

**Pharmacogenetic testing prior to carbamazepine treatment of epilepsy: 1 patients' and physicians' preferences for testing and service delivery**

Powell, G.; Holmes, E.A.; Plumpton, C.O.; Ring, A.; Baker, G.A.; Jacoby, A.; Pirmohamed, M.; Marson, A.G.; Hughes, D.A.

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1 **Title:** Pharmacogenetic testing prior to carbamazepine treatment of epilepsy: patients' and
2 physicians' preferences for testing and service delivery

3

4 **Authors:** Powell G¹, Holmes E A F², Plumpton C O², Ring A³, Baker G A¹, Jacoby A³, Pirmohamed M¹,
5 Marson A G¹, Hughes D A^{2*}

6 ¹Department of Molecular and Clinical Pharmacology, University of Liverpool, Liverpool, UK

7 ²Centre for Health Economics and Medicines Evaluation, Bangor University, Bangor, UK

8 ³Department of Public Health and Policy, University of Liverpool, Liverpool, UK

9

10 *Author for correspondence: Professor Dyfrig Hughes, Centre for Health Economics and Medicines
11 Evaluation, Bangor University, Ardudwy, Normal Site, Holyhead Road, Bangor, Wales, UK, LL57 2PZ.

12 E-mail: d.a.hughes@bangor.ac.uk Telephone: +44(0)1248 382950

13

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15

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18

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23

24 **Summary**

25 **Aim:** Pharmacogenetic studies have identified the presence of the *HLA-A*31:01* allele as a predictor
26 of cutaneous adverse drugs reactions (ADRs) to carbamazepine. This study aimed to ascertain the
27 preferences of patients and clinicians to inform carbamazepine pharmacogenetic testing services.

28 **Methods:** Attributes of importance to people with epilepsy and neurologists were identified through
29 interviews and from published sources. Discrete choice experiments (DCEs) were conducted in 82
30 people with epilepsy and 83 neurologists. Random-effects logit regression models were used to
31 determine the importance of the attributes and direction of effect.

32 **Results:** In the patient DCE, all attributes (seizure remission, reduction in seizure frequency, memory
33 problems, skin rash and rare, severe ADRs) were significant. The estimated utility of testing was
34 greater, at 0.52 (95% CI, 0.19 to 1.00) than not testing at 0.33 (95% CI, -0.07 to 0.81). In the physician
35 DCE, cost, inclusion in the British National Formulary, coverage, negative predictive value (NPV), and
36 positive predictive value (PPV) were significant. Marginal rates of substitution indicated that
37 neurologists were willing to pay £5.87 for a 1 percentage point increase in NPV and £3.99 for a 1
38 percentage point increase in PPV.

39 **Conclusion:** The inclusion of both patients' and clinicians' perspectives represents an important
40 contribution to the understanding of preferences towards pharmacogenetic testing prior to initiating
41 carbamazepine. Both groups identified different attributes but had generally consistent
42 preferences. Patients' acceptance of a decrease in treatment benefit for a reduced chance of severe
43 ADRs adds support for the implementation of *HLA-A*31:01* testing in routine practice.

44

45

46 **What is known about this subject:**

- 47 • Carbamazepine is associated with severe, immune-mediated adverse drug reactions that may be
48 predicted, and potentially avoided, by testing for human leukocyte antigen alleles
- 49 • There is presently no evidence on the preferences of patients with epilepsy or neurologists
50 towards pharmacogenetic testing prior to carbamazepine treatment

51 **What this study adds:**

- 52 • Based on discrete choice experiments, patients were willing to accept a reduced chance of 1-
53 year remission from seizures for a reduction in adverse drug reactions
- 54 • Neurologists' preference for testing was sensitive to the cost of the test, but they were willing to
55 pay for a modest increase in negative predictive value

56

57 **Introduction**

58 Carbamazepine is used widely as a first-line treatment for focal onset seizures, and has proven
59 benefits in terms of time to achieving 12-month remission [1,2]. However, it is associated with
60 common adverse drug reactions (ADRs) [3] and more serious, immune-mediated ADRs, including
61 cutaneous hypersensitivity reactions such as Drug Induced Hypersensitivity Syndrome (DIHS),
62 Stevens-Johnson syndrome (SJS) and Toxic Epidermal Necrolysis (TEN). The estimated incidence of
63 SJS-TEN is 1 to 6 per 10,000 persons exposed to carbamazepine with TEN being associated with
64 mortality of up to 30% [4].

65 Pharmacogenetic association studies have identified significant genetic predictors of cutaneous
66 ADRs associated with carbamazepine. While rare in European populations, the *HLA-B*15:02* allele is
67 a significant predictor of SJS-TEN in people of Han-Chinese descent [5], and testing significantly
68 reduces the rate of SJS-TEN [6]. Recommendations from regulators have consequently led to
69 increased use of *HLA-B*15:02* testing of people of Han-Chinese, Thai and other Asian origin in East
70 Asia.

71 In European populations, the *HLA-A*31:01* allele is a significant predictor of the full spectrum of
72 carbamazepine-induced hypersensitivity ADRs [7], the risk being 26% in carriers of the allele and
73 3.8% in non-carriers. Based on the 10% prevalence of mild carbamazepine-induced cutaneous ADRs
74 (maculopapular exanthema) in people of European descent [1], 39 people would need to be
75 screened to prevent one carbamazepine-induced ADR [7]. However testing for *HLA-A*31:01*, which
76 has a prevalence of 2 to 5% in European populations, has yet to gain mainstream acceptance in
77 Western countries. As for any new innovation, uptake will be dependent on many factors, not least
78 patients' acceptance, and preferences for harm reduction versus benefit maximisation; and
79 prescribers' considerations of diagnostic value, clinical utility and cost, among other factors [8].

80 Discrete choice experiments (DCEs) are a method for measuring respondents' stated preference for
81 healthcare interventions or services [9]. In DCEs, respondents are asked to choose their preferred

82 alternative from a set of hypothetical (but realistic) alternatives. The method allows for the
83 estimation of the relative importance of different aspects of care, assessment of any trade-offs
84 between these aspects, and of respondents' total satisfaction (utility) associated with the
85 intervention or service under consideration [9,10]. DCEs have been used previously to elicit
86 preferences for antiepileptic drugs (AEDs) [11,12] and for the delivery of pharmacogenetic testing
87 services [13]. The latter revealed differences in patient and prescriber preferences, with patients
88 demanding accurate and timely information regarding why testing was necessary and what the test
89 results meant, while health-care professionals focussed more on the predictive accuracy and waiting
90 time for a test result [13].

91 In the present study, we aimed to ascertain the preferences of patients with epilepsy and
92 neurologists when considering testing for *HLA-A*31:01* prior to prescribing carbamazepine.
93 Specifically, we estimated patients' threshold at which the incidence of serious ADR would make
94 testing worthwhile and neurologists' willingness to pay for testing. The results of this study may
95 inform the delivery of pharmacogenetic testing services.

96

97 **Methods**98 **Overview**

99 We identified attributes that patients with epilepsy and neurologists considered important in their
100 respective consideration of pharmacogenetic testing prior to starting treatment with
101 carbamazepine. Levels for each attribute were derived from appropriate sources of clinical
102 evidence. Separate DCEs were designed and administered to samples of patients with epilepsy and
103 neurologists from across the UK.

104 **Participants and administration**

105 Adults aged 18 or over and who self-reported as being diagnosed with epilepsy by a doctor were
106 eligible. Participants were not rewarded for their time but were informed of the potential benefits
107 and risks to them, and had to consent before taking part. Recruitment was facilitated by the UK
108 charity Epilepsy Action and included advertisements, articles and links using social media, members'
109 magazine, e-forums and newsletters, and website home page. An advertisement was placed in the
110 local press and posters displayed in hospital clinics. The questionnaire was made available via a link
111 to an anonymous online service (Snap Surveys, London, UK) between June and October 2013. Target
112 sample size was 63 completed DCE responses, based on each main effect level of interest being
113 represented across the design at least 500 times [14]. Ethical approval was gained from the NHS
114 National Research Ethics Service (reference 11/NW/0191).

115 Adult and paediatric neurologists registered in the UK were recruited via the International League
116 Against Epilepsy and the Association of British Neurologists. The questionnaire was made available
117 nationally via an anonymous online service (SurveyMonkey, Palo Alto, CA) between July and October
118 2012. The target sample size for the main effects analysis was 47 completed DCE responses [14].

119 **Attribute and level selection**

120 Attributes for the patient DCE were identified using semi-structured interviews with patients, focus
121 group with prescribers, and from published data. Patients (n=56) were recruited from three clinical
122 sites, and included 33 with established epilepsy (17 females, mean age 38 years) and 23 with a
123 recent (≤ 1 year) diagnosis of epilepsy (10 females, mean age 43 years). Forty-one patients were first
124 asked to list and rank attributes relating to the benefits, side-effects and life-impacts of treatment
125 for epilepsy. The second stage of the interviews was designed to explore the framing of risk and the
126 validity of risk communication. Fifteen patients participated in cognitive interviews to assess the
127 face validity of the DCE (presentation of attributes and levels) and were provided with show-cards
128 depicting risk in pictograms alongside a written explanation of the risk being illustrated.
129 Interviewers were given notes on how to explain risk. This exercise was repeated in the focus group
130 with prescribers (n=8), who were also asked to discuss the frequency and severity at which side-
131 effect became a 'clinically important adverse event' that would require a change in treatment.
132 Prescribers were also asked for feedback on the format of the patient DCE and the presentation of
133 attributes and levels. The final DCE of patients contained 5 attributes to represent remission of
134 seizures (the highest ranked benefit in the qualitative study), reduction in seizure frequency,
135 memory problems (the highest ranked side-effect in the qualitative study), skin rash, and rare or
136 uncommon severe ADRs (associated with carbamazepine) (Table 1). Appropriate levels for each
137 category were identified from published clinical data [1,7,15].

138 Insert Table 1 here

139 Attributes for the physician DCE were taken from Payne et al. [13], who identified cost, predictive
140 accuracy and result turnaround time as being important when considering pharmacogenetic tests;
141 and from structured individual interviews with neurologists (n=12) recruited from the North West of
142 England. Initial interviews involved a discussion of attributes that would be of potential importance
143 to neurologist when considering a pharmacogenetic test and included: cost, predictive accuracy,
144 turnaround time to result, coverage of test (severe ADRs only or severe and mild ADRs), inclusion in

145 British National Formulary (BNF) [16], method of testing (blood, salivary swab), method of follow-up
146 and subsequent prescribing, location of testing and method of presentation of results ('raw data',
147 summarised interpretation).

148 A rating exercise was performed to identify the attributes of greatest importance. Subsequent
149 interviews with neurologists discussed the presentation of the attributes and identified relevant
150 levels. As this study targeted UK neurologists, cost was understood to be total cost to the National
151 Health Service (NHS), rather than cost to the patient or cost for a privately requested test. Although
152 there is no direct cost to the neurologist or patient, neurologists and physicians in general in the UK
153 are cognisant of the costs of medical interventions and this characteristic was confirmed by the
154 identification of the attribute as important in the interviews. Framing of the predictive attributes of
155 the pharmacogenetic test was discussed. The negative predictive value (NPV) and positive predictive
156 value (PPV) were understood and favoured by the neurologists compared to alternative methods of
157 presentation including sensitivity, specificity or 'risk of ADR following test'. The final attributes
158 presented in the DCE were: cost, time to result, inclusion in the BNF, coverage, NPV, and PPV (Table
159 1). Data from published sources [5,7], together with discussion in individual interviews with
160 neurologists and expert opinion led to identification of a range of plausible attribute levels.

161 ***Experimental design***

162 Our qualitative findings did not reveal a common list of attributes that could be used to value both
163 physician and patient preferences for pharmacogenetic testing services. We therefore conducted
164 two separate DCEs that contained the most relevant and plausible attributes from both
165 perspectives.

166 In clinical practice, patients who test positive for the *HLA-A*31:01* allele would be prescribed an
167 alternative AED, which is likely to have a different benefit and harm profile. To reflect this, the DCE
168 asked patients to choose between two hypothetical medicines, from which we inferred their

169 preference for pharmacogenetic testing. The DCE used a fractional factorial design [18] and folded
170 into eight binary choices, one of which is presented as an example in Figure 1. The DCE was
171 administered as part of a larger survey containing 126 items in total and requiring an estimated 30
172 minutes for completion

173 A binary design was selected for the DCE of neurologists in order to include a choice of no testing,
174 which is aligned with current clinical practice. A fractional factorial design was selected from a design
175 catalogue to ensure orthogonality [18]. Sixteen choice scenarios were presented to respondents,
176 following the example shown in Figure 1.

177  Insert Figure 1 here

178 **Analysis**

179 Random effects logit regression models were used to determine the importance of the attributes
180 and direction of effect. Marginal rates of substitution (MRS, the rate at which respondents were
181 willing to give up a unit change in one attribute in exchange for a unit change in another while
182 maintaining the same level of utility) were calculated using each attribute as the value attribute with
183 Bootstrapped confidence intervals calculated using 1,000 replications. All analyses were conducted
184 in STATA version 10 (StataCorp, College Station, TX). To test the validity of the patient DCE we
185 identified a potentially dominant choice in which medicine A was superior in all but one attribute
186 (higher chance of remission, lower risk of memory problems, mild rash and life-threatening ADR; but
187 a higher frequency of seizures). We assumed that people who selected the alternative (medicine B)
188 for this choice did not understand the task, and analysed the DCE with and without these
189 respondents by comparing the confidence intervals of all the coefficients in the regression to
190 ascertain if there were statistically significant differences.

191 Patients' utility was calculated by weighting the results of the regression against potential outcomes
192 of treatment with carbamazepine with or without pharmacogenetic testing. Clinical data [1,7,15]

193 were used to model the scenarios of testing (in which carriers of the *HLA-A*31:01* allele are
194 prescribed lamotrigine) and standard care (Table 2). The probability of test uptake was calculated as
195 the exponential of the utility for testing divided by the sum of the exponential of the utilities for
196 testing and not testing. We further calculated the threshold at which patients would prefer to be
197 tested, defined when the utility of testing is at least as much as the utility of standard treatment:

$$-\sum_{1}^{N} MRS_{attribute(N)} * \Delta_{attribute(N)} \leq \Delta_{sADR}$$

198 where, *MRS* is the ratio of beta coefficient for a given attribute divided by the beta coefficient for
199 severe ADRs (*sADR*), and $\Delta_{attribute}$ represents the actual difference in probabilities of occurrence of
200 attribute-defined events between a testing strategy and standard treatment. The trade-off between
201 the benefits and harms of interest provides the point of indifference from the patient's perspective
202 and therefore represents the threshold at which patients would choose to be tested.

203 Insert Table 2 here

204 *Scenario analyses*

205 While the base case focused on *HLA-A*31:01*, a scenario analysis was performed using the
206 characteristics of testing for *HLA-B*15:02*. This was based on a meta-analysis of the association with
207 SJS/TEN [19] and assumed a 10% allele prevalence, consistent with Asian populations [20].

208 A further exploratory analysis was conducted by identifying statistically significant subgroups based
209 on log likelihood ratio tests of base case 'restricted model' (all cases) and unrestricted models for
210 groups of $n \geq 30$ and assuming $p < 0.05$ with Bonferroni correction.

211 For the DCE of neurologists, welfare estimates including total utility and probability of uptake were
212 calculated for various testing scenarios which represented: a less expensive test, higher PPV and
213 NPV, and a reduced time to test result. A test which costs £100, takes 4 days for the result, with PPV
214 26%, NPV 96%, predictive of both severe and mild ADRs but not included in the BNF was selected as

215 being representative of current clinical practice associated with *HLA-A*31:01* testing. An assessment
216 of validity using a dominant choice set was not possible in the DCE of neurologists. Pharmacogenetic
217 testing for *HLA-A*31:01* is not currently mandatory and therefore selecting a single scenario where a
218 test should always or never be selected would not be appropriate in the context of a labelled DCE.
219 We defined non-traders as respondents always selecting one response (test or no test) and
220 examined the results of the regression with and without the inclusion of non-traders.

221

222 **Results**

223 ***Patients' DCE***

224 Ninety-two people with epilepsy started the DCE, of which 82 (89%) completed the survey.
225 Respondents had a median age of 38 years and 61 (66%) were female (Table 3). Almost all patients
226 were taking AEDs (n=85, 99%) and 31 (36%) had experienced changes to their AED treatment in the
227 previous three months. Over a third of respondents (n=31, 36%) had previously taken
228 carbamazepine to treat epilepsy, of which one respondent reported a severe skin reaction requiring
229 hospitalisation and 10 (19%) had experienced rash of the upper body.

230 Insert Table 3 here

231 All 5 attributes were significant and in the expected direction and the overall goodness of fit of the
232 model was good (Table 4). Five patients failed to select the dominant choice, however as there were
233 no statistically significant differences between models by their inclusion or exclusion they were
234 retained in the base case analysis. Patients were willing to accept a reduction in the chance of 12-
235 month remission from seizures in exchange for a reduction in adverse events. Patients were willing
236 to reduce the chance of remission by: 0.58 percentage points (95% CI, 0.39 to 0.82) for a 1
237 percentage point reduction in skin rash; 3.2 percentage points (95% CI, 2.32 to 4.44) for a 1

238 percentage point reduction in memory problems; and, 1.76 percentage points (95% CI, 1.21 to 2.54)
239 for a 0.001 percentage point reduction in the risk of a severe ADR.

240 Insert Table 4 here

241 *Utility model*

242 The estimated utility associated with testing for *HLA-A*31:01* was greater, at 0.52 (95% CI, 0.19 to
243 1.00) than not testing at 0.33 (95% CI, -0.07 to 0.81). Consequently the choice model estimated the
244 probability of test uptake at 55% (95% CI, 54 to 57) which would suggest that more patients would
245 choose to be tested than not.

246 *Patient-defined threshold for testing*

247 The patient-defined threshold for testing for *HLA-A*31:01*, based on the rate of severe ADRs was
248 10.20 per 10,000 patients (95% CI, 10.11 to 10.33) which exceeds the actual number of severe ADRs
249 identified through testing (7.28 per 10,000), suggesting that patients would accept a test.

250 *Scenario analysis*

251 Based on the characteristics of a test for *HLA-B*15:02* which, if implemented, is estimated to reduce
252 the risk of serious ADRs by 6.94 cases per 10,000 patients treated, the probability of patient uptake
253 is calculated as 61%. Total utility of testing was 0.32 compared with -0.13 for the untested cohort.
254 The patient-defined threshold for testing is 16.55 severe ADRs per 10,000, implying that testing for
255 *HLA-B*15:02* is also preferred, given that this value exceeds the true rate of serious ADRs of 9.70 per
256 10,000, if testing were implemented.

257 Two subgroups qualified for analysis, namely sex and age. Marginal rates of substitution indicated
258 that females were more willing than males to trade a reduction in the chance of remission for
259 reduction in the risk of the severe ADR. Females were willing to accept a 30.2 percentage point (95%
260 CI, 19.5 to 52.9) reduction in remission for a 0.1% reduction in the risk of severe ADR, compared with

261 males who were only willing to exchange a 4.6 percentage point (95%CI, 0.7 to 11.2) reduction in
262 remission for the same 0.1% reduction in the risk of severe ADR. Differences in the rate of exchange
263 for remission and side-effects (MRS) were not statistically significant for age.

264 **Physicians' DCE**

265 Eighty-three neurologists completed the questionnaire, the majority (n=69, 83%) were adult
266 neurologists. Sixty-four (80%) respondents self-rated their knowledge of pharmacogenetic testing as
267 'No / Superficial Awareness', with just 16 (20%) reporting 'Detailed Awareness'. Fifty-six (67%)
268 respondents had not requested any pharmacogenetic test in the previous year, while 21 had
269 requested tests on up to 5 occasions. Forty-three (52%) respondents had reviewed at least one
270 patient with a cutaneous ADR associated with carbamazepine in the previous year and 69 (83%)
271 respondents had initiated carbamazepine in at least one patient in the previous month.

272 Thirteen neurologists were non-traders, defined as respondents who always select A or B ('test' or
273 'no test') throughout the experiment, regardless of changes in the profiles. Ten neurologists selected
274 'no test' to all responses and 3 neurologists selected 'test' to all responses. As discussed in the
275 methods, pharmacogenetic testing is not currently mandatory and the decision whether to request a
276 test will depend on a number of professional factors and personal opinions. During the individual
277 interviews, a minority of neurologists were opposed to the introduction of pharmacogenetic testing
278 into routine clinical practice, even when presented with attributes demonstrating a clear clinical
279 benefit. In order to optimise our assessment of the attributes of a pharmacogenetic test valued by
280 neurologists, we excluded non-traders from the analysis presented. However, the statistically
281 significant attributes remained significant when non-traders were included in the model. The
282 coefficients of all attributes with the exception of time to test result were significant and in the
283 expected direction. Overall goodness of fit of the model was good. The odds that respondents
284 selected the test decreased by 1% for every £1 increase in the cost of testing. An increase of 1
285 percentage point in PPV increased the odds of preferring pharmacogenetic testing by 7%; reference

286 to *HLA-A*31:01* testing in the BNF increased the odds that respondents would test by 58%; and a
287 test that predicts both severe and mild ADRs decreased the odds of testing by 31% (Table 4).

288 Marginal rates of substitution for the significant attributes indicated that neurologists were willing to
289 pay £5.87 for a 1 percentage point increase in NPV and £3.99 for an equivalent increase in PPV.
290 Respondents were willing to pay £31.29 for the coverage of mild in addition to severe cutaneous
291 ADRs, and £39.35 for the inclusion of testing advice in BNF (Table 4).

292 *Utility model*

293 The total utility of testing for *HLA-A*31:01* is positive at 6.36 (95% CI, 3.74 to 10.22), indicating a
294 general tendency to request the test (Table 5). Reducing the cost of testing from £100 to £35
295 increased the probability of requesting the test to 68.1%. A scenario in which the time to test result
296 is reduced from 4 to 2 days had little influence on the probability of requesting the test, but an
297 improvement in PPV from 26% to 70%, increased the probability of requesting the test almost 8-fold,
298 to 88.6%. An improved NPV of 99% compared to the existing 96% increased the probability of
299 requesting the test to 55.1%.

300 Insert Table 5 here

301 **Discussion**

302 Using a structured ranking exercise, we found that patients prioritised health outcomes relating to
303 the benefits of treatment, in terms of seizure freedom and associated adverse events. The results of
304 the DCE suggested that patients were willing to accept a less effective AED if that treatment had less
305 risk of harm. They were willing to forego a 1,760 per 100,000 chance of improvement in remission
306 for each 1 in 100,000 reduction in the risk of a severe ADR. When patient preferences were
307 analysed alongside data of actual event rates and characteristics of a test for *HLA-A*31:01*, the
308 results indicate that patients would prefer testing and being prescribed lamotrigine (conditional on
309 test result) to the current standard of care. The current rate of ADR for patients who have the test

310 is 7.28 per 10,000; if this were to increase by an additional 19 (or more) per 10,000, patients would
311 prefer standard care.

312 In contrast to patients, neurologists highlighted process-related outcomes. Their preference for
313 higher NPV might indicate a degree of caution in terms of wanting tests with a reduced likelihood of
314 false negative results that would require the prescribing of a second choice AED. They were willing
315 to pay an additional £58.67 per 10 percentage point increase in NPV. Neurologists were willing to
316 pay an additional £39.35 for a test which was included in the BNF. This attribute captures tests that
317 are recommended by regulatory agencies or included in clinical guidelines and are more likely to
318 have high PPV and NPV [22]. A pharmacogenetic test that was less expensive was predictably
319 preferred, but reduced turnaround time did not significantly influence the probability of requesting
320 the test.

321 The study benefitted from having taken a systematic and rigorous approach to identifying attributes
322 and levels that were both plausible and relevant to each perspective. For the DCE of patients, these
323 were derived from interviews, with the final selection of attributes and levels piloted in cognitive
324 interviews and presented in numerical and pictogram format to aid interpretation. A recent
325 systematic review found that DCE studies have been notoriously poor at reporting the methodology
326 supporting the explanation of risk and the validity of risk communication [23]. This study represents
327 a thorough application of cognitive interviews to support the face validity of the design of the DCE
328 and the presentation of risk attributes, and associated trading tasks. A comparable approach was
329 taken with neurologists, which included a literature review and structured interviews, consistent
330 with guidelines for DCE attribute selection [24].

331 Our inclusion of both patients' and clinicians' perspectives represents an important addition to the
332 emerging literature on preference-elicitation in pharmacogenetics. The finding that both groups
333 identified very different attributes but generally consistent preferences is reassuring in the context
334 of implementing a new health technology. Patients' acceptance of a decrease in treatment benefit

335 for a reduced chance of serious adverse drug reactions – even if that chance is very small – implies
336 that patients will be satisfied with a prescription for a second choice AED which might not
337 necessarily be as effective as the first.

338 Payne et al. [13] evaluated patient and health care professionals' preferences, using DCE methods,
339 for pharmacogenetic testing of TPMT prior to treatment with azathioprine. Their study focused on
340 service delivery and found that patients valued accurate and timely information about the necessity
341 of the test and interpretation of the results. Our patient study differed as it focused on their
342 preference for different AEDs, accepting that the key consequence of a pharmacogenetic test is the
343 possibility of being prescribed an alternative medicine with a different safety profile, and potentially
344 reduced effectiveness. We subsequently modelled the scenario of pharmacogenetic testing using
345 additional information on the actual benefits of AEDs and test characteristics. This approach has the
346 advantage of acknowledging the broader clinical context of testing as opposed to the specific action
347 of whether or not to test. Importantly, we have derived the threshold at which patients' utility will
348 be maximised through testing prior to taking carbamazepine.

349 We are aware of two other DCEs of patients with epilepsy. Lloyd et al. [11] used a DCE to elicit the
350 importance of adverse events compared with seizure control for people with epilepsy and found
351 that patients preferred AEDs with less severe adverse events, greater control and least cost. This
352 direction of preferences was the same in our study, however, the amount of remission patients were
353 willing to forego for a 1% reduction in rash differed: 4.45% seizure control for 1% reduction in risk of
354 rash compared to a 0.58 percentage point reduction in remission for a 1 percentage point reduction
355 in rash in our study. This may be explained by differences in how attributes were presented in the
356 DCE, in our study we considered a 'potentially life threatening adverse drug reaction' that may
357 influence the strength of preference for other attributes. Lloyd et al. [11] also included cost,
358 whereas our study only focused on treatment benefits and harms. More recently, Manjunath et al.
359 [12] included attributes for seizure frequency and, among others, 'short term' side effects

360 (sleepiness, dizziness, headache, nausea, tremor, double or blurred vision, and skin rash) and 'long
361 term' side effects (fatigue, moodiness, confusion or memory problems). Patients with epilepsy
362 considered seizure reduction to be the top priority when ranked against the reduction or elimination
363 of side effects. However as with Lloyd et al. [11], there was no consideration of more serious ADRs
364 which respondents to our DCE considered important.

365 Our study had some limitations. The survey was conducted online which resulted in a self-selected
366 sample of patients. This may affect the generalizability of the findings, particularly given that access
367 to, and use of the internet will be variable among patients with epilepsy. Moreover, the sample
368 primarily represented prevalent cases with long-standing experience of epilepsy, compared to
369 incident cases who will be most commonly offered testing. In addition, the severity of epilepsy,
370 defined as the frequency of seizures, was not recorded in the survey. It is foreseeable that patients
371 with more severe epilepsy may be willing to trade a greater risk of ADR for an improvement in
372 seizure control. Nevertheless, the agreement of our findings with other such studies lends support
373 to the validity of the results. Common to all DCEs is the balance of comprehensiveness in the
374 selection of attributes included and ability of respondents to make rational choices. Our DCE of
375 patients was restricted to the 5 highest ranked attributes each with 2 levels, and only 5 patients did
376 not select the choice which was marginally dominant and this had no impact on the result. By
377 contrast, the DCE of physicians was somewhat more extensive with 6 attributes and 16 levels in
378 total, and 13 respondents were non-traders. Overall, however, we considered the impact of the DCE
379 designs not to have adversely affected the study conclusions. Finally, the study included a sample of
380 UK patients and neurologists and the characteristics of these groups as well as the nationally funded
381 healthcare system where patient care takes place, may limit the generalisability of results. In
382 particular, the extent to which the results of the assessment of neurologists' preferences for
383 pharmacogenetic testing can be extrapolated to other populations may be limited both by different
384 healthcare systems (for example privatised systems) and different ethnic populations where the risk

385 of ADRs associated with carbamazepine may be different. However, importance of the significant
386 attributes of predictive accuracy (PPV, NPV) will likely translate across all populations.

387 In conclusion, our analysis of patient preferences indicates that patients value the reduction in risk
388 of severe ADR which could be achieved by pharmacogenetic testing prior to prescribing
389 carbamazepine. The DCE of neurologists would suggest that the most effective method of ensuring
390 that current pharmacogenetic tests are used more widely would be for the cost of testing to reduce.
391 Reassuringly, testing for *HLA-A*31:01* is cost-effective [25] meaning that turnaround time to result
392 will likely become important given there is often a clinical urgency and patient expectation for
393 treatment of uncontrolled seizures.

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409 EAFH, DAH drafted the paper and all authors revised it critically for important intellectual content,
410 and gave their final approval of the version to be published. All authors agree to be accountable for
411 all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of
412 the work are appropriately investigated and resolved.

413

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483 doi: 10.1111/epi.12937

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Figure 1: Example of binary choice DCE questions






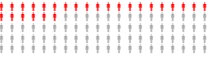







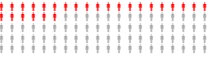







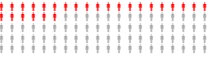


Physician DCE	Patient DCE																																		
<p>Question: "You have decided to prescribe carbamazepine for your patient. You may either select the following pharmacogenetic test prior to the prescription, or select not to test and proceed with the prescription blindly"</p> <table border="1"> <tr> <td>Cost</td> <td>£35</td> </tr> <tr> <td>Days to result</td> <td>2</td> </tr> <tr> <td>Positive predictive value (PPV)</td> <td>2%</td> </tr> <tr> <td>Negative predictive value (NPV)</td> <td>70%</td> </tr> <tr> <td>Coverage</td> <td>Serious ADRs ONLY</td> </tr> <tr> <td>Inclusion in British National Formulary (BNF)</td> <td>Yes</td> </tr> </table> <p> <input type="checkbox"/> I WOULD select the test prior to the prescription of carbamazepine <input type="checkbox"/> I WOULD NOT select the test and proceed with the prescription of carbamazepine blindly </p>	Cost	£35	Days to result	2	Positive predictive value (PPV)	2%	Negative predictive value (NPV)	70%	Coverage	Serious ADRs ONLY	Inclusion in British National Formulary (BNF)	Yes	<p>Question: "Which medication would you prefer?"</p> <table border="1"> <thead> <tr> <th></th> <th>MEDICATION A</th> <th>MEDICATION B</th> </tr> </thead> <tbody> <tr> <td> Stop Seizures <i>One year after starting this medication</i> </td> <td>  5 in 10 people <u>seizures stop</u> </td> <td>  3 in 10 people <u>seizures stop</u> </td> </tr> <tr> <td> Fewer Seizures <i>One year after starting this medication</i> </td> <td>  3 in 10 people <u>experience fewer seizures</u> </td> <td>  1 in 10 people <u>experience fewer seizures</u> </td> </tr> <tr> <td> Mild skin rash <i>A blotchy, itchy red rash on your upper body</i> </td> <td>  1 in 100 people experience a mild skin rash </td> <td>  26 in 100 people experience a mild skin rash </td> </tr> <tr> <td> Memory Problems <i>These are frequent and affect activities of daily life</i> </td> <td>  1 in 100 people experience memory problems </td> <td>  7 in 100 people experience memory problems </td> </tr> <tr> <td> Potentially life-threatening reaction <i>Severe skin reaction that may cause death</i> </td> <td> UNCOMMON More than 1 in 1000 people experience a life-threatening reaction </td> <td> RARE More than 1 in 10,000 people experience a life-threatening reaction </td> </tr> <tr> <td> Which medication would you prefer to take? </td> <td style="text-align: center;"> <input type="checkbox"/> </td> <td style="text-align: center;"> <input type="checkbox"/> </td> </tr> </tbody> </table>			MEDICATION A	MEDICATION B	Stop Seizures <i>One year after starting this medication</i>	 5 in 10 people <u>seizures stop</u>	 3 in 10 people <u>seizures stop</u>	Fewer Seizures <i>One year after starting this medication</i>	 3 in 10 people <u>experience fewer seizures</u>	 1 in 10 people <u>experience fewer seizures</u>	Mild skin rash <i>A blotchy, itchy red rash on your upper body</i>	 1 in 100 people experience a mild skin rash	 26 in 100 people experience a mild skin rash	Memory Problems <i>These are frequent and affect activities of daily life</i>	 1 in 100 people experience memory problems	 7 in 100 people experience memory problems	Potentially life-threatening reaction <i>Severe skin reaction that may cause death</i>	UNCOMMON More than 1 in 1000 people experience a life-threatening reaction	RARE More than 1 in 10,000 people experience a life-threatening reaction	Which medication would you prefer to take?	<input type="checkbox"/>	<input type="checkbox"/>
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Table 1: Attributes and levels of the discrete choice experiments

Attribute	Description	Levels (code)	Rationale
Physicians' DCE			
Cost of Test	The total cost of the pharmacogenetic test in Pounds Sterling.	35 (0) 100 (1) 200 (2)	Cost attribute ranked highly by neurologists. Realistic levels based on expert opinion (M Pirmohamed).
Time to Result	The total time from initially requesting the pharmacogenetic test to receipt of result.	2 (0) 4 (1) 7 (2)	Time attribute ranked highly by neurologists. Realistic levels based on expert opinion (M Pirmohamed).
Positive Predictive Value (PPV)	The probability of experiencing the ADR if a positive result is identified on the pharmacogenetic test: the 'true positives'.	2 (0) 35 (1) 70 (2)	PPV attribute ranked highly by neurologists. Range of PPV values informed by literature review [5-7]
Negative Predictive Value (NPV)	The probability of not experiencing the ADR if a negative result is identified on the pharmacogenetic test: the 'true negatives'.	70 (0) 85 (1) 99 (2)	NPV attribute ranked highly by neurologists. Range of NPV values informed by literature review [5-7]
Coverage of Test	The ability of the pharmacogenetic test to predict severe ADRs only, or mild in addition to severe ADRs.	Severe Hypersensitivity Adverse Drug Reactions (0) Severe AND Mild Hypersensitivity Adverse Drug Reactions (1)	Parameter informed by the attributes of current alleles: <i>HLA-A*31:01</i> is associated with severe and mild ADRs [7], <i>HLA-B*15:02</i> is associated with severe ADRs only [5]
British National Formulary (BNF)	The inclusion or exclusion of the pharmacogenetic test in the drug information detailed under carbamazepine.	Test NOT INCLUDED in the BNF (0) Test INCLUDED in the BNF (1)	Regulatory approval and inclusion in clinical guidelines ranked highly by neurologists. Inclusion in the British National Formulary [16] was included in the DCE as a pragmatic marker of regulatory approval and clinical availability.
Patients' DCE			
Seizures Stop	The probability of patients achieving 1-year remission from seizures with AED	5 in 10 people (0.5) 3 in 10 people (0.3)	Primary outcome of AED studies is 12 month remission. Levels based on published clinical trial data [1].
Fewer seizures	The probability of patients experiencing	3 in 10 people (0.3)	Seizure reduction was the highest ranked outcome

	fewer seizures after 1-year with AED	1 in 10 people (0.1)	by patients. Levels based on clinical trial data [1].
Mild skin rash	The probability of patients experiencing a mild adverse drug reaction but which is sufficient to warrant change in AED	1 in 100 people (0.01) 26 in 100 people (0.26)	<i>HLA-A*31:01</i> allele is associated with mild hypersensitivity reaction with patients exposed to carbamazepine. Levels based on published data [1,7].
Memory problems	The probability of patients experiencing memory problems which are sufficient to warrant change in AED	1 in 100 people (0.01) 7 in 100 people (0.07)	Adults with established epilepsy and prescribing clinicians were most concerned about memory problems in ranking exercises. Levels based on published clinical trial data [1].
Potentially life-threatening reaction	The probability of patients experiencing a rare but severe skin reaction, described as hot, painful patches on the skin that can blister and risks death.	RARE: More than 1 in 10 000 people (0.0001) UNCOMMON: More than 1 in 1000 people (0.001)	<i>HLA-A*31:01</i> allele is associated with Drug Induced Hypersensitivity Syndrome (DIHS), Stevens-Johnson Syndrome (SJS) and Toxic Epidermal Necrolysis (TEN) with patients exposed to carbamazepine. Levels based on published data on allele associations [7] and SmPC for carbamazepine [17].

Table 2: Values of regression variables used to estimate utility, probability of test uptake and maximally tolerated rate of severe ADR for patients to prefer testing. Data are taken from source, or derived according to standard epidemiological calculations.

Attributes	Expected probabilities conditional on AED and <i>HLA-A*31:01</i> test result			Testing Strategy		Reference
	CBZ / -ve	CBZ / +ve	LTG / +ve	Test	No test	
Remission	36.000	36.000	29.000	35.8189	36.0000	[1]
Fewer seizures	17.370	17.370	21.430	17.4751	17.3700	[1]
Memory problems	3.1746	3.1746	2.6455	3.1609	3.1746	[1]
Skin rash	7.000	34.000	4.000	6.9224	7.6986	[1,7]
Severe ADR	0.0738	1.0895	0.0354	0.0728	0.1001	[7,15,17]

All data are reported as number of events per 100 patients.

Abbreviations: AED is anti-epileptic drug; CBZ is carbamazepine; LTG is lamotrigine; ADR is adverse drug reaction

Table 3: Patient characteristics

Patients' characteristics	n	%
Age: median (range)	38	(18-72)
Female	61	66.3
Time since diagnosis:		
Less than 4 months	1	1.1
4-12 months	3	3.3
1-5 years	14	15.4
6-10 years	12	13.2
More than 10 years	61	67.0
Seizure type:		
Focal	27	31.4
Complex focal	40	46.5
Absences, tonic, atonic	45	52.3
Tonic clonic	56	65.1
Time since last seizure:		
Less than a week	38	44.2
Less than a month	16	18.6
Less than 6 months	14	16.3
Less than a year	2	2.3
A year or over	16	18.6
Seizure frequency compared to 1 year ago:		
More often	19	22.1
Less often	26	30.2
About the same	41	47.7
Prescribed AED in past 3-months	85	98.8
Changes to AED in past 3-months:		
No change	54	63.5
Increased/decreased	25	29.4
Change of drug	9	10.6
Additional drug	12	14.1
Fewer drugs	2	2.4
Stopped altogether	1	1.2
Reason for changes:		
Lack of seizure control	30	90.9
Unpleasant side effects	14	42.4
Remission	1	3.0
Morisky non-adherence [21]	16	50.0
Experience of taking CBZ	31	36.5
Experience of adverse events:		
Change or stop due to memory problems	8	24.2
CBZ skin rash	10	18.5
CBZ severe ADR (requiring hospital treatment)	1	1.9
Living alone	13	15.9

In employment, education, or looking after home	49	60.5
Ethnicity:		
White	74	90.2
Black / African / Caribbean / Black British	3	3.7
Asian / Asian British	1	1.2
Mixed / Multiple ethnic groups	2	2.4

Table 4: Random effects logit regression model and marginal rates of substitution

DCE of patients			
Attribute	Coefficient (95% CI)	Odds ratio	Remission (95% CI)
Remission	0.037 (95% CI 0.032 to 0.054)	1.04	1.00
Fewer seizures	0.011 (95% CI 0.003 to 0.024)	1.01	0.29 (95% CI 0.07 to 0.58)
Memory	-0.119 (95% CI -0.182 to -0.104)	0.89	-3.22 (95% -4.54 to -2.35)
Skin rash	-0.021 (95% CI -0.034 to -0.016)	0.98	-0.58 (95% CI -0.84 to -0.38)
Severe ADR	-6.490 (95% CI -10.295 to -5.467)	0.00	-175.83 (95% CI -253.30 to -121.42)
Constant	0.147 (95% CI -0.022 to 0.392)	1.16	
Pseudo-R ² = 0.2118; Wald χ^2 140.34; Log likelihood = -382.74; p=0.00			
DCE of neurologists			
Attribute	Coefficient (95% CI)	Odds ratio	Willingness to pay (95% CI)
Cost	-0.012 (95% CI -0.016 to -0.010)	0.99	- -
Time to Result	0.027 (95% CI -0.077 to 0.131)	1.03	- -
PPV	0.047 (95% CI 0.042 to 0.061)	1.05	3.99 (95% CI 3.00 to 5.37)
NPV	0.068 (95% CI 0.056 to 0.096)	1.07	5.87 (95% CI 4.04 to 8.46)
Coverage of Test	-0.365 (95% CI -0.774 to -0.095)	0.69	-31.29 (95% CI -60.06 to -7.20)
Included in BNF	0.459 (95% CI 0.140 to 0.865)	1.58	39.35 (95% CI 10.97 to 71.05)
Constant	-7.120 (95% -9.879 to -5.824)		
Pseudo-R ² value 0.2294; Wald χ^2 199.74; Log likelihood = -529.66; p<0.001			

Table 5: Results of scenario analysis of varying attribute levels within plausible ranges on the total utility and probability of test uptake

Parameter	Attribute and levels	Utility	Probability of uptake
Base case	Cost: £100 Time to result: 4 Days PPV: 26% NPV: 96% Coverage of test: Severe and mild Included in BNF: No	6.3584 (95% CI: 3.7391 – 10.2210)	49.9%
Reduced cost	Cost: £35	7.117 (95% CI: 4.8012 – 10.8525)	68.1%
Reduced time to result	Time to result: 2 Days	6.3046 (95% CI: 3.8939 – 9.9629)	48.6%
Improved PPV	PPV: 70%	8.4055 (95% CI: 5.5658 – 12.8900)	88.6%
Improved NPV	NPV: 99%	6.5639 (95% CI: 3.9072 – 10.5111)	55.1%