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1 Amazon forest biogeography predicts resilience and vulnerability to drought

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22 Summary paragraph (216 words, target: 200): Amazonia contains the most extensive tropical

- 23 forests on Earth, but Amazon carbon sinks of atmospheric CO₂ are declining, as
- 24 deforestation and climate change-associated droughts¹⁻⁴ threaten to push these forests past

25 a tipping point towards collapse^{5–8}. Forests exhibit complex drought responses, indicating

- 26 both resilience (photosynthetic "greening") and vulnerability (browning and tree
- 27 mortality), that are difficult to explain by climate variation alone^{9–17}. Here, we combine
- 28 remotely-sensed photosynthetic indices with ground-measured tree demography to identify
- 29 mechanisms underlying drought resilience/vulnerability in different intact forest
- 30 'ecotopes'^{18,19} (defined by water-table depth, soil fertility and texture, and vegetation
- 31 characteristics). In higher-fertility southern Amazonia, drought response was structured by
- 32 water-table depth, with resilient greening in shallow-water-table-forests (where greater
- 33 water availability heightened response to excess sunlight), contrasting with vulnerability
- 34 ("browning" and excess tree mortality) over deeper water tables. Notably,
- 35 shallow-water-table-forest resilience weakened as drought lengthened. By contrast,
- 36 lower-fertility northern Amazonia, with slower-growing but hardier trees (or alternatively,

37 tall forests, with deep-rooted water access), supported more drought-resilient forests
38 independent of water-table depth. This new functional biogeography of drought response
39 provides a framework for conservation decisions and improved predictions of
40 heterogeneous forest responses to future climate changes, warning that Amazonia's most
41 productive forests are also at greatest risk, and that longer/more frequent droughts are
42 undermining multiple ecohydrological strategies and capacities for Amazon forest
43 resilience.

Three 'once in a century' droughts (Extended Data Fig. 1) occurred in the Amazon basin 44 45 over a single decade, in 2005, 2010, and 2015-2016^{20,21}, provoking multiple difficult-to-explain 46 forest responses (Fig. 1, Extended Data Fig. 2). For instance, unexpected overall increases 47 ("green-up") in remotely sensed canopy greenness (a proxy for photosynthetic function) during 48 the 2005 drought^{9,10} (Fig. 1a, Extended Data Fig. 2a) appear at odds with reports of simultaneous 49 carbon losses from increased tree mortality observed in ground plots¹⁶. Further, the 2005 50 green-up contrasts with a strong decrease in greenness ("browndown") during the 2010 drought¹¹ 51 (Fig. 1b, Extended Data Fig. 2b), while the 2015/2016 El Niño, the largest and most intense 52 drought of the three, provoked an intermediate response that also included significant green-up 53 regions (Fig. 1c, Extended Data Fig. 2c). Climate drivers alone, though important¹⁰, are evidently 54 insufficient to predict the complexity of drought responses across heterogeneous landscapes²². 55 Still missing is a general understanding of what drives differences in drought resilience across 56 Amazonian landscapes, a "functional biogeography"²³ of forest drought response that can 57 address the question: why are some forests (or times) resilient (exhibiting green-up, or reduced 58 mortality), while others are vulnerable (exhibiting browndown, or enhanced mortality)?

Here, we used satellite indices of forest photosynthesis to test whether three
non-exclusive ecological hypotheses that go beyond climate-only explanations, developed from

61 forest plot-scale observations, can also predict regional scale responses to these recent droughts62 across intact *terra firme* forest types of the Amazon basin.

The first ("other side of drought"²⁴) hypothesis is that shallow water table hydrological e4 environments²⁵ provide trees with greater access to water resources, making them more drought for resilient (as observed in forest plots near Manaus^{26,27}), than trees in forests over deep water tables, whose mortality rates typically increase with drought^{2,16}. This hypothesis predicts that for shallow water table forests should show less browndown (or even experience green-up with reduced anoxia or more sunlight due to reduced cloud cover during drought) compared to forests with deep water tables.

The second ("soil fertility") hypothesis^{28,29} is that in more fertile forests, where tree growth and turnover rates are high, fast growing trees that invest less in drought tolerance have a competitive advantage over trees that invest more. This is because it is easier to simply regrow rates cheaply when resources are plentiful, especially when tree-killing droughts are rare. This hypothesis thus predicts that more fertile forests will exhibit greater drought susceptibility (more browndown or less green-up) than less fertile forests.

The third ("rooting depth/traits") hypothesis focuses on the role of tree characteristics Themselves. This hypothesis predicts that forests dominated either by species with drought avoidance traits (tall, deeply rooted trees)^{30–33}, or drought tolerance traits (high wood density or embolism resistant xylem)^{29,34–36} are more drought tolerant, even over deep water tables.

These three dimensions (water table depth, soil fertility, and vegetation properties) define an 'ecotope space', within which different forest ecotopes are located and may interact with and respond to climate in different ways. To the extent such responses are predictably structured by ecotopes (which also vary by geographic region within the Amazon, Extended Data Fig. 3), it

84 should be possible to derive a unified functional biogeography of the basin-wide diversity of85 forest drought responses.

We tested these hypotheses using satellite indices of photosynthetic capacity (the Enhanced Vegetation Index, EVI, corrected for view- and illumination-geometry artifacts)³⁷ and of photosynthetic activity (the Global OCO-2 Solar Induced Fluorescence product, GOSIF)³⁸. We focused on drought-affected regions, defined as those whose maximum cumulative water deficit (MCWD, methods §2.3) reached more than one standard deviation below the mean of the remote sensing record (from 2000-2020)³⁹. Vegetation index anomalies during drought were analyzed as a function of water table depth (as captured by "height above nearest drainage", or HAND²⁵) and of gridded climate data (photosynthetically active radiation (PAR), vapor pressure deficit (VPD), and precipitation) derived from remote sensing platforms (see methods §2.4, Extended Data Fig. 5).

We took relative green-up (more positive or less negative vegetation anomalies) as an 97 index of resilient photosynthetic capacity or activity, because it suggests more carbon resources 98 for responding to stress, and, notably, is predictive of outcomes on the ground commonly 99 associated with resilience at the individual tree scale (lower mortality, greater growth, and 100 greater xylem embolism resistance, see methods §2.4).

101 Southern Amazon forest drought response

Focusing first on the locale of the 2005 drought (in the Southern Amazon, one of three regions identified in methods §2.2, Extended Data Fig. 6), we found substantial structuring of the 2005 greening by water table depth across the drought-impacted region. This is visually evident in the spatial correspondence of 2005 forest green-up/browndown regions (Fig. 1a, ellipse) with

106 shallow/deep water table forests (Fig. 2a, ellipse), and is quantified by bin-averaged EVI (Fig.
107 2b) and GOSIF (Extended Data Fig. 2d, green symbols/lines) observations vs. water table depth.
108 Vegetation green-up in 2005 was concentrated in pixels with shallow water tables, but as water
109 tables deepened, positive vegetation index "greening" anomalies decreased and then reversed to
110 become negative anomalies (Fig. 2b, Extended Data Fig. 2d). The strongest 2005 green-up,
111 intriguingly, was in forests that experienced the strongest drought (Fig. 2b, dark orange points),
112 apparently because these areas experienced a greater frequency of excess sunlight (Fig. 2c,
113 histograms), which was particularly advantageous to shallow water table forests (Fig. 2c,
114 blue-hued lines).

In order to rigorously quantify the sensitivity of forest response across multiple droughts, In order to rigorously quantify the sensitivity of forest response across multiple regression In order to rigorously quantify the sensitivity of forest response across multiple regression (using Generalized Additive Modeling, GAM), to test hypotheses and predict basin-wide drought anomalies, using AIC selection to identify the best predictive models (methods §2.6.1)⁴⁰, and Structural Causal Modeling (SCM) (using Directed Acyclic Graphs, DAGs) to more systematically evaluate the causal relations suggested by the GAM analysis (methods §2.6.2)⁴¹, Both modeling approaches were conducted on a 0.4 degree grid, the resolution needed to avoid inflation of statistical significance by accounting for spatial autocorrelation among nearby pixels (methods §2.5, Extended Data Fig. 7). We focus on the multiple regression GAM results below, and report comparisons with SCM results in methods §2.6.3.

When all three droughts were modeled simultaneously within Southern Amazonia, using GAM to also account for the effects of climate (**Extended Data Table 1a**), we found that despite large differences observed in responses among the years (Fig. 1: a vs b vs c), the overall other-side-of-drought (hypothesis 1) prediction of a negative relationship between remotely sensed vegetation anomalies and deepening water tables observed in 2005 was consistently
confirmed across all three droughts in this region (Fig. 3a). Notably, though there was an almost
universal browning response to the 2010 drought (Fig. 1b), vegetation anomalies remained
significantly structured by water table depth (Fig. 3a, purple symbols/lines).

This analysis suggests that the ability of shallow water table forests (but not of deep) to 134 respond positively to excess sunlight (possibly including relief from anoxia²⁴) was a key general 135 (multi-drought) mechanism of southern Amazon forest drought response (**Fig. 3b** colored 136 curves). Inter-drought differences in climate drivers — not differences in water-table depth 137 distribution of impacted areas (Fig. 3a, distributions did not differ much) — accounted for much 138 of the inter-drought differences in forest response (in Fig. 3a, the observed points correspond 139 well with the model predictions, which differ among droughts only due to climate). Notably, 140 PAR increased during the 2005 and 2015/2016 droughts (Fig. 3b distributions; Extended Data 141 Fig. 5a, g), promoting green-up, but decreased during the 2010 drought (due in part to excess 142 smoke aerosols from high fire rates⁴², Fig. 3b distribution; Extended Data Fig. 5d). Anomalously 143 high VPD across the droughted region in 2010 (Extended Data Fig. 5e vs Extended Data Fig. 5b, 144 H), may also have contributed to reduced green-up/increased browndown in 2010.

Importantly, inter-drought differences in Southern Amazon forest responses were mediated by drought length (**Fig. 3c**) (as hypothesized in Costa et al²⁴). Despite the even greater sunlight increases in 2015 than in 2005 (Fig. 3b histograms), the overall green-up in 2015/2016 was less than in 2005 (Fig. 3a), apparently due to the exceptional length of the latter drought (Fig. 3c distribution). Initial green-up in shallow-water-table-forests (blue lines in Fig. 3c) reversed to browndown in regions experiencing drought longer than three months, with 151 increasingly stronger browndown the longer the drought. Sufficiently long droughts thus likely152 deplete shallow water tables, diminishing and then reversing their protective effect.

The contrasting responses between shallow and deep water table forests of the southern 153 154 Amazon support the "other side of drought" (hypothesis 1), and at the same time help reconcile 155 the much-discussed apparent disagreement between remote sensing studies showing 2005 156 drought-associated green-up on average^{9,10} (interpreted as showing forest resilience to or even 157 benefit from drought) and ground-based plot studies showing 2005 drought-associated excess in 158 tree mortality on average¹⁶ (interpreted as showing forest vulnerability to drought). Our more 159 fine-grained analysis suggests, however, that the excess greening and the excess mortality were 160 not in the same places; it is the locales with shallow water table forests that were benefited by 161 drought, while deep water table forests are vulnerable, a consistent pattern revealed by both 162 remote sensing (Figs. 3a, 2b) and ground-based forest demography (tree mortality drought 163 response increases with water table depth, Fig. 3d). The apparent disagreement arises because 164 the published plot-based sampling efforts^{2,16} are not random, but skewed towards the deeper 165 water table regions which experienced browndown during drought (Fig. 3e & Fig. 2b, 166 orange-shaded regions), while the basin as a whole has more shallow water table forests like 167 those that experienced greening (Fig. 3e & Fig. 2b, green-shaded regions) (half of the Amazon 168 basin). Shallow water tables may thus gain (or lose less) carbon during drought (as seen in 169 Esteban et al.²⁷) partially offsetting the more negative effect of drought seen on forest mortality 170 and carbon balance in deeper water table forests 2,16 .

171 Basin-wide forest drought response

Although we observed consistent support for the "other-side-of-drought" (hypothesis 1) across both time (three droughts) and space in southern Amazon forests (Fig. 3a) (separately

174 confirmed by causal modeling analysis, Extended Data Fig. 10a), we found consistently *opposite* 175 drought responses with water table depth (EVI anomalies increased with water table depth) in the 176 everwet Amazon of the northwest and in the lower-fertility Guiana shield in the northeast 177 (Extended Data Fig. 8, where fertility is quantified as exchangeable base cations⁴³). These 178 observations falsify hypothesis 1 outside the southern Amazon. We next used forest responses to 179 the 2015/2016 drought (the only drought large enough to substantially impact large portions of 180 all three regions of the basin simultaneously), to test whether joint consideration of all three 181 hypotheses together could explain the biogeography of forest drought response across the basin 182 as a whole.

When gridded ecotope factors (soil fertility and texture^{43,44} and vegetation properties such as canopy height^{34,45}) were included as predictors in our GAM analyses for the 2015/2016 for ught (**Fig. 4**, Extended Data Table 1d), coherent differences between southern and northern Amazon regions emerged from interacting effects of water table depth (hypothesis 1²⁴), soil fertility (hypothesis 2 28,29) and tree rooting depth (hypothesis 3, using forest canopy height as a northern table rooting depth when water tables are deep, consistent with limited observations for tree height-rooting depth relations^{31–33,46}.

The effect of water-table depth on drought response across regions depended on soil fertility (Fig. 4a): Highly fertile areas most strongly evinced the protective effect of shallow water tables (Fig. 4a, green portion of the fertility distribution, corresponding to green lines in Fig. 4c), while lower-fertility areas were either less affected by water table depth or showed the opposite response pattern (Fig. 4a, blue portion of the forest height distribution, corresponding to blue lines in Fig. 4c). This is consistent with hypothesis 2^{28,29} that as soil nutrients become more limiting, trees invest in drought resistance traits (e.g. high xylem embolism resistance), and with

197 observations of strong association between regions of low soil fertility and high wood density
198 (Extended Data Table 2). We also noted interactions of water table depth with soil texture
199 (Extended Data Fig. 11), as discussed in methods §2.6.1(ii).

The effect of water-table depth on drought response also depended on forest height (Fig. 201 4b), with the tallest forests, expected to have deeper rooting zones, enabling green-up even in 202 regions (like the Guiana shield) with deeper water tables (Fig. 4b red portion of the forest height 203 distribution, corresponding to red lines in Fig. 4d). Meanwhile, taller forests performed worse 204 than shorter tree forests in shallow water table areas (Fig. 4d and Extended Data Fig. 10d, red vs 205 blue lines), consistent with findings that when lacking a deep root advantage, tall trees may 206 suffer higher drought mortality due to greater exposure to atmospheric drought (high VPD)⁴⁷. 207 Deep water tables may promote deep-rooted tall trees with resilience to seasonal atmospheric 208 and soil water deficit exposure, with access to more consistently available deep soil water, 209 enabling them (like shallow rooted trees over shallow water tables) to take advantage of extra 210 sunlight during moderate droughts.

An empirical test of the basin-wide model predictions (Fig. 4a-d) showed that the fully integrated analysis accounting for the differences in the ecotope factors in different regions (Extended Data Fig. 3), was able to consistently predict the different kinds of drought responses observed in different regions of the basin (Fig. 4e).

Our GAM modeling framework powerfully allows further investigation of additional equestions, generating a rich suite of testable hypotheses for future research into forest drought response (methods §3). These include the question of whether coarse-scale patterns (like those deriving from the 1-40 km pixels used here) may emerge from such mechanisms as access to water tables, which vary across landscapes, from forest plateaus to adjacent valleys, at fine scales

of just a few meters (Extended Data Fig. 12); how individual relatively tall trees may be at
greater drought risk^{48,49} even within tall forests whose average height is here predicted to be more
protective against drought; whether forests are more sensitive to droughts that occur in wet
versus dry seasons (Extended Data Fig. 13); the effects of forest degradation on drought
sensitivity (Extended Data Fig. 14); and of the generality of these mechanisms in other
ecosystem types in the Amazon basin and beyond.

226 A functional biogeography of Amazon drought

We used the GAM predictions (Fig. 4) of different drought responses across different present ecotopes (here defined by water table depth, soil fertility and texture, and forest height) to present a biogeography of forest drought resilience (where resilient pixels, as defined in methods sold §2.4, are those in which ecotope factors promote relative green-up) and vulnerability (pixels in which ecotope factors promote browndown) across the Amazon basin (**Fig. 5a**), including the ecotope factor combinations conducive (or not) to resilience (**Fig. 5b, c**).

This functional biogeography reveals the importance of ecotopes in structuring forest drought response: first, simply because the GAM models which accouted for forest ecotopes (via the variables HAND, SoilFertility, SoilTexture, and ForestHeight; Extended Data Fig. 3) along with climate had significantly more predictive power (higher R² while selected by lower AIC) than climate-only models (Extended Data Table 1). More importantly, the ecotope-defined biogeography allows attribution of greening-inferred resilience in different forests to distinct mechanisms. For example, during the 2015/2016 drought, forest greening was observed both in the shallow water table forests of the Rio Negro basin and in deep water table forests of Amapa tatte ("RN" and "AP" regions, respectively, highlighted in Figs. 1c, 4b and 5a). The biogeography (Fig. 5b) and GAM prediction (Fig. 4b) show both regions sharing infertile soils,

²⁴³ but they point in particular to forest height—and associated deep rooting zones enabling access
²⁴⁴ to deep water—as a key factor supporting resilience/greening in the deep water table forests of
²⁴⁵ AP (coded orange in Fig. 5b, c), whereas the RN forests (coded green in Fig. 5b,c), though short,
²⁴⁶ had access to shallow water tables.

This new analysis goes beyond previous climate-based explanations of Amazon forest 247 248 drought response, and importantly complements the recent map of external anthropogenic tipping-point threats (due to combined stresses of droughts, deforestation, fire, roads, etc.)⁷ with 249 250 a biogeography of *intrinsic* ecological resilience/vulnerability (due to characteristics of forests in 251 their adapted environments). Interaction among the three different hypotheses—that hydrologic 252 environments, soil fertility, and tree drought resistance traits structure forest drought 253 response—shows that no single factor could explain drought response across the whole basin 254 through different droughts. Thus, shallow water table hydrologic environments do indeed protect 255 against drought²⁴, but only relatively, especially in regions where high fertility stimulates the fast 256 growth of hydraulically more vulnerable trees²⁸ (Fig. 5c, where the blue-labeled fertile regions 257 with shallow water tables are the least vulnerable among the first four "more vulnerable" 258 combinations on the left). The most resilient forest types (Fig. 5c) were those with low soil 259 fertility, occupying all categories of the "more resilient" end of the drought-response 260 biogeography (the right side of Fig. 5c).

Confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground confidence in this new forest biogeography arises from corroboration by ground consistent results from different modeling approaches (GAM predictive confirmed by SCM confidence in the constant of the confirmed by SCM confidence in the constant of the constant of the confirmed by SCM confidence in the constant of the constant of the confirmed by SCM confidence in the constant of the constant

the ground (methods §1.6), and here, with tree demography during the three droughts (Fig. 3a vs
3d for 2005 and 2010, and Extended Data Fig. 16, for 2015), with remote photosynthetic
anomalies negatively correlated to mortality, and positively to recruitment, as expected if more
negative anomalies are associated with increased plant stress. Notably, our GAM-derived remote
sensing resilience map also independently predicted observations in forest plots of tree xylem
hydraulic safety margins to mortality-inducing embolism⁵⁰, a widely-cited physiological drought
tolerance trait (Fig. 5a inset).

273 Implications of a new Biogeography

This work has important implications for understanding forest responses to climatic 275 variability and change. First, because shallow water table forests in Amazonia are extensive 276 (30-40% of the southern Amazon where they are found to be protective during drought) but 277 neglected by most previous studies of forest drought sensitivity (Fig. 3e histograms), southern 278 Amazon forests are likely more resilient to drought than common estimates of climate sensitivity 279 imply¹⁶, and large-scale plot-based estimates of a drought-induced decline in the Amazon forest 280 carbon sink² may need to be adjusted to account for these more drought resilient but neglected 281 forests.

However, this analysis also warns that climate change is likely simultaneously undermining different strategies and capacities for drought resilience, and highlights specific examples and Amazon regions likely to be vulnerable to tipping-point failure: the resilience conveyed by shallow water table hydrologic environments in certain regions (or the long-term benefits of a strategy of growing "trees fast in high-fertility environments to replace those easily lost to drought²⁸) is likely limited under growing climate change. The buffering effect of shallow water tables appears limited to short duration droughts (< 3 months, Fig. 3c) that do not last long enough to deplete water tables. And the benefits of re-growing trees quickly that are lost to once-in-a-century droughts (whether or not protected by shallow water tables) are much reduced when those drought frequencies increase to become 5 or 10-year droughts (as seen recently and predicted to continue in the near future^{51,52}). Importantly, these fertility results imply (consistent with a recent ground-based study of hydraulic traits²⁹) that it is Amazonia's most productive higher-fertility forests that are actually those most vulnerable to future climate change.

Finally, we note that the geographic distribution of these most-vulnerable forests (Fig. 5a reddish regions) has important warnings for sustaining the integrity of critical ecosystems both in (substantially overlapping with the "arc of deforestation," Extended Data Fig. 18). More importantly, because they are predominantly situated under prevailing winds that bring moist Amazonian air to the south (Extended Data Fig. 18) they are critical to maintaining the evapotranspiration that feeds (and likely amplifies⁵³) the "atmospheric rivers" that bring forest-recycled precipitable water from the Amazon regions to sustain South America's breadbasket in the agricultural regions of Brazil⁵⁴.

This unified understanding of the functional biogeography of Amazon drought response provides a basis both for establishing basin-wide priorities for conservation planning and for achieving improved understanding and predictions of tropical forest vulnerability to current droughts, threatened tipping points, and future climate change.

309 FIGURE CAPTIONS

 Fig. 1. Amazon forest remotely-sensed responses to the droughts of (a) 2005, (b) 2010, and (c) 2015/2016, expressed as standardized anomalies of Enhanced Vegetation Index (EVI, a proxy of photosynthetic capacity) in drought-affected pixels (defined in Extended Data Fig. 1). (note: panel A highlights an ellipse of green-up and browndown patterns that correspond to shallow and deep water tables in Fig. 2a; panel C highlights two areas exhibiting green-up—RN, in Rio Negro catchment, and AP in Amapa state—for comparison to Figs. 4-5). **Insets:** Frequency distributions of MAIAC EVI anomalies in drought regions for (a) 2005 (ΔEVI = +0.14, p<0.001, df =916, (b) 2010 (ΔEVI = -1.06, p<0.001, df=1057) and (c) 2015 (ΔEVI = -0.57, p<0.001, df=2218) droughts. Statistics are from student's t-test, where, following the variogram analysis (Methods §2.5) the degrees of freedom, df=n-1, were adjusted for autocorrelation based on n = number of statistically independent 0.4° x 0.4° drought-affected pixels in each drought region.

Fig. 2. Amazon forest response to 2005 drought is structured by water-table depth: (a) Water-table depth map (indexed by Height Above Nearest Drainage, HAND, in meters, Andes excluded²⁵) with ellipse highlighting shallow and deep water tables that correspond to green-up and browndown patterns in Fig. 1a. (b) Observed EVI anomalies (solid symbols±SE, from Fig. 1a, left axis) bin-averaged by water-table depth (HAND), and by moderate, medium, and severe drought pixels (those with MCWD 1-1.5 SD, 1.5-2 SD, and >2 SD below mean, respectively); area histogram of drought-affected HAND (right axis). Average EVI anomaly across all severities (horizontal lines) for shallow (0-8 m, green band) and deep water-table forests (>22 m, orange band). (c) Observed EVI anomalies (solid symbols±SE, from Fig. 1a) bin-averaged by PAR anomalies and by different water-table depths (HAND values) (upper panel); histograms of PAR anomaly (lower panels) according to drought severity.

333 Fig. 3. Southern Amazon forest responses to multiple droughts: (a-c) GAM (Extended 334 Data Table 1a) predictions: (a) Climate-adjusted EVI responses vs. water-table depths (indexed 335 by HAND) support hypothesis 1 (with consistent negative slopes) for observations (points $\pm 95\%$ 336 CI and solid regression line) and GAM predictions for the 2005 (green, slope=-0.019±0.001 SD 337 m^{-1}), 2010 (purple, slope=-0.020±0.002 SD m⁻¹), and 2015 (blue, slope=-0.028±0.002 SD m⁻¹) 338 droughts (shading and dashed regression lines), paired with HAND distributions in each drought 339 region(bottom graphs, right axis); (b) PAR sensitivity, by HAND class, of (climate-adjusted) EVI 340 drought responses, paired with drought-specific area distributions of PAR anomalies (right axis), 341 show greater PAR sensitivity for shallower water tables. (c) Drought-length sensitivity, by 342 HAND class, of (climate-adjusted) EVI drought response, paired with drought-specific area 343 distributions of duration (in months) (bottom graphs, right axis) show that shallow-water-table 344 protection is diminished for long droughts. 'Climate-adjusted' responses use southern Amazon 345 drought-specific average climate to predict responses or adjust observations. Each drought's 346 distribution occupies equal area across the three panels. (d) Above-ground biomass (AGB) 347 mortality drought responses (mortality-associated carbon flux, in percent change relative to 348 long-term MgC ha⁻¹ y⁻¹ in RAINFOR plots²) vs. water-table depth (HAND) (points ±95% CI, 349 regression line for depths less than 30 meters) support hypothesis 1 (with consistent positive 350 slopes) for the 2005 (green, slope=1.4 % m⁻¹, p=0.051) and 2010 (purple, slope=1.8 % m⁻¹, 351 p=0.015) droughts, paired with (e) cumulative distributions of HAND area across basin (gray 352 bars, left axis), and distribution of plot-based sampling efforts (fractional effort, RAINFOR plot 353 area × years monitored, per HAND bin, divided by fractional basin area per HAND bin, blue 354 bars, right axis). This shows that plot sampling efforts underrepresent prevalent shallow 355 water-table forests that greened up (green band, ~55% of the basin, but 16% of the effort) and 356 over-represent deep water-table forests that browned down (orange band, ~20% of the basin but 357 55% of the effort).

Fig. 4. Basin-wide Amazon forest responses to the 2015 drought, structured by ecotopes
and predicted by whole-basin GAM analysis (Extended Data Table 1d): GAM partial
predictions of EVI anomalies (color scale) for (a) soil fertility⁴³ (vertical axis) and HAND²⁵
(horizontal axis) terms only, and for (b) forest height⁴⁵ and HAND²⁵ terms only. Ecotope
distributions in southern, everwet, and Guiana shield forests are in A-B margins, and associated
99% confidence ellipses are in the graphs. Mean values of two areas exhibiting green-up in Fig.
1c (RN, in Rio Negro catchment, and AP in Amapa state) illustrate differing mechanisms of
green-up (especially evident in B, where tall trees, despite deep water tables, promote green-up
in AP, while shallow water tables promote green-up for RN). (c)(d) adjusted EVI anomaly versus
HAND with increasing (c) fertility (blue to green, corresponding to colored areas in forest height
distributions in a) or (d) forest height (blue to red, corresponding to colored areas in forest height
distributions in b). (e) Region-specific EVI anomaly sensitivities to HAND, comparing adjusted
observations (symbols) to adjusted GAM predictions (lines and 95% confidence shaded area).
Note: 'adjusted' EVI anomalies indicate that climate and ecotope factors not displayed in the

373 Fig. 5. A biogeography of Amazon forest drought resilience and vulnerability: (a) Regions 374 relatively more resilient (likely to exhibit EVI green-up) (green) or more vulnerable (red) to 375 drought, based on standardized GAM drought response predictions of EVI anomaly from 376 ecotope factors only (from Fig. 4, Extended Data Table 1d) (removing effects of climate 377 variability by setting climate equal to its basin-wide average) (see methods §2.8). Crosses are 378 validation sites where remote sensing-derived resilience predicts plot-based physiological 379 drought tolerance (tree hydraulic safety margins, HSM_{50}) as seen in the inset (R²=0.65; p=0.008); 380 (b) Overlapping strategies and ecotopes structuring the distribution of relative drought resilience 381 mapped in (a), as promoted by presence of resilience factors: shallow water tables 382 (HAND<10m, blue), low-fertility soils (cation concentrations<10^{-0.35} cmol⁺/kg, yellow), or tall 383 deep-rooted trees (heights>32.5 m, red), with overlap indicated by the primary color mixing rules 384 in the legend, and white indicating no resilience factor (which notably corresponds well to the 385 most vulnerable red regions in a). (c) Distribution of resilience factor groups, and the proportion 386 of relatively vulnerable, resilient, or neutral forest associated with each (left axis) and mean 387 relative resilience (blue horizontal lines, right axis), ordered from most vulnerable to most 388 resilient.

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506 Methods

In this study, we applied a hypothesis-testing framework^{55,56}, using remote sensing methods to test a sequence of three key ecological hypotheses that predict how different forest types prespond to drought. To conduct these tests, we assembled key datasets (**Section 1**), including two classic satellite products of vegetation photosynthetic function (the most recent version of the the state of the products of vegetation photosynthetic function (the most recent version of the the state of the s

519 To conduct the statistical analysis (Section 2), we first interpolated data products onto grids 520 of appropriate spatial resolution (section 2.1), and conducted a supervised classification analysis 521 of Amazon forests into three distinct regions defined by ecotope (section 2.2). We defined 522 climate anomalies and drought characteristics and duration on a pixel-by-pixel basis (section 523 2.3), defined forest drought resilience in terms of anomalies in vegetation function (section 2.4), 524 conducted a variogram analysis to remove effects of spatial autocorrelation (section 2.5), and 525 then evaluated the scale dependence, or sensitivity of key results to the pixel size/spatial 526 resolution (section 3). We derived statistical models of drought response (section 2.6) using two 527 independent approaches: predictive regression modeling (General Additive Modeling, GAM, a 528 non-linear multiple regression technique where the most predictive models are selected by an 529 information criterion) (section 2.6.1), and Structural Causal Modeling (SCM, using Directed 530 Acyclic Graphs, DAG, section 2.6.2). We tested GAM predictions by comparison to adjusted 531 observations (section 2.7) and then used the basin-wide GAM predictive model (from section 2.6.1) to derive a functional biogeography of drought response (section 2.8). 532

Finally (Section 3), we addressed confidence in our interpretations by exploring potential alternative mechanisms and caveats, and by using the predictive GAM framework to conduct fast tests of alternative hypotheses that could either support or reject those presented in the main text. These provided evidence in support of our interpretation, but also pointed to future research fart needs.

538 <u>1. Datasets</u>

539 1.1. Remote sensing indices of photosynthesis

We applied two widely used, ground-validated remote sensing indices of photosynthesis 541 to provide a sensitivity analysis that brackets the plausible range of forest canopy response to 542 drought: the Enhanced Vegetation Index (EVI), constructed from observations of surface 543 reflectance by the MODerate resolution Imaging Spectroradiometer (MODIS) onboard the 544 Terra/Aqua satellites; and the global OCO-2 Solar-induced Fluorescence (GOSIF) product 545 derived from observations by the Orbiting Carbon Observatory 2 satellite. EVI, derived from the 546 spectra of light reflected from surface vegetation, is designed as an index of the *photosynthetic* 547 *capacity*⁵⁷. GOSIF is designed to represent the active light emission from fluorescing chlorophyll 548 molecules during photosynthesis, which is often well-correlated with canopy-scale instantaneous 549 *photosynthetic activity*⁵⁷. This distinction (between reflected light used to construct EVI as a ⁵⁵⁰ proxy for capacity, versus actively emitted light used to construct GOSIF as a proxy for activity)⁵⁵¹ means that these indices may be expected to display divergent responses.

We chose these indices because they aim to capture different end-members of a spectrum of canopy responses: from transient physiological changes in photosynthesizing/fluorescing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in response to changing the leaves (which might be due, for example, to stomatal regulation in responses, which also the sponses associated with leaf turnover such as leaf flushing or shedding which also the sponses, which have the sponses to remotely capture seasonal canopy greenup dynamics that are consistent with the of EVI drought responses at broadscales would suggest that ecophysiological and structural the robustness to drought are aligned in the Amazon, increasing confidence in the robustness to remotely observed drought responses.

MAIAC EVI: The Multi-Angle Implementation of Atmospheric Correction (MAIAC) 563 564 algorithm rigorously accounts for sun-sensor geometry, as represented in a bidirectional 565 reflectance distribution function (BRDF), estimating reflectance at a nadir view and 45° solar 566 zenith angle, with strict atmosphere, aerosol, and cloud corrections⁶¹. We used the 8-day 567 MCD19A3 (MAIAC) 1-km product from MODIS collection six, a level 3 product composited 568 from cloud-free and low aerosol conditions. We applied the coefficients (weights) of the 569 RossThick/Li-Sparse (RTLS) Bidirectional Reflectance Distribution Function (BRDF) model 570 (available at https://e4ftl01.cr.usgs.gov/MOTA/MCD19A3.006/). We calculated the 8-day EVI 571 from the MAIAC surface reflectances of red, blue, and near-infrared bands as in Huete et al.⁵⁷ 572 from 2001-2019. The 8-day EVI is then aggregated to a monthly time step. GOSIF: Solar induced fluorescence (SIF), emitted by chlorophyll molecules in green plants 573 574 that have been excited by absorption of sunlight, provides a direct index of the current 575 physiological state of a photosynthesizing canopy⁶². The OCO-2 satellite observes SIF at coarse 576 resolutions⁶³, and these are used to create the modeled GOSIF data product⁶⁴ available at 577 http://data.globalecology.unh.edu/data/GOSIF v2), which simulates higher resolution SIF 578 dynamics over longer time periods by interpolating among discrete OCO-2 SIF soundings using 579 the MODIS surface reflectance product MCD43C4 (BRDF-corrected to nadir view and to the ⁵⁸⁰ solar zenith angle at local noon), and meteorological reanalysis data⁶⁴. We used the monthly 581 composite GOSIF product with high spatial resolution of 0.05° over the period from 2001 to 582 2019. Among SIF-related products, GOSIF has been found to be the best predictor of GPP across 583 land cover types⁶⁵.

584 1.2. Climate variables

To explore climate effects on forest drought responses, we used monthly precipitation, 586 Maximum Cumulative Water Deficit (MCWD), surface downwelling shortwave radiation and 587 Vapor Pressure Deficit (VPD) resampled at 0.4°. Precipitation and MCWD are from the Global 588 Precipitation Mission (GPM) and Tropical Rainfall Measuring Mission (TRMM) 3B43-v7 for 589 2000-2020 at 0.25° resolution (~25 km x 25 km)

590 (https://disc2.gesdisc.eosdis.nasa.gov/data/TRMM_L3/TRMM_3B43.7/)⁶⁶.

MCWD measures local drought intensity, defined as the maximum deficit reached in the solution of a string of dry months for each grid cell within the year³⁹, treating forest water solution deficit as analogous to a bucket whose deficit is zero when the bucket is full. To avoid splitting a string of dry months between two years, we used a 12-month 'hydrological year' running from
May to the following April (e.g., MCWD for 2004 was calculated using CWD data from May
2004 to April 2005). We also used monthly surface downwelling shortwave radiation from
Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2
Reanalysis) for 2000 to 2019 as a proxy for PAR at spatial resolution of 0.5° x 0.625°
(https://goldsmr4.gesdisc.eosdis.nasa.gov/data/MERRA2_MONTHLY/M2TMNXRAD.5.12.4/)⁶⁷
Vapor Pressure Deficit (VPD) was calculated based on surface air temperature and relative
humidity (L3 Standard Monthly Product, AIRS3STM) from version 6 of the Atmospheric
Infrared Sounder (AIRS) at the spatial resolution of 1 degree for 2003-2017 (~100 km,
https://airs.jpl.nasa.gov/data/get-data/standard-data/)⁶⁸⁻⁷¹.

604 1.3. Ecotope variables

We follow the ecosystem ecology approach^{18,19} of characterizing different ecosystem types (in this case, forest ecosystems) by their "ecotopes", that is, by the combination of biotic characteristics and abiotic environments that define them, here including their hydrological environment (water table depth), soil types (fertility and texture), vegetation characteristics, and other factors⁷².

We used the Height Above the Nearest Drainage (HAND) normalized terrain model^{25,73} as a 611 proxy of water table depth and for plant access to groundwater, rederived at 100m resolution 612 from digital elevation model-Shuttle Radar Topography Mission (SRTM) data for this study^{26,74}. 613 The HAND normalization is relative to the local drainage height, using the flow paths to connect 614 all cells (pixels) with the cells of the nearest drainage. The HAND model has been validated over 615 an area of 18,000 km² in the lower Rio Negro catchment²⁵ and used for a wide range of 616 ecohydrological studies^{27,75,76}. HAND is comparable to the water table depth (WTD) model-based 617 product of Fan & Miguez-Macho⁷⁷, which gave broadly similar results to those reported here 618 with HAND. For this study the HAND normalized terrain model was derived from SRTM-DEM 619 at 100 m resolution.

For soil fertility, we used a map $(0.1^{\circ} \text{ spatial resolution})$ of exchangeable base cations (Ca⁺ 621 + Mg⁺ + K⁺ measured in cmol(+)/kg) for the Amazon basin⁴³, the most extensive 622 empirically-validated gridded soil fertility product currently available. Soil cation concentrations 623 estimated from this product achieved good agreement with an independent dataset of 624 field-measured values (correlation of r = 0.71^{43}).

Our analysis does not include phosphorus, generally considered to be limiting to tropical forest productivity^{78,79}, but not currently available as a high-quality validated gridded data product. We expect base cations to be a partial index of phosphorus availability, as both cations and phosphorus become available though weathering of young soils arising from Andean parent material or runoff sediment, but are eventually leached, leaving older highly weathered soils in the Guiana Shields depleted of both. Cation concentration should also be directly relevant to all drought tolerance, as high concentrations should improve osmotic regulation of stomatal conductance, an important regulator of drought response⁸⁰.

For soil texture, we used soil sand/clay fractions from "SoilGrids" system released by
 ISRIC (International Soil Reference Information Centre) World Soil Information⁴⁴.

For forest height, we used a canopy height metric derived from spaceborne lidar 636 measurements⁴⁵ (<u>https://webmap.ornl.gov/ogc/dataset.jsp?dg_id=10023_1</u>) and validated by field 637 measurements, with an increased accuracy in the Amazon compared to previous metrics³³). This 638 wall-to-wall global map of canopy height is at 1-km spatial resolution, interpolated from lidar 639 observations by the Geoscience Laser Altimeter System (GLAS) aboard ICESat (Ice, Cloud, and 640 Land Elevation Satellite). We take forest canopy height as a proxy of rooting depth, based on 641 standard allometries backed by observations in Brazilian tree plantations^{31,32,46}, in a central 642 Amazon forest^{31,32,46} and across biomes^{31,32,46} that show they are correlated^{31,32,46}. However, 643 observations of the tree height-rooting depth allometry are limited, especially in tropical forests 644 (although one study cited here³¹ is directly relevant, as it is from central-eastern Amazon upland 645 forest, conducted during the 2015 drought); this limitation remains a key uncertainty in our 646 ability to confidently attribute variations in drought response to rooting depth, as opposed to 647 canopy height itself, or other (as yet unidentified) correlates of canopy height. We also note that 648 shallow WTD limits rooting depth such that canopy height correlations to rooting depth in these 649 forests may be diminished⁸¹.

We also applied community-weighted mean wood density and the abundance of Fabaceae (legumes)³⁴. Fabaceae refers to a large, nearly cosmopolitan family that relates woody plants with nitrogen-fixing nodulation, usually assumed adaptations to low-fertility soils⁸².

653 1.4. Identification of terra firme Amazon basin forests using land cover maps

In order to focus our analysis on the desired domain of terra firme forests, we used a forest map at 1 km spatial resolution (MOD12Q1.006) to identify evergreen forest pixels within he Amazon basin⁸³, excluding open water, deforested forests, and non-forest vegetation types. A floodplain map was also used in order to identify targeted non-flooded forests, and exclude floodplain forests⁸⁴. We used the map of Gomez et al.⁸⁵ to define the boundary of the Amazon basin, an inclusive definition encompassing all forested parts of the Amazon river catchment and Amazon forests technically within the Orinoco river catchment. We used a recently published forest cover classification that now includes a category for "degraded" forests at 30 meter spatial keep resolution (Vancutsem et al.⁸⁶, updated to 2022), to test drought sensitivity (methods 3).

663 1.5. Forest Plot Data

RAINFOR long-term forest plots: We used demographic datasets over the period 664 665 1983-2011 from all of the 321 re-censused forest plots that were published and used to estimate 666 Amazon basin-wide carbon balance (most, but not all, of these were from the RAINFOR $(667 \text{ network})^2$, for three purposes: (1) to characterize the spatial representativity of the reported 668 plot-based sampling efforts (area-weighted frequency×duration that plots were monitored) with 669 respect to the distribution of water table depths (HAND) across the Amazon basin (Fig. 3e); (2) 670 to test whether forest mortality anomalies (% deviation from long term mean) in 247 plots 671 subject to the 2005 and 2010 droughts were associated with water table depth (Fig. 3d); and (3) 672 to validate EVI remote sensing with spatial variations in long term (2000-2011) average 673 above-ground net primary productivity (ANPP) rates across the Amazon basin (section 1.6.b, 674 Extended Data Fig. 15). The full RAINFOR and related networks sample more plots than these, 675 likely including a greater range of environments⁸⁷, but published results representing drought 676 response of "the Amazon rainforest"¹⁶ and "the Amazon carbon sink"² are the ones whose sample plot distributions are analyzed here for their representivity. 677

678 **Shallow water table forest plots**: For remote sensing validation, we also used mortality 679 and recruitment data from 25 1-ha plots distributed across eight research sites along the BR-319 680 road in the southern Amazon between Manaus and Porto Velho (from 62.5° W, 5.9° S to 60.9° W, 681 4.4°S) as analyzed in Sousa et al.²⁶ These are shallow water table sites (2.81 m ± 2.38 deep [M ± 682 SD]) intended to complement the on average deeper water table sites of the RAINFOR network 683 (above). These more recent data focused on mortality and recruitment rates calculated for the 684 2015-2016 drought (section 1.6.b, Extended Data Fig. 16).

Forest plot hydraulic safety margins. We used a published pan-Amazon hydraulic trait data dataset (hydraulic safety margins, HSM, the difference between water potentials experienced by a species in the field and the water potentials leading to hydraulic failure, with narrower margins indicating greater mortality risk)⁵⁰, including 108 species distributed across 9 forest sites across western, central eastern and southern Amazon, to validate our derived resilience map (Fig. 5). These sites belong to old-growth lowland forests, little disturbed by human activities, spanning the Amazonian precipitation gradient and encompassing the principal axes of species composition in the Amazon⁵⁰. The HSM was calculated with respect to P50/88 (HSM50/88) at species level, and then basal-area weighted averaged occurring at sites⁵⁰.

695 <u>1.6. Remote sensing validation and consistency</u>

696 <u>1.6.1 Validation by ecosystem flux measurements (eddy flux towers)</u>

MAIAC EVI: EVI has been extensively validated against measurements of ecosystem
photosynthesis (Gross Primary Productivity, GPP) from eddy flux towers across land types
world-wide⁸⁸, including temperate^{89–91} and tropical biomes^{92–94}. Earlier versions of MODIS EVI
were criticized as influenced by aerosol or sun-sensor geometry artifacts when detecting tropical
forest greening^{95,96}, but such effects are largely eliminated in the current MAIAC EVI product
used here (which corrects artifacts from aerosol contamination and sun-sensor geometry)⁶¹.
Particularly relevant for this study, MAIAC EVI well-detected Amazon forest seasonal green-up
dynamics across a network of eddy flux tower sites in the Brazilian Amazon^{97,98}, with patterns
shown to be consistent with understandings of leaf development and demography derived from
flux towers and phenocam studies on the ground⁶⁰.

⁷⁰⁷ EVI or EVI-based models predict independent tower measurements of monthly GPP with ⁷⁰⁸ $R^2 \sim 0.5-0.7$ for tropical^{92-94,97}, and $R^2 \sim 0.7-0.8+$ for temperate biomes⁸⁹⁻⁹¹.

GOSIF: Despite non-linear and sometimes decoupled relationships between chlorophyll fluorescence and photosynthesis at leaf scales⁹⁹, satellite observations of SIF from OCO-2 have related to canopy scale GPP⁶³, suggesting that canopy scale processes can effectively average over leafscale complexities. GOSIF modeled datasets built from SIF related to canopy scale by tower-based CO2 flux estimates of GPP, achieving relation ($R^2 = 0.73$ globally) with the 91 sites of global Fluxnet GPP (2015 Tier 1 relatest)⁶⁴, with somewhat lower correlations ($R^2=0.51$, comparable to EVI in the tropics) for the relatest biome, including sites in the Amazon⁶⁵.

Note on lower \mathbb{R}^2 for Tropical vs Temperate forest GPP detection: Although both indices (GOSIF and EVI) capture GPP comparably in deciduous broadleaf (temperate) versus evergreen provide for the forest swithin active growing seasons, most statistical assessments are of full annual cycles, which typically show substantially better statistics ($\mathbb{R}^2 > 0.8$) for temperate zone forests, simply because temperate forests include easily detectable dormant periods when GPP ~ 20, which make total annual variability (hence \mathbb{R}^2) higher, while tropical evergreen forests are active year round.

724

<u>1.6.2. Validation by forest plot metrics of demography and of physiological drought tolerance</u>
 We investigated the effect of variations in remotely sensed photosynthesis on downstream
 forest demographic effects (growth, recruitment, and mortality, section 1.5). We should expect
 remote sensing skill in predicting demography to be weaker than for predicting photosynthetic

729 fluxes, because demography emerges, not from photosynthesis alone, but from the balance of730 photosynthesis and autotrophic respiration, and is also influenced by other factors such as731 disturbance.

We nevertheless found validation at multiple scales: MAIAC EVI significantly predicted
spatial variations in decadal forest ANPP (during 2000-2011) across the Amazon basin
(RAINFOR network, methods section 1.5, Extended Data Fig. 15). Using more recent data, we
also confirmed consistent detection by EVI and GOSIF of short-term demographic
drought-response metrics during the 2015/2016 drought (mortality, recruitment, and the
mortality:recruitment ratio, Extended Data Fig. 16), as expected if excess mortality (or a decline
in recruitment) follows declines in photosynthetic carbon assimilation. The R² values of 0.25 to
0.35 for remote detection of demography (Extended Data Figs. 15-16) are consistent with our
expectation that they should be about half of the remote detection R² for GPP (0.5 to 0.6,
discussed in 1.6.1), since GPP is about one-half the determinant of the NPP driver of
demography.

With respect to remote detection of the physiological drought tolerance of trees, we 743 744 investigated the ability of our remote sensing-derived forest photosynthetic "resilience" map 745 (Fig. 5a, see methods section 2.8) to predict a metric of the resilience of individual trees to 746 drought, hydraulic safety margins (HSM) for xylem embolism. Individual tree HSM -- the 747 difference between observed stem water potentials and the stem water potentials at which trees 748 become vulnerable to xylem embolism -- are widely regarded as predictors of tree mortality risk 749 under drought⁵⁰, with narrower HSMs indicating greater mortality risk³⁶. We found that our 750 remote sensing-derived estimates of forest resilience (Fig. 5a) could significantly predict 751 basal-area weighted tree HSM measured on the ground at forest plots across the Amazon basin 752 (Fig. 5a inset) (reported in Tavares et al.⁵⁰, as summarized in section 1.5). (Note that forest 753 resilience was estimated as in methods section 2.8, but using canopy height mapped at 0.1 degree 754 resolution--instead of the baseline model resolution of 0.4 degrees--in order to avoid mixing the 755 height signal of intact HSM plot forests with that of occasionally nearby deforested areas.) This 756 validation strongly supports the validity of using remotely sensed photosynthetic indices to 757 derive a definition of photosynthetic resilience to drought.

758

759 1.6.3. Consistency between EVI and GOSIF

Are the two remote sensing metrics showing consistent response to drought? The spatial r61 locations of the drought anomalies appear similar, though not the same (Fig. 1 vs Extended Data r62 Fig. 2) – but since EVI and GOSIF are intended to be sensitive to distinct dimensions of canopy r63 photosynthetic function -- i.e., to photosynthetic capacity versus activity, respectively (as r64 discussed in section 1.1), we should not expect sameness.

We do expect *activity* to be generally more sensitive to drought than *capacity*, because activity-based responses encompass both transient/reversible physiological responses (e.g. ror stomatal adjustment) as well as slower structural effects due to changes in capacity (e.g. biochemical inhibition, leaf growth or shedding)^{58,100}. We indeed see this expectation reflected in ror observed drought response, with the range of GOSIF (activity) anomalies (from -9.6 to +4.8 ror standard deviations, excluding 0.1% of the distribution in each tail) 30% greater than the range ror of EVI anomalies (-6.5 to +4.5 standard deviations, Extended Data Fig. 2 vs Fig. 1 insets). More important, we ask whether there is consistency in terms of support for or rejection of ror hypotheses that are the focus of this analysis -- for example, whether the "other side of drought" ror prediction that drought response anomalies should decline with water table depth, and here we 775 do see broad support for this hypothesis from both EVI and GOSIF: for the 2005 drought 776 "ellipse" region that was discussed in the main text (Extended Data Fig. 2d), and for the three 777 droughts considered together (Fig. 3a vs Extended Data Fig. 2e). We also see similar ability of 778 the two metrics to predict tree demographic responses to drought on the ground (Extended Data 779 Fig. 16). Together, these comparisons increase confidence that forest drought response 780 hypotheses are robustly supported by the two indices.

Within the broadscale consistency, there is also substantial finescale differences in spatial r82 location of anomalies (Fig. 1 vs Extended Data Fig. 2) and the detailed structure of responses r83 (the pattern of residuals in Fig. 3a vs. Extended Data Fig. 2e), suggesting that more nuanced r84 study of these finer-scale differences could reveal additional insights into the biogeography of r85 forest drought response¹⁰¹.

786

787 2. Mapping and Statistical Analysis

788 2.1. Spatial Grid resolutions

We interpolated the differently resolved data products to different grid resolutions as needed for mapping and modeling. Native resolutions were used to display most maps (exceptions roted):

- ⁷⁹² 1 km for MAIAC EVI (Figs. 1-2, Extended Data Figs. 12b, 15, 16a-c);
- 100 m for HAND, composited to 1 km for mapping (Fig. 2a, Extended Data Fig. 3a);
- 0.05 degrees for GOSIF (Extended Data Figs. 2, 16d-f);
- 0.25 degrees for precipitation-derived products (Extended Data Figs. 3f-g, 4-5);
- 0.625×0.5 degrees for PAR;
- 1 degree for VPD (Extended Data Fig. 5);
- 0.1 degrees for soil fertility (Extended Data Fig. 3b);
- 0.25 km for soil sand content (Extended Data Fig. 3h),
- 1 km for forest canopy height (Extended Data Fig. 3c); and
- 1 degree for wood density and proportion of Fabaceae (Extended Data Fig. 3d-e).

For statistical modeling we interpolated different datasets to common grid resolutions, according to the resolution of the model. For this we initially downscaled all maps to the native resolution of the EVI product (1km), then aggregated to the desired coarser resolution, typically 0.4°, that was needed to avoid inflation of statistical significance of drought responses in models by accounting for spatial autocorrelation among nearby pixels using variogram analysis (section 2.5, Extended Data Fig. 7). Grid cells in the drought-affected domain that included no intact forest were excluded from analysis. When an analyzed grid cell (at coarse resolution, typically 0.4°) included a mix of intact forests and non-forest or deforested regions, we selected and aggregated all intact forest pixels at the smaller (1km) subgrid scale to accurately represent intact 11 vegetation properties (EVI, canopy height, etc), and represented the coarser model grid cell by 812 those intact forest properties.

813

814 <u>2.2. Classification of forest regions according to ecotopes</u>

We investigated whether the distribution of factors defining forest types (ecotopes) across Amazonia could lead to a coherent clustering of different forest ecotopes into different regions, each with different broadscale forest drought responses. To this end, we conducted a supervised forest classification, using factors identified in previous studies as important:^{17,34,102,103} two climate variables (average minimum monthly precipitation and MCWD variability), soil fertility 820 (concentration of exchangeable base cations⁴³) and three tree functional characteristics (forest
821 height, wood density, and proportion of trees in the family Fabaceae).

We conducted the classification in four steps: First, the six ecotope factors, standardized by 822 823 their mean and SD, were mapped, with each grid cell considered to occupy a point in a six 824 dimensional space, and each dimension indexed in comparable units of standard deviations. 825 Second, a principal component analysis (PCA)¹⁰⁴ ("FactoMineR" package in R, Extended Data 826 Fig. 6a) identified three complementary dimensions of forests in this space: a dimension defined 827 by vegetation characteristics (wood density, and proportions of the family Fabaceae), nearly 828 coincident with the first principal component (horizontal axis, Extended Data Fig. 6a); a 829 dimension defined by water availability (minimum monthly precipitation and MCWD 830 variability), nearly coincident with the second principal component (vertical axis, Extended Data 831 Fig. 6a), and a third dimension defined mainly by soil fertility (Extended Data Fig. 6a). Based on 832 these initial PCA results suggesting three relatively distinct dimensions, we chose to cluster 833 Amazon basin pixels into three classes. Given their diversity, Amazon forests could likely be 834 classified into more than three, but we judged that three would be sufficient to capture substantial 835 functional variation, without being so complex as to prevent intuitive understanding. Third, an automatic procedure extracted endmember characteristics based on percentile 836 837 thresholds¹⁰⁵ from the PCA space¹⁰⁶. Pixels with low climate variability had high minimum 838 precipitation and long wet seasons (in the 90th percentile), and were identified as a water 839 availability spectrum end-member. Grid cells with the highest proportion of Fabaceae, 840 overlaying with tall, dense-wooded trees (in the 90th percentile) and low-fertility soils, were 841 identified as another endmember. A third endmember was defined by a combination of high 842 variability climates and moderately high (67th percentile) soil fertility. Finally, supervised 843 classification via the Minimum Distance method was used in ENVI 5.3 software¹⁰⁷ to cluster each region based on proximity to the endmembers selected in Step three. 844 This process identified three clusters of pixels in functional PCA space that turned out to 845 846 also correspond to geographically distinct Amazonian regions that were mostly contiguous 847 (Extended Data Fig. 6b): an *Ever-wet Amazon* region in the northwest, a *Guiana shield* region 848 in the northeast, and the southern Amazon. The standardized values within each cluster, of each 849 of the characteristics defining the regional clustering (ordered by water availability, soil fertility, 850 and tree traits), exhibit the distinct niches of each region (Extended Data Fig. 6c). The ever-wet 851 Amazon is differentiated by lack of dry seasons (periods with months < 100 mm rainfall, 852 Extended Data Fig. 3a). Forests in this region might be composed of species that do not 853 well-tolerate climate conditions (such as droughts), compared to tree assemblies (in other 854 regions) adapted to regular droughts or dry seasons. The Guiana shield region is distinct in

⁸⁵⁴ regions) adapted to regular droughts of dry seasons. The outana shield region is distinct in
⁸⁵⁵ having old, highly weathered, low-fertility soils, with tree communities containing the largest
⁸⁵⁶ proportion of trees in the family Fabaceae, with dense wood and high seed mass (Extended Data
⁸⁵⁷ Fig. 3c-e)³⁴. The southern Amazon is then differentiated further from the Guiana shield as
⁸⁵⁸ slightly dryer, with soil fertility that was both higher on average but also more variable.

This three-region classification (which we use to define the regions depicted in the main text figures) is independent of the results (Figs. 3-4) of the basin-wide modeling investigation (described in sections 2.6-2.7 below) because model predictions depend on pixel-pixel variations of environmental factors regardless of what region they are in. However, the three region Amazon is useful for presenting model results because it illustrates how different functional responses emerge from different ecotope regions (as shown in Fig. 4e).

865 2.3. Climate anomalies for Drought definition and Mapping

The spatial extent for each of the three droughts (*d*) was taken to be all grid cells where the MCWD anomaly was more than one standard deviation below the long-term mean for that cell (Extended Data Fig. 1). MCWD anomaly for each grid cell is calculated by Eqn. (1):

$$MCWD_{anomaly} = \frac{MCWD_d - MCWD}{\sigma_{MCWD}}$$
(1)

870 where $MCWD_d$ is the data value in drought year (*d*), \overline{MCWD} is the average of 19 yearly MCWD 871 values for hydrological years 2000-2019 (May 2000 to April 2020) and σ_{MCWD} is the standard 872 deviation for the same time period. Anomalies of the other climate variables were calculated 873 analogously.

Drought severity in each grid cell was classified into three levels by standardized MCWD anomaly: modest drought (-1.5 to -1 standard deviations relative to the mean), medium drought (-2 to -1.5 standard deviations relative to the mean) and severe drought (greater magnitude than arr -2 deviations) (Extended Data Fig. 1).

Drought duration (for each of the three droughts separately for each grid cell) was measured in terms of number of drought months (*i*) for a particular drought (*d*) for each grid cell within the period (May to the following April) for the droughts of 2005 and 2010; and from May to October of the following year for the El Nino drought of 2015/2016. The drought onset month is found where the following is true, recalling that CWD and MCWD are more negative with greater was water deficit:

$$\begin{array}{ll} if & CWD_{d,i-1} \geq \overline{MCWD}, \\ & CWD_{d,i} < \overline{MCWD}, \\ and & CWD_{d,i+1} < \overline{MCWD}, \\ then & Onset_d = i \end{array} \tag{2}$$

884

869

The end month of drought interval (*i*) for each grid cell for each of the three droughts is defined as follows:

$$if \qquad CWD_{d,j} < \overline{MCWD},$$

$$CWD_{d,j+1} \ge \overline{MCWD},$$

$$and \qquad End_d \ge Onset_d,$$

$$then \qquad End_d = j \qquad (3)$$

887

Then for each grid cell, $duration_d = End_d$ - $Onset_d$ +1 as shown in Extended Data Fig. 4.

889 2.4. Drought resilience and Vegetation Anomalies

We defined drought resilience as a forest's ability to increase (or relatively better maintain) photosynthetic capacity or activity during a perturbation -- that is, by its tendency to exhibit more positive/less negative anomalies in vegetation indices (relative green-up) during drought. There resistance" or ability to resist changes in function (which can also be characterized as resistance" or ability to resist changes in function with perturbation¹⁰⁸) is nominally distinct for state following a disturbance¹¹⁰. We chose relative green-up here for conceptual and practical reasons. Conceptually, greater relative green-up implies relatively more photosynthesis and hence, all else equal, more carbon resources to respond to stress, encompassing different 899 strategies (likely including system capacity to return to equilibrium following disturbance), 900 making it a logical general metric of resilience. Practically, greening has been widely cited and 901 discussed in the literature, and, notably, is predictive of outcomes on the ground commonly 902 associated with resilience at the individual tree and plot scale (lower mortality, greater growth, ⁹⁰³ and greater xylem embolism resistance, see methods section 1.6, Extended Data Fig. 16). In order to quantify photosynthetic resilience, we extracted from each grid cell for each of 904 905 the three droughts, the anomalies in photosynthetic indices for the period of drought (Figs. 1, 906 2b-c; Extended Data Fig. 2, 4-5), calculated as the departure (in standard deviations from their 907 non-drought-year means) across a 9-year window centered on each drought (for example, 908 2001-2009 for the 2005 drought and 2011-2019 for the 2015 drought):

909

Anomaly_{d,du} = $\frac{X_{d,du} - \overline{X_{du}}}{\sigma_{du}}$ (4)910 where $X_{d,du}$ is the value of the index in a grid cell during drought d, averaged over the duration du

911 (extracted by Eqn. (2) and (3)) – and X_{du} and σ_{du} are the average and standard deviation, 912 respectively, of the same 'du' period across the years of data availability (with the drought years 913 2005, 2010 and 2015 excluded). Including pixel-specific drought duration introduces greater 914 realism in drought response metrics by capturing pixel-pixel variability in drought response due 915 to duration du, which has been treated in some previous analyses as fixed (e.g. in analyses of the 916 2005 drought, du was assumed to be the three months of July-August-September for all 917 pixels)^{9,10,111}.

Correspondingly, we also calculated the field-based demographic mortality anomalies for 918 919 drought years 2005 and 2010 from RAINFOR plots,² as above-ground biomass (AGB) mortality 920 drought responses (mortality carbon flux following drought, in percent change relative to 921 long-term mean MgC ha⁻¹ y⁻¹.

922 2.5. Variogram analysis for removal of spatial autocorrelation

Observations from spatial samples are not independent, due to spatial autocorrelation 923 924 among grid cells that are near to each other¹¹². To obtain independent observations for general 925 additive models (GAM) and for statistical quantification of average drought response (Fig. 1), 926 we resampled grid cells at increasingly coarse resolutions, until response differences (between 927 forests with different water table depths) were no longer spatially autocorrelated -- that is, a sill 928 (plateau) was reached in the variogram (Extended Data Fig. 7) at around 40 km, indicating a 929 scale at which samples could be treated as statistically independent. The variogram was 930 calculated from the covariance of the difference between drought responses in shallow and deep 931 water table grid cells:

$$2\gamma(h) = \frac{1}{N(h)} \sum_{m,n \in N(h)} (z_m - z_n)^2$$
(5)

$$z_m = Anomaly_{EVI, SWTD_m}$$
(6)

$$P_n = Anomaly_{EVI, DWTD_n}$$
(7)

935 where N(h) was the number of grid-cell pairs (m, n) separated by distance h. Each z_m is the 936 standardized EVI anomaly of the first member of a grid cell pair, drawn only from cells having 937 shallow water table depths (0, 1, 2, ..., 9), while z_n is the second member of each pair, drawn 938 only from cells with deep water tables (10, 11, 12, ..., 19).

939 2.6. Statistical analyses for inferring causes of, and predicting, drought response

Our statistical analysis had two main goals: first, to test the three core hypotheses 941 presented in the main text introduction (causal inference), and to develop the best possible 942 predictions of regional to basin-wide drought response by combining ecotope factors with 943 climate (predictive inference).

For this, we implemented two sequential statistical approaches: First ($\S2.6.1$), we used 944 945 GAM statistical regression¹¹⁰, selecting among ecologically-informed models by the Akaike 946 information criterion (AIC) to both test hypotheses about variables thought to influence forest 947 drought response and to identify the best predictive models of regional to basin-wide drought 948 response^{111,112}. To avoid known inferential biases of building large regression models out of many 949 variables selected blindly by information criteria like AIC^{111,113,114}, we construct our 950 moderate-sized models within a hypothesis-testing framework, where causal hypotheses are 951 specified based on ecological considerations and the selected regression fits test the predictions 952 made by those hypotheses. Second ($\S2.6.2$), we also employed structural causal modeling 953 (SCM)^{40,113} an approach which formalizes hypothesis testing as part of the model structure (e.g., 954 using Directed Acyclic Graphs, DAG^{114,115}). SCM reduces risk from confounding variables that 955 can mask or dilute (or magnify) true causal relationships between the 'exposure' variables (e.g., 956 climate, soil types) and the 'outcome' variable (e.g., forest greening/browning). We note that in 957 both approaches, accurate inference of the relative magnitude or importance of inferred relations 958 is conditional on the model being true.

Finally, we compare the two approaches (§2.6.3), based on the idea that if the inferences from the two approaches are consistent with each other in terms of their conclusions about hypotheses, this increases confidence in those conclusions.

962 2.6.1. AIC-selected General Additive Models (GAM) for hypothesis testing and prediction
963 We developed GAM regression models of forest drought response as a function of climate
964 variables and ecotope factors^{10,18,37} to represent our three core hypotheses of water table depth²⁸,
965 soil fertility^{32,33}, and tree characteristics^{34–36,49}.GAMs allow for non-linear relationships between
966 response and multiple explanatory variables, in which underlying model structure can be
967 analyzed to understand why they make the predictions they make -- in contrast, for example, to
968 machine learning techniques, like boosted regression trees or neural networks^{40,113}. GAM links
969 response variables to explanatory variables with a smoothing function, or a spline, which can
970 take a variety of shapes, which are then added together.

We developed GAMs of two types: *(i) regional models—fit within regions*—designed to even the "other side of drought" hypothesis 1, by including hydrological environments (as even the "other side of drought" hypothesis 1, by including hydrological environments (as models of forest drought response¹⁰; and *(ii) basin-wide models* designed to test all three of our hypotheses together (including effects of soil fertility and tree characteristics), and in particular to understand the opposite sensitivity of forest responses to water tables across different regions (Fig. 3a vs Extended Data Fig. 8).

978 (i) Effect of local hydrological environment and climate on drought response (regional GAMs).
979 GAMs were fit separately for the southern Amazon, Guiana shield, and ever-wet Amazon
980 regions, and for all three droughts together, as:

$$\Delta EVI = s(HAND) + s(\Delta PAR) + s(\Delta VPD) + s(\Delta P) + s(\Delta MCWD) + s(DL)$$

981

(8)

982 where ΔEVI is the vegetation response anomaly, ΔPAR , ΔVPD , ΔP and $\Delta MCWD$ are the 983 radiation, VPD, precipitation, and MCWD anomalies, respectively; *DL* denotes the drought 984 length; ε is the normally distributed residual; s() and ti() are the smoothing functions of predictor 985 variables, obtained using a scatterplot smoothing algorithm with a back-fitting procedure for the 986 appropriate smoothing function for each predictor. The degree of freedom (df) for the smoothers 987 is determined with "REML" with gaussian distribution implemented by Wood's R package 988 "mgcv"¹¹³. Models were implemented with gam.check function by R package "mgcv"¹¹³ for 989 diagnostics of residual, distribution and k basis dimension as well as concurvity. All the 990 predictors were scaled to the same range and unit (40 kms, or ~0.4 degree).

The smooth functions were determined by thin plate splines^{114,115}. Here, we fitted thin plate 992 regression splines using automatically optimized smoothing parameters using the restricted 993 maximum likelihood, or REML method. Three optimal models were selected for the 994 corresponding three regions, with all three model selection procedures evaluated by delta AIC 995 and R square¹¹⁶ using the "dredge" function in the mgcv package in R¹¹³, with results reported in 996 **Extended Data Table 1** (models a, b, and c).

997 (ii) Effect of hydrological environment interacting with regional ecotopes (basin-wide GAM).
998 We included soil types (fertility and texture) and vegetation characteristics (forest height, wood
999 density) into the GAM of section (i). Without specifying regions, we aimed to explore whether
1000 soil and vegetation characteristics (Extended Data Fig. 3) are able to explain regional differences
1001 in the sensitivity of forest response to water table depth. GAMs were fitted across the whole
1002 basin for the 2015/2016 El Niño drought, the only drought that had substantial simultaneous

1003 impacts on all three regions of the Amazon basin. The forest responses were comprised of three 1004 components: (I) the climate predictor variables (PAR anomaly, VPD anomaly, precipitation 1005 anomaly and MCWD anomaly); (II) the ecotope-based environmental predictor variables, in 1006 addition to HAND, associated with regional differences: soil fertility, soil texture, forest height 1007 and wood density; (III) error terms assumed to be a Gaussian distribution. Specifically, GAMs 1008 were fitted as below:

 $\Delta EVI = s(HAND) + s(SF) + s(ST) + s(FH) + s(DSL) + s(\Delta PAR) + s(\Delta VPD)$

 $+ s(\Delta P) + s(\Delta MCWD) + ti($ pairwise interactions of every two climate variables)

+ ti(pairwise interactions of HAND with other ecotope - based variables and ΔPAR) + ε (9)

1010 where *DSL* denotes dry season length, *FH* denotes forest height, *ST* denotes soil texture and *SF* 1011 denotes soil fertility. Considering variable correlations (**Extended Data Table 2**), we avoided 1012 choosing highly correlated variables for the same model (which for example excluded wood 1013 density when soil fertility was in the model). Considering the complexity of the model and 1014 computational cost, the pairwise interactions were included separately among ecotope factors, 1015 among climate variables, and between HAND and PAR, but did not traverse interactions among 1016 every possible pair of variables. The fitting process was the same as for the regional GAMs of 1017 (i): smoother determined with "REML" as implemented by "mgcv"¹¹³, and models evaluated by 1018 delta AIC and R square¹¹⁶ coded by the "dredge" function in the "mgcv" package in R, with final 1019 results reported in **Extended Data Table 1** (model d). Basin-wide modeled forest response for 1020 the 2015/2016 drought is presented in Extended Data Fig. 17 where the GAM well-predicts the 1021 pattern of response (Extended Data Fig. 17b), but under-estimates the extremes of the responses 1022 (as evident from residuals in Extended Data Fig. 17c showing greening/browning patterns 1023 beyond the predictions). Beyond the three more recent hypotheses discussed in the main text, soil texture was also two affect soil hydraulic properties and forest ecosystem response to drought^{102,117}. We found that forests on sandy soils were more resilient (i.e., higher relative green-up) than those on the clay soils (which bind water more closely), consistent with findings of process model studies²² of to a clay stat bring soils more quickly to wilting points²³. But again, this depended on water table the point deep water table forests also became more vulnerable with increasing sand content the depth, and deep water table forests also became more of a shallow water resource, sandy soils the absence of a shallow water resource, sandy soils the drained water too quickly

This final basin-wide GAM model (Extended Data Table 1d) including soil texture (along 1032 1033 with WTD, forest height, and soil fertility) suggests a further hypothesis for how soil texture 1034 moderates the effects of forest height and water table depth on drought response (Extended Data 1035 Fig. 11). The potential counteracting effects of the positively correlated forest height (which 1036 increases resilience when water tables are deep) and soil clay fraction (which generally decreases 1037 resilience due to binding water more tightly to soil particles) may explain the otherwise puzzling 1038 result that the tall forest advantage in deep water table forests does not just disappear but reverses 1039 in shallow water table environments (Fig. 4d). As shown in Extended Data Fig. 11, the reversal 1040 of the general trend (of decreasing resilience as clay fraction increases = sand fraction decreases) 1041 in deep water table forests (red lines in Extended Data Fig. 11 reverse as sand content falls below 1042 50%), is associated with increasing forest height, especially in deep water table forests. Thus, at 1043 the low sand (=high clay) end of the spectrum, the effect of soil texture depends strongly on 1044 WTD: in shallow WTD forests where tall trees are not advantaged, the negative effect of clay 1045 depresses forest drought response, but in deep WTD forests drought resilience increases again, 1046 even with increasing clay (decreasing sand), possibly because the associated taller tree effect 1047 outweighs the negative effect of clay soils. This mechanism could serve to improve models of 1048 how soil texture modulates drought response^{118,119}.

1049 2.6.2. Structural Causal Modeling (SCM) using Directed Acyclic Graphs (DAG)

In order to further test the causal mechanisms proposed by our three core hypotheses, we 1051 used a framework for causal inference from SCM^{40,118}, DAG analysis^{119,120}. We proposed and 1052 tested hypothesized causal relationships (represented by DAG diagrams, as in Extended Data 1053 Fig. 9a).

Implementing DAG analysis with 'dagitty' (R package¹²⁰, we first developed a DAG 1055 diagram for Amazon forest drought response with relevant climate variables and ecotope factors 1056 expected from the literature^{10,17,34}, including our three core hypotheses of water table depth²⁴, soil 1057 fertility^{28,29}, and tree characteristics^{30–32,50} (Extended Data Fig. 9a). We assessed 'DAG-data 1058 consistency', testing to ensure that unconnected nodes are not correlated, applying root mean 1059 square error of approximation (RMSEA) (R functions "localTests" and "cis.loess" to allow 1060 potential non-linear correlations using loess fits¹²⁰; Extended Data Fig. 9b).

1061 We iteratively tested and revised the DAG by repairing detected independence violations 1062 between unconnected nodes (i.e. where RMSEA was greater than 0.30, as in Ankan et al.¹²¹), by 1063 adding either a new direct causal link between such nodes (after first verifying an ecological 1064 basis for the link), or new links to each of the correlated nodes from a common causal node 1065 (again, if they made ecological sense). For example, longer dry season length should promote 1066 generally drier conditions, including greater VPD and MCWD; positive precipitation anomalies 1067 will cause higher relative humidity and therefore lower VPD anomaly³³; more clayey soils allow 1068 taller trees¹²², supporting the addition of links between these nodes. These adjustments gave a 1069 final DAG with a greater number of links (Extended Data Fig. 9c) and no independence 1070 violations among the remaining unconnected nodes (Extended Data Fig. 9d). We next utilized the
1071 "backdoor criterion" to test the causal effects of key predictors, exposing the influence of each
1072 variable on drought response, one-by-one, while blocking (or adjusting for) the influence of
1073 "backdoor" variables on non-causal pathways (i.e., pathways in which at least one arrow points
1074 in a direction opposite to the hypothesized causal influence)^{123,124}. Extended Data Fig. 9c
1075 illustrates blocking the confounding 'backdoor path' influence of average dry-season length
1076 [DSL] on the causal relationship between drought length [DL] and drought response [DR];
1077 Extended Data Fig. 10 shows non-linear (GAM) model results for causal relationships
1078 addressing our hypotheses identified this way. Completing these steps, we accepted our finalized
1079 DAG (Extended Data Fig. 9c) as representing detected causal links for forest drought response
1080 and blocked all backdoor paths in subsequent analysis by including the confounding variables in
1081 multiple regression (in GAM analysis), as reported in Extended Data Fig. 10.

1082 2.6.3. Comparing inferences from SCM with predictive GAM regressions

We found that both modeling approaches consistently supported the "other side of drought" hypothesis (hypothesis 1) for forest drought response in the southern Amazon across all three droughts (negative dependence on water table depth, Fig. 3a and Extended Data Fig. 10a), three droughts (negative dependence on water table depth, Fig. 3a and Extended Data Fig. 10a), three droughts (negative dependence on water table depth, Fig. 3a and Extended Data Fig. 10a), three droughts (negative dependence on water table depth, Fig. 3a and Extended Data Fig. 10b, and declining overall dependence on drought length, but with a peak at months duration, Fig. 3c, Extended Data Fig. 10c). Across the basin for the 2015/2016 drought, both modeling approaches supported hypothesis 2, that increasing soil fertility (past a moderate fertility level) would negatively affect drought response (Fig. 4a,c and Extended Data 1091 Fig.10e), and both supported a "hypothesis 1- hypothesis 3" interaction, finding that increasing 1092 forest height (and presumed deeper rooting depth) positively affected drought response in deep 1093 water-table forests, but had the opposite effect in shallow water-table forests (Fig. 4b,d and 1094 Extended Data Fig. 10d). Finally, though not part of the three core hypotheses, both modeling 1095 approaches found similar effects of soil texture on drought response (Extended Data Fig. 10f and 1096 Extended Data Fig. 11).

1097 2.7. Comparing adjusted observations to GAM predictions for different predictor variables
1098 The observed vegetation indices (MAIAC EVI, and GOSIF) were graphed in adjusted form
1099 (as "climate adjusted" or "ecotope-adjusted" observations) in order to compare observed versus
1100 predicted relationships with one predictor variable at a time (e.g. water table depth) while
1101 adjusting for the effect of the other, potentially influential, predictor variables represented in the
1102 GAM models (section 2.6.1, above). This is analogous to partial regression plots or adjusted
1103 variable plots in conventional regression models¹²⁵. EVI (Figs. 3a, 4e, Extended Data Fig. 8a, b)
1104 or GOSIF (Extended Data Fig. 8c, d) observations of anomalies were adjusted by the difference
1105 between the full GAM predictions at each pixel and the partial prediction for the median
1106 conditions. For example, to plot climate-adjusted EVI/GOSIF versus water table depth (across
1107 different HAND bins) as in Fig. 3a and Extended Data Fig. 8, the adjustment (shown for EVI)
1108 was:

1109

Adjusted $\Delta EVI_i = \Delta EVI_i - Correction_i$

1110 $Correction_i = f(HAND_i, Climates_i) - f(HAND_i, \overline{Climates})$ (10)

1111 where ΔEVI_i is the observed *i*th EVI anomaly, $f(HAND_i, Climates_i)$ is the prediction of the *i*th 1112 EVI anomaly from GAM (model function for Eqn. 8 denoted as f() here) and

 $f\left(\frac{HAND_{i}, \overline{Climates}}{i}\right)$ is the prediction when holding climates constant at the median value of the 1114 domain of the prediction (in this case, the median climate within each drought). Similar calculations are applied to observations in Fig. 4e to account for the regional

1116 differences in climates and ecotopes (Ever-wet, Guiana shield, and southern Amazon), while 1117 isolating the effects of water-table depth (HAND) on EVI anomalies with the basin-wide GAM 1118 model. The correction term applied to Eqn. 9 in the case of Fig. 4e was

Correction $_{i} = f \left(HAND_{i}, Climates_{i}, SF_{i}, ST_{i}, FH_{i} \right) - f \left(HAND_{i}, Climates, SF, ST, FH \right) (11)$ 1120 where SF denotes soil fertility, ST denotes soil texture, and FH denotes forest height. The 1121 domain of the prediction for which median values of ecotope distributions were taken was, in 1122 this case, each of the three regions, considered separately.

1123 2.8. Deriving the basin-wide biogeography of forest drought resilience/vulnerability

1124 Classic biogeography in ecology focuses on the drivers of the distribution of species and 1125 their phylogenies over space and time, as an emergent consequence of their evolutionary 1126 histories^{126,127}. Here, following recent ideas in the emerging field of functional biogeography^{23,128}, 1127 we extend classic species-based biogeography to derive a functional biogeography of Amazon 1128 forest drought resilience and vulnerability. To accomplish this, we used the GAM analysis that 1129 included ecotopes and was derived for the whole basin (section 2.6.1, Eqn. 9, Extended Data 1130 Table 1d, Extended Data Fig. 17). Resilience (as plotted in Fig. 5a) was defined as the 1131 standardized GAM prediction (positive values corresponding to greening and resilience) from 1132 the spatially varying ecotope factors alone (with effects of spatial variation in climate removed 1133 by setting each pixel's climate factors equal to their basin wide average during the 2015 1134 drought):

1135
1136
Standardized resilience
$$_{i} = \frac{Resilience_{i} - Resilience}{SD_{resilience}}$$
 (12)
Resilience $_{i} = f(HAND_{i}, SF_{i}, ST_{i}, FH_{i}, \overline{Climates})$ (13)

1137 where $Resilience_i$ is the prediction for pixel *i* using Eqn. 9 as function f(), and Resilience and 1138 $SD_{resilience}$ denote mean and standard deviation across the basin, respectively.

We defined thresholds conducive to resilience to define ecotope factor groups associated with resilience or vulnerability. Overlapping ecotope factors generally conducive to resilience (fig. 5b, 1142 C). The resilience thresholds for the different factors were: <u>shallow water table forests</u>: <10m, 1143 taken from Nobre et al.²⁵, <u>low soil fertility</u>: exchangeable base cation concentrations <10^{-0.35} 1144 cmol⁺/kg; and <u>tall forests</u>: heights>32.5 m. The thresholds for soil fertility and forest height were 1145 chosen as the level where average slope of EVI anomaly sensitivity to HAND changed sign (in 1146 Fig. 4a, b, respectively).

1147 3. Testing alternative interpretations and considering caveats

To address potential questions about whether alternative interpretations might either undermine or further illuminate our reported results, we identified additional hypotheses posing alternative interpretations. Among the additional hypotheses we considered were the following five, the first four of which we were able to partially test here with the functional biogeography GAM model:

<u>H1. That spatial scaling artifacts contaminate the results.</u> In particular, it might be that the 1153 1154 primary spatial scale of our analysis (~40km, in order to achieve statistical independence, see 1155 section 2.5) is too large and does not reflect the fine scale of individual tree response to drought 1156 in distinct environments, raising the question of whether the effects reported here can be 1157 confidently attributed to the aggregation of these fine scale responses, or to some other effect. In order to test this hypothesis, we investigated how sensitivity of forest drought response to 1158 1159 water table depth depended on the scale of the analysis (Extended Data Fig. 12), from 40 km 1160 (Fig. 3a, reproduced in Extended Data Fig. 12a) to the native MODIS scale (1km) (Extended 1161 Data Fig. 12b), and across the finer scales (resolved to 30 meters using Landsat OLI 8 land 1162 surface reflectance, see Extended Data Fig. 12c) available for a region near Manaus¹²⁹ (at scales 1163 below 40 km, spatial autocorrelation is evident; this artificially narrows confidence intervals, but 1164 does not hinder the scaling comparisons). These analyses showed that sensitivity of forest 1165 drought response to water table depth did not detectably depend on scale, adding confidence that 1166 the key factor of water table depth indeed structures Southern Amazon drought response (as in 1167 Fig. 3a) across different scales. We note that this analysis suggests a need for future investigation 1168 of how the actual magnitudes of greenness anomalies at the ecological neighborhood scale (1 ha) 1169 of operation of community and ecophysiological mechanisms translate to magnitudes at larger 1170 scales.

<u>H2. That different aspects of drought dynamics (e.g. severity interacting with duration) may</u>
<u>confound the reported interpretation of drought duration (as in Fig. 3).</u> Drought severity and
duration are known to have distinct effects on different species in other biomes, raising the
question of whether these dimensions of drought have distinct effects in the Amazon.
We tested the interacting effects of severity (as defined as in section 2.3, by the MCWD
anomaly) and drought duration by further analyzing the model of Extended Data Table 1a. This
effects on photosynthesis. This also confirmed the hump-shaped response to drought duration
reported in Fig. 3c (with a primary hump occurring earlier but persisting longer through a
secondary hump for less severe droughts).

H3: That drought impacts during dry seasons are different than during wet seasons, complicating interpretation of PAR anomaly and drought length effects (Fig. 3). If light limitation (and hence PAR sensitivity) is stronger in the wet season (because light is already like more limiting in the wet season due to greater cloud cover), longer droughts will not just be like longer, but (because seasons are of finite length) they will also be more likely to encompass, in like varying fractions, the differing light sensitivities of dry and wet seasons.

In order to test whether the proportion of the drought that occurs in the wet versus dry season affects reported forest responses, we constructed "DryDrought" as a predictor variable, prepresenting the proportion of a given pixel's drought that occurred in the dry season. We, added pryDrought to the GAM for the Southern Amazon (Extended Data Table 1a), comprising HAND, climate factors and the error terms. Specifically:

 $\Delta EVI = s(HAND) + s(\Delta PAR) + s(DryDrought) + s(\Delta VPD) + s(\Delta P) + s(\Delta MCWD)$

+ s(DL) + ti (pairwise interactions of every two variables except for DryDrought)

1192 + $ti(DryDrought, \Delta PAR) + ti(DryDrought, DL)$

1193 This analysis (Extended Data Fig. 13) showed that the longest drought (2015) also had the 1194 broadest distribution of occurrences across dry and wet seasons, with about equal parts of the 1195 drought occurring in the dry versus the wet season (median fraction in the dry season = 0.51,

(14)

1196 Extended Data Fig. 13a). By contrast, the 2005 and 2010 droughts were primarily dry season 1197 droughts (median dry season fractions = 0.83 and 0.77, respectively). This analysis confirms our 1198 finding of a generally positive sensitivity of droughted forests to sunlight reported in the main 1199 narrative (Fig. 3b), but further shows that the greater the proportion of the drought that occurs in 1200 the wet season, the greater the positive sensitivity to sunlight anomalies (in Extended Data Fig. 1201 13b, the blue line representing pixels experiencing predominantly wet season drought is steeper 1202 than the red line representing pixels predominantly experiencing dry season drought). This 1203 analysis also confirms (Extended Data Fig. 13c) that the hump shaped response to drought 1204 duration (as in Fig. 3c, especially the peak of vegetation response at three month's duration) is 1205 general across both dry and wet season droughts. A consistent result of both analyses is that 1206 (with the exception of PAR anomalies greater than +2 standard deviations, Extended Data Fig. 1207 13b), forests experiencing wet season droughts are generally more negatively affected by drought 1208 than are forests experiencing dry season droughts, consistent with the idea that although trees are 1209 adapted to the dry conditions of annually recurring dry seasons, they are especially vulnerable 1210 when droughts hit in the wet (recovery) season.

H4: That deforested or degraded forests may be driving or contaminating results that are 1211 1212 reported as for "intact" forests. Deforested regions are excluded from the analysis, but the mask 1213 may still include forests in proximity to deforested regions that, though not deforested, may be 1214 experiencing degradation. We conducted a sensitivity test to address the question of whether 1215 different drought responses in degraded forests could be contaminating our findings, using a 1216 recent classification⁸⁶ that identifies partially degraded forests as distinct from both deforested 1217 and intact forests, now updated through 2022. We repeated the GAM analysis reported in Fig. 4, 1218 but here excluded pixels representing degraded forests. The results (Extended Data Fig. 14, 1219 styled after Fig. 4 in the main text) suggest that partially degraded forests likely are indeed more 1220 vulnerable: the curves in panels C and D of Extended Data Fig. 14 (for purely intact forests) 1221 reach a slightly greater EVI anomaly value than the corresponding curves of Fig. 4c, d (including 1222 mainly intact and but also some degraded forests). This suggests that a functional biogeography 1223 approach may be fruitful for future investigations of the effect of forest degradation on drought 1224 sensitivity at the local scale. However, the differences are slight at the basin scale, and the overall 1225 patterns in Fig. 4 and Fig. 5 results do not depend much on whether these forests "in between" 1226 deforested and intact regions are included or excluded.

1227 <u>H5: That relatively taller individual trees are more vulnerable to drought, even as</u> 1228 <u>tall-canopy deep water table forests are on average more resilient to drought.</u> We found that 1229 greater forest canopy height promoted resilience for deep water table forests, but increased 1230 vulnerability for shallow water table forests (Fig. 4d, Extended Data Fig. 11d). Observations of 1231 drought responses in the RAINFOR network⁴⁹ and drought experiments^{47,48} report that tall trees 1232 were more vulnerable to drought. One of the drought experiments was above a moderately 1233 shallow water table (7-10m) and the vulnerability of tall trees there could be explained by our 1234 result⁴⁷, but the forest of the Nepstad et al drought experiment⁴⁸, and many of the plots in the 1235 RAINFOR network, are over deep water tables, raising the question as to whether the results 1236 reported here might be inconsistent with those.

Recalling that the satellite-derived canopy heights are not individual tree heights but overall mean heights of forest canopies over a 1km pixel, we hypothesize that both results are true: that deep water table forests that are tall on average (and presumed to have on average deeper roots 1240 that bring greater collective access to deep water resources) are more resilient than forests that 1241 are on average shorter, but that individual tall trees, subject to greater atmospheric drought stress 1242 from higher VPD, may be individually more vulnerable than their average-height neighbors. 1243 Hydraulic redistribution by roots, observed as part of the Nepstad et al⁴⁸ Amazon drought 1244 experiment¹³⁰ and by other studies, is a mechanism that could further enhance forest benefit from 1245 redistributing deep waters upward in the soil profile.

This is a more challenging hypothesis to test, and in contrast to the hypotheses above, it is beyond the scope of our current study to test here. However, this could be tested by extensive take plot data or higher resolution LIDAR data (e.g. Smith et al.¹⁰⁰; Nunes et al.¹³¹) that could resolve individual tall trees in the canopy, and compare their drought induced mortality rates across forests of different average heights.

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1466 Code for reproducing the modeling analysis and figures is posted on Code Ocean at
1467 https://codeocean.com/capsule/2432086/tree.

1468 Extended Data Figs. 1 to 18

1469 Extended Data Table 1 to 2

1470 Supplementary Information is available for this paper.1471 Supplementary Materials

1472 References (55–134)

1473 Extended Data Fig. 1 Maximum cumulative water deficit (MCWD) standardized anomalies (relative 1474 to the long term mean MCWD across years, blue=positive, orange=negative) during drought for (a) 1475 2005, (b) 2010, and (c) 2015 droughts. MCWD is calculated (methods section 1.2) as the maximum water 1476 deficit reached for each hydrologic year (from May of the nominal year to the following April). The 1477 "drought region" is defined as pixels whose MCWD anomaly is more than one SD below the mean (light 1478 orange to red).

1479 Extended Data Fig. 2. GOSIF-based forest response to droughts. GOSIF anomalies during drought, 1480 relative to the long term mean GOSIF (green=positive, orange=negative) in drought regions for the (a) 1481 2005, (b) 2010 and (c) 2015 droughts, respectively. (d) Photosynthetic index anomalies in the 2005 1482 drought elliptical region: EVI and GOSIF (left and right vertical axes) versus HAND (elliptical region is 1483 depicted in Fig. 1a and Extended Data Fig. 2a); (e) GOSIF responses (anomalies) vs. HAND for 1484 observations (solid points +/- 95% CI and regression line) for the 2005 (green, slope=-0.016±0.006 SD 1485 m⁻¹), 2010 (purple, slope=-0.012±0.003 SD m⁻¹), and 2015 (blue, slope=-0.010±0.003 SD m⁻¹) droughts, 1486 paired with area distributions of drought-affected HAND values for each drought (right axis).

1487 Extended Data Fig. 3. Ecotope factors of the Amazon basin. (a) Height Above Nearest Drainage 1488 (HAND), a proxy for water-table depth²⁵; (b) Soil fertility, as exchangeable base cation concentrations⁴³; 1489 (c) Average forest heights as acquired by lidar⁴⁵; (d) Community-weighted wood density³⁴; (e) Proportion 1490 of trees belonging to the Fabaceae family³⁴; (f) MCWD variability (see methods section 2.3), in terms of 1491 the standard deviation of the long-term MCWD timeseries. High variance in climate and low soil fertility 1492 in Guiana shield might contribute to the greatest proportion of trees belonging to the family Fabaceae 1493 with the very high wood density; (g) Averaged minimum monthly precipitation (low=green, 1494 high=orange). The north-west everwet Amazon is distinguished by lacking a dry season (precipitation 1495 exceeds evapotranspiration). (h) Soil sand content ⁴⁴. The first row of factors are used as ecotope 1496 predictors in the GAM analysis of Extended Data Table 1.

1497 Extended Data Fig. 4. Pixel-based drought duration. Panels a, d, and g show dates of the onset of the 1498 2005, 2010 and 2015 droughts, respectively. Panels b, e, and h show dates of the end of the 2005, 2010 1499 and 2015 droughts. Panels c, f, and i show the duration (end date minus start date, in number of months) 1500 of the 2005, 2010 and 2015 droughts. Pixel-by-pixel drought responses (EVI in Figs. 1-3, 4; or GOSIF in 1501 Extended Data Figs. 6 & 11) are taken as the vegetation index standardized anomalies that occur during 1502 the pixel-specific drought period defined here.

1503 Extended Data Fig. 5. Spatial distributions of climate variations' anomalies across 2005, 2010 and
1504 2015 droughts. Panels a, d, and g show photosynthetic active radiation (PAR) anomaly distributions
1505 (blue=negative, red=positive) in the drought regions of the 2005, 2010 and 2015 droughts, respectively. b,
1506 e, and h show the vapor pressure deficit (VPD) anomaly distributions (blue=negative, red=positive) in the
1507 drought regions of the 2005, 2010 and 2015 droughts. Panels c, f, and i show the precipitation anomaly
1508 distributions (red=negative, blue=positive) in the drought regions of the 2005, 2010 and 2015 droughts.

Extended Data Fig. 6. Regions in the Amazon basin that emerge from a principal components analysis (PCA) followed by classification: (a) PCA of the Amazon basin pixel data (colored according to a supervised classification into three classes identified by variance minimization), projected onto their first two principal components, which are composed mainly of three dimensions, one defined by wood density minimum monthly precipitation and MCWD variability (second principal component, vertical axis), and a third defined mainly by soil fertility; the classes are significantly separated in PCA space (F=950, df=2, state 3805, p~ 0, permanova test); (b) Th Amazon pixels colored according to their class (corresponding to the spatial segions.) (c) Standardized values, for each region, of each group of characteristics (ordered by water svailability, soil fertility, and tree traits/characteristics), illustrate distinct regional niches: the Everwet 1520 Amazon is highest in minimum precipitation and lowest (highest negative) in MCWD variability; the 1521 Southern Amazon is moderately high in mean fertility, and the Guiana shield has the tallest mean forest 1522 height and greatest wood density. (d) scree plot of the eigenvalues of PCA shown in (a), plotted in rank 1523 order.

1524 Extended Data Fig. 7. Variograms of spatial autocorrelation effect across three droughts. The
1525 variance of the difference of MAIAC EVI anomalies between shallow and deep water tables against
1526 distance between pairs of pixels from shallow and deep water tables for the whole basin (a, e, and h),
1527 southern Amazon (b, f, and i), everwet Amazon (c, g, and j) and Guiana shield (d and k),
1528 respectively. Variance was lower (indicating spatial autocorrelation) for pairs formed from nearby pixels,
1529 but tended to reach a sill (plateau) at around 40 km, indicating a scale at which spatial autocorrelation
1530 weakened, and samples could be treated as independent for purposes of statistical analysis.

1531 Extended Data Fig. 8. Amazon forest EVI and GOSIF responses to multiple droughts in (a, c) 1532 ever-wet northwest and (b, d) in northeast Guiana shield regions show consistently positive slopes 1533 with HAND, in contrast to responses in the Southern Amazon (Fig. 3a): (a), (b) Enhanced vegetation 1534 index (EVI) anomalies versus water table depth (i.e., HAND) for observations (solid points with standard 1535 error bars, with linear regression solid line) and for unified multi-drought GAM predictions (Extended 1536 Data Table 1b, c) (with climate fixed to region-wide average drought conditions for each drought, smooth 1537 lines with shaded uncertainty regions, and associated linear regression dashed line) for the 2005 (green), 1538 2010 (purple), and 2015/2016 (blue) droughts in drought regions of Guiana shield (a) and ever-wet 1539 Amazon (b). The 2010 drought did not significantly affect the Guiana shield. (c), (d) GOSIF anomalies 1540 versus water table depth (HAND), following the same analysis as in (a, b) for EVI.

1541 Extended Data Fig. 9. Development of a Directed acyclic graph (DAG) representing the structure of 1542 factors influencing tropical forest responses to drought. (a) Initially hypothesized DAG characterizing 1543 the causal relationships among climatic, environmental, and forest variables (measured variables depicted 1544 as blue nodes, unmeasured rooting depth is depicted in gray) leading to forest drought response (other 1545 color node), with arrows representing the hypothesized causal links. (b) DAG-data consistency tests for 1546 initial DAG, with the largest 20 approximated non-linear correlation coefficients (estimated via root mean 1547 square error of approximation, RMSEA) between unlinked variables in (a). (Note: unlinked variables in a 1548 DAG are hypothesized to have zero correlation or zero conditional correlation; thus, the second row of 1549 panel b tests "DR || DSL | DL" -- whether DR is independent of DSL conditioned on DL, by estimating 1550 the non-linear correlation between DR and the residuals of DSL regressed on DL.) Correlations greater 1551 than an acceptability threshold (dashed vertical lines at ± 0.30) fail the test of conditional independence, 1552 addressed by adding to the DAG either a direct causal link (indicated by a green symbol), or links to a 1553 common cause (pink symbol) (such added arrows are included in panel c). (c) Final DAG after correcting 1554 for conditional independency inconsistencies of the initial DAG in A, in light of ecological 1555 considerations. Also illustrates use of the backdoor criterion to determine the causal effect of 'drought 1556 length (DL)' (the exposed predictor node and associated forward causal paths, in green) on forest drought 1557 response (corresponding to the model in Extended Data Fig. 10c), while blocking the confounding 1558 variable dry season length, DSL (hypothesized to itself affect DL) and its associated causal backdoor paths (which are considered non-causal paths with respect to the exposed variable DL) (in pink). (D) 1559 1560 DAG-Data consistency tests for final DAG (panel c), showing the largest 20 RMSEA values.

1561 Extended Data Fig. 10. Causal effects of different variables derived from DAG employing backdoor 1562 criterion, for: (a)(b)(c) the Southern Amazon across all three droughts: (a) of HAND (no backdoor 1563 to be blocked) (b) of PAR (adjusting for back door paths through drought length, dry season length) (c) of 1564 Drought length (adjusting for back door path through dry season length) on EVI responses (adjusted EVI 1565 prediction); (d)(e)(f) the Amazon basin during the 2015 drought: (d) of forest height, categorized by 1566 shallow (blue, HAND=0-10 m) and deep (red, HAND=20-40 m) water tables (adjusting for back door 1567 paths through soil fertility, soil texture and dry season length), (e) of soil fertility (adjusting for back door 1568 path through dry season length) (f) of soil texture (no backdoor path to be blocked). Causal effects are on1569 EVI drought anomalies (adjusted EVI predictions after conditioning confounding variables in causal1570 GAMs, lines with 95% confidence shaded area).

1571 Extended Data Fig. 11. The sensitivity of forest response to soil texture (sand content) in basin-wide 1572 GAM analysis: GAM-predicted adjusted EVI anomaly (left axis) versus soil sand content (%), with 1573 water table-depth in color (shallow=blue to deep=red), paired with distributions of mean forest height in 1574 each soil texture bin (bottom graph, right axis). 'Adjusted' GAM predictions are made by setting 1575 non-displayed predictors (climate variables, tree-height, soil fertility) to their median values during the 1576 drought.

1577 Extended Data Fig. 12. Scale-dependence of Southern Amazon forest responses to drought, showing 1578 that detected response patterns are largely invariant across different scales of analysis: (a) At 0.4 1579 degree (40-km) scale (across the Southern Amazon. all three droughts): Climate-adjusted EVI responses 1580 (standardized anomalies from MODIS) vs. water-table depths (indexed by HAND) for observations (solid 1581 points ±95% CI and solid regression line) and for unified multi-drought GAM predictions (model of 1582 Extended Data Table 1a, shaded bands and dashed regression line slopes) for the 2005 (green, 1583 slope= -0.019 ± 0.001 SD m-1), 2010 (purple, slope= -0.020 ± 0.002 SD m-1), and 2015 (blue, 1584 slope=-0.028±0.002 SD m-1) droughts; (b) At 1-km scale (across the Southern Amazon, all three 1585 droughts), as in (a): climate-adjusted EVI responses vs. HAND for observations (solid points and 1586 regression line) and corresponding GAM (with the same Extended Data Table 1a model now fit at 1km 1587 scale, revealing autocorrelation in observations causing too-narrow confidence bands, and slight model 1588 underpredictions of the extremes of the 2005 greenup and the 2010 browdown, but maintaining the 1589 similar negative dependence on HAND across all droughts); (c) At 30 to 180 m scales (for a forest region 1590 around Manaus, 2015-2016 drought only): Delta EVI (the fraction change in EVI due to the drought = 1591 (after-drought EVI (July 2016) - pre-drought EVI (August 2015))/pre-drought EVI) (Landsat OLI8, at 1592 30m resolution) vs. water-table depths (indexed by HAND) for Landsat observations (solid points $\pm 95\%$ 1593 CI and solid regression line) at native (30m) and aggregated to 90 and 180-m scales. Also shown in the 1594 bottom of each panel is the distribution of water table depth at each scale. Aggregations to larger 1595 (coarser) scales induce an apparent regression towards the mean in the water table depth distributions (as 1596 more extreme water table depths at finer scales become diluted by averaging to large scales), while 1597 similar dilution of extremes in EVI response (not shown) preserves the overall relation between EVI 1598 responses and water table depth (especially evident in the Landsat analysis where the slopes through data 1599 aggregated at different scales do not detectably differ).

1600 Extended Data Fig. 13. The sensitivity of Amazon forest drought responses to dry versus wet season 1601 drought periods, across the three-droughts: (a) distribution of the proportion of drought that was in the 1602 dry season (0 = all in the wet season to 1= all in the dry season) for drought-affected pixels in each of the 1603 three droughts. (b) GAM-predicted EVI anomaly versus PAR, for different proportions of dry season 1604 drought (blue=all wet to red=all dry, corresponding to colored tick marks in the vertical axis of a). (c) 1605 Adjusted EVI anomaly from GAM prediction versus drought length, for different proportions of 1606 dry-season drought (blue to red, as in panel b).

1607 Extended Data Fig. 14. The sensitivity of Amazon intact terra firme forest to drought responses, 1608 excluding degraded forests. Basin-wide Amazon intact forest responses to the 2015 drought, structured 1609 by ecotopes and predicted by whole-basin GAM analysis (fit by model of Extended Data Table 1d, but 1610 fully excluding forests categorized as degraded⁸⁶ in fitting the model): GAM partial predictions of EVI 1611 anomalies (color scale), displayed identical to the design of Fig. 4 (for intact terra firme forests, but 1612 including some partly degraded forests) for (a) soil fertility⁴³ and HAND²⁵, and for (b) forest height⁴⁵ and 1613 HAND²⁵. ecotope distributions are in the margins, identical to those shown in Fig. 4. (c)(d) adjusted EVI 1614 anomaly versus HAND with increasing (c) fertility or (d) forest height, each color-coded as in Fig. 4. 1615 Note: 'adjusted' EVI anomalies indicate that climate and ecotope factors not displayed in the graph are 1616 held constant at basin-wide (a-d) or regional average values (e).

1617 Extended Data Fig. 15. (a) Remotely sensed map of MAIAC EVI (1-km resolution) (green to blue 1618 color scale), overlaid with aboveground NPP (ANPP) rates from 321 ground-monitored forest plots 1619 (red circles, % y⁻¹) as aggregated to 1 degree grid plots (RAINFOR plots in Brienen et al.²), with both EVI 1620 and ANPP taken during the 2000-2011 interval. ANPP rate is calculated as Aboveground Biomass (AGB) 1621 gain (Mg/(ha·yr)) (total annual AGB productivity of surviving trees plus recruitment, plus inferred growth 1622 of trees that died between censusing intervals) divided by initial AGB (Mg/ha) (standing above ground 1623 biomass at the start of the census interval). (b) ANPP rates as predicted by EVI (points from (a) plus 1624 solid regression line with statistics; Dashed line and associated statistics in gray represent linear 1625 regression without the high leverage point, shown in red, with Cook's distances > 4/n, where n=number of 1626 points¹³².). EVI is the mean extracted from intervals matching the average census interval of the 1627 corresponding plots in Brienen et al.²

1628 Extended Data Fig. 16. Remotely sensed photosynthetic indices versus ground-monitored tree

1629 demography in shallow water table forests during the 2015-2016 drought²⁶: Top row: MAIAC EVI 1630 standardized drought anomalies:²⁶(1-km pixels) versus corresponding ground-monitored (a) mortality, (b) 1631 recruitment, and (c) mortality:recruitment ratios in 1-ha plots. Bottom row: GOSIF standardized drought 1632 anomalies (5-km pixels) versus ground-monitored (d) mortality, (e) recruitment, and (f) 1633 mortality:recruitment ratios; Solid lines and statistics (R² and p-values) represent standard linear 1634 regression fits to all data. Red points, if they exist, are high leverage, i.e. with Cook's distances > 4/n, 1635 where n=number of points¹³², and dotted lines and associated statistics in gray represent standard linear 1636 regressions without such points , showing that remote detection of ground-derived demographic trends is 1637 robust.

1638 Extended Data Fig. 17. Forest response to the 2015 drought in drought-affected pixels: (a) Observed
1639 EVI anomalies (resampled at 0.4 degrees to match model resolution which accounts for spatial
1640 autocorrelation (see Extended Data Fig. 7). (b) GAM-predicted EVI anomalies (model of Extended Data
1641 Table 1d). (c) Residual EVI anomalies (panel a observations minus panel b predictions). The GAM
1642 well-predicts the pattern of response (Panel b), but under-estimates the extremes of the responses (as
1643 evident from residuals in panel c continuing to show greening/browning patterns beyond the predictions).

1644 Extended Data Fig. 18. Map of Amazon forest biogeography of resilience, overlaid with mean winds
1645 (arrows, at height 650 hPa) and arc of deforestation. The most productive as well as the most
1646 vulnerable forests (in red) are also the ones most suffering deforestation (the "arc of deforestation" which
1647 is causing local climate affects that are stressing even more our most vulnerable forests. These "arc of
1648 deforestation"/ vulnerable forests are often upwind forests¹³³ (especially when the Intertropical
1649 convergence zone, ITCZ, swings to the south) so they are the leading edge of hydrological recycling in
1650 the Amazon.

1651 Extended Data Table 1. Generalized Additive Models (GAM) for: (a) the southern Amazon, (b) the 1652 everwet Amazon, (c) the Guiana shield (all droughts combined), and for (d) the whole basin (2015 1653 drought only), all on a 0.4 degree spatial grid. The ΔAIC is the difference from the lowest AIC (selected) 1654 model (ΔAIC =0), relative to a "full model" defined in columns 2-3. For details, see Methods, Section 1655 2.6.1.

1656 **Extended Data Table 2.** Variable inflation factors (VIF, top row) and pearson correlation coefficients 1657 (matrix) among climate and ecotope variables in drought-affected regions, across all droughts (first 1658 number in each entry) and in the 2015/16 drought only (second number). Variable inflation factors 1659 measure the severity of multicollinearity of a variable with all other independent variables jointly in a 1660 multiple regression, with VIFs of 5 or less often considered acceptable¹³⁴.