show that flood forecasts need to be sensitive to both fluvial and sea level drivers of flooding in the Conwy Estuary, N-Wales, particularly under medium levels (45-60th percentiles) of river discharge and total water level. Flood hazard assessments must consider a bivariate approach to both river discharge and sea levels across an estuary, otherwise univariate approaches will not appropriately characterise the hazard and will underestimate compounding effects (Moftakhari et al., 2017). Combined river and sea level simulations show that when the drivers are extreme, they act equally and consistently produce the highest magnitudes of flood inundation irrespective of their relative timing. The volume of riverine freshwater is the dominant driver contributing to high water levels in the estuary. This could be evidence of the backwater effect, where high river discharge can push back low levels of tidal water, resulting in a temporary increase in water levels within the estuary.

It is when the river discharge is between 450-550 m^3/s in the Conwy Estuary that flood forecasts need to be particularly accurate. We show that within this range of discharge there is considerable variability in flood inundation across a range of sea-level magnitudes, and also sensitive to the timing of Qmax relative to TWLmax. This critical range of discharge values, between 450 - 550 m^3/s, could be related to the holding capacity of the estuary as there may be storage volume for flood water below these magnitudes of discharge. This critical range of discharge values also represents a threshold for a change in the behaviour of the drivers. Analysis of *FloodArea* contour shapes/gradients superimposed on historic flood inundation records shows that compound effects are most significant under medium levels of river discharge and sea level. Below these medium levels, then one or the other driver is more dominant. Above this level, then both drivers are equally dominant in their contribution to flooding. These insights show that both drivers must be considered as dependent and interacting in flood forecasts, to ensure that compound flood effects are captured and planned for.

An analytical model has been used in an idealised, meso-tidal estuary to show that there is always a point where river discharge effects on water level outweigh tide-surge effects (Familkhalli et al., 2022). Non-linear effects and interactions between sea level and river discharge can influence compound effects, including tidal damping, and tidal blocking, influence the location at which river flow effects are larger than marine effects, or vice versa (Cai, 2014; Hoitnik and Jay, 2016; Xiao, 2021). The magnitudes at which river discharge and sea level will cause compound effects to amplify flood inundation will vary between estuaries. These effects may not occur in some estuaries, and be more extreme in others (Harrison et al., 2022). It is likely that a range of factors will control this including tidal range, substrate type and bed friction, coastline aspect, estuary geometry and size, catchment size, type and geology, river network, river transmission times, prevailing weather conditions, antecedent weather, and local climate (Familkhalli et al., 2022). The parameter space could be developed by considering additional hydrograph time lags, and exploring the timing of the surge relative to tidal high water which could influence the magnitude and volume of the total water level (Lyddon et al., 2018; Khanam et al., 2021). These additional parameters could alter the

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

position, shape, or angle of threshold contours. A better understanding of estuarine thresholds can enhance how managers and engineers plan coastal protection strategies, including where to place defences, infrastructure, and buildings.

4.3 Future changes in flooding

Extreme sea levels for the Conwy, comprising large spring tides and large skew surges, could reach ~6 m (OD) and were simulated here in the upper rows of the scenario parameter space. These levels haven't yet been seen in the Conwy but could happen presently. The *FloodArea* contours are close together in this section of the parameter space and show that relatively small increases in sea level and/or river flows lead to large increases in flood extent . This section of the parameter space is likely to become more relevant in the coming decades, as a result of sea-level rise and projected increases in the magnitudes of peak river flow events under future climate conditions. Sea-level rise and geomorphic changes will lead to a new baseline for flooding and new driver-thresholds and interactions. Many studies have started to consider the impact of climate change on compound estuary flooding (Robins et al., 2016; Ghanbari et al. 2021). Outputs of climate models were analysed to show that changes in sea level and precipitation can substantially increase the likelihood of a compound event, where a 100-year event could become a 3-year event by 2100 (Sheng et al., 2022). Model simulations of synthetic storms of combined tropical cyclones and sea-level rise in Cape Fear Estuary, North Carolina, have shown that future climatology will increase a 100-year flood extent by 27 % (Gori and Lin, 2022). In addition to future changes in drivers of compound events, it is possible that changes in storm tracks will influence the clustering and timing of events (Haigh et al. 2016; Eichentopf et al. 2019), and changes in land use could influence groundwater saturation, baseflow, and overall floodwater storage and drainage capacity of the system (Rahimi et al., 2020). However uncertainties in future UK projections of river discharge and sea-level must be accounted for when considering compound flood effects (Lane et al., 2022). It is beyond the scope of this research to explore the influence of future climate changes on thresholds but could be explored by running simulations with different groundwater saturation, clustered events, and higher sea level or river discharge behaviours. A better understanding of how compound events and thresholds will change in the future is also crucial for developing adaptive strategies for high-impact events (Zscheischler et al., 2018), and climate projections of changing sea level, storm surge, river discharge, and storm tracks should be considered in model scenarios.

5 Conclusion

The urbanisation and industrialisation of estuaries have increased the vulnerability of communities to extreme events, such as flooding from high sea levels and river discharge. The impacts of these events are further amplified when extreme sea/river events occur simultaneously. Flooding occurs when coastal or fluvial conditions exceed critical thresholds such as flood defence heights, so there is a need to identify the driving land and sea conditions under which these thresholds are exceeded and the type of flooding that ensues. This research utilised a combination of historic estuary flooding records, instrumental monitoring data, numerical modelling, and probabilistic analyses to identify driver-thresholds for compound flooding, for an estuary that is especially vulnerable to compound flooding events (Conwy, N-Wales, UK).

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

The research highlighted the incomplete nature of recorded flooding extents held by national agencies, which are important to build a database of past episodes of flooding (e.g., when and where has flooded, and under what conditions) and undertake further analyses such as temporal trends in flooding. Such a database is crucial for developing accurate and timely flood warnings. The historic flooding record for the Conwy was supplemented with information obtained from online sources available 2004-2022, and set within the context of the most extreme 100 compound events during the period 1980-2022. An estuary inundation model was then used to 'fill' the parameter space of possible compound events (1560 separate simulations). This combined approach of modelling referenced to historic flooding events allowed us to identify a range of thresholds for flooding.

The simulations predict how the total estuary flooding extent responds to the magnitude of river discharge, tide, and surge magnitude, and the timing of peak river discharge relative to tidal high water. Most flooding occurs when one or both sea level and river discharge drivers are extreme (e.g., >85th percentiles), but with amplified (compounding) flooding under relatively moderate circumstances (e.g. 60-70th and 30-50th percentiles) and in specific regions of the estuary (mid-estuary). Flooding is sensitive to a change in the timing of peak river discharge relative to tidal high water, with a –3 hour time lag (peak river discharge three hours before high water and coinciding with a rising tide that 'traps in' the freshwater) causing 7.7 % more flooding across the parameter space than with a 0 hour lag.

There is spatial variability in flooding that is dependent on the combination and magnitude of the drivers. We show in detail the simulated extent of flooding in the lower estuary under extreme sea level conditions, and in the upper-estuary from extreme river flow conditions - and the spatially intricate nature of flooding throughout the estuary under combined moderate and extreme ('worst-case') sea level and river flows.

The results highlight under which conditions flooding is predicted to occur, or not, throughout the estuary, and identify driver-thresholds for flooding that are relevant to historic recorded flooding, steep increases in flooding (sensitive tipping-points), and location-specific/impact-specific flooding. The method can be used to enhance our understanding of estuarine flooding dynamics and improve flood risk assessments - it can be applied to other estuaries worldwide where there are paired coastal and fluvial monitoring/model data, and the methodology can be developed to include additional drivers and changes in the timing of behaviour of the drivers surges under different climate/management conditions.

Code availability

All code can be provided by the corresponding authors upon request.

Data availability

https://doi.org/10.5194/egusphere-2023-2116
Preprint. Discussion started: 4 October 2023

All raw data can be provided by the corresponding authors upon request.

Author contribution

CL, CN, GV, PR, AB, and TC formulated the research and developed the methodology; GV and TC developed, calibrated, and validated the model setup; CN ran the model and managed model outputs; AO, SM, MR contributed to data analysis; CL, CN, and PR analysed and visualised results; CL wrote the manuscript draft; PR, CN, GV, AB, TC, IO contributed to, reviewed, and edited the manuscript.

Competing Interests

The authors declare that they have no conflict of interest.

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