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A neuroanatomical and cognitive model of impaired social behaviour in frontotemporal dementia

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6 Abstract

Impaired social cognition is a core deficit in frontotemporal dementia (FTD). It is most 7 commonly associated with the behavioural-variant of FTD, with atrophy of the orbitofrontal 8 and ventromedial prefrontal cortex. Social cognitive changes are also common in semantic 9 dementia, with atrophy centred on the anterior temporal lobes. The impairment of social 10 behaviour in FTD has typically been attributed to damage to the orbitofrontal cortex and/or 11 temporal poles and/or the uncinate fasciculus that connects them. However, the relative 12 contributions of each region are unresolved. In this Review, we present a unified 13 neurocognitive model of controlled social behaviour that not only explains the observed 14 15 impairment of social behaviours in FTD, but also assimilates both consistent and potentially contradictory findings from other patient groups, comparative neurology and normative 16 cognitive neuroscience. We propose that impaired social behaviour results from damage to 17 two cognitively- and anatomically-distinct components. The first component is social-18 19 semantic knowledge, a part of the general semantic-conceptual system supported by the 20 anterior temporal lobes bilaterally. The second component is social control, supported by the 21 orbitofrontal cortex, medial frontal cortex and ventrolateral frontal cortex, which interacts 22 with social-semantic knowledge to guide and shape social behaviour.

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- 18

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Abbreviations: bvFTD = behavioural-variant frontotemporal dementia; ATL = anterior
temporal lobe; OFC = orbitofrontal cortex, ACC = anterior cingulate cortex; TLE = temporal
lobe epilepsy; CS-SC = controlled social-semantic cognition; FTD = frontotemporal
dementia

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1 Introduction

Impaired social behaviour is a common manifestation of frontotemporal dementia (FTD). For example, people with FTD may make insensitive comments, show inappropriate levels of familiarity with strangers, or disregard social norms and etiquette.¹ Apathy and impulsivity are common exacerbating factors in abnormal social behaviour, with reduced engagement in social activities and disinhibited behaviours co-occurring in FTD.²⁻⁴ These behavioural disturbances in FTD can have a devastating impact; they cause significant burden and stress for family members and caregivers ⁵ and predict care home admission.⁶

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FTD is split into two main subtypes: behavioural-variant FTD (bvFTD) and primary 10 progressive aphasias. The latter includes semantic dementia that encompasses semantic-11 variant primary progressive aphasia (svPPA) and its right-temporal homologue.⁷⁻⁹ In terms of 12 13 the underlying focus of pathology, bvFTD predominantly affects the prefrontal cortex and is characterised by changes in behaviour and personality as well as a dysexecutive 14 neuropsychological profile.⁷ Semantic dementia is associated with atrophy centred on the 15 ventrolateral and polar aspects of the bilateral anterior temporal lobes (ATLs), coupled with 16 degraded semantic knowledge across all types of concept and observed in all verbal and 17 nonverbal modalities.^{8,10-13} It is well-established that behavioural changes are found not only 18 in bvFTD but are also common in semantic dementia. Large-scale studies find similar rates of 19 behaviour change in both bvFTD and semantic dementia subtypes.^{3,14-17} 20

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Whilst the frontal and temporal lobes have been implicated in supporting socially appropriate and pro-social behaviours,^{18,19} the precise contributions of each region are not clear in either syndrome and in their common symptoms. This represents both an important gap in clinical knowledge and an unresolved theoretical issue, in part caused by the fact that key information is distributed across multiple disparate literatures on each FTD subtype, as well as findings from other patient groups, and from healthy participants.²⁰⁻²³

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In this Review we propose an integrative neurocognitive model: *controlled social-semantic cognition* (CS-SC). The model provides a unified frontotemporal framework for social
behaviours that accounts for the findings from bvFTD, semantic dementia and ATL-resected

1 temporal lobe epilepsy (TLE) patients, drawing on comparative neurology and studies of the 2 healthy brain. Specifically, the model proposes that impaired social behaviour can result from 3 damage to two distinct albeit interactive components: (i) social-semantic knowledge, underpinned by the bilateral ATLs, and (ii) social *control*, including selection, evaluation, 4 5 decision-making and inhibition supported by frontal cortical regions, particularly the orbitofrontal cortex (OFC), medial prefrontal cortex and lateral prefrontal cortex (Fig. 1C-D). 6 The proposal that semantic representations interact with prefrontal control processes to guide 7 social behaviour mirrors the broader theory of controlled semantic cognition.^{21,24} 8

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10 According to the CS-SC framework, impaired social behaviour in FTD may result from damage to either of these components (or both). A key hypothesis of the framework is that 11 semantic dementia patients have impaired behaviour due predominantly to a degradation of 12 social-semantic knowledge, whilst bvFTD patients have earlier and disproportionate deficits 13 in the ability to control and regulate social-semantic knowledge effectively, to guide 14 appropriate and adaptive social behaviours. In this Review, we describe the CS-SC model. 15 We take the two components in turn, and, for each, we review evidence from multiple 16 clinical disorders, comparative neurology and healthy participants. We then consider how the 17 model and associated findings relate to previous proposals for explaining some of the 18 behavioural changes in FTD. We end by setting out some key issues for further research and 19 clinical implications. 20

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The "multiple-literatures" approach adopted in this Review is crucial for at least two key 22 reasons. First, models and theories are most powerful when they go beyond an individual 23 24 result and are able to explain findings from several patient groups and contrastive neuroscience methods - especially when those findings are potentially contradictory. 25 26 Secondly, each method or clinical condition has its own intrinsic advantages but also limitations. By assimilating data it is possible to mitigate method/study-group limitations, and 27 focus on the complementary strengths and insights proffered by the other data sources, 28 29 thereby converging upon a unified, coherent framework. As examples from the current 30 review: whilst the behavioural and semantic deficits are substantial and paradigmatic of FTD, their precise localisation is hampered by the correlated atrophy across multiple brain regions 31 32 in FTD. In contrast, ATL resection for TLE provides a selective lesion model of the ATLs individually and separately from other frontotemporal areas, although the patients' chronic epilepsy raises a possibility that re-organisation of function may have occurred. Functional neuroimaging techniques such as fMRI allow localisation of brain function simultaneously across multiple areas and at a much higher spatial resolution than lesion studies, but can only indicate correlations. Causal brain-behaviour relationships in healthy participants can be elucidated using transcranial magnetic stimulation, however the transient behavioural changes induced are considerably subtler than those observed after brain lesions.

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9 1. The anterior temporal lobes & social-semantic 10 knowledge

We use our conceptual knowledge of the world to support everyday verbal and non-verbal 11 12 behaviours. This long-term database of the meaning of words, objects, people and behaviours is known as semantic memory or conceptual knowledge²⁵ and is critical if one is to generate 13 14 appropriate social behaviours across different scenarios and contexts. For example, when a 15 grandparent hugs a child who is upset, there are several semantic details and potential ambiguities which must be resolved. The grandparent must correctly recognise from the 16 17 multiple sensory inputs that the young human is her/his grandchild, as well as understanding the meaning of the sounds, signals and tears that the child is generating, plus the meaning of 18 19 the context/situation. In turn, the adult must then use semantic knowledge of the social role of grandparent to generate an appropriate comforting behaviour. 20

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Now imagine the possible consequences that could occur following the degradation of 22 semantic memory/conceptual knowledge. Failing to recognise the meaning of the signals of 23 24 emotional distress would result in a failure to exhibit the socially appropriate behaviour. 25 Semantic degradation could also lead to an inability to distinguish between one's relative and 26 other unfamiliar persons (e.g., if the child were not this adult's grandchild), resulting in an overgeneralisation of semantic knowledge²⁶ and thus to another inappropriate social 27 28 behaviour: to hug a stranger's child. Accordingly, semantic knowledge is critical and foundational for understanding and generating social behaviours.²⁷ We propose that this 29 30 knowledge primarily relies on the same cognitive processes and brain regions that support other forms of semantic memory; indeed, there is a wealth of evidence that the bilateral ATLs 31

act as a core transmodal, transtemporal, pan-category hub for generalisable conceptual
 knowledge.^{21,24,28,29} Although we refer to social-semantic knowledge throughout the review,
 all aspects of conceptual knowledge play a critical role in supporting behaviour.

4

5 1.1 Semantic dementia

People with semantic dementia display a gradual loss of understanding for words, objects, 6 7 people, etc.^{8,10,11} This progressive semantic degradation occurs for all types of concepts, across all modalities, and in both expressive and receptive tasks.^{13,30} Structural neuroimaging 8 and positron emission tomography (Fig. 1A) demonstrate that the degree of semantic 9 impairment in semantic dementia is correlated with ATL volume loss and hypometabolism.³¹⁻ 10 ³³ These findings, together with formal computational models³⁴⁻³⁶ and other convergent data 11 (see subsections below) support the proposal that the ATLs form a transmodal, transtemporal 12 semantic hub.^{24,28,34} Through dynamic interactions with modality-specific 'spokes' 13 distributed throughout the cortex, the ATL hub integrates multimodal information for each 14 concept (transmodal) across time and contexts (transtemporal) resulting in the extraction of 15 generalisable, coherent concepts.²⁶ Another core feature of the hub and spoke model is that 16 the bilateral ATLs form a functionally-singular hub, which has been demonstrated 17 computationally to make the semantic system more robust to unilateral damage or 18 perturbation.37 19

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Semantic dementia patients can present with overlapping clinical symptoms to those 21 observed in bvFTD,^{38,39} with equal rates of reported behaviour change across these FTD 22 subtypes.^{16,17} However, relative to the core semantic deficit, much less is understood about 23 social processing deficits in semantic dementia. During the early stages of disease, the 24 distribution of ATL atrophy in this condition is often asymmetric (but see below). Case 25 studies have revealed that patients with predominantly right-sided atrophy initially present 26 with prosopagnosia and this is followed by emergence of behaviour change and/or the 27 classical generalised semantic impairment.^{33,40-43} Impairments in social cognitive processes 28 29 such as empathy, theory of mind and social conceptual knowledge have been associated with right ATL atrophy or hypometabolism.⁴⁴⁻⁴⁶ This has led to proposals that: (i) the right ATL 30 has a specialised role in social processing^{19,41,47}; and (ii) cases with right>left temporal 31 atrophy might represent a unique clinical syndrome (Box 1).9,41,48 32

2 There are caveats in the interpretation of asymmetric semantic dementia patients given that the disease is never isolated to one ATL. Although atrophy may be asymmetric in the initial 3 stages, hypometabolism tends to be more symmetrical even early in the disease.⁴⁹ and 4 5 longitudinal studies show that atrophy advances even more rapidly in the contralateral hemisphere.^{47,50-52} Accordingly, from here, we will refer to the asymmetric-yet-bilateral cases 6 as L>R and R>L. Direct comparisons between R>L and L>R patients are confounded by the 7 fact that, by the time that people with semantic dementia come to medical attention, R>L 8 patients often have more overall atrophy than L>R patients.³³ Even when they are matched 9 for temporal lobe atrophy, comparisons have revealed that R>L cases have more atrophy 10 11 extending into the OFC, which may contribute to the patients' increased behavioural changes.^{33,52} 12

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Formal assessment of L>R semantic dementia patients shows that social processing and 14 behaviour disturbances are prominent too.^{41,47,53} Therefore, the contributions of the ATLs to 15 social behaviour appear to relate to atrophy of the left and/or right ATLs (and/or co-occurring 16 atrophy within the frontotemporal distribution). Accordingly, the fact that behavioural 17 18 disturbances are often noted in R>L semantic dementia cases might need to be considered in the context of multiple correlated factors beyond the laterality of the atrophy alone: the R>L 19 patients tend to have more atrophy extending across the OFC-ATL complex, whilst the 20 anomic-language features in the presentation of the L>R patients may overshadow and/or 21 22 lead to under-reporting of the accompanying behaviour change. It is also possible that the 23 pronounced language deficits in these patients accelerates their social isolation and thus 24 reduces the opportunities to detect behavioural changes.

25

26 **1.2** Comparative neurology and other patient groups

Given that semantic dementia always develops some degree of bilateral ATL atrophy and extension to the OFC, findings from other patient groups and comparative neurology provide potentially important insights into the separate roles of each ATL in supporting semantics and social behaviour. Classic comparative neurological studies demonstrated how bilateral, rather than unilateral, surgical removal of the ATLs causes severe chronic behaviour changes and associative agnosia in non-human primates.^{62,63} Following bilateral ATL resection, the monkeys were no longer frightened of guards or predators, were unable to recognise other objects visually (e.g., distinguish between edible and non-edible objects), and no longer recognised the calls of conspecifics or made calls to them.⁶² This syndrome was also seen in a subsequent, thankfully rare, human neurosurgery case.⁶⁴ This combination of symptoms is clearly reminiscent of at least some of the semantic and behavioural impairments observed in semantic dementia.⁶⁵ Indeed, in their seminal papers, Klüver and Bucy noted the similarities between the resected monkeys and the FTD patients described by Arnold Pick.⁶²

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In both humans and non-human primates, unilateral ATL resection has a much milder effect 9 10 than the bilateral ATL atrophy that causes increasingly severe semantic and behaviour impairments in semantic dementia.^{62-64,66-68} In contrast to the striking social and semantic 11 deficits in semantic dementia, people with late-onset TLE who have undergone en bloc 12 unilateral ATL resection display mild semantic impairments, which are detected only when 13 more sensitive measures are used.⁶⁶⁻⁶⁸ Furthermore, these explorations of unilateral damage 14 have found little evidence for a specialised function of the right ATL in social processing: 15 16 TLE patients with left or right ATL resection show not only mild but equivalent degradation of person semantic knowledge and emotion recognition, and even when formally assessed, no 17 evidence of altered social behaviours like those observed in bvFTD or semantic dementia.⁶⁸ 18 Of course, data from patients with chronic epilepsy need to be interpreted with some caution 19 given the possibility of cognitive functions being shifted out of seizure centres. Direct 20 21 cortical grid electrode explorations (stimulation and ECoG), however, indicate that the left and right ATLs remain as primary semantic regions even in patients whose epilepsy requires 22 23 ATL resection, and furthermore that the semantic ventral-ATL "hot-spot" for the patients is identical to the area of maximal fMRI semantic-task activation in healthy participants.^{69,70} 24

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26 1.3 Evidence from neuroimaging and neurostimulation in healthy 27 participants

Functional imaging and neurostimulation methods in healthy participants provide information about the role of different ATL subregions in supporting social-semantic knowledge, providing important extensions to the patient data (reviewed in ⁷¹). Contemporary fMRI studies that have used distortion-corrected or distortion-limiting techniques to enhance signal from the ventral ATLs have demonstrated that semantic processing engages the ATLs bilaterally.⁷²⁻⁷⁴ Bilateral ATL activation is observed for all types of concepts, including social concepts.^{72,75} This finding is further supported by transcranial magnetic stimulation studies, in which stimulation to either left or right ATL causes a transient disruption of semantic processing in healthy participants.^{76,77}

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The spatial resolution offered by the recent distortion-corrected fMRI studies has provided 7 important new insights about the roles of different ATL subregions. First, both social-8 semantic and matched non-social semantic stimuli elicit strong bilateral activation in the 9 10 ventral ATL, where activation has been observed in numerous other semantic imaging studies.^{78,79} This overlapping activation suggests that social concepts are supported by the 11 same multimodal ventrolateral ATL semantic hub as general semantic memory. A meta-12 analysis of 97 fMRI studies found bilateral ATL activation for all types of concept, although 13 there was left-hemisphere bias for tasks that required either word retrieval or used written 14 words as inputs.⁸⁰ Although a right ATL specialisation for social processing has been 15 proposed based on the FTD literature, the meta-analysis found no evidence for hemispheric 16 specialisation for social concepts, but bilateral ATL activation for both social and non-social 17 semantic tasks.⁸⁰ Consequently, the fMRI findings in healthy participants support a role for 18 the bilateral ATL in representing all types of semantic memory, including social-semantic 19 20 knowledge.

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Secondly, moving beyond the ventral ATL region (the subregion affected most strongly by 22 signal drop-out and distortions in standard, single-echo EPI imaging), initial fMRI 23 investigations reported activation in the left and right anterior superior temporal 24 gyrus/temporal pole when participants made semantic judgements about abstract social 25 concepts.^{27,81} Furthermore, transcranial magnetic stimulation over these left or right superior 26 ATL areas generates a transient impairment/slowing of social conceptual decisions, which is 27 28 both cognitively-selective (no slowing of difficulty-matched non-semantic number magnitude judgements) and anatomically-selective (only after ATL but not in anatomical control sites), 29 30 highlighting the role of superior ATL regions (both left and right) in supporting social conceptual knowledge.⁸² This finding aligns with more recent distortion-corrected fMRI 31 investigations, in which (a) there was more selective activation in the anterior superior 32

1 temporal gyrus/temporal pole (bilaterally) for social over other types of concept, but (b) this 2 aSTG/TP activation was weaker than the core ventral ATL activation observed for all types of concept including social.^{78,79} The reason for this additional, selective activation in superior 3 temporopolar cortex is not known but may reflect the graded functional organisation of the 4 5 ATLs, where regions outside the core ventrolateral centre-point respond preferentially to different types of concept depending on their connectivity to other cortical regions.^{24,83} The 6 temporal poles and superior ATL are connected with limbic regions via the uncinate 7 8 fasciculus, whence emotional valence inputs may be important for the formation of socially relevant concepts.⁸⁴⁻⁸⁷ To summarise, there is no strong fMRI or rTMS evidence for a left vs. 9 right ATL difference for social concepts, but rather a strong bilateral multimodal ventral ATL 10 response to all types of concept, with category-selective gradations within, rather than 11 between, each ATL.79,83 12

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A parallel fMRI literature implicates the ATLs in other aspects of social processing, such as theory of mind/mentalising, empathy and moral reasoning.^{20,88,89} Theory of mind tasks, alongside social and non-social semantic processing tasks generate overlapping activation in the dorsal or ventral ATLs.^{23,81} This common activation for theory of mind and semantic processing may reflect a shared and core role of the bilateral ATLs in generalised semantic representation.^{23,90}

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21 **2. The prefrontal cortex: social control**

Social-semantic memory alone is not sufficient to support appropriate social behaviour. The 22 knowledge must also be controlled so that it is applied efficiently and used flexibly across 23 24 different situations and contexts. This is crucial for the generation, implementation or 25 inhibition of adaptive social behaviours across changing social scenarios.²⁰ Prefrontal regions such as the orbitofrontal, lateral, and medial prefrontal cortex have important roles in 26 representing the value of objects and actions, regulating and inhibiting behaviour.^{18,91-93} 27 Accordingly, we propose that the 'social control' component of the model is mediated by 28 prefrontal regions and that it interacts with ATL-mediated social-semantic knowledge to 29 shape social behaviour. 30

31

1 2.1 Behavioural-variant frontotemporal dementia

2 Although people with bvFTD present with abnormal social behaviours, they do not appear to have the same degree of loss of social-semantic knowledge as in semantic dementia.⁹⁴ Their 3 4 deficits seem to relate primarily to difficulties in using this knowledge appropriately and flexibly (although social-semantic knowledge may be affected as atrophy spreads into the 5 bilateral ATL⁹⁵). For example, even where there is preserved understanding of abstract social 6 concepts, people with bvFTD are less able to utilise this knowledge to predict long-term 7 consequences of social behaviours and select or decide between alternative actions.⁹⁴ This 8 speaks to the computations of action values or outcomes.^{96,97} In the crying child example 9 above, the decision to comfort the crying child not only depends on an accurate 10 understanding of the meaning of tears, but also the positive value of comforting one's 11 grandchild versus the potential negative consequences of intimacy with other children. 12

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People with bvFTD are less able to adjust the physical space given to a stranger in 14 comparison to a family member, suggesting an inability to control social behaviour in 15 response to changing social contexts.⁹⁸ More broadly, people with byFTD show cognitive 16 inflexibility,⁷ in daily settings and in more formal assessments, such as set-switching⁹⁹ or 17 attentional shift paradigms with reversal of stimulus-reward associations, which are 18 especially challenging.¹⁰⁰ Another route to inappropriate social behaviours is an impairment 19 of behaviour inhibition, for example where it would be far better not to make a habitual 20 response or react to an affordance.^{4,101,102} The inhibition of prepotent responses (e.g., NoGo 21 22 paradigms) and inhibition of actions after initiation (e.g., Stop-signal paradigms) are both affected in bvFTD.4,103,104 23

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The impairment of these three processes - value-based decision-making, flexibility and 25 inhibition of responses - contribute to poor control of social behaviour. These three processes 26 are each strongly associated with the prefrontal cortex, including its structural and 27 neurochemical integrity. BvFTD typically affects the OFC, medial prefrontal cortex and the 28 lateral prefrontal cortex, particularly ventrolateral prefrontal cortex (Fig. 1B).¹⁰⁵⁻¹⁰⁸ The OFC 29 is a site of early severe atrophy in bvFTD and has been classically associated with personality 30 and behavioural changes.^{18,109} Some types of apathy, disinhibition and failures in social norm 31 compliance have all been attributed to atrophy or hypometabolism in the OFC.¹¹⁰⁻¹¹² 32

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The OFC and medial prefrontal cortex represent reward values of different objects and are important for flexibly controlling behaviour based on changing reward contingencies.¹¹³⁻¹¹⁶ Intriguingly, these regions enable estimation of counterfactual value, i.e., relative values of actions or events that are not actually experienced. The loss of value-based decisions following atrophy of the medial prefrontal cortex provides a potential link between socially inappropriate behaviour and the loss of goal-directed behaviour underlying the apathy observed in bvFTD and related disorders.^{3,117,118}

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Lateral prefrontal atrophy is associated with impaired executive function, which is the set of 10 processes that control cognition, e.g., in working memory, attentional selection, planning and 11 inhibition.^{119,120} Damage to lateral prefrontal cortex also impairs *semantic control:* the ability 12 to manipulate and guide semantic knowledge, despite preserved semantic representations per 13 $se^{24,121-124}$ People with post-stroke semantic aphasia following lateral prefrontal lesions have 14 problems with controlling and regulating semantic knowledge,^{24,121-125} including semantic 15 tasks involving emotion and abstract concepts.¹²⁶ In formal meta-analyses of healthy 16 participant fMRI studies, these same semantic control regions are engaged by social cognitive 17 18 tasks.²⁰ Some of the inappropriate social behaviours in bvFTD might therefore be partially driven by disordered social control – the failure of executive processes for guiding and 19 controlling social-semantic knowledge.^{20,21,94,127,128} However, circumscribed lesions to the 20 dorsolateral prefrontal cortex do not cause the severe social disturbances associated with 21 orbitofrontal/ventromedial damage.¹²⁹⁻¹³² and semantic aphasia patients with prefrontal 22 23 damage, including ventrolateral prefrontal, insula and basal ganglia, do not present with the social behaviour disturbances (e.g., apathy, disinhibition) observed in bvFTD. This suggests 24 that OFC and medial PFC are the primary prefrontal regions that underpin controlled social 25 behaviour (though areas beyond the frontal cortex as well as inter-regional white-matter 26 27 connections might also be important: see Section 4 below).

28

The neurocognitive mechanism of social control deficits in bvFTD could be conceptualised in terms of abnormal predictive coding in the brain.¹³³ Under the predictive coding framework, the brain uses Bayesian inference to update beliefs about the causes of sensory inputs, and employs such beliefs to predict future sensory inputs.¹³⁴ Impaired behaviour 1 would result from a lack of precision in these beliefs or predictions, with a failure to adapt behaviour appropriately to experience or context.¹³³ For example, apathy would result from 2 3 reduced precision in the predicted consequences of actions, leading to diminished goaldirected behaviour.^{133,135} Impulsive behaviours would follow from reduced precision in the 4 amount of information sampled before a decision is made.¹³⁶ The degradation of conceptual 5 knowledge, including social context, would by analogy impair initiation or selection of 6 socially appropriate actions. This may lead to behaviours that are superficially considered 7 "disinhibited" even without a failure of representational inhibition or action inhibition per se. 8

9

A powerful feature of the predictive coding hypothesis is that it provides a unified 10 explanation for the co-existence of apparently antithetical symptoms such as apathy and 11 impulsivity, or social apathy and social disinhibition, in the same patient.^{3,137} The known 12 social reward deficits associated with orbitofrontal/anterior cingulate cortex damage may 13 exacerbate the problem, with imprecise predictions of socially relevant informational inputs. 14 For example, inappropriate social behaviours in byFTD such as social norm violations would 15 result from slow prediction updating in response to important social cues (e.g., an angry or 16 fearful response). The failure of precision is distinct from the ability to compute the expected 17 value of actions (rewards or punishments).138 18

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20 **2.2 Comparative neurology and other patient groups**

Beyond the FTD literature, evidence for the role of the OFC in social behaviour comes from studies of other patient groups. Damage to the OFC due to traumatic brain injury, aneurysm or stroke causes impairments in social behaviour, aligning with FTD.¹³⁹⁻¹⁴¹ A famous example is Phineas Gage, who suffered focal OFC damage in an accident in which a tamping iron was driven through his skull.^{142,143} In the acute phase after the accident, Gage displayed changes in behaviour and personality, with socially inappropriate behaviours, despite preserved general intelligence.¹⁴³

28

Despite the social impairments, focal OFC damage does not seem to disrupt social-semantic
 knowledge. Such patients display intact semantic knowledge of social norms and
 conventions.^{140,144,145} OFC damage also impairs performance on reversal learning tasks,

1 which requires participants to flexibly adapt and change their behaviour in response to changing reward contingencies, especially negative feedback.¹⁴⁶ This deficit occurs despite 2 3 patients understanding the rules of the task. Reversal performance correlates with behavioural disinhibition after OFC-lesions.¹⁴⁶ Consequently, it appears that focal OFC damage causes 4 impairments in being able to control behaviour flexibly and respond appropriately to rewards 5 or punishments. Reversal learning deficits have also been demonstrated in OFC-resected 6 monkeys, who perseverate and continue to respond to stimuli which are no longer 7 rewarding.¹⁴⁷ OFC damage in monkeys causes diminished fear responses to predatory 8 stimuli,¹⁴⁸ a phenomenon also seen in the classical Klüver-Bucy syndrome,⁶² highlighting 9 how the same impaired behaviour can result from either bilateral ATL or OFC lesions, 10 reflecting damage to representation or control respectively. 11

12

Beyond the OFC, focal lesions to the anterior cingulate cortex (ACC) impair social behaviour in both humans and non-human primates, in line with its role in supporting the control of social behaviour.^{149,150} Apathy has been attributed to lesions in the medial prefrontal cortex in humans, further highlighting the ACC's role in regulating goal-directed behaviour.¹³⁰

17

18 **2.3 Evidence from healthy participants**

Increased fMRI activation of the OFC is found in response to a wide range of rewarding stimuli,¹⁵¹⁻¹⁵⁵ and when healthy participants view violations of social norms.^{156,157} The OFC is also engaged when participants are required to alter behaviour based on changing social reward contingencies.^{151,158} Although fMRI can only provide correlational data, these studies complement the lesion studies described above, highlighting the importance of the region in guiding controlled behaviour.

25

As with the OFC, the ACC is important for representing value and reward-based decision making and is thought to support action-outcome learning.¹⁵⁹ Functional imaging studies in healthy participants have found that the ACC is engaged when reward-related information is processed.¹⁵⁹ There is evidence that a subregion of the ACC, the ACC gyrus, codes the value of others' actions, thus computing social predictions necessary for prosocial behaviour.^{138,160-} ¹⁶⁴

1

2 **3.** Other proposals for behavioural changes in FTD

3 The model of controlled social-semantic cognition provides a unifying framework to understand social and semantic impairments across the variations of FTD, and parallel 4 5 findings in other patient groups, comparative neurology and healthy participants. It aligns with other proposals that have focussed on a particular patient group, brain area or process. 6 We briefly consider three important proposals below and note their relationship to the 7 broader CS-SC framework. A recurring theme across all models is the interaction of 8 prefrontal and temporal regions in supporting social behaviour, though the exact areas and 9 their proposed functions vary. 10

11

12 3.1 Social Context Network Model

The Social Context Network model (SCNM) proposes that the social deficits in bvFTD result 13 from an inability to use context to guide behaviour, following damage to a network of brain 14 regions including the prefrontal cortex, insula and medial temporal lobes.^{165,166} According to 15 the SCNM, prefrontal cortex is critical for the generation and updating of context-driven 16 predictions and interacts with medial temporal regions to support learning of contextual 17 associations. The insula acts as a convergence hub for internal and external signals to produce 18 global feeling states. Thus as per the CS-SC framework, the SCNM emphasises the 19 importance of prefrontal regions and their interaction with other regions in supporting 20 appropriate social behaviour. With its focus on bvFTD and prefrontal regions, the SCNM is 21 22 silent on the behaviour changes in semantic dementia, the ATL regions and social-semantic knowledge. 23

24

25 **3.2** Salience and semantic appraisal networks

In addition to individual brain regions, a recent proposal has considered FTD behaviour changes in terms of damage to large-scale brain networks.^{96,167} The salience network has hubs in the anterior insula and ACC, areas that are systematically affected in bvFTD.^{109,168,169} The salience network is thought to support attention to and engagement with salient stimuli. Damage to this network would result in a failure to recognise and react to important/salient social signals.^{96,170} More specifically, within this network, the anterior insula might integrate
interoceptive cues to generate feeling states, and the ACC recruit executive processes to
guide behaviour in response to salient stimuli.¹⁶⁸

4

Secondly, the semantic appraisal network¹⁰⁶ is particularly affected in people with semantic 5 dementia, but also in some people with bvFTD.¹⁷¹ The semantic appraisal network has a core 6 hub in the ATLs, with nodes in limbic regions including the amygdala and OFC.^{29,168} In this 7 proposal, the ATLs are considered to represent social-semantic knowledge, which is tagged 8 with hedonic value represented in the ventromedial/orbitofrontal cortex.⁶⁰ Damage to this 9 network would therefore lead to social concepts being stripped of their meaning and value, 10 leading to impaired social behaviour. It has recently been proposed that a loss of social-11 semantic knowledge following ATL atrophy might also be a contributing factor to 12 behavioural disinhibition in FTD syndromes.¹²⁸ These network proposals are closely aligned 13 with the CS-SC framework and the broader theory of controlled semantic cognition in which 14 the ATL hub interacts with multiple "spoke" regions to generate coherent concepts, and this 15 semantic network interfaces with areas related to executive function in order to generate 16 controlled, context/time-appropriate behaviours.^{21,24,35} Under the salience and semantic 17 appraisal networks approach, the orbitofrontal area (like the insula) is considered to 18 contribute a specific source of information (hedonic/valence value) to the ATL semantic-hub 19 rather than support a more executive, evaluation computation. 20

21

22 3.3 Event-Feature-Emotion Complexes

This framework proposes that the ATLs and prefrontal cortex each store distinct aspects of 23 social knowledge, which interact to support flexible social behaviours.¹⁷² In this framework, 24 context-independent semantic knowledge of social concepts is stored in the superior aspects 25 of the ATLs, whereas context-dependent event sequences ('scripts') are stored in the 26 prefrontal cortex.^{172,173} Subdivisions of the prefrontal cortex are proposed, such that the 27 ventromedial prefrontal cortex stores socially relevant scripts, and the frontopolar cortex 28 29 stores long-term event sequences required for anticipation of long-term future consequences of behaviours.^{94,173,174} Event-feature-emotion complexes emerge from the integration of 30 ATL-based context-independent knowledge, prefrontal-based context-dependent knowledge, 31 and central motive states represented in paralimbic and limbic regions.¹⁷² 32

1

As per the CS-SC, this model postulates dissociable yet interacting roles of the ATL and prefrontal regions in supporting social behaviour. Both models suggest that contextindependent semantic knowledge is supported by the ATLs.¹⁷² A key difference is that the event-feature-emotion complex framework implicates the prefrontal cortex as a long-term memory store for social events, whereas the CS-SC framework suggests the prefrontal cortex has a control function in guiding and regulating social-semantic knowledge.

8

9 4. Other important brain areas and connectivity

In this Review we have primarily considered the roles of the ATLs and the prefrontal cortex 10 in supporting social behaviour. However, there are other brain regions and white-matter 11 connections, beyond the frontotemporal complex, which are affected by FTD. Additional 12 research is needed to explore if and how these brain regions also contribute to the various 13 social deficits in FTD. In line with the convergent approach advocated in this Review, it also 14 seems important to garner data on each of these possible contributory brain areas through 15 parallel explorations in complementary non-FTD patient groups, comparative neurology and 16 17 healthy participants. Such studies will help to delineate the specific contributions of each additional area and also guard against false positive localisation of function due to the 18 19 multiple areas of correlated atrophy in FTD.

20

The insula has attracted significant interest for multiple reasons: it is consistently atrophied in 21 FTD,¹⁷⁵ and is a key node within the "salience network" and thus a potential crucial nexus 22 when considering FTD as a network-aligned disease process.¹⁰⁶ Early in vivo human 23 24 tractography studies showed that the anterior insula is part of a white-matter loop with the 25 temporal pole and orbitofrontal cortex whereas dorsal-posterior insula connects more into language-related areas.^{176,177} As noted in Section 3 above, there has been increasing interest 26 in the role of interoception in socio-emotional processing, and the potential importance of the 27 insula in FTD.^{166,168,178} Future work is required to explore how the role of interoceptive 28 29 processes is accommodated within the CS-SC framework. One preliminary hypothesis, 30 consistent with the network theories described above $(\S3.2)$, is that the insula represents an 31 'interoceptive spoke' which feeds into the ATL semantic system. Careful examination of

1 different aspects of behavioural change in FTD have associated inappropriate trust/approaching behaviour with atrophy of the insula-amygdala "aversive" network,¹⁷⁹ 2 3 whilst multivariate imaging analysis has indicated that sarcasm and emotion recognition deficits may be dependent on the entire insula-OFC-amygdala-TP network.¹⁸⁰ Extending 4 these ideas a little further, prefrontal-basal ganglia circuits have been associated with 5 different aspects of apathy. More specifically, it has been suggested that 'emotional-6 affective' apathy may be related to damage within the medial prefrontal-orbitofrontal-ventral 7 striatum network.¹¹⁸ One recent large-scale FTD investigation¹⁸¹ found that apathy and 8 anhedonia were significantly increased in both bvFTD and SD, and were behaviourally 9 correlated. Both were associated with atrophy of the orbito-ventromedial-polar frontal areas, 10 while correlations were also found for anhedonia with the insula and putamen. 11

12

The CS-SC framework implicates a network of individual yet interacting brain areas in 13 supporting social behaviours. Accordingly, it is likely that the white-matter connections 14 between the key areas are critical too. The uncinate fasciculus, anterior commissure and other 15 parts of the extreme capsule complex provide the major white-matter connections between 16 the ATLs, the OFC, prefrontal regions and other potentially important additional areas such 17 as the insula.^{176,177} These connections will provide the basis for the interaction of social 18 control, social-semantic representations and other critical inputs.^{21,182} Indeed a recent study⁹⁵ 19 associated reduced FA in the uncinate fasciculus with bvFTD patients' highly irregular 20 emotional reactions to personal high-conflict moral dilemmas (even though their adjudication 21 between moral decisions was the same as control participants and patients with Alzheimer's 22 23 disease).

24

5. Directions for future research and clinical implications

26 We propose four priority areas for future research and clinical application.

27

<u>5.1 Varieties of social concepts</u>? One important avenue for further exploration is to test the
 contributions of ventral and dorsal ATL regions to social-semantic conceptual processing;
 and to determine, more broadly, how different types of social concept are represented in the
 brain. For example, are they 'special' and distinct from other types of general (i.e., non-

social) concept as implied by earlier research^{19,27,183} or an integral part of the broader 1 conceptual system?^{21,24,28} More broadly, research on social behaviour and the underlying 2 3 representations is complicated by the fact that many patient and healthy participant studies investigate different individual 'social' concepts. These 'social' concepts span very diverse 4 5 types of semantic representation (that are likely to have varying reliance on multiple brain regions), from very concrete entities such as people, through emotions, to more abstract 6 behaviours and social traits. Consequently, it becomes less clear what crucial characteristic(s) 7 make a concept 'social'71,184 or whether, like Wittgenstein's famous 'game' concept 8 problem,¹⁸⁵ there is no single defining feature shared by all social concepts.²⁶ 9

10

5.2 Frontotemporal interactions: As noted above, all proposals highlight the importance of 11 distinct functions/representations in prefrontal and temporal areas. Thus, a primary next step 12 is to understand their interaction at a functional-mechanistic level. The polar, medial and 13 superior aspects of the ATLs are strongly connected with the orbitofrontal and ventromedial 14 cortex, with this connectivity taking up the bulk of the uncinate fasciculus.^{84,85,87} Thus, it is 15 important to understand the functional contributions that these structural connections 16 support.¹⁸⁶ For example, how does ATL-based social-semantic knowledge interact with OFC-17 based value computations in humans?⁹⁷ When deciding to perform a behaviour, the value of 18 any object is highly dependent on its meaning. For example, if someone is hungry, the value 19 of a round object will be higher if it is an apple as opposed to a cricket ball. It would then 20 21 logically follow that ATL-based semantics would be a key input to OFC-based value computations; and in return, the OFC 'valence/value' information (akin to any of the other 22 23 sensory-motor and verbal sources of information codes across different association cortices) 24 interacts with the ATL semantic hub to support concepts where valence/value is important.96,97 25

26

27 <u>5.3 Transdiagnostic approaches to assessment and clinical research</u>: whilst the CS-SC and 28 other proposals posit discrete functions/representations to prefrontal vs. ATL regions, bvFTD 29 and semantic dementia patients do not divide absolutely and selectively along the same 30 anatomical division. Notwithstanding distinct clinicopathological correlations with 31 underlying molecular aetiologies, there are patient exemplars of classical bvFTD and 32 semantic dementia representing different phenotypic points along a frontotemporal atrophy continuum, with many other "mixed" FTD patients being intermediate. Accordingly, grouplevel comparisons provide important general clues about broad distinctions within FTD but
are not optimal for understanding (a) the distinct functions of prefrontal vs. ATL regions, and
(b) systematic variations and shared symptoms that span FTD subtypes. These features can
be revealed by adopting a transdiagnostic approach and multidimensional analytics,^{16,17,33} and
the results supplemented by convergent information from other patient groups and healthy
participants.

8

5.4 Clinical assessments, diagnosis and management pathways: Inspired by the CS-SC 9 framework, the development of new neuropsychological tests able to distinguish between 10 degraded social-semantic representations vs. social control problems would provide strong 11 clues about the neural and cognitive bases driving a patient's behaviour change. In doing so, 12 it may be possible to improve the delineation between semantic and behavioural variants of 13 FTD, as well as understand the range and severity of problems faced by the many FTD 14 patients with a mixed neurocognitive profile. Such group comparisons combined with 15 transdiagnostic explorations could help lead us towards (a) better understanding of the 16 underlying anatomical changes, pathology and genetic factors, and (b) tailoring of both 17 behavioural management and pharmacological interventions for the different types of deficits 18 19 in social cognition.

20

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4 Competing interests

5 The authors report no competing interests.

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1 Figure Legend

2 Figure 1 The controlled social-semantic cognition model. (A) Example coronal and 3 sagittal MRI slices of a person with semantic dementia, displaying bilateral anterior temporal 4 lobe atrophy. Images are shown in neurological convention (i.e., left=left, right=right). (B) 5 Example coronal and sagittal MRI slices of a person with behavioural-variant frontotemporal 6 dementia, showing prefrontal atrophy. Images are shown in neurological convention (i.e., 7 left=left, right=right). (C) A neuroanatomical sketch of the key areas within the controlled 8 social-semantic cognition model. Social-semantic knowledge is represented in the anterior temporal lobe (purple). Social control is supported by orbitofrontal cortex (yellow) as well as 9 ventrolateral prefrontal cortex (cyan). (D) Additional neuroanatomical sketch, this time cut 10 11 out to display the medial prefrontal areas important for social control.

12

13

1 Box 1 The conundrum of right ATL atrophy and social behaviour

FTD patients with predominantly R>L ATL atrophy often present in clinic with behavioural disturbances alongside difficulties in recognising familiar people. Indeed, the behavioural changes can be hard to distinguish from those found in bvFTD.³⁸ Although there are wellstudied R>L cases that do not follow this pattern,⁴² this presentation of R>L patients is routinely observed in clinics.^{40,54,55} Formal group comparisons have confirmed behavioural changes as a core symptom in R>L cases though, importantly, they are often also found in L>R cases who tend to have less disease burden overall (see main text).^{9,41}

Efforts have been made to conceptualise R>L semantic dementia as a discrete clinical 9 syndrome, motivated in part because the recent consensus criteria for svPPA do not include 10 face recognition problems and behaviour change.⁸ This has led to several alternative 11 proposals for diagnostic criteria and an appropriate clinical label.^{9,41,48} The syndrome has 12 been called 'right temporal variant of FTD' with proposed core clinical features including 13 prosopagnosia, memory deficits and behaviour change.⁴⁸ In parallel, the term 'semantic 14 behavioural-variant FTD' has been proposed with diagnostic criteria including a selective 15 degradation of person-specific semantic knowledge and loss of empathy.⁹ The proposed 16 underlying cognitive mechanism for these symptoms is a loss of social-semantic knowledge 17 following right ATL atrophy.⁹ It has also recently been suggested that the clinical syndrome 18 associated with R>L ATL atrophy may partially reflect reward disturbances and a shift of 19 hedonic value away from other people and towards inanimate objects.⁵⁶ It should be noted 20 21 that both typical L>R semantic dementia (svPPA) and R>L semantic dementia are usually associated with the same underlying TDP-43 type C neuropathology.⁵⁷ In addition, the 22 clinical phenotypes converge over time^{47,53} as atrophy increases rapidly in the contralateral 23 ATL.^{51,52} Therefore, rather than considering right semantic dementia as a distinct syndrome, 24 it may be more appropriate to conceptualise semantic dementia as continuous spectrum, with 25 people with L>R or R>L ATL atrophy located at opposing endpoints.^{33,56,58} 26

According to the CS-SC framework, social-semantic knowledge alongside conceptual representations more generally is represented bilaterally across the ATLs. In other words, the behaviour deficits in R>L semantic dementia do not occur because the right ATL has a specialised role in social cognition/social conceptual knowledge. How then, can our framework explain why people with R>L ATL atrophy often present with impaired social behaviour? It is important to acknowledge that when formally assessed, L>R semantic dementia patients can display behavioural disturbances too, 41,53,59 with a recent study

reporting that social-semantic knowledge correlated with bilateral ATL atrophy.⁶⁰ R>L 1 2 semantic dementia cases often present to clinic at a later stage in their disease relative to L>R cases, with cases of early, mild R>L semantic dementia cases being much rarer than their 3 left-sided counterparts.^{33,61} When directly compared, R>L semantic dementia cases not only 4 have more overall temporal lobe atrophy than L>R semantic dementia^{33,41} but often have 5 greater atrophy in other frontotemporal areas such as the OFC and anterior cingulate 6 cortex.^{33,47} In light of these additional correlated factors, there are then two possible causes of 7 the increased behaviour change in R>L semantic dementia: (i) R>L semantic dementia cases 8 have greater overall ATL volume loss, bilaterally, leading to greater degradation of semantic 9 knowledge required for appropriate social behaviour; and/or (ii) R>L semantic dementia 10 cases have greater concurrent prefrontal damage leading to increased problems with social 11 control; or perhaps, most likely, a combination of the two factors. 12

13 14



Figure 1 45x57 mm (x DPI)