



## Characterisation of algogenic organic matter during an algal bloom and its implications for trihalomethane formation

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1 **Characterisation of algogenic organic matter during an algal bloom**  
2 **and its implications for trihalomethane formation**

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7

## 8 **Abstract**

9 It is predicated that algal blooms will become an increasing problem under changing climatic  
10 conditions. This is particularly concerning for the potable water treatment industry since algogenic  
11 organic matter (AOM) in surface waters supplying water treatment works (WTWs) can cause a  
12 number of treatment issues. However, whilst previous studies have shown that AOM is distinct from  
13 terrigenous, humic-dominated organic matter, limited information exists relating to changes in the  
14 character of AOM during different algal growth phases. In this study, reservoir water containing  
15 dissolved organic carbon (DOC) dominated by humic material was enriched with nutrient medium to  
16 create an algal bloom. Over the course of the algal bloom, DOC was characterised using XAD-  
17 fractionation and UV absorbance measurements. In addition, the reactivity of DOC with chlorine  
18 both before and after XAD-fractionation was assessed using trihalomethane formation potential  
19 (THMFP) and bromine incorporation measurements to monitor whether THM yield and speciation  
20 varied between different growth phases. Characterisation of DOC during the algal bloom indicated a  
21 shift towards more hydrophilic, aliphatic (low specific UV absorbance; SUVA) DOC with the release of  
22 extracellular organic matter (EOM) and later intracellular organic matter (IOM) during cell lysis. XAD-  
23 fractionation results suggest that algae produce predominantly hydrophilic neutral (HPIN) DOC. In  
24 contrast to some existing research, our study shows a marked change in DOC reactivity over time  
25 with a reduction in standardised THMFP (STHMFP) and the initial rate of THM formation observed as  
26 the algal bloom progressed. However, bromine incorporation increased with culture age.

27 **Key words:** algogenic organic matter, dissolved organic carbon, potable water treatment,  
28 trihalomethane, XAD-fractionation.

## 29 **1. Introduction**

30 Algogenic organic matter (AOM), consisting of cells, extracellular organic matter (EOM; released  
31 from algal cells by diffusion) and intracellular organic matter (IOM; released from senescent algal  
32 cells during cell lysis), causes a number of issues in potable water treatment. These substances may  
33 contribute taste and odour, elevate total organic carbon (TOC) levels, increase coagulant and  
34 chlorine demand, cause membrane fouling and lead to an increase in potentially-harmful  
35 disinfection by-products (DBPs) such as trihalomethanes (THMs) (Bernhardt et al. 1991; Nguyen et  
36 al. 2005; Li et al. 2012). Some species of algae also produce toxic metabolites which present a public  
37 health risk (Žegura et al. 2011). The frequency and duration of algal blooms is predicted to increase  
38 as a result of climate change (Ritson et al. 2014). Thus, developing a better understanding of the

39 changes in water quality and treatability during these episodes is important in allowing water  
40 treatment companies to adapt to a future treatment scenario.

41 Within the DOC pool, AOM shows a number of differences from natural organic matter (NOM) of  
42 terrigenous origin. Firstly, AOM has a higher nitrogen content than humic material due to its  
43 proteinaceous origin; TOC/TON ratios are reported as follows: NOM >> EOM > IOM ≈ algal cells  
44 (Fang et al. 2010). In addition, AOM is more biodegradable and is characterised by lower molecular  
45 weights (MWs) (Leenheer & Croue 2003; Nguyen et al. 2005; Fang et al. 2010). XAD-fractionation  
46 and specific UV absorbance (SUVA) measurements suggest that AOM tends to contain more  
47 hydrophilic and less aromatic carbon (Her et al. 2004; Leloup et al. 2013; Zhou et al. 2014). AOM  
48 characteristics also change as an algal bloom progresses through a series of growth phases (typically:  
49 lag phase, exponential growth phase, stationary phase and death phase). EOM is mostly released  
50 during the exponential growth phase and is composed of lower MW compounds such as glycolic and  
51 amino acids. IOM, released from senescent cells, mostly during the death phase, is composed of  
52 higher MW products such as polysaccharides (Huang et al. 2009).

53 Though algal cells tend to be associated with higher THM formation potential (THMFP), standardised  
54 for carbon concentration (STHMFP) than IOM and EOM (Yang et al. 2011), coagulation-flocculation is  
55 generally effective in removing algal cells during water treatment (Henderson et al. 2010).  
56 Therefore, EOM and IOM represent the main algogenic THM precursors in potable water treatment.  
57 Under standardised chlorination conditions, the STHMFP of AOM varies between algal species,  
58 though contradictory results have been reported with regard to the relative reactivity of blue-green  
59 algae vs. green algae vs. diatoms (Plummer & Edzwald 2001; Nguyen et al. 2005). Few studies have  
60 compared STHMFP values during different algal growth phases and contradictory results have been  
61 reported with regard to the reactivity of AOM as an algal bloom progresses. Nguyen et al. (2005) and  
62 Huang et al. (2009) concluded that DOC reactivity (STHMFP) did not vary significantly as a function of  
63 growth phase but only Huang et al. (2009) measured STHMFP during the death phase when large  
64 amounts of IOM are released into solution. Conversely, Yang et al. (2011) reported TOC and THMFP  
65 data that suggested a peak in STHMFP during the exponential growth phase. Furthermore, there are  
66 very limited data available for THM formation rates during different growth phases - an important  
67 consideration in a potable water treatment context since residence times of water in distribution  
68 systems are generally much shorter than the 7 d incubation periods typically used in the  
69 measurement of STHMFP. The speciation of THMs and particularly, the percentage of brominated  
70 THMs (BrTHMs) formed, is also reported to vary between species of algae and according to growth  
71 phase as a result of changing AOM character (Huang et al. 2009; Yang et al 2011) although there

72 remains limited research in this area. This issue is important since BrTHMs are reported to be more  
73 carcinogenic than  $\text{CHCl}_3$  (Richardson et al. 2007). To our knowledge, bromine incorporation of  
74 individual XAD fractions during chlorination has not yet been assessed.

75 In this study, an algal bloom was generated in the laboratory using water collected from an upland  
76 drinking water reservoir by enriching with nutrient medium. A natural sample, as opposed to a pure  
77 algal culture was used to more accurately reflect field conditions and therefore better represent the  
78 water treatment scenario. Quantification and characterisation of DOC including XAD-fractionation  
79 and THMFP measurements were undertaken during the different growth phases. The data were  
80 used to assess how STHMFP, THM formation rate and bromine incorporation varied with algal  
81 growth phase and compared with the raw reservoir water.

## 82 **2. Methods**

### 83 ***2.1. Site description and sample collection***

84 The water used in the study was collected from a UK upland drinking water reservoir. Its catchment  
85 comprises extensive areas of peatland (32%) and grassland (38%) as well as mainly-coniferous forest  
86 plantations (30%) (Cohen 2009). Although algal populations are normally low in this reservoir, the  
87 drinking water provider has observed increased algal biomass in late spring/early summer. For this  
88 study, 10 L of water was collected from the surface (0-1 m depth) of the reservoir in May 2013 and  
89 transported immediately to the laboratory. Since the composition of natural surface water tends to  
90 fluctuate through time, the use of a natural sample in this study raises issues about repeatability.  
91 However, the use of this method was necessary to ensure that microbiological processes more  
92 accurately reflected those of a drinking water reservoir. In order to enable an appropriate  
93 comparison with existing research findings, we also sought to identify the species present in the  
94 algal bloom.

### 95 ***2.2. Cultivation and measurement of algae***

96 A 30 % Bold Basal medium with three-fold nitrogen and vitamins (3N-BBM+V) (CCAP, UK) was made  
97 using 10 L of reservoir water. During a preliminary study, chlorophyll-*a* measurements indicated that  
98 this nutrient concentration was sufficient to produce an algal bloom. The solution was transferred to  
99 a 15 L glass jar, placed in a naturally-lit area of the laboratory and provided with aeration *via* an air  
100 pump connected to a ceramic air stone.

101 Algal population density was monitored by measuring chlorophyll-*a* concentration. These  
102 measurements were plotted over time and used to decide on the timing of the collection of larger  
103 sub-samples to represent distinct growth phases. For chlorophyll-*a* measurement, a 20 mL sub-  
104 sample was filtered through a Whatman GF/C filter which was then placed in a 15 mL centrifuge  
105 tube with 90% acetone (Sigma-Aldrich, Dorset, UK). After refrigerating for 24 h at 4°C, 1.5 mL of the  
106 supernatant was pipetted into a 1.5 mL centrifuge vial. The solution was centrifuged at 1,800 g for 1  
107 min and 347.5 µL pipetted into a 96-well clear micro-plate to achieve a 1 cm path length.  
108 Absorbance at  $\lambda = 665$  and 750 nm was measured using a Molecular Devices SpectraMax M2e multi-  
109 detection spectrophotometer (Molecular Devices, Berkshire, UK). Chlorophyll-*a* concentration was  
110 calculated using the following formula:

$$111 \text{ Chlorophyll-}a \text{ (mg L}^{-1}\text{)} = 11.9 (\text{Abs}_{665} - \text{Abs}_{750}) \frac{v}{Vp}$$

112 Here  $V$  is the volume filtered (mL),  $v$  is the volume of extract (mL),  $p$  is the pathlength (cm) and 11.9  
113 the specific absorbance coefficient of chlorophyll-*a* in 90% acetone (Golterman 1969).

### 114 **2.3 DOC and UV analysis**

115 For the measurement of DOC concentration and characteristics, samples were filtered through a  
116 0.45 µm membrane filter (Whatman). DOC measurements were carried out following acidification  
117 (to remove inorganic carbon) using a Thermalox TOC/TN analyser equipped with a non-dispersive  
118 infrared CO<sub>2</sub> detector (Analytical Sciences, Cambridge, UK). UV absorbance measurements were  
119 made using a Molecular Devices SpectraMax M2e multi-detection spectrophotometer (Molecular  
120 Devices, Berkshire, UK) with aliquots of samples pipetted into a 96-well clear micro-plate. SUVA  
121 values were derived from the following formula:  $\text{UV Abs}_{254} \text{ (cm}^{-1}\text{)} * 100/\text{DOC (mg L}^{-1}\text{)}$ .

### 122 **2.4 Growth phase analysis**

123 Raw, exponential growth phase and death phase samples (Figure 1) underwent XAD-fractionation  
124 and STHMFP measurements. STHMFP was also measured for XAD fractions obtained from these  
125 three samples.

#### 126 *XAD-fractionation*

127 Fractionation of DOC was achieved by resin adsorption using a method adapted from Thurman &  
128 Malcolm (2003) and Marhaba et al. (2003). Samples were separated into five fractions: hydrophobic  
129 acid (HPOA), hydrophobic base (HPOB), hydrophilic acid (HPIA), hydrophilic base (HPIB) and

130 hydrophilic neutral (HPIN) according to their sequential adsorption onto macroporous resins;  
131 Superlite™ DAX-8™ resin and Amberlite™ XAD-4™ resin (Sigma-Aldrich, Dorset, UK).

### 132 *Trihalomethane formation potential*

133 THMFP<sub>7d</sub> denotes the quantity of THMs formed ( $\mu\text{g L}^{-1}$ ) following chlorination of a water sample for a  
134 7 d incubation period at 25°C. The method used was adapted from the Standing Committee of  
135 Analysts (1981) procedure. Samples were diluted to 1 mg L<sup>-1</sup> DOC to derive a standardised THMFP<sub>7d</sub>  
136 (STHMFP<sub>7d</sub>) value which provides a measure of DOC reactivity. For chlorination, 97.5 mL of diluted  
137 sample was dosed with 2.0 mL of 0.5M KH<sub>2</sub>PO<sub>4(aq)</sub> to buffer the solution to pH 6.8. Samples were  
138 then dosed with 0.5 mL of NaOCl<sub>(aq)</sub> to provide 5 mg of free Cl per mg of DOC. After a 7 d incubation  
139 in the dark at 25°C, the reaction was quenched using 0.4 mL of 0.8M Na<sub>2</sub>SO<sub>3(aq)</sub> (all reagents supplied  
140 by Sigma-Aldrich, Dorset, UK). Extraction of the four chlorinated and brominated THM species  
141 (CHCl<sub>3</sub>, CHBrCl<sub>2</sub>, CHBr<sub>2</sub>Cl and CHBr<sub>3</sub>) was achieved using direct immersion SPME followed by  
142 quantification using a Varian 450 GC coupled with an electron capture detector (Agilent  
143 Technologies, Berkshire, UK). THM concentrations were also measured after 1 d in order to compare  
144 the rate of THM formation between samples. The THM formation rate was calculated as STHMFP<sub>1d</sub>  
145 as a percentage of STHMFP<sub>7d</sub>. The bromine incorporation factor (BIF) was calculated using the  
146 following formula:

$$147 \text{ BIF} = \frac{[\text{CHCl}_2\text{Br}] + 2[\text{CHClBr}_2] + 3[\text{CHBr}_3]}{[\text{total THM}]} \quad (\text{concentrations in } \mu\text{mol L}^{-1}) \quad (\text{Yang et al. 2011})$$

## 148 **3. Results**

### 149 **3.1. Algal growth**

150 The raw water chlorophyll-*a* concentration was 51  $\mu\text{g L}^{-1}$ . This began to increase sharply around day  
151 13 and peaked at day 20 with 635  $\mu\text{g L}^{-1}$  (Figure 1). Almost immediately the concentration began to  
152 fall again, returning to a chlorophyll-*a* concentration similar to the raw water (70  $\mu\text{g L}^{-1}$  on day 30).  
153 The profile of chlorophyll-*a* concentration over time indicates three distinct growth phases in the  
154 algal bloom: a lag phase between days 0 and 14, an exponential growth phase between days 14 and  
155 20 and a death phase between days 20 and 30. The green alga *Ankistrodesmus* sp. was found to  
156 dominate the algal bloom. The timing of sample collection for each growth phase is shown in Figure  
157 1 by unshaded circles.

158 **3.2. DOC and UV analysis**

159 Raw water DOC concentration was measured as 11.1 mg L<sup>-1</sup>. DOC concentration remained fairly  
160 stable during the lag phase of the algal bloom but showed a sudden increase to 14.3 mg L<sup>-1</sup> at the  
161 beginning of the exponential growth phase (day 15) (Figure 1). DOC then decreased to slightly below  
162 the raw water concentration as the exponential growth phase proceeded. Around day 23, during the  
163 death phase, DOC concentration then began to increase dramatically, reaching a final concentration  
164 of 19.5 mg L<sup>-1</sup> at day 30.

165 At day 0, SUVA measured 3.3 L mg<sup>-1</sup> m<sup>-1</sup>. This decreased during the lag phase to 2.3 L mg<sup>-1</sup> m<sup>-1</sup> at day  
166 15. SUVA then increased slightly during the exponential growth phase to 3.1 L mg<sup>-1</sup> m<sup>-1</sup> at day 18  
167 before decreasing steadily to a low of 1.2 L mg<sup>-1</sup> m<sup>-1</sup> at day 30 (Figure 2).

168 **3.3. XAD-fractionation**

169 For raw, exponential growth phase and death phase samples, the HPOB and HPIB fractions  
170 combined represented < 5% of total DOC although their % contribution increased with culture age  
171 (Figure 3). The raw sample was dominated by HPOA DOC (57%) with the HPIA fraction representing  
172 approximately one quarter (24%) of the DOC and the HPIN fraction 17%. The exponential growth  
173 phase sample was also dominated by the HPOA fraction (49%) but showed a reduction in HPOA and  
174 HPIA compared with the raw sample and an increase in the HPIN fraction to 29%. The death phase  
175 sample showed a dramatic difference in fractional character compared with the previous samples  
176 with the HPIN fraction becoming dominant (55%), a further slight % reduction in the HPIA fraction  
177 (to 14%) and a more substantial reduction in the HPOA fraction (to 27%).

178 **3.4. Trihalomethane formation potential**

179 *STHMFP*

180 Since the *STHMFP*<sub>7d</sub> measurement is standardised for DOC concentration, it can be used to compare  
181 DOC reactivity with chlorine between samples. For the un-fractionated samples, highest *STHMFP*<sub>7d</sub>  
182 was observed for the raw sample (81 µg THM mg DOC<sup>-1</sup>/ 8.02 µmol THM mmol DOC<sup>-1</sup>) followed by  
183 the exponential growth phase (66 µg THM mg DOC<sup>-1</sup>/ 6.49 µmol THM mmol DOC<sup>-1</sup>) and death phase  
184 sample (31 µg THM mg DOC<sup>-1</sup>/ 3.02 µmol THM mmol DOC<sup>-1</sup>) (Figure 4a). Interestingly, for all samples  
185 analysed, including the un-fractionated samples and their constituent fractions (HPOA, HPIA and  
186 HPIN), *STHMFP*<sub>7d</sub> showed the following sequence: raw > exponential > death (Figures 4a-4d). Highest  
187 *STHMFP*<sub>7d</sub> was recorded for the HPIA fraction of the raw water (122 µg THM mg DOC<sup>-1</sup>) (Figure 4c)

188 and lowest  $STHMFP_{7d}$  for the HPIN fraction of the death phase sample ( $17 \mu\text{g THM mg DOC}^{-1}$ ) (Figure  
189 4d).

#### 190 *Rate of THM formation*

191 Of the un-fractionated samples (Figure 4a), the raw sample showed a higher initial reaction rate  
192 ( $STHMFP_{1d}$  as a percentage of  $STHMFP_{7d}$ ) than the exponential growth phase and death phase  
193 samples (60% compared with 37% and 44%, respectively). The fractionated samples, particularly the  
194 HPIA and HPIN fractions showed a greater range of initial reaction rates than the un-fractionated  
195 samples. Overall, the raw HPOA sample (Figure 4b) showed the highest reaction rate (64%) and the  
196 death phase HPOA and HPIN fractions the lowest reaction rates (27% and 26%, respectively) (Figures  
197 4b and 4d).

#### 198 *Bromine incorporation*

199 Bromine incorporation into THMs increased with culture age; for instance a bromine incorporation  
200 factor (BIF) of 0.018 was observed in the raw sample, compared to 0.026 in the exponential growth  
201 phase sample and 0.058 in the death phase sample (Figure 5). On average, BIF values for fractions  
202 showed the following trend: HPOA < HPIA < HPIN. However, there was substantial variation in BIF  
203 values for individual fractions between different samples (raw, exponential and death). For instance  
204 the death phase HPIN sample showed the highest BIF value (0.192), more than double any of the  
205 other samples.

## 206 **4. Discussion**

### 207 **4.1. Algal growth**

208 Chlorophyll-*a* measurements indicate low algal biomass in the raw water sample. Following nutrient  
209 enrichment and favourable light and temperature conditions in the laboratory, an algal bloom was  
210 generated resulting in a maximum chlorophyll-*a* concentration of  $635 \mu\text{g L}^{-1}$ . This was substantially  
211 lower than the peak chlorophyll-*a* concentrations reported previously for laboratory-based algal  
212 blooms. For example, Huang et al. (2009) reported maximum chlorophyll-*a* concentrations of  
213 approximately  $2,700 \mu\text{g L}^{-1}$  and  $2,100 \mu\text{g L}^{-1}$  for *Anabaena flos-aquae* and *Microcystis aeruginosa*,  
214 respectively. This enhanced growth can be explained by a difference in culture conditions since  
215 Huang et al. (2009) used inoculated algae stock cultures and un-diluted nutrient growth media  
216 whereas here, a natural sample and diluted nutrient medium was used. Typically, four growth  
217 phases can be identified during an algal bloom. During the lag phase, indicated by static chlorophyll-  
218 *a* concentrations, algal cells use the newly-available nutrients to replenish internal nitrogen and

219 phosphorus constituents. The exponential growth phase, characterised by a rapid increase in  
220 chlorophyll-*a* concentration, involves rapid cell division and a dramatic increase in algal biomass.  
221 During this phase, significant amounts of EOM are released. The stationary phase, characterised  
222 once again by static chlorophyll-*a* concentrations, occurs when the nutrient pool has been exhausted  
223 and cell division stops. Finally, the death phase, when chlorophyll-*a* concentration declines occurs as  
224 a result of cell death. During the death phase large amounts of IOM are released due to widespread  
225 cell lysis. Our data did not show an identifiable stationary phase between the exponential and death  
226 phases. Previous studies have also reported the absence of a distinct stationary phase such as the  
227 *Anabaena flos-aquae* culture in Huang et al. (2009).

#### 228 **4.2. DOC concentration**

229 Our DOC concentration data show a more erratic trend (see Figure 1) than those reported for  
230 inoculated algal cultures where DOC concentration has been reported to increase steadily with  
231 culture age (Huang et al. 2009; Yang et al. 2011). We suggest that this is due to our use of a natural  
232 sample and consequently, the competing effects of microbial degradation of DOC and algal DOC  
233 production during the algal bloom. Our aim in using natural samples was to more accurately reflect  
234 conditions in the field. The increase in DOC concentration observed during the early-exponential  
235 growth phase may reflect a significant release of EOM whilst the subsequent fall in DOC  
236 concentration during the late-exponential growth phase may be due to an increase in microbial  
237 population and the consequent degradation of EOM. The dramatic increase in DOC concentration  
238 during the late-death phase is likely to be caused by the rate of release of IOM during cell lysis  
239 exceeding the rate of microbial degradation.

#### 240 **4.3. Specific UV absorbance**

241 SUVA is reported to correlate positively with DOC hydrophobicity and MW (Edzwald & Tobiason  
242 1999) and % DOC aromaticity (Weishaar et al. 2003). Thus, the general reduction in SUVA during the  
243 course of the algal bloom is consistent with an increase in the relative contribution of low UV-  
244 absorbing, hydrophilic, aliphatic compounds typical of algogenic DOC, and a decrease in the relative  
245 contribution of humic constituents (Her et al. 2004; Yang et al. 2011; Huang et al. 2012). In addition,  
246 IOM is reported to be associated with lower SUVA values than EOM (Fang et al. 2010), the release of  
247 which may have contributed to the reduction in SUVA during the death phase. The slight recovery of  
248 SUVA during the exponential growth phase coincides with a decrease in DOC concentration. This  
249 may be explained by the preferential removal of low-SUVA algogenic DOC by microbial degradation  
250 since AOM is reported to be more biodegradable than humic material (Nguyen et al. 2005).

251 **4.4. XAD-fractionation**

252 The XAD-fractionation data reported here, which show a higher contribution of the HPIN fraction in  
253 the exponential and death phase samples compared with the raw sample, are similar to results  
254 reported previously. For example, Her et al. (2004) analysed AOM extracted from algal cells (IOM;  
255 species unknown) and reported similar fractional character to our death phase result with 57.3%  
256 HPIN, 25.9% HPOA and 16.8% HPIA. Similar proportions were also reported by Henderson et al.  
257 (2008) for EOM derived from four species (*Chlorella vulgaris*, *Microcystis aeruginosa*, *Asterionella*  
258 *formosa* and *Melosia* sp.). For instance, in the stationary phase they reported that HPIN represented  
259 57% or more and HPIA varied between 8% and 17%. However, data presented in Leloup et al. (2013)  
260 for *Euglena gracilis* indicated that the HPIN fraction dominates AOM during the exponential and  
261 stationary growth phases (75% and 69%, respectively) and that the HPOA and HPIA fractions  
262 correlated with increased cell mortality and release of IOM during later phases. They proposed that  
263 the HPIN fraction initially formed may have been transformed to HPOA and HPIA according to  
264 Stevenson's (1994) theory of polyphenols. By contrast, our data suggest that the contribution of  
265 HPIN increases with cell mortality and that the contribution of HPOA and HPIA decreases with  
266 culture age. This difference may be due to the different algal species involved. However, a  
267 comparison of IOM from different species cultured under standardised conditions would be  
268 necessary to confirm this.

269 **4.5. Trihalomethane formation potential**

270 *STHMFP*

271 Our  $STHMFP_{7d}$  data, which show a marked decrease as the algal bloom progressed, conflict with the  
272 results of previous studies which indicate that *STHMFP* does not vary as a function of growth phase  
273 (Nguyen et al. 2005; Huang et al. 2009). This may be due to the presence of different algal species in  
274 our culture. Alternatively, differences in culture conditions may be responsible. Indeed, Nguyen et al.  
275 (2005) show that *STHMFP* for the same species may vary depending on the conditions in which the  
276 algae are cultured.

277 High  $STHMFP_{7d}$  in the un-fractionated raw water sample ( $81 \mu\text{g THM mg DOC}^{-1}$ ) can be explained by  
278 the dominance of allochthonous humic material which is reported to react more readily to form  
279 THMs than algogenic DOC (Fang et al. 2010). This enhanced reactivity may be linked to its higher  
280 hydrophobicity and aromaticity (and hence high SUVA), both of which are reported to have a  
281 positive relationship with *STHMFP* (Edzwald et al. 1985; Chow et al. 2005). Reduced reactivity in the

282 un-fractionated samples with culture age may be due to microbial degradation of humic DOC and an  
283 increased contribution from hydrophilic, aliphatic, low SUVA DOC with the release of EOM, and later  
284 IOM, into the DOC pool. Reduced  $\text{STHMFP}_{7d}$  between the exponential and death phase samples can  
285 also be explained by the release of IOM from senescent cells in the death phase. Indeed, Li et al.  
286 (2012) report lower  $\text{STHMFP}$  for IOM than EOM extracted from *Microcystis aeruginosa* during the  
287 exponential growth phase. Zhou et al. (2014) however reported that the chloroform yield for IOM  
288 was approximately double that of EOM (both extracted from *Microcystis aeruginosa*). Our  
289 exponential samples also show higher  $\text{STHMFP}_{7d}$  values ( $66 \mu\text{g THM mg DOC}^{-1} / 6.49 \mu\text{mol THM mmol}$   
290  $\text{DOC}^{-1}$ ) than some of those reported previously. For example, Yang et al. (2011) report THM yields of  
291  $1.31$  and  $1.51 \mu\text{mol THM mmol DOC}^{-1}$  for *Microcystis aeruginosa* and *Chlorella vulgaris*, respectively  
292 during the exponential growth phase (3 d incubation, pH 7.2,  $22 \pm 1^\circ\text{C}$ ). Fang et al. (2010) report a  
293 THM yield of  $\sim 16 \mu\text{g THM mg DOC}^{-1}$  for *Microcystis aeruginosa* during the stationary growth phase  
294 (3 d incubation, pH 6.8,  $22 \pm 1^\circ\text{C}$ ). The higher THM yields observed in the present study may be due to  
295 the longer incubation period used (7 d) and/or the presence of residual humic material from the  
296 original raw water sample. The consistency in the  $\text{STHMFP}_{7d}$  results in terms of the relative reactivity  
297 of raw, exponential and death phase samples (raw > exponential > death) suggests that the reduced  
298 reactivity of the un-fractionated samples with culture age is partly due to reduced reactivity in all  
299 three of their main constituent fractions, rather than merely the result of a change in the  
300 proportions of the different fractions.

301 Although lower  $\text{STHMFP}_{7d}$  was observed for algogenic DOC compared with humic DOC in this study,  
302 it should be noted that, whereas DOC with high hydrophobicity, HMW and high SUVA such as humic  
303 material is reported to be amenable to removal by coagulation, LMW hydrophilic, low SUVA DOC is  
304 reported to be relatively more recalcitrant (Sharp et al. 2006; Chow et al. 2009). In addition,  
305 algogenic DOC is reported to be associated with higher nitrogenous DBP (NDBP) production than  
306 humic DOC (Bond et al. 2011; Ritson et al. 2014). Thus the relationship between DOC origin and THM  
307 yield in drinking water is not straightforward.

### 308 *Rate of THM formation*

309 In the present study  $\text{STHMFP}_{1d}$  as a percentage of  $\text{STHMFP}_{7d}$  was used to represent THM formation  
310 rate. The initial rate of THM formation was found to decrease as the algal bloom progressed and  
311 DOC origin shifted from predominantly humic to predominantly algogenic. Thus, in terms of THM  
312 concentrations at the point of delivery to the consumer, it appears that chlorination of AOM may be  
313 less problematic than chlorination of humic material in this reservoir water due to its lower initial  
314 reaction rate. Previous studies have identified fast- and slow-reacting THM precursors based on DOC

315 functionality (Gallard & von Gunten 2002; Dickenson et al. 2008). Thus, the algogenic DOC in this  
316 study appears to contain relatively more slow-reacting THM precursors than humic DOC. In the  
317 fractionated samples, the death phase HPOA and HPIN fractions showed particularly low initial  
318 reaction rates;  $\text{STHMFP}_{1d}$  as a percentage of  $\text{STHMFP}_{7d}$  was 27% and 26%, respectively, which  
319 corresponds with the low reaction rate of the un-fractionated death phase sample.

#### 320 *Bromine incorporation*

321 The increased bromine incorporation in the un-fractionated samples with culture age in this study  
322 can be explained by shifting DOC character during successive growth phases. Previous studies have  
323 shown a negative relationship between bromine incorporation and SUVA, hydrophobicity and  
324 aromaticity (Heller-Grossman et al. 1993; Kitis et al. 2002; Teksoy et al. 2008). XAD fractional  
325 character and SUVA measurements indicate a shift towards lower SUVA, aromaticity and  
326 hydrophobicity as the algal bloom progressed due to the release of EOM during the exponential  
327 growth phase, and IOM during the death phase. The speciation of THMs is important because  
328 BrTHMs are reported to more carcinogenic than  $\text{CHCl}_3$  (Richardson et al. 2007). Our data suggests  
329 therefore, that changing speciation of THMs with culture age may increase the health risks  
330 associated with chlorination of dissolved AOM. The BIF data reported here contrast with the findings  
331 of Huang et al. (2009) who report that the BIF of dissolved AOM for *Anabaena flos-aquae* remained  
332 fairly stable throughout the algal bloom but for *Microcystis aeruginosa*, fell as the bloom progressed  
333 and made a slight recovery during the death phase. This trend, it was suggested, was due to a  
334 decrease in hydrophilic content with culture age.

335 To our knowledge bromine incorporation in XAD fractions of AOM has not been studied previously.  
336 The high BIF values associated with the HPIN fraction can be explained by its low SUVA,  
337 hydrophobicity and aromaticity. The variation in BIF values within the same fraction over time  
338 suggests that variation in BIF in the un-fractionated samples were not simply the result of changes in  
339 the fractional character, but also relate to changes in the reactivity of DOC within individual  
340 fractions. The BIF values reported in this study (0.018-0.192) are very low compared with those  
341 reported previously. For example, Yang et al. (2011) report median BIF values of 1.4 and 1.3 for  
342 dissolved AOM associated with *Chlorella vulgaris* and *Microcystis aeruginosa*, respectively. Those  
343 reported by Huang et al. (2009) varied between  $\sim 1.1$  and  $\sim 1.8$ . Kitis et al. (2002) report BIF values  
344 of  $\sim 0.9$  and  $\sim 1.7$  for a high SUVA water (Myrtle Beach, California) and a low-SUVA water  
345 (Tomhannock reservoir, New York). However, all of these measurements involved spiking with  
346 bromine, whereas in the present study, bromine was derived from the ambient bromide level in the  
347 samples and from the *ca.* 1%  $\text{Br}_2$  as a production impurity in  $\text{NaOCl}$ . Bromine incorporation in a real

348 treatment scenario is likely to be higher due to the lower chlorine residuals involved and as a result,  
349 higher Br<sup>-</sup>:Cl<sub>2</sub> ratio (Bond et al. 2014).

350 Overall our results emphasize the importance of effective AOM removal, particularly during the  
351 death phase, due to the increase in brominated THM species as the bloom progressed. Whilst  
352 coagulation-flocculation is effective in removing algal cells, EOM and IOM are less amenable to  
353 removal (Henderson et al. 2010). Ultrafiltration and biodegradation have been identified as  
354 successful methods of EOM and IOM removal (Zhou et al. 2014). It is likely that many WTWs will  
355 have to adopt these technologies as the problem of algal blooms increases.

## 356 **5. Conclusions**

357 In this study an algal bloom, dominated by the green alga *Ankistrodesmus* sp., was generated using  
358 an upland reservoir sample and monitored using chlorophyll-*a* measurements. Our use of natural  
359 samples as opposed to pure algal cultures was designed to more accurately reflect field conditions.  
360 Three distinct growth phases were identifiable (lag, exponential and death) during which sub-  
361 samples were collected. These were analysed to compare DOC concentration and character between  
362 growth phases. In particular, we investigated the reactivity of these samples with chlorine to assess  
363 the impact of algogenic DOC on THM yield in potable water. Potential variations in AOM reactivity  
364 between growth phases has received little attention in the literature.

365 Our data support the findings of previous studies showing lower STHMFP associated with algogenic  
366 DOC compared with humic DOC. However, in contrast to some previous studies, the present  
367 experiment also found that STHMFP varied markedly between different growth phases. STHMFP  
368 was found to decrease as the algal bloom progressed consistent with the following order of  
369 reactivity: IOM < EOM < NOM. In addition, it was found that algogenic DOC produced both during  
370 the exponential and death phases formed THMs at a lower initial rate than humic DOC, as indicated  
371 by the % of THMFP<sub>7d</sub> formed within the first 24 h. These data suggest that algogenic DOC has a lower  
372 THM yield than humic DOC. However, when assessing the relative risks associated with AOM and  
373 humic DOC in terms of THM formation, the more recalcitrant nature of algogenic DOC should be  
374 considered.

375 In addition, our data show that formation of BrTHMs, considered to be more carcinogenic, varies as  
376 follows: IOM > EOM > NOM. Thus, despite its lower THM yield, AOM removal during water  
377 treatment should be considered a priority, particularly during the death phase of an algal bloom.  
378 Measurement of bromine incorporation for different XAD fractions was carried out for the first time

379 in this study. The results suggest that increased bromine incorporation with culture age results not  
380 only from a change in XAD-fractional character but also from a change in the reactivity of individual  
381 fractions over time.

382 Though several previous studies have considered the fractional character of AOM, by analysing AOM  
383 during both the exponential growth phase and the death phase, our results provide a more detailed  
384 assessment of the impact of algae on the fractional character of the DOC pool. Whilst our data  
385 support the findings of previous research showing the dominance of the HPIN fraction in algogenic  
386 DOC, it disagrees with the suggestion of a correlation between cell mortality and the release of  
387 HPOA and HPIA fractions. Instead, this study indicates that senescent algal cells predominantly  
388 contribute HPIN material to the DOC pool.

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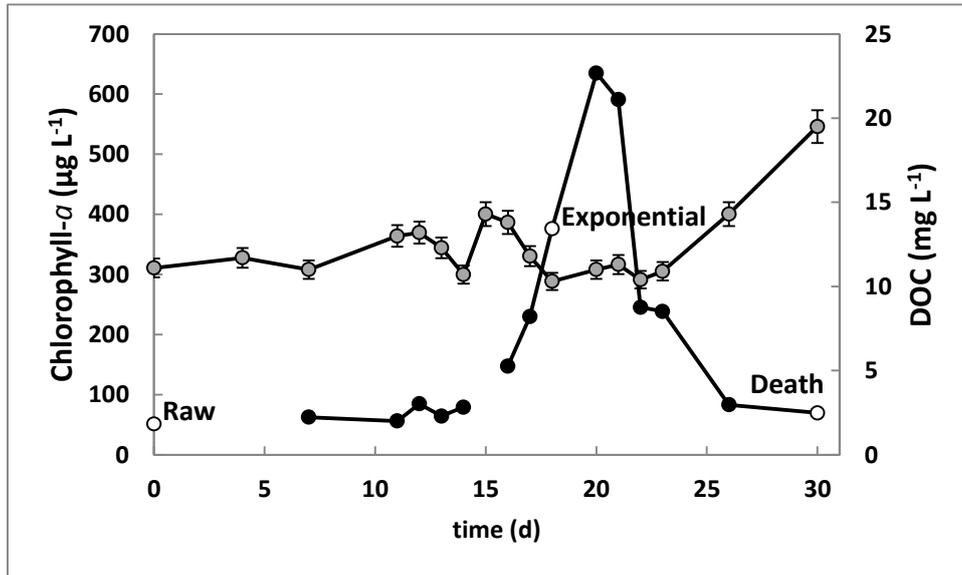
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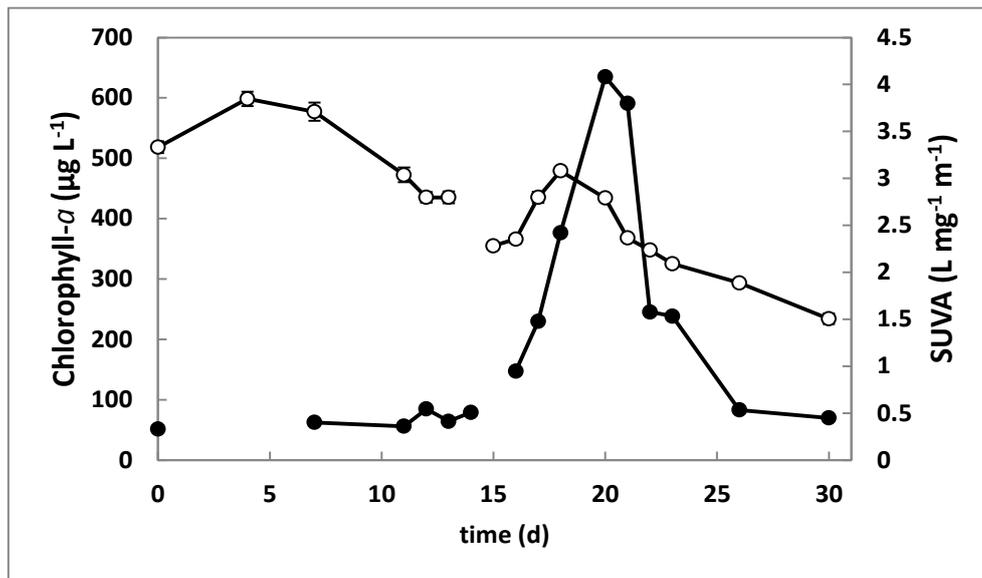
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481 8. Figures

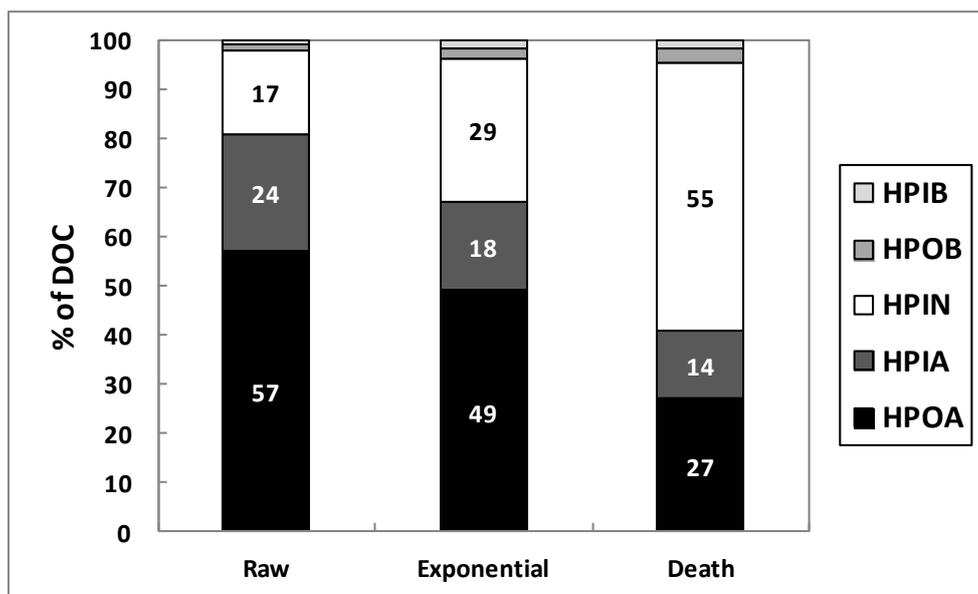
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483 Figure 1. Chlorophyll-*a* concentration (black markers) plotted with DOC concentration (grey markers)  
484 over the course of the algal bloom. Unshaded markers show timing of collection of raw, exponential  
485 growth phase and death phase samples. Error bars represent 5% CV.  
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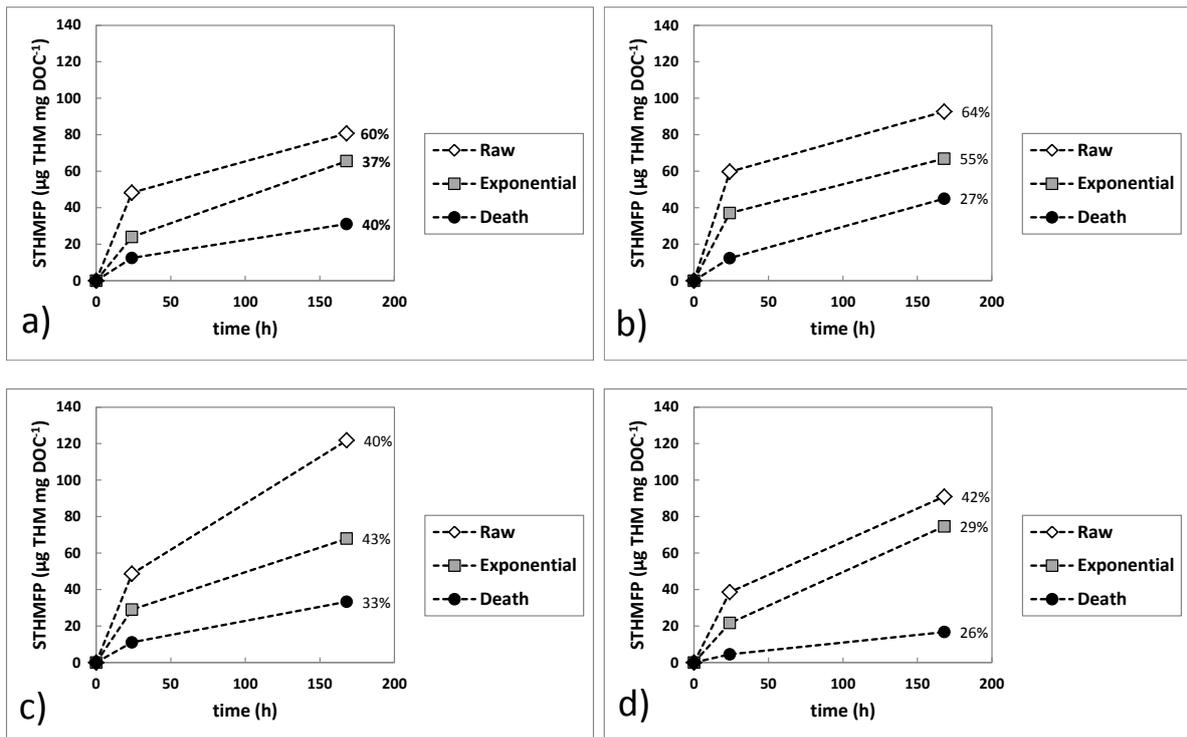
488 Figure 2. Chlorophyll-*a* concentration (black markers) plotted with SUVA (white markers) over the  
489 course of the algal bloom. Error bars represent the standard error.  
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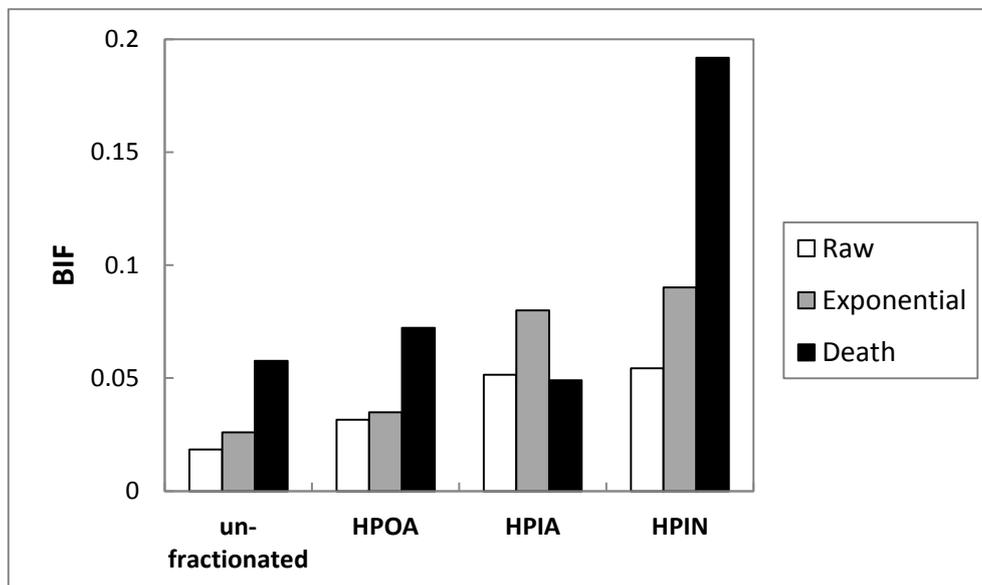
Figure 3. % contribution of HPOA, HPIA, HPIN, HPOB and HPIB fractions to the total recovered DOC following fractionation of raw, exponential growth phase and death phase samples.

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Figure 4. Profile of STHMFP over a 7 d incubation period following chlorination of the raw, exponential growth phase and death phase samples including in their unfractionated state (a) and their constituent fractions; HPOA (b), HPIA (c) and HPIN (d). STHMFP<sub>1d</sub> as a percentage of STHMFP<sub>7d</sub> is also shown.



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Figure 5. Bromine incorporation factor (BIF) for unfractionated and HPOA, HPIA and HPIN fractions associated with the raw, exponential growth phase and death phase samples.